

SCHEDULE 2

Regulation 7(1)(b)

APPLICATION FOR A SAFETY CERTIFICATE

PART 1

INFORMATION TO BE INCLUDED FOR A MAINLINE APPLICATION

1. The following information shall be included in relation to Part A of a safety certificate—
 - (a) particulars of the type and extent of the operation in respect of which the application is made; and
 - (b) either—
 - [^{F1}(i) a copy of a current certificate issued to the applicant by—
 - (aa) the Office of Rail and Road;
 - (bb) the European Union Agency for Railways or a safety authority in a member State before the end of the second anniversary of exit day, under provisions giving effect to Article 10(2)(a) of the Directive or Article 10 of the Recast Safety Directive;
 - (cc) the safety authority in Northern Ireland; or
 - (dd) the safety authority for the tunnel system, which relates to an equivalent railway operation; or]
 - (ii) particulars of how the safety management system of the applicant meets the requirements set out in regulation 5(1) to (4).

Textual Amendments

- F1** Sch. 2 para. 1(b)(i) substituted (31.12.2020) by [The Rail Safety \(Amendment etc.\) \(EU Exit\) Regulations 2019 \(S.I. 2019/837\)](#), regs. 1, **3(11)** (as amended by [S.I. 2020/786](#), regs. 1(2)(b)(i), **7(6)**); 2020 c. 1, [Sch. 5 para. 1\(1\)](#)

2. The following information shall be included in relation to Part B of a safety certificate—
 - (a) information on the [^{F2}NTSNs], national safety rules and other safety requirements relevant to the applicant's operation including those relevant to persons carrying out work in relation to the operation and the applicant's vehicles and an explanation of how compliance with these requirements is ensured by the safety management system;
 - (b) information on the different types of work being carried out by persons directly in relation to the operation including evidence of how the applicant ensures that when such persons are carrying out such work that they are doing so in accordance with the requirements of any relevant [^{F2}NTSNs] and national safety rules; and
 - (c) information on the different types of rolling stock used for the operation in question including evidence that they meet any relevant [^{F2}NTSNs] and national safety rules,

and where information is submitted concerning an interoperability constituent or a subsystem which is subject to and complies with the requirements of the [^{F3}Interoperability Regulations] then only brief details need be supplied concerning compliance of such constituents or subsystems with relevant [^{F2}NTSNs] and other requirements of those Regulations and in this paragraph “interoperability constituent” and “subsystem” shall have the same meaning as in the [^{F4}Interoperability Regulations].

Status: Point in time view as at 31/12/2020.

Changes to legislation: There are currently no known outstanding effects for the The Railways and Other Guided Transport Systems (Safety) Regulations 2006, SCHEDULE 2. (See end of Document for details)

Textual Amendments

- F2** Word in Sch. 2 para. 2 substituted (31.12.2020) by virtue of The Railways (Interoperability) (Amendment) (EU Exit) Regulations 2019 (S.I. 2019/345), reg. 1(2), Sch. 8 para. 3(e); 2020 c. 1, Sch. 5 para. 1(1)
- F3** Words in Sch. 2 para. 2 substituted (26.8.2011) by The Railways and Other Guided Transport Systems (Safety) (Amendment) Regulations 2011 (S.I. 2011/1860), regs. 1, 2(11)(a)
- F4** Words in Sch. 2 para. 2 substituted (26.8.2011) by The Railways and Other Guided Transport Systems (Safety) (Amendment) Regulations 2011 (S.I. 2011/1860), regs. 1, 2(11)(b)

PART 2

INFORMATION TO BE INCLUDED FOR A NON-MAINLINE APPLICATION

3. Particulars of the type and extent of the operation in respect of which the application is made.
4. Particulars of how the safety management system of the applicant meets the requirements set out in regulation 6.
5. Information on the—
 - (a) relevant statutory provisions which make provision in relation to safety which are applicable to the operation; and
 - (b) technical specifications and procedures relating to operations and maintenance that are relevant to the safety of the transport system which the applicant proposes to follow,and an explanation of how compliance with these requirements is ensured by the safety management system.
6. Information on the different types of work or voluntary work being carried out by persons directly in relation to the operation including evidence of how the applicant ensures that when such persons are carrying out work or voluntary work in relation to the operation that they are doing so in accordance with relevant requirements of the relevant statutory provisions referred to in paragraph 5(a).
7. Information on the different types of rolling stock used for the operation including evidence that they meet relevant requirements of the relevant statutory provisions referred to in paragraph 5(a).

Status:

Point in time view as at 31/12/2020.

Changes to legislation:

There are currently no known outstanding effects for the The Railways and Other Guided Transport Systems (Safety) Regulations 2006, SCHEDULE 2.