
STATUTORY INSTRUMENTS

2007 No. 763

FINANCIAL SERVICES AND MARKETS

The Financial Services and Markets Act 2000 (Markets in Financial Instruments) (Amendment) Regulations 2007

Made - - - - 8th March 2007
Laid before Parliament 9th March 2007
Coming into force in accordance with regulation 1(2)

The Treasury are a government department designated⁽¹⁾ for the purposes of section 2(2) of the European Communities Act 1972⁽²⁾ in relation to investment firms and to the provision of investment services;

The Treasury make regulation 7 in exercise of the powers conferred on them by sections 39(1), 417(1)⁽³⁾ and 428(3) of the Financial Services and Markets Act 2000⁽⁴⁾;

The Treasury make regulation 8 in exercise of the powers conferred on them by paragraph 22 of Schedule 3 to, and section 428(3) of, that Act;

The Treasury make regulation 9 in exercise of the powers conferred on them by sections 349(1), (2) and (3), 417(1)⁽⁵⁾ and 428(3) of that Act;

The Treasury make the remainder of these Regulations in exercise of the powers conferred on them by section 2(2) of the European Communities Act 1972:

(1) S.I. 1993/2661.

(2) 1972 c.68; section 2(2) was amended by section 27 of the Legislative and Regulatory Reform Act 2006 (c. 51). By virtue of the amendment of section 1(2) made by section 1 of the European Economic Area Act 1993 (c. 51) regulations may be made under section 2(2) to implement obligations of the United Kingdom created by or arising under the Agreement on the European Economic Area signed at Oporto on 2nd May 1992 (Cm 2073, OJ No L 1, 3.11.1994, p. 3) and the Protocol adjusting that Agreement signed at Brussels on 17th March 1993 (Cm 2183, OJ No L 1, 3.1.1994, p. 572). For the decision of the EEA Joint Committee in relation to Directive 2004/39/EC, see Decision No 65/2005 of 29th April 2005 (OJ No L 239, 15.9.2005, p.50).

(3) See the definition of “prescribed”.

(4) 2000 c. 8.

(5) See the definition of “prescribed”.