

## SCHEDULE 1

Regulation 3

## UTILITIES

<i>Relevant Person</i>	<i>Activity</i>
<b>CATEGORY 1 - WATER</b>	
<b>PART A</b>	
A company holding an appointment as a water undertaker or a sewerage undertaker under the Water Industry Act 1991(1).	1. The provision or operation of a fixed network which provides or will provide a service to the public in connection with the production, transport or distribution of drinking water.
The Department for Regional Development (Northern Ireland).	2. Hydraulic engineering, irrigation or land drainage, but only if more than 20% of the total volume of water made available by such activity is intended for the supply of drinking water.
The Water Services Agency (Northern Ireland).	3. The disposal or treatment of sewage.
<b>PART B</b>	
A relevant person not specified in Part C.	4. The supply of drinking water to a network referred to in paragraph 1.
<b>PART C</b>	
A relevant person other than a contracting authority who produces drinking water because its consumption is necessary for the purpose of carrying out an activity not specified in the second column of this Schedule and who supplies only the excess to a network which is referred to in paragraph 1.	5. The supply of drinking water to a network referred to in paragraph 1 but only if the drinking water supplied in the period of 36 months ending at the relevant time as defined in regulation 11(19) has exceeded 30% of the total produced by the utility in that period.
<b>CATEGORY 2 - ELECTRICITY</b>	
<b>PART D</b>	
A person licensed under section 6 of the Electricity Act 1989(2).	6. The provision or operation of a fixed network which provides or will provide a service to the public in connection with the production, transport or distribution of electricity.
A person licensed under article 10(1) of the Electricity (Northern Ireland) Order 1992(3).	
<b>PART E</b>	
A relevant person not specified in Part F.	7. The supply of electricity to a network referred to in paragraph 6.

- (1) 1991 c. 56; section 6 (appointment of relevant undertakers) was amended by section 101 of, and Schedule 8 to, the Water Act 2003 (c. 37). By virtue of S.I.1999/672 as amended by S.I. 2000/253 the functions of the Minister of the Crown with respect to both water and sewerage undertakers under section 6 are now exercisable by the National Assembly for Wales for any undertaking whose area is wholly or mainly in Wales. There are other amendments to this Act which are not relevant to these Regulations.
- (2) 1989 c. 29; section 6 was amended by section 30 of the Utilities Act 2000 (c. 27) and sections 89, 136, 143, 145, and 197 of, and Schedules 9 and 23 to the Energy Act 2004 (c. 20). There are other amendments to this Act which are not relevant to these Regulations.
- (3) S.I. 1992/231 (N.I.1); article 10(1) was amended by article 28 and the changes applied to existing licences by article 29 of S.I. 2003/419 (N.I.6). There are other amendments to this Order which are not relevant to these Regulations.

*Status: This is the original version (as it was originally made).*

<i>Relevant Person</i>	<i>Activity</i>
<b>PART F</b>	
A relevant person other than a contracting authority who produces electricity because its use is necessary for the purpose of carrying out an activity not specified in the second column of this Schedule and who supplies only the excess to a network referred to in paragraph 6.	<b>8.</b> The supply of electricity to a network referred to in paragraph 6 but only if the electricity supplied in the period of 36 months ending at the relevant time as defined in regulation 11(19) has exceeded 30% of the total produced by the utility in that period.
<b>CATEGORY 3 - GAS</b>	
<b>PART G</b>	
A gas transporter as defined in section 7(1) of the Gas Act 1986(4).	<b>9.</b> The provision or operation of a fixed network which provides or will provide a service to the public in connection with the production, transport or distribution of gas.
A person declared to be an undertaker for the supply of gas under article 8 of the Gas (Northern Ireland) Order 1996(5).	
<b>PART H</b>	
A relevant person not specified in Part I.	<b>10.</b> The supply of gas to a network referred to in paragraph 9.
<b>PART I</b>	
A relevant person other than a contracting authority who produces gas only as the unavoidable consequence of carrying out an activity not specified in the second column of this Schedule and who supplies gas for the sole purpose of the economic exploitation of the production to a network referred to in paragraph 9.	<b>11.</b> The supply of gas to a network referred to in paragraph 9 but only if the total consideration payable in the period of 36 months ending at the relevant time as defined in regulation 11(19) on account of such supply has exceeded 20% of the total turnover of the utility in that period.
<b>CATEGORY 4 - HEAT</b>	
<b>PART J</b>	
A local authority.	<b>12.</b> The provision or operation of a fixed network which provides or will provide a service to the public in connection with the production, transport or distribution of heat.
A person licensed under section 6(1)(a) of the Electricity Act 1989 whose licence includes the provisions referred to in section 10(3) of that Act.	
The Northern Ireland Housing Executive.	
<b>PART K</b>	
A relevant person not specified in Part L.	<b>13.</b> The supply of heat to a network referred to in paragraph 12.

(4) 1986 c. 44; section 7(1) was amended by section 5 of the Gas Act 1995 (c. 45), section 76 of the Utilities Act 2000 (c. 27), section 197 of and Schedule 23 to the Energy Act 2004. There are other amendments to this Act which are not relevant to these Regulations.

(5) S.I. 1996/275 (N.I.2); article 8 was amended by articles 30 and the changes applied to existing licences by article 31 of S.I. 2003/419 (N.I.6). There are other amendments to this Order which are not relevant to these Regulations.

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<i>Relevant Person</i>	<i>Activity</i>
PART L	
A relevant person other than a contracting authority who produces heat as the unavoidable consequence of carrying out an activity not specified in the second column of this Schedule and who supplies heat for the sole purpose of the economic exploitation of the production to a network referred to in paragraph 12.	<b>14.</b> The supply of heat to a network referred to in paragraph 12 but only if the total consideration payable in the 36 months ending at the relevant time as defined in regulation 11(19) on account of such supply has exceeded 20% of the total turnover of the utility in that period.

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CATEGORY 5 – EXPLORATION AND EXTRACTION OF OIL AND GAS

PART M

A person operating by virtue of a licence granted or having effect as if granted under the Petroleum Act 1998(6).	<b>15.</b> The exploitation of a geographical area for the purpose of exploring for or extracting oil or gas.
A person licensed under the Petroleum (Production) Act (Northern Ireland) 1964(7).	

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CATEGORY 6 – COAL AND OTHER SOLID FUEL

PART N

Any licensed operator within the meaning of the Coal Industry Act 1994(8).	<b>16.</b> The exploitation of a geographical area for the purposes of exploring for or extracting coal or other solid fuel.
The Department of Enterprise, Trade and Investment (Northern Ireland).	
A person operating by virtue of a prospecting licence, a mining lease, a mining licence or a mining permission as defined by section 57(1) of the Mineral Development Act (Northern Ireland) 1969(9).	

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CATEGORY 7 – TRANSPORT

PART O

A local authority.	<b>17.</b> The exploitation of a geographical area for the purpose of providing airport or other terminal facilities to carriers by air.
An airport operator within the meaning of the Airports Act 1986(10) who has the management of an airport subject to economic regulation under Part IV of that Act.	
Highlands and Islands Airports Limited.	

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(6) 1998 c. 17. There are amendments to this Act which are not relevant to these Regulations.

(7) 1964 c. 28 (N.I.); section 2 was amended by Schedule 3 to the Mineral Development Act (Northern Ireland) 1969 (c. 35 NI). There are other amendments to this Act which are not relevant to these Regulations.

(8) 1994 c. 21. There are amendments to this Act which are not relevant to these Regulations.

(9) 1969 c. 35 (N.I). There are amendments to this Act which are not relevant to these Regulations.

(10) 1986 c. 31. There are amendments to this Act which are not relevant to these Regulations.

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<i>Relevant Person</i>	<i>Activity</i>
An airport operator within the meaning of the Airports (Northern Ireland) Order 1994 <b>(11)</b> .	
Any other relevant person.	
<b>PART P</b>	
A harbour authority within the meaning of section 57 of the Harbours Act 1964 <b>(12)</b> .	<b>18.</b> The exploitation of a geographical area for the purpose of providing maritime or inland port or other terminal facilities to carriers by sea or inland waterway.
British Waterways Board.	
A local authority.	
A harbour authority as defined by section 38(1) of the Harbours Act (Northern Ireland) 1970 <b>(13)</b> .	
Any other relevant person.	
<b>PART Q</b>	
Network Rail.	<b>19.</b> The provision or operation of a network providing a service to the public in the field of transport by railway.
Eurotunnel plc.	
Northern Ireland Transport Holding Company.	
Northern Ireland Railways.	
London Underground Limited.	
Transport for London.	
A subsidiary of Transport for London within the meaning of section 424(1) of the Greater London Authority Act 1999 <b>(14)</b> .	
Strathclyde Passenger Transport Executive.	
Greater Manchester Passenger Transport Executive.	
Tyne and Wear Passenger Transport Executive.	
Brighton Borough Council.	
South Yorkshire Passenger Transport Executive.	

**(11)** S.I.1994/426 (N.I.1). There are amendments to this Order which are not relevant to these Regulations

**(12)** 1964 c. 40. There are amendments to this Act which are not relevant to these Regulations.

**(13)** 1970 c. 1 (N.I.). There are amendments to this Act which are not relevant to these Regulations.

**(14)** 1999 c. 29. There are amendments to this Act which are not relevant to these Regulations.

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<i>Relevant Person</i>	<i>Activity</i>
West Midlands Passenger Transport Executive.	
A local transport authority or passenger authority within the meaning of section 124 of the Transport Act 2000(15) which has made a quality contracts scheme under section 124 of that Act.	
A person awarded a quality contract within the meaning of section 124 of the Transport Act 2000.	
Any other relevant person.	

PART R

Transport for London.	<b>20.</b> The provision or operation of a network providing a service to the public in the field of transport by automated systems, tramway, trolleybus, or cable.
A subsidiary of Transport for London within the meaning of section 424(1) of the Greater London Authority Act 1999.	
Greater Manchester Passenger Transport Executive.	
Blackpool Transport Services Limited.	
Conwy County Borough Council.	
South Yorkshire Passenger Transport Executive.	
West Midlands Passenger Transport Executive.	
Any other relevant person.	

PART S

Transport for London.	<b>21.</b> The provision or operation of a network providing a service to the public in the field of transport by bus.
A subsidiary of Transport for London within the meaning of section 424(1) of the Greater London Authority Act 1999.	
A local transport authority or Passenger Transport Authority within the meaning of section 124 of the Transport Act 2000 which has made a quality contracts scheme under section 124 of that Act.	

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(15) 2000 c. 38; section 124 was amended by section 39 of the Railway Act 2005 (c. 14)

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<i>Relevant Person</i>	<i>Activity</i>
A person awarded a quality contract within the meaning of section 124 of the Transport Act 2000.	
Northern Ireland Transport Holding Company.	
A person who holds a road service licence under section 4(1) of the Transport Act (Northern Ireland) 1967 <sup>(16)</sup> which authorises him to provide a regular service within the meaning of that licence.	
Any other relevant person.	

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<sup>(16)</sup> 1967 c. 37 (N.I.); section 4 was amended by S.I. 1990/994 (N.I. 7) and S.I. 1984/703 (N.I.3).