

SCHEDULE 4

AMENDMENTS OF SCHEDULE 3 TO THE ACT

5. After paragraph 10A(1) insert—

“UK investment firm

10B. “UK investment firm” means a UK firm—

- (a) which is an investment firm, and
- (b) whose EEA right derives from the markets in financial instruments directive.”.

(1) Paragraph 10A was inserted by [S.I. 2003/1473](#).