

2009 No. 2400

COMPANIES

**The European Public Limited-Liability Company (Amendment)
Regulations 2009**

<i>Made</i>	- - - -	<i>9th September 2009</i>
<i>Laid before Parliament</i>		<i>10th September 2009</i>
<i>Coming into force</i>	- -	<i>1st October 2009</i>

The Secretary of State is a Minister designated for the purposes of section 2(2) of the European Communities Act 1972(a) in relation to the creation, operation, regulation or dissolution of companies and other forms of business organisation(b) and measures relating to employment rights and duties(c).

In exercise of the powers conferred by section 2(2) of that Act and sections 1102(2) and (3) and 1108(2)(b) of the Companies Act 2006(d) the Secretary of State makes the following Regulations.

Citation and commencement

1.—(1) These Regulations may be cited as the European Public Limited-Liability Company (Amendment) Regulations 2009.

(2) These Regulations come into force on 1st October 2009.

Transitional provision relating to forms and other documents to be delivered to the registrar

2. So far as relating to forms and other documents required to be delivered to the registrar, the amendments made by these Regulations do not have effect in any case where the obligation to deliver the form or other document arose before 1st October 2009.

Amendment of the European Public Limited-Liability Company Regulations 2004

3. The European Public Limited-Liability Company Regulations 2004(e) are amended as follows.

4. In regulation 1(3) (extent) for “Great Britain” substitute “the whole of the United Kingdom”.

5. In regulation 3(1) (interpretation)—

(a) omit the definition of the “1985 Act”;

(a) 1972 c. 68. The enabling powers of section 2(2) were extended by virtue of the amendment of section 1(2) by section 1 of the European Economic Area Act 1993 (c.51)

(b) See S.I. 2007/193.

(c) See S.I. 2000/738.

(d) 2006 c. 46.

(e) S.I. 2004/2326.

- (b) after the definition of the “1996 Act” insert—
 - “the “2006 Act” means the Companies Act 2006;”;
 - (c) in the definition of “the Companies Acts”, for “the Companies Act 2006” substitute “the 2006 Act”;
 - (d) in the definition of “SE”, for “registered in Great Britain” substitute “registered in the United Kingdom”.
- 6.** In regulation 5 (registration of an SE formed by merger in accordance with Article 2(1))—
- (a) for “Form SE5” substitute “Form SE FM01”;
 - (b) omit “, and, if applicable, Form SE(SR)”;
 - (c) for “each Form” substitute “that Form”.
- 7.** In regulation 6 (registration of a holding SE in accordance with Article 2(2))—
- (a) for “Form SE6” substitute “Form SE FM02”;
 - (b) omit “, and, if applicable, Form SE(SR),”;
 - (c) for “each Form” substitute “that Form”.
- 8.** In regulation 7 (registration of a subsidiary SE in accordance with Article 2(3))—
- (a) for “Form SE7” substitute “Form SE FM03”;
 - (b) omit “, and, if applicable, Form SE(SR),”;
 - (c) for “each Form” substitute “that Form”.
- 9.** In regulation 8 (registration of an SE by transformation of public company in accordance with Article 2(4))—
- (a) for “Form SE8” substitute “Form SE FM04”;
 - (b) omit “, and, if applicable, Form SE(SR)”;
 - (c) for “each Form” substitute “that Form”.
- 10.** In regulation 9(1) (registration of an SE formed as the subsidiary of an SE in accordance with Article 3(2))—
- (a) for “Form SE9(1)” substitute “Form SE FM05”;
 - (b) omit “, and, if applicable, Form SE(SR),”;
 - (c) for “each Form” substitute “that Form”.
- 11.** In regulation 10 (transfer of registered office to Great Britain)—
- (a) in the heading and in the text, for “Great Britain” substitute “the United Kingdom”;
 - (b) for “Form SE10” substitute “Form SE TR02”;
 - (c) omit “, and, if applicable, Form SE(SR),”;
 - (d) for “each Form” substitute “that Form”.
- 12.** In regulation 11 (transfer of registered office from Great Britain)—
- (a) for “Great Britain” substitute “the United Kingdom”;
 - (b) for “Form SE11” substitute “Form SE TR03”.
- 13.** In regulation 12 (registration of SE), for “Great Britain” substitute “the United Kingdom”.
- 14.** In regulation 13 (documents sent to the registrar), for paragraph (1) substitute—
- “(1) The registrar shall retain any document delivered to the registrar under any provision of these Regulations or the EC Regulation.
 - (1A) Any reference in the 2006 Act to “the register” is to be read as including a reference to—

- (a) the documents required to be retained by the registrar under paragraph (1), and
- (b) records of the information contained in those documents.

(1B) In the application of the 2006 Act in relation to those documents and records by virtue of paragraph (1A), the provisions specified in Schedule 1A to these Regulations have effect with the modifications specified in relation to each such provision in that Schedule.”

15.—(1) After regulation 13 insert—

“Application of language requirements to documents relating to SEs

13A.—(1) The following provisions of the 2006 Act apply in relation to documents required to be delivered to the registrar under these Regulations or the EC Regulation—

- (a) section 1103 (documents to be drawn up and delivered in English);
- (b) section 1105 (documents that may be drawn up and delivered in other languages);
- (c) section 1107 (certified translations).

(2) In the application of the provisions listed in paragraph (1) in relation to the documents referred to in that paragraph—

- (a) section 1103 applies as if the reference to section 1104 of the 2006 Act were omitted;
- (b) section 1105 applies as if for subsections (2) and (3) there were substituted—

“(2) This section applies to—

- (a) documents specified in respect of any of the Forms mentioned in regulations 5 to 11 of the European Public Limited-Liability Company Regulations 2004 (provisions relating to registration etc);
- (b) copies of transfer proposals required to be delivered under regulation 68(1)(a) of those Regulations (publication of terms of transfer);
- (c) copies of draft terms required to be delivered under regulation 68(2)(a) or (3)(a) of those Regulations (publication of terms for formation of holding SE or conversion of company into SE);
- (d) copies of amendments to statutes required to be delivered under regulation 82(1)(a) of those Regulations (notification of amendments to statutes);
- (e) documents required to be delivered with Form SE CV01 under regulation 85 of those Regulations (registration of a public company by conversion of SE);
- (f) copies of draft terms required to be delivered under regulation 86 of those Regulations (publication of draft terms of conversion).”;

- (c) section 1107 applies as if any reference to a company were a reference to an SE.

(3) Section 1106(1) and (4) of the 2006 Act (voluntary filing of translations), and any provision of regulations made under section 1106(2) which specifies the languages in relation to which the facility in section 1106(1) is available, apply in relation to documents within paragraph (4), as if any reference to a company were a reference to an SE.

(4) The documents referred to in paragraph (3) are documents that are or have been delivered to the registrar under these Regulations or the EC Regulation on or after 1st January 2007.

(5) For the purposes of this regulation documents required to be delivered to the Secretary of State under regulation 11 shall be treated as documents required to be delivered to the registrar under that regulation.”

(2) Nothing in regulation 2 of these Regulations (transitional provision relating to documents required to be delivered to registrar) affects the operation of—

- (a) paragraphs (3) and (4) of regulation 13A of the European Public Limited-Liability Company Regulations 2004, as inserted by this regulation, or

(b) paragraph (5) of that regulation, so far as relating to those paragraphs.

16.—(1) Regulation 14 (application of the 1985 Act to the registration of SEs) is amended as follows.

(2) The existing provision is renumbered as paragraph (1).

(3) In that paragraph, for “the 1985 Act” substitute “the 2006 Act”.

(4) After that paragraph insert—

“(2) This regulation does not affect the application of provisions of the 2006 Act in respect of the matters referred to in paragraph (1)(a) or (b) otherwise than by virtue of this regulation.”

(5) The heading becomes “Application of the 2006 Act to the registration of SEs”.

17. Omit Part 3 (which relates to employee involvement and is being re-enacted, with modifications, in a separate statutory instrument).

18. In regulation 56 (additional forms of publication of transfer proposal), in paragraph (3), for “paragraphs (1) or (2)” substitute “paragraph (1) or (2)”.

19. Omit regulation 60 (opposition of competent authority to company’s participation in merger).

20. In regulation 68 (publication of terms of transfer, formation and conversion)—

(a) in paragraph (1)(a), for “Form SE68(1)(a)” substitute “Form SE TR01”;

(b) in paragraph (2), in the opening words, for “Great Britain” substitute “the United Kingdom”;

(c) in paragraph (2)(a), for “Form SE68(2)(a)” substitute “Form SE DT01”;

(d) in paragraph (3)(a), for “Form SE68(3)(a)” substitute “Form SE DT02”.

21. In regulation 69 (publication of completion of merger) for “Great Britain” substitute “the United Kingdom”.

22. In regulation 70(1) (publication of fulfilment of conditions for formation of holding SE)—

(a) for “registered in Great Britain” substitute “registered in the United Kingdom”;

(b) for “Form SE70(1)” substitute “Form SE SC01”.

23. In regulation 72 (protection of creditors and others on transfer)—

(a) in paragraph (5), after “section 122 of the Insolvency Act 1986(a)” insert “or, as the case may be, Article 102 of the Insolvency (Northern Ireland) Order 1989(b)”;

(b) in paragraph (6), for “Form SE72(6)” substitute “Form SE SS01”.

24. In regulation 73(2) (power of Secretary of State where SE no longer complies with requirements of Article 7: enforcement of direction)—

(a) in sub-paragraph (a), after “High Court” insert “in England and Wales”;

(b) omit the “or” at the end of that sub-paragraph;

(c) after sub-paragraph (b) insert—

“(c) in the case of an SE whose registered office is in Northern Ireland, by an application to the High Court in Northern Ireland for an injunction.”.

25.—(1) Regulation 74 (review of decisions of competent authority) is amended as follows.

(2) In paragraph (1)(b), for “Great Britain” substitute “the United Kingdom”.

(3) In paragraph (2), for “Great Britain” substitute “the United Kingdom”.

(a) 1986 c.45.

(b) S.I. 1989/2405 (N.I. 19).

(4) In paragraph (3)—

- (a) in sub-paragraph (a), after “High Court” insert “in England and Wales”;
- (b) omit the “and” at the end of that sub-paragraph;
- (c) after sub-paragraph (b) insert—

“(c) an SE, or a company, whose registered office is in Northern Ireland, the High Court in Northern Ireland.”

26.—(1) Regulation 75 (competent authorities) is amended as follows.

(2) For paragraph (b) substitute—

“(b) in respect of Article 25—

- (i) the High Court in England and Wales, in relation to a public company whose registered office is in England and Wales,
- (ii) the Court of Session, in relation to a public company whose registered office is in Scotland,
- (iii) the High Court in Northern Ireland, in relation to a public company whose registered office is in Northern Ireland; and”.

(3) For paragraph (c) substitute—

“(c) in respect of Article 26—

- (i) the High Court in England and Wales, in relation to an SE where the registered office is proposed to be in England and Wales,
- (ii) the Court of Session, in relation to an SE where the registered office is proposed to be in Scotland,
- (iii) the High Court in Northern Ireland, in relation to an SE where the registered office is proposed to be in Northern Ireland.”.

27.—(1) Regulation 76 (enforcement of obligation to amend statutes in conflict with arrangements for employee involvement) is amended as follows.

(2) In paragraph (1)(a) for “Part 3 of these Regulations” substitute “the European Public Limited-Liability Company (Employee Involvement) (Great Britain) Regulations 2009(a) or, as the case may be, the European Public Limited-Liability Company (Employee Involvement) (Northern Ireland) Regulations 2009(b)”.

(3) In paragraph (2)—

- (a) in sub-paragraph (a), after “High Court” insert “in England and Wales”;
- (b) omit the “and” at the end of that sub-paragraph;
- (c) after sub-paragraph (b) insert—

“(c) in respect of an SE with its registered office in Northern Ireland, to the High Court in Northern Ireland by injunction.”.

28.—(1) For regulations 79 and 80 (register of members of supervisory organ, and particulars to be registered) substitute—

“Register of members of supervisory organ

79.—(1) Every SE which has adopted the form of a two-tier system in its statutes must keep a register of the members of its supervisory organ (“the register of SO members”).

(2) The register must contain the required particulars (see regulations 80 and 80A) of each of the members of the supervisory organ.

(a) S.I. 2009/2401.

(b) S.I. 2009/2402.

- (3) The register must be kept available for inspection—
- (a) at the SE’s registered office, or
 - (b) at such place as may for the time being be specified in regulations under section 1136 of the 2006 Act in the case of a company and its register of directors under section 162 of that Act.

- (4) The SE must give notice to the registrar—
- (a) of the place at which the register is kept available for inspection, and
 - (b) of any change in that place,

unless the register has at all times been kept at the SE’s registered office.

- (5) The register must be open to the inspection—
- (a) of any shareholder of the SE without charge, and
 - (b) of any other person on payment of a fee of £3.50 for each hour or part of an hour during which the right of inspection is exercised.

- (6) If—
- (a) default is made in complying with paragraph (1), (2) or (3),
 - (b) default is made for 14 days in complying with paragraph (4), or
 - (c) an inspection required under paragraph (5) is refused,

an offence is committed by the SE and by every officer of the SE who is in default.

For this purpose a person who, by virtue of section 251 of the 2006 Act (shadow directors) as it applies in relation to an SE, is a shadow director of the SE is treated as an officer of the SE.

(7) A person guilty of an offence under this regulation is liable on summary conviction to a fine not exceeding level 5 on the standard scale.

(8) In the case of a refusal of inspection of the register, the court may by order compel an immediate inspection of it.

(9) For the meaning of “the court” in this regulation see section 1156 of the 2006 Act.

(10) Where an SE is required by this regulation to keep a register of SO members, the application of regulation 78 to that SE does not require particulars of members of the supervisory organ to be kept on any register under section 162 of the 2006 Act (register of directors).

Particulars of members to be registered under regulation 79: individuals

80.—(1) An SE’s register of SO members must contain the following particulars in the case of any member of the supervisory organ who is an individual—

- (a) name and any former name;
- (b) a service address;
- (c) the country or state (or part of the United Kingdom) in which the member is usually resident;
- (d) nationality;
- (e) business occupation (if any);
- (f) date of birth.

(2) For the purposes of this regulation “name” means a person’s Christian name (or other forename) and surname, except that in the case of—

- (a) a peer, or
- (b) an individual usually known by a title,

the title may be stated instead of the person’s Christian name (or other forename) and surname or in addition to either or both of them.

(3) For the purposes of this regulation a “former name” means a name by which the individual was formerly known for business purposes.

Where a person is or was formerly known by more than one such name, each of them must be stated.

(4) It is not necessary for the register to contain particulars of a former name in the following cases—

- (a) in the case of a peer or an individual normally known by a British title, where the name is one by which the person was known previous to the adoption of, or succession to, the title;
- (b) in the case of any person, where the former name—
 - (i) was changed or disused before the person attained the age of 16 years, or
 - (ii) has been changed or disused for 20 years or more.

(5) A person’s service address may be stated to be “The SE’s registered office”.

(6) For the meaning of “service address” see section 1141 of the 2006 Act.

Particulars of members to be registered under regulation 79: corporate members and firms

80A. An SE’s register of SO members must contain the following particulars in the case of a body corporate, or a firm that is a legal person under the law by which it is governed,—

- (a) corporate or firm name;
- (b) registered or principal office;
- (c) in the case of an EEA company to which the First Company Law Directive (68/151/EEC) applies, particulars of—
 - (i) the register in which the company file mentioned in Article 3 of that Directive is kept (including details of the relevant state), and
 - (ii) the registration number in that register;
- (d) in any other case, particulars of—
 - (i) the legal form of the company or firm and the law by which it is governed, and
 - (ii) if applicable, the register in which it is entered (including details of the state) and its registration number in that register.

Register of residential addresses of members of an SE’s supervisory organ

80B.—(1) Every SE which has adopted the form of a two-tier system in its statutes must keep a register of the residential addresses of the members of its supervisory organ (the “register of SO members’ residential addresses”).

(2) The register must state the usual residential address of each of those members.

(3) If a member’s usual residential address is the same as the member’s service address, as stated in the SE’s register of SO members, the register of SO members’ residential addresses need only contain an entry to that effect.

This does not apply if the member’s service address is stated to be “The SE’s registered office”.

(4) If default is made in complying with this regulation, an offence is committed by—

- (a) the SE, and
- (b) every officer of the SE who is in default.

For this purpose a person who, by virtue of section 251 of the 2006 Act (shadow directors) as it applies in relation to an SE, is a shadow director of the SE is treated as an officer of the SE.

(5) A person guilty of an offence under this regulation is liable on summary conviction to a fine not exceeding level 5 on the standard scale.

(6) This regulation applies only to members who are individuals, not where the member is a body corporate or a firm that is a legal person under the law by which it is governed.

(7) Where an SE is required by this regulation to keep a register of SO members' residential addresses, the application of regulation 78 to that SE does not require particulars of members of the supervisory organ to be kept on any register under section 165 of the 2006 Act (register of directors' usual residential addresses).

Duty to notify registrar of changes

80C.—(1) An SE which has adopted the form of a two-tier system in its statutes must, within the period of 14 days from—

- (a) a person becoming or ceasing to be a member of the supervisory organ of the SE, or
- (b) the occurrence of any change in the particulars contained in its register of SO members or its register of SO members' residential addresses,

give to the registrar notice of the change, and the date when it occurred, in whichever of the Forms SE AP01, SE AP02, SE TM01, SE CH01 or SE CH02 set out in Schedule 1 is appropriate.

(2) Notice of a person having become a member of the supervisory organ must—

- (a) contain a statement of the particulars of the new member which are required to be included in the SE's register of SO members and those which are required to be included in its register of SO members' residential addresses,
- (b) be accompanied by a consent by that person to act in that capacity.

(3) Where—

- (a) an SE gives notice of a change of a member of its supervisory organ's service address as stated in the SE's register of SO members, and
- (b) the notice is not accompanied by notice of any resulting change in the particulars contained in the SE's register of SO members' residential addresses,

the notice must be accompanied by a statement that no such change is required.

(4) If default is made in complying with this regulation, an offence is committed by—

- (a) the SE, and
- (b) every officer of the SE who is in default.

For this purpose a person who, by virtue of section 251 of the 2006 Act (shadow directors) as it applies in relation to an SE, is a shadow director of the SE is treated as an officer of the SE.

(5) A person guilty of an offence under this regulation is liable on summary conviction to a fine not exceeding level 5 on the standard scale.

Protected information: restriction on use or disclosure by SE

80D. In the application of section 241(1)(b) of the 2006 Act in relation to an SE, the reference to any requirement of the Companies Acts includes a reference to any requirement of regulation 80C.

Putting a member of the supervisory organ's address on the public record

80E.—(1) In the application of section 246 of the 2006 Act (putting a director's usual residential address on the public record) in relation to a member of the supervisory organ of an SE—

- (a) the references in subsections (3)(a) and (4)(a) to the company's register of directors are references to the SE's register of SO members, and
- (b) the reference in subsection (3)(b) to the company's register of directors' residential addresses is a reference to the SE's register of SO members' residential addresses.

(2) Paragraph (1) is without prejudice to the generality of regulation 78.”

(2) Schedule 2 makes transitional provision with respect to registers relating to members of the supervisory organ of an SE.

29. In regulation 81 (application of enactments to SE as body corporate)—

- (a) in paragraph (1) for “whether or not registered in Great Britain” substitute “whether or not registered in the United Kingdom”;
- (b) in paragraph (2) for “incorporated in, or formed under the law of, Great Britain” substitute “incorporated in, or formed under the law of, the United Kingdom (or any part of the United Kingdom)”.

30. In regulation 82(1) (notification of amendments to statutes and insolvency events)—

- (a) in sub-paragraph (a), for “Form SE82(1)(a)” substitute “Form SE AS01”;
- (b) in sub-paragraph (b), for “Form SE82(1)(b)” substitute “Form SE WU01”.

31.—(1) Regulation 83 (accounting reference period and financial year of transferring SE) is amended as follows.

(2) In paragraph (1)—

- (a) for “Great Britain” substitute “the United Kingdom”;
- (b) for “the Companies Act 2006”, in both places where it occurs, substitute “the 2006 Act”.

(3) In paragraph (2), for “Great Britain” substitute “the United Kingdom”.

(4) In paragraph (3)—

- (a) for “Great Britain” substitute “the United Kingdom”;
- (b) for “the Companies Act 2006” substitute “the 2006 Act”.

32.—(1) Regulation 85 (registration of a public company by conversion of an SE) is amended as follows.

(2) The existing provision becomes paragraph (1).

(3) In that paragraph —

- (a) for “Form SE85” substitute “Form SE CV01”;
- (b) omit the words from “and, for the purposes of registering” to the end of the paragraph.

(4) After that paragraph insert—

“(2) In this Part the SE is referred to as the “converting SE”.”

33. In Regulation 86 (publication of draft terms of conversion) for “Form SE86” substitute “Form SE DT03”.

34.—(1) Regulation 87 (registration of SE as a company) is amended as follows.

(2) for paragraph (1) substitute—

“(1) On and after the day on which Form SE CV01 is delivered to the registrar section 14 of the 2006 Act (registration) shall apply in relation to the documents delivered with Form SE CV01 as if—

- (a) they have been delivered under section 9 of that Act (registration), and
- (b) the requirements of that Act in respect of registration had been complied with.”

(3) Omit paragraph (2).

(4) In paragraph (3)—

- (a) in the opening words, for “the memorandum and articles of association of the converting SE” substitute “the documents referred to in paragraph (1)”;
 - (b) for paragraph (b) substitute—
 - “(b) that those documents are registered under the 2006 Act; and”.
- (5) In paragraph (4)(a)—
- (a) for “the 1985 Act” substitute “the 2006 Act”;
 - (b) omit “and of matters precedent and incidental to it”.
- (6) The heading becomes “Registration under the 2006 Act”.
- 35.**—(1) Regulation 88 (effect of registration) is amended as follows.
- (2) In paragraph (1), for “paragraphs 2 to 10” substitute “paragraphs 2 to 9”.
- (3) In paragraph (2)—
- (a) for “its memorandum” substitute “the certificate given under regulation 87(3)”;
 - (b) for “section 28 of the 1985 Act” substitute “any change of name by the converting SE”.
- (4) In paragraph (3), for “Form SE85” substitute “Form SE CV01”.
- 36.** For Schedule 1 (forms) substitute the Schedule 1 set out in Schedule 1 to these Regulations.
- 37.** After Schedule 1 insert—

“SCHEDULE 1A

Regulation 13(1B)

Modifications of provisions of the 2006 Act applying in relation to documents sent to the registrar etc

- 1.** Section 1081 (annotation of the register), as if after subsection (1) there were inserted—
- “(1A) Where it appears to the registrar that material on the register is misleading or confusing, the registrar may place a note in the register containing such information as appears to the registrar to be necessary to remedy, as far as possible, the misleading or confusing nature of the material.”
- 2.** Section 1085 (inspection of the register), as if in subsection (2) the second sentence were omitted.
- 3.** Section 1093 (registrar’s notice to resolve inconsistency on the register), as if—
- (a) any reference to a company were a reference to an SE, and
 - (b) the reference in subsection (3)(b) to an officer of a company were a reference—
 - (i) in a one-tier system, to a member of the administrative organ of an SE, and
 - (ii) in a two-tier system, to a member of the supervisory or management organ of an SE.
- 4.** Section 1094 (administrative removal of material from the register), as if—
- (a) for paragraph (a) of subsection (3) there were substituted—
 - “(a) anything whose registration has had legal consequences in relation to the SE as regards its registration;”, and
 - (b) any reference in subsection (4) to a company were a reference to an SE.
- 5.** Section 1095 (rectification of register on application to registrar), as if any reference to a company were a reference to an SE.

6. Sections 1096(1) to (5) and 1097 (rectification of register under court order), as if any reference to a company were a reference to an SE.”

38. For Schedule 2 (provisions of the 1985 Act applied by virtue of regulation 14) substitute—

“SCHEDULE 2

Regulation 14

Provisions of the 2006 Act applying to the registration of SEs

1. Section 1066(1) to (5) (registered numbers), as if any reference to a company were a reference to an SE.

2. Section 1082 (allocation of unique identifiers), as if—

- (a) the reference in subsection (1)(a) to a director of a company were a reference—
 - (i) in a one-tier system, to a member of the administrative organ of an SE, and
 - (ii) in a two-tier system, to a member of the supervisory or management organ of an SE,and
- (b) paragraphs (b) and (c) of subsection (1) were omitted.

3. Section 1084 (records relating to companies that have been dissolved etc), as if—

- (a) any reference to a company being dissolved were a reference to an SE being dissolved,
- (b) the reference in subsection (2) to records relating to a company included a reference to—
 - (i) the documents required to be retained by the registrar under regulation 13(1), and
 - (ii) records of the information contained in those documents,and
- (c) subsection (4) were omitted.

4. Section 1113 (enforcement of company’s filing obligations), as if—

- (a) any reference to a company were a reference to an SE,
- (b) any reference to an obligation under the Companies Acts were a reference to an obligation under these Regulations,
- (c) any reference to a member of a company were a reference to a shareholder of an SE, and
- (d) any reference to an officer of a company were a reference—
 - (i) in a one-tier system, to a member of the administrative organ of an SE, and
 - (ii) in a two-tier system, to a member of the supervisory or management organ of an SE.

5. Section 1117 (registrar’s rules), so far as relating to section 1066(2).”

39. Omit Schedule 3 (standard rules on employee involvement).

40.—(1) Schedule 4 (modifications of the Companies Acts and the Insolvency Act 1986) is amended as follows.

(2) Omit paragraph 1 (modifications applying before registration).

(3) In paragraph 2 (construction of references to company’s incorporation), for “a converting SE’s memorandum and articles of association” substitute “the documents delivered with Form SE CV01 under regulation 85”.

(4) In paragraph 3 (construction of references to documents delivered under the 1985 Act), for “the 1985 Act” substitute “the 2006 Act”.

(5) For paragraph 5 (construction of references to companies formed and registered under the Companies Acts) substitute—

“5. The converting SE is treated as if it had been formed (as well as registered) under the 2006 Act.”

(6) In paragraph 6 (section 13 of 1985 Act (effect of registration) not to apply), for “Section 13 of the 1985 Act” substitute “Section 16 of the 2006 Act”.

(7) In paragraph 7 (section 22(1) of 1985 Act (definition of “member”) not to apply), for “Section 22(1) of the 1985 Act” substitute “Section 112(1) of the 2006 Act”.

(8) Omit paragraph 8 (which relates to the penalty for improper use of “limited” etc and is superseded by section 1197 of the Companies Act 2006).

(9) In paragraph 9 (certificate as to share capital)—

(a) for “the Companies Act 2006”, in both places where it occurs, substitute “the 2006 Act”;

(b) omit the “and” at the end of paragraph (a);

(c) after paragraph (b) insert—

“(c) Article 102(1)(b) of the Insolvency (Northern Ireland) Order 1989 (which corresponds to section 122(1)(b) of the Insolvency Act 1986).”

(10) Omit paragraph 10 (fees).

(11) In paragraph 11 (accounting reference date), for “the Companies Act 2006” substitute “the 2006 Act”.

(12) The heading to the Schedule becomes “**Modifications of the Companies Acts etc**”.

Continuation of certain amendments to the Insolvency (Northern Ireland) Order 1989

41.—(1) Notwithstanding the revocation of the European Public Limited-Liability Company Regulations (Northern Ireland) 2004(a) by section 1285 of the Companies Act 2006, the following Article continues to be inserted after Article 104A of the Insolvency (Northern Ireland) Order 1989(b)—

“Petition for winding up of SE

104B.—(1) Where—

(a) an SE whose registered office is in Northern Ireland is not in compliance with Article 7 of Council Regulation (EC) No 2157/2001 on the Statute for a European Company (the “EC Regulation”) (location of head office and registered office), and

(b) it appears to the Department that the SE should be wound up,
the Department may present a petition for it to be wound up if the court thinks it is just and equitable for it to be so.

(2) This Article does not apply if the SE is already being wound up by the court.

(3) In this Article “SE” has the same meaning as in the EC Regulation.”.

(2) In consequence, in the Insolvency (Northern Ireland) Order 1989—

(a) in Article 104 (application for winding-up), in paragraph (5)(b), after “104A” there continues to be inserted “or 104B”;

(a) S.R. (NI) 2004 No 417.

(b) S.I. 1989/2405 (N.I. 19); Article 104A was inserted by S.I. 1990/1504 (N.I. 10), Article 8(3).

- (b) in Schedule A1(a) (moratorium where directors propose voluntary arrangement), in paragraph 23(4)(a), after “104A” there continues to be inserted “or 104B”.

Amendment of the Companies (Disclosure of Address) Regulations 2009

42.—(1) The Companies (Disclosure of Address) Regulations 2009(b) are amended as follows.

(2) In regulation 1(2) (interpretation)—

(a) insert at the appropriate place—

““the SEs Regulations” means the European Public Limited-Liability Company Regulations 2004;”;

““the old SEs Regulations” means the SEs Regulations, disregarding the amendments made by the European Public Limited-Liability Company (Amendment) Regulations 2009;”;

““the Northern Ireland SEs Regulations” means the European Public Limited-Liability Company Regulations (Northern Ireland) 2004;”;

(b) in the definition of “former name”, after “the 1986 Order,” insert “or regulation 80C of the SEs Regulations, or regulation 79 of the old SEs Regulations, or regulation 77 of the Northern Ireland SEs Regulations,”.

(3) In regulation 9(1) (application under section 1088 to make an address unavailable for public inspection by an individual) omit the word “or” at the end of sub-paragraph (b) and after that sub-paragraph insert—

“(ba) as a service address under regulation 80C of the SEs Regulations (duty to notify registrar of changes of particulars of members of an SE’s supervisory organ),

(bb) under regulation 79 of the old SEs Regulations or regulation 77 of the Northern Ireland SEs Regulations, or”.

Amendment of the Registrar of Companies and Applications for Striking Off Regulations 2009

43. In regulation 8 of the Registrar of Companies and Applications for Striking Off Regulations 2009(c) (permitted characters and symbols for names and addresses in documents delivered to the registrar), in paragraph (2), at the end insert—

“(m) documents specified in respect of any of the Forms mentioned in regulations 5 to 11 of the European Public Limited-Liability Company Regulations 2004 (provisions relating to registration etc);

(n) copies of transfer proposals required to be delivered under regulation 68(1)(a) of those Regulations (publication of terms of transfer);

(o) copies of draft terms required to be delivered under regulation 68(2)(a) or (3)(a) of those Regulations (publication of terms for formation of holding SE or conversion of company into SE);

(p) copies of amendments to statutes required to be delivered under regulation 82(1)(a) of those Regulations (notification of amendments to statutes);

(q) documents required to be delivered with Form SE CV01 under regulation 85 of those Regulations (registration of a public company by conversion of SE);

(r) copies of draft terms required to be delivered under regulation 86 of those Regulations (publication of draft terms of conversion).”

(a) Schedule A1 was inserted by Article 3 of, and paragraph 5 of Schedule 1 to, S.I. 2002/3152 (N.I. 6).

(b) S.I. 2009/214.

(c) S.I. 2009/1803, amended by S.I. 2009/2399.

9th September 2009

Davies of Abersoch
Minister for Trade, Investment and Business
Department for Business, Innovation and Skills

SCHEDULES

SCHEDULE 1

Regulation 36

Schedule 1 to the European Public Limited-Liability Company Regulations 2004

The following is the Schedule that is to be substituted for Schedule 1 to the European Public Limited-Liability Company Regulations 2004^(a) (prescribed forms)—

^(a) S.I. 2004/2326.

“SCHEDULE 1
Forms relating to SEs

In accordance with Section 5 of the European Public Limited-Liability Company Regulations 2004.

SE FM01

Formation by merger of Societas Europaea (SE) to be registered in the United Kingdom (UK)



A fee is payable with this form.
Please see 'How to pay' on the last page.

What this form is for
You may use this form to form an SE by merger.

What this form is NOT for
You cannot use this form to form an SE by any other means.

For further information, please refer to our guidance at www.companieshouse.gov.uk

Part 1 SE details

→ **Filling in this form**
Please complete in typescript or in bold black capitals.
All fields are mandatory unless specified or indicated by *

A1 Proposed name

Proposed name of SE in full*

Ⓢ **Duplicate names**
Duplicate names are not permitted. A list of registered names can be found on our website. There are various rules that may affect your choice of name. More information is available at www.companieshouse.gov.uk

A2 SE name restrictions*

Please tick the box only if the proposed SE name contains sensitive or restricted words or expressions that require you to seek comments of a government department or other specified body.

I confirm that the proposed SE name contains sensitive or restricted words or expressions and that approval, where appropriate, has been sought of a government department or other specified body and I attach a copy of their response.

Ⓢ **SE name restrictions**
A list of sensitive or restricted words or expressions that require consent can be found in guidance available on our website: www.companieshouse.gov.uk

A3 Proposed registered office address

Building name/number	
Street	
Post town	
County/Region	
Postcode	
Country	

SE FM01

Formation by merger of Societas Europaea (SE) to be registered in the United Kingdom (UK)

A4

Type of merger ¹

- By acquisition
 By formation of a new SE

¹ Please tick the appropriate box.

A5

Detail of Companies or SEs merging to form an SE

Details

Registered number (if applicable)	<input type="text"/>
Name ²	<input type="text"/>
Registered office address	<input type="text"/>
Postcode	<input type="text"/>
Name of Member State and address of registry where documents are filed	<input type="text"/>

Details

Registered number (if applicable)	<input type="text"/>
Name ²	<input type="text"/>
Registered office address	<input type="text"/>
Postcode	<input type="text"/>
Name of Member State and address of registry where documents are filed	<input type="text"/>

Details

Registered number (if applicable)	<input type="text"/>
Name ²	<input type="text"/>
Registered office address	<input type="text"/>
Postcode	<input type="text"/>
Name of Member State and address of registry where documents are filed	<input type="text"/>

² Please indicate the acquiring company / SE with *.

Continuation page
Please use a continuation page if you need to enter more details.

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10/09 Version 2.0

SE FM01

Formation by merger of Societas Europaea (SE) to be registered in the United Kingdom (UK)

Part 2 Subscribing capital of the proposed SE

B1		Subscribed capital in pound sterling (£) or euros (€) *
Subscribed capital		<p>* Subscribing capital in pound sterling (£) or euros (€) This must not be less than £50,000 or €65,000.</p>
B2		Subscribed capital in other currencies *
Subscribed capital in other currencies		<p>* Subscribing capital in other currencies Please specify currencies.</p>
B3		Total subscribed capital
<input type="checkbox"/> Please tick the box to confirm that the total subscribed capital of the proposed SE is not less than the equivalent of €120,000.		
B4		Principal business activity
Please show the trade classification code number(s) for the principal activity or activities. *		<p>* Principal business activity You must provide a trade classification (Standard Industrial Classification 2003) code or a description of your SE's main business in this space.</p> <p>A full list of the trade classification codes are available on our website: www.companieshouse.gov.uk</p>
Classification code 1	<input type="text"/>	
Classification code 2	<input type="text"/>	
Classification code 3	<input type="text"/>	
Classification code 4	<input type="text"/>	
If you cannot determine a code, please give a brief description of your business activity below:		
Principal activity description	<input type="text"/>	
B5		Documents attached
Please tick the boxes to confirm that both the following documents are attached to this form.		
Document description	Number of pages	
<input type="checkbox"/> Statutes of proposed SE named in Part 1 .		
<input type="checkbox"/> Office Copy of Court Order confirming that Article 26 of Council Regulation (EC) No 2157/2001 has been complied with.		

SE FM01

Formation by merger of Societas Europaea (SE) to be registered in the United Kingdom (UK)

Part 3 Proposed members of the SE

Please list below details of persons who are members of the SE named above.
For SEs with a two-tier system, details of all members of both the supervisory and management organs must be given. For SEs with a one-tier system, please give the details of all members of the administrative organ.

- For a **member** who is an individual, go to **Section C1**.
- For a **corporate member**, go to **Section D1**.

Please use a continuation page if there are more members of the SE.

Member

C1 Member appointments[ⓐ]

Please use this section to list all the members of the SE. Please complete **Sections C1-C5**. For a corporate member, complete **Sections D1-D6**.

Title *	
Full forename(s)	
Surname	
Former name(s) [ⓑ]	
Country/State of residence [Ⓒ]	
Nationality	
Date of birth	d d m m y y y y
Business occupation (if any) [Ⓓ]	

ⓐ Corporate appointments
For corporate member appointments, please complete Sections D1-D6 instead of Section C.

ⓑ Former name(s)
Please provide any previous names which have been used for business purposes in the last 20 years. Married women do not need to give former names unless previously used for business purposes.

Ⓒ Country/State of residence
This is in respect of your usual residential address as stated in Section C5.

Ⓓ Business occupation
If you have a business occupation, please enter here. If you do not, please leave blank.

Additional appointments
If you wish to appoint more than one member, please use the 'Member appointments' continuation page.

C2 Member's service address[ⓐ]

Building name/number	
Street	
Post town	
County/Region	
Postcode	
Country	

ⓐ Service address
This is the address that will appear on the public record. This does not have to be your usual residential address.

Please state 'The SE's Registered Office' if your service address will be recorded in the proposed SE's register of members as the SE's registered office.

If you provide your residential address here it will appear on the public record.

SE FM01

Formation by merger of Societas Europaea (SE) to be registered in the United Kingdom (UK)

C3

Member's position

I consent to act as member of **1**

the administrative organ of the SE.
 the supervisory organ of the SE.
 the management organ of the SE.

1 Please tick one box.

C4

Signature ¹

I consent to act as member of the proposed SE named in **Section A1**.

Signature

Signature
X **X**

1 **Signature**
The person named above consents to act as member of the proposed SE.

SE FM01

Formation by merger of Societas Europaea (SE) to be registered in the United Kingdom (UK)



This page is not shown on the public record



Do not cover this barcode

C5 Member's usual residential address

	Please complete your usual residential address below.										
Building name/number											
Street											
Post town											
County/Region											
Postcode	<table border="1"><tr><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td></tr></table>										
Country											

Member's usual residential address
 Please state 'Same as service address' in this section if your usual residential address is recorded in the SE's proposed register of member's residential addresses as 'Same as the service address'.
 You cannot state 'Same as service address' if your service address has been stated in Section C2 as 'The SE's Registered Office'. You will need to complete the address in full.
 This cannot be a PO Box, DX or LP (Legal Post in Scotland) number.

Section 243 of Companies Act 2006

Section 243 exemption

Only tick the box below if you are in the process of applying for, or have been granted, exemption by the Registrar from disclosing your usual residential address to credit reference agencies under section 243 of the Companies Act 2006.

Different postal address:
If you are applying for, or have been granted, a section 243 exemption, please post this whole form to the different postal address below:
The Registrar of Companies, PO Box 4082, Cardiff, CF14 3WE.

Where you are applying for a section 243 exemption with this notice, the application and this form must be posted together.

If you are currently in the process of applying for, or have been granted, a section 243 exemption, you may wish to check you have not entered your usual residential address in Section C2 as this will appear on the public record.



SE FM01

Formation by merger of Societas Europaea (SE) to be registered in the United Kingdom (UK)

Member

C1		Member appointments ^①									
		Please use this section to list all the members of the SE. Please complete Sections C1-C5. For a corporate member, complete Sections D1-D6.									
Title *			<p>① Corporate appointments For corporate member appointments, please complete Sections D1-D6 instead of Section C.</p> <p>② Former name(s) Please provide any previous names which have been used for business purposes in the last 20 years. Married women do not need to give former names unless previously used for business purposes.</p> <p>③ Country/State of residence This is in respect of your usual residential address as stated in Section C5.</p> <p>④ Business occupation If you have a business occupation, please enter here. If you do not, please leave blank.</p> <p>Additional appointments If you wish to appoint more than one member, please use the 'Member appointments' continuation page.</p>								
Full forename(s)											
Surname											
Former name(s) ^②											
Country/State of residence ^③											
Nationality											
Date of birth	<table border="1"> <tr> <td>d</td><td>d</td><td>m</td><td>m</td><td>y</td><td>y</td><td>y</td><td>y</td> </tr> </table>			d	d	m	m	y	y	y	y
d	d	m		m	y	y	y	y			
Business occupation (if any) ^④											
C2		Member's service address ^⑤									
Building name/number			<p>⑤ Service address This is the address that will appear on the public record. This does not have to be your usual residential address.</p> <p>Please state 'The SE's Registered Office' if your service address will be recorded in the proposed SE's register of members as the SE's registered office.</p> <p>If you provide your residential address here it will appear on the public record.</p>								
Street											
Post town											
County/Region											
Postcode	<table border="1"> <tr> <td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td> </tr> </table>										
Country											
C3		Member's position									
		I consent to act as member of ^⑥	<p>⑥ Please tick one box.</p>								
		<input type="checkbox"/> the administrative organ of the SE.									
		<input type="checkbox"/> the supervisory organ of the SE.									
		<input type="checkbox"/> the management organ of the SE.									
C4		Signature ^⑦									
		I consent to act as member of the proposed SE named in Section A1.	<p>⑦ Signature The person named above consents to act as member of the proposed SE.</p>								
Signature	<table border="1"> <tr> <td>Signature</td> <td>X</td> <td>X</td> </tr> </table>			Signature	X	X					
Signature	X	X									

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10/09 Version 2.0

SE FM01

Formation by merger of Societas Europaea (SE) to be registered in the United Kingdom (UK)



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Do not cover this barcode

C5 Member's usual residential address

	Please complete your usual residential address below.								
Building name/number									
Street									
Post town									
County/Region									
Postcode	<table border="1"><tr><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td></tr></table>								
Country									

Member's usual residential address
 Please state 'Same as service address' in this section if your usual residential address is recorded in the SE's proposed register of member's residential addresses as 'Same as the service address'.
 You cannot state 'Same as service address' if your service address has been stated in Section C2 as 'The SE's Registered Office'. You will need to complete the address in full.
 This cannot be a PO Box, DX or LP (Legal Post in Scotland) number.

Section 243 of Companies Act 2006

Section 243 exemption

Only tick the box below if you are in the process of applying for, or have been granted, exemption by the Registrar from disclosing your usual residential address to credit reference agencies under section 243 of the Companies Act 2006.

Different postal address:
If you are applying for, or have been granted, a section 243 exemption, please post this whole form to the different postal address below:
The Registrar of Companies, PO Box 4082, Cardiff, CF14 3WE.

Where you are applying for a section 243 exemption with this notice, the application and this form must be posted together.

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CHFP000
10/09 Version 2.0

SE FM01

Formation by merger of Societas Europaea (SE) to be registered in the United Kingdom (UK)

Corporate member

D1 Corporate member appointments		
Please use this section to list all the corporate members of the SE. Please complete Sections D1-D6.		
Name of corporate body or firm		<p>Additional appointments If you wish to appoint more than one corporate member, please use the 'Corporate member appointments' continuation page.</p> <p>Registered or principal address This is the address that will appear on the public record. This address must be a physical location for the delivery of documents. It cannot be a PO Box number (unless contained within a full address), DX number or LP (Legal Post in Scotland) number.</p>
Building name/number		
Street		
Post town		
County/Region		
Postcode		
Country		
D2 Location of the registry of the corporate body or firm		
Is the corporate member registered within the European Economic Area (EEA)?		
→ Yes Complete Section D3 only		
→ No Complete Section D4 only		
D3 EEA companies		
Please give details of the register where the company file is kept (including the relevant state) and the registration number in that register.		<p>EEA A full list of countries of the EEA can be found in our guidance: www.companieshouse.gov.uk</p> <p>This is the register mentioned in Article 3 of the First Company Law Directive (68/151/EEC).</p>
Where the company/firm is registered		
Registration number		
D4 Non-EEA companies		
Please give details of the legal form of the corporate body or firm and the law by which it is governed. If applicable, please also give details of the register in which it is entered (including the state) and its registration number in that register.		<p>Non-EEA Where you have provided details of the register (including state) where the company or firm is registered, you must also provide its number in that register.</p>
Legal form of the corporate body or firm		
Governing law		
If applicable, where the company/firm is registered		
If applicable, the registration number		

CHFP000
10/09 Version 2.0

SE FM01

Formation by merger of Societas Europaea (SE) to be registered in the United Kingdom (UK)

D5	Corporate member's position	
	I consent to act as member of <input checked="" type="radio"/>	<input checked="" type="radio"/> Please tick one box.
	<input type="checkbox"/> the administrative organ of the SE.	
	<input type="checkbox"/> the supervisory organ of the SE.	
	<input type="checkbox"/> the management organ of the SE.	

D6	Signature	
	I consent to act as member of the proposed SE named in Section A1.	<input checked="" type="radio"/> Signature The person named above consents to act as corporate member of the proposed SE.
Signature	Signature X	X

Part 4

E1	Signature	
	I certify that the information given in this form is correct.	
Signature	Signature X	X
	This form must be signed by a proposed member of the management or administrative organ of the proposed SE named in Part 1.	

SE FM01

Formation by merger of Societas Europaea (SE) to be registered in the United Kingdom (UK)

Presenter information

You do not have to give any contact information, but if you do it will help Companies House if there is a query on the form. The contact information you give will be visible to searchers of the public record.

Contact name

Company name

Address

Post town

County/Region

Postcode

Country

DX

Telephone

Checklist

We may return forms completed incorrectly or with information missing.

Please make sure you have remembered the following:

- You have submitted draft terms of merger in advance of this form.
- You have completed the proposed name.
- You have given details of the companies or SEs merging.
- You have provided details of any subscribing share capital.
- You have completed the officer details.
- Any addresses given must be a physical location. They cannot be a PO Box number (unless part of a full service address), DX or LP (Legal Post in Scotland) number.
- You have attached the appropriate supporting documents.
- You have signed the form.
- You have enclosed the correct fee.

Important information

Please note that all information on this form will appear on the public record, apart from information relating to usual residential addresses.

How to pay

A fee of £20 is payable to Companies House in respect of a formation.

Make cheques or postal orders payable to 'Companies House.'

Where to send

You may return this form to any Companies House address, however for expediency we advise you to return it to the appropriate address below:

For SEs registered in England and Wales:
The Registrar of Companies, Companies House,
Crown Way, Cardiff, Wales, CF14 3UZ.
DX 33050 Cardiff.

For SEs registered in Scotland:
The Registrar of Companies, Companies House,
Fourth floor, Edinburgh Quay 2,
139 Fountainbridge, Edinburgh, Scotland, EH3 9FF.
DX ED235 Edinburgh 1
or LP - 4 Edinburgh 2 (Legal Post).

For SEs registered in Northern Ireland:
The Registrar of Companies, Companies House,
First Floor, Waterfront Plaza, 8 Laganbank Road,
Belfast, Northern Ireland, BT1 3BS.
DX 481 N.R. Belfast 1.

Section 243 exemption

If you are applying for, or have been granted, a section 243 exemption, please post this whole form to the different postal address below:
The Registrar of Companies, PO Box 4082,
Cardiff, CF14 3WE.

Further information

For further information, please see the guidance notes on the website at www.companieshouse.gov.uk or email enquiries@companieshouse.gov.uk

This form is available in an alternative format. Please visit the forms page on the website at www.companieshouse.gov.uk

SE FM01 - continuation page
Formation by merger of Societas Europaea (SE) to be registered in the
United Kingdom (UK)

A5		Detail of Companies or SEs merging to form an SE	
Details			
Registered number (if applicable)	<input type="text"/>	<p>● Please indicate the acquiring company / SE with *.</p>	
Name ●	<input type="text"/>		
Registered office address	<input type="text"/>		
Postcode	<input type="text"/>		
Name of Member State and address of registry where documents are filed	<input type="text"/>		
Details			
Registered number (if applicable)	<input type="text"/>		
Name ●	<input type="text"/>		
Registered office address	<input type="text"/>		
Postcode	<input type="text"/>		
Name of Member State and address of registry where documents are filed	<input type="text"/>		
Details			
Registered number (if applicable)	<input type="text"/>		
Name ●	<input type="text"/>		
Registered office address	<input type="text"/>		
Postcode	<input type="text"/>		
Name of Member State and address of registry where documents are filed	<input type="text"/>		

SE FM01 - continuation page

Formation by merger of Societas Europaea (SE) to be registered in the
United Kingdom (UK)

Member

C1		Member appointments ^①									
		Please use this section to list all the members of the SE. Please complete Sections C1-C5. For a corporate member, complete Sections D1-D6.									
Title *			<p>① Corporate appointments For corporate member appointments, please complete Sections D1-D6 instead of Section C.</p> <p>② Former name(s) Please provide any previous names which have been used for business purposes in the last 20 years. Married women do not need to give former names unless previously used for business purposes.</p> <p>③ Country/State of residence This is in respect of your usual residential address as stated in Section C5.</p> <p>④ Business occupation If you have a business occupation, please enter here. If you do not, please leave blank.</p>								
Full forename(s)											
Surname											
Former name(s) ^②											
Country/State of residence ^③											
Nationality											
Date of birth	<table border="1"> <tr> <td>d</td><td>d</td><td>m</td><td>m</td><td>y</td><td>y</td><td>y</td><td>y</td> </tr> </table>			d	d	m	m	y	y	y	y
d	d	m	m	y	y	y	y				
Business occupation (if any) ^④											
C2		Member's service address ^⑤									
Building name/number			<p>⑤ Service address This is the address that will appear on the public record. This does not have to be your usual residential address.</p> <p>Please state 'The SE's Registered Office' if your service address will be recorded in the proposed SE's register of members as the SE's registered office.</p> <p>If you provide your residential address here it will appear on the public record.</p>								
Street											
Post town											
County/Region											
Postcode	<table border="1"> <tr> <td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td> </tr> </table>										
Country											
C3		Member's position									
		I consent to act as member of ^⑥	<p>⑥ Please tick one box.</p>								
		<input type="checkbox"/> the administrative organ of the SE.									
		<input type="checkbox"/> the supervisory organ of the SE.									
		<input type="checkbox"/> the management organ of the SE.									
C4		Signature ^⑦									
		I consent to act as member of the proposed SE named in Section A1.	<p>⑦ Signature The person named above consents to act as member of the proposed SE.</p>								
Signature	<table border="1"> <tr> <td>Signature</td> <td>X</td> <td>X</td> </tr> </table>			Signature	X	X					
Signature	X	X									

SE FM01 - continuation page

Formation by merger of Societas Europaea (SE) to be registered in the United Kingdom (UK)



This page is not shown on the public record



Do not cover this barcode

C5 Member's usual residential address [Ⓞ]

	Please complete your usual residential address below.										
Building name/number											
Street											
Post town											
County/Region											
Postcode	<table border="1"><tr><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td></tr></table>										
Country											

Ⓞ Member's usual residential address

Please state 'Same as service address' in this section if your usual residential address is recorded in the SE's proposed register of member's residential addresses as 'Same as the service address'.

You cannot state 'Same as service address' if your service address has been stated in Section C2 as 'The SE's Registered Office'. You will need to complete the address in full.

This cannot be a PO Box, DX or LP (Legal Post in Scotland) number.

Section 243 of Companies Act 2006

Section 243 exemption [Ⓞ]

Only tick the box below if you are in the process of applying for, or have been granted, exemption by the Registrar from disclosing your usual residential address to credit reference agencies under section 243 of the Companies Act 2006.

Different postal address:

If you are applying for, or have been granted, a section 243 exemption, please post this whole form to the different postal address below:
The Registrar of Companies, PO Box 4082, Cardiff, CF14 3WE.

Where you are applying for a section 243 exemption with this notice, the application and this form must be posted together.

Ⓞ If you are currently in the process of applying for, or have been granted, a section 243 exemption, you may wish to check you have not entered your usual residential address in Section C2 as this will appear on the public record.



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10/09 Version 2.0

SE FM01 - continuation page

Formation by merger of Societas Europaea (SE) to be registered in the
United Kingdom (UK)

Corporate member

D1		Corporate member appointments										
		Please use this section to list all the corporate members of the SE. Please complete Sections D1-D6.	<p>Registered or principal address This is the address that will appear on the public record. This address must be a physical location for the delivery of documents. It cannot be a PO Box number (unless contained within a full address), DX number or LP (Legal Post in Scotland) number.</p>									
Name of corporate body or firm												
Building name/number												
Street												
Post town												
County/Region												
Postcode	<table border="1"> <tr> <td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td> </tr> </table>											
Country												
D2		Location of the registry of the corporate body or firm										
		Is the corporate member registered within the European Economic Area (EEA)?										
		→ Yes Complete Section D3 only										
		→ No Complete Section D4 only										
D3		EEA companies										
		Please give details of the register where the company file is kept (including the relevant state) and the registration number in that register.	<p>EEA A full list of countries of the EEA can be found in our guidance: www.companieshouse.gov.uk</p> <p>This is the register mentioned in Article 3 of the First Company Law Directive (68/151/EEC).</p>									
Where the company/firm is registered												
Registration number												
D4		Non-EEA companies										
		Please give details of the legal form of the corporate body or firm and the law by which it is governed. If applicable, please also give details of the register in which it is entered (including the state) and its registration number in that register.	<p>Non-EEA Where you have provided details of the register (including state) where the company or firm is registered, you must also provide its number in that register.</p>									
Legal form of the corporate body or firm												
Governing law												
If applicable, where the company/firm is registered												
If applicable, the registration number												

In accordance with
Section 5 of the
European Public Limited-
Liability Company
Regulations 2004.

SE FM01 - continuation page

Formation by merger of Societas Europaea (SE) to be registered in the
United Kingdom (UK)

D5

Corporate member's position

- I consent to act as member of **1**
- the administrative organ of the SE.
 - the supervisory organ of the SE.
 - the management organ of the SE.

1 Please tick one box.

D6

Signature²

I consent to act as member of the proposed SE named in **Section A1**.

Signature

Signature
X

2 **Signature**
The person named above consents
to act as corporate member of the
proposed SE.

SE FM02

Formation of holding Societas Europaea (SE)



A fee is payable with this form.
Please see 'How to pay' on the last page.

✓ **What this form is for**
You may use this form to form a
holding SE.

✗ **What this form is NOT for**
You cannot use this form to form an
SE by any other means.

For further information, please
refer to our guidance at
www.companieshouse.gov.uk

Part 1 SE details

→ **Filling in this form**
Please complete in typescript or in
bold black capitals.
All fields are mandatory unless
specified or indicated by *

A1 Proposed name

Proposed name of SE
in full ●

● **Duplicate names**
Duplicate names are not permitted.
A list of registered names can be
found on our website. There are
various rules that may affect your
choice of name. More information is
available at
www.companieshouse.gov.uk

A2 SE name restrictions ●

Please tick the box only if the proposed SE name contains sensitive or restricted
words or expressions that require you to seek comments of a government
department or other specified body.

I confirm that the proposed SE name contains sensitive or restricted words
or expressions and that approval, where appropriate, has been sought of a
government department or other specified body and I attach a copy of their
response.

● **SE name restrictions**
A list of sensitive or restricted words
or expressions that require consent
can be found in guidance available
on our website:
www.companieshouse.gov.uk

A3 Proposed registered office address

Building name/number	
Street	
Post town	
County/Region	
Postcode	
Country	

SE FM02
Formation of holding Societas Europaea (SE)

A4

Detail of promoting companies or SEs

Details	
Registered number (if applicable)	<input type="text"/>
Name	<input type="text"/>
Registered office address	<input type="text"/>
Postcode	<input type="text"/>
Name of Member State and address of registry where documents are filed	<input type="text"/>
Details	
Registered number (if applicable)	<input type="text"/>
Name	<input type="text"/>
Registered office address	<input type="text"/>
Postcode	<input type="text"/>
Name of Member State and address of registry where documents are filed	<input type="text"/>
Details	
Registered number (if applicable)	<input type="text"/>
Name	<input type="text"/>
Registered office address	<input type="text"/>
Postcode	<input type="text"/>
Name of Member State and address of registry where documents are filed	<input type="text"/>

Continuation page
Please use a continuation page if you need to enter more details.

SE FM02
Formation of holding Societas Europaea (SE)

Part 2 Subscribing capital of the proposed SE

B1		Subscribed capital in pound sterling (£) or euros (€) *
Subscribed capital		<p>* Subscribing capital in pound sterling (£) or euros (€) This must not be less than £50,000 or €65,000.</p>
B2		Subscribed capital in other currencies *
		<p>* Subscribing capital in other currencies Please specify currencies.</p>
B3		Total subscribed capital
	<input type="checkbox"/> Please tick the box to confirm that the total subscribed capital of the proposed SE is not less than the equivalent of €120,000.	
B4		Principal business activity
	<p>Please show the trade classification code number(s) for the principal activity or activities. *</p>	<p>* Principal business activity You must provide a trade classification (Standard Industrial Classification 2003) code or a description of your SE's main business in this space.</p> <p>A full list of the trade classification codes are available on our website: www.companieshouse.gov.uk</p>
Classification code 1	<input type="text"/>	
Classification code 2	<input type="text"/>	
Classification code 3	<input type="text"/>	
Classification code 4	<input type="text"/>	
	<p>If you cannot determine a code, please give a brief description of your business activity below:</p>	
Principal activity description	<input type="text"/>	
B5		Documents attached
<p>Please tick the boxes to confirm that all the following documents are attached to this form.</p>		
	Document description	Number of pages
<input type="checkbox"/>	Statutes of proposed holding SE.	
<input type="checkbox"/>	Written report(s) by independent experts given in accordance with Article 32(4) of Council Regulation (EC) No 2157/2001.	
<input type="checkbox"/>	Copy(ies) of resolution(s) of the promoting company(ies) and / or SE(s) approving the draft terms for the formation of the proposed holding SE in accordance with Article 32(6) of the Council Regulation (EC) No 2157/2001.	
<p>And, if applicable, that the following document is attached.</p>		
<input type="checkbox"/>	Copy(ies) of resolution(s) of the promoting company(ies) and / or SE(s) giving express rectification of employee involvement (required only in the case of reserved rights under Article 32(6)).	

SE FM02
Formation of holding Societas Europaea (SE)

Part 3 Proposed members of the SE

Please list below details of persons who are members of the SE named above.
For SEs with a two-tier system, details of all members of both the supervisory and management organs must be given. For SEs with a one-tier system, please give the details of all members of the administrative organ.
For a member who is an individual, go to Section C1; for a corporate member, go to Section D1;
Please use a continuation page if there are more members of the SE.

Member

C1 Member appointments

Please use this section to list all the members of the SE. Please complete Sections C1-C5. For a corporate member, complete Sections D1-D6.

Title *	
Full forename(s)	
Surname	
Former name(s)	
Country/State of residence	
Nationality	
Date of birth	d d m m y y y y
Business occupation (if any)	

Corporate appointments
For corporate member appointments, please complete Sections D1-D6 instead of Section C.

Former name(s)
Please provide any previous names which have been used for business purposes in the last 20 years. Married women do not need to give former names unless previously used for business purposes.

Country/State of residence
This is in respect of your usual residential address as stated in Section C5.

Business occupation
If you have a business occupation, please enter here. If you do not, please leave blank.

Additional appointments
If you wish to appoint more than one member, please use the 'Member appointments' continuation page.

C2 Member's service address

Building name/number	
Street	
Post town	
County/Region	
Postcode	
Country	

Service address
This is the address that will appear on the public record. This does not have to be your usual residential address.
Please state 'The SE's Registered Office' if your service address will be recorded in the proposed SE's register of members as the SE's registered office.
If you provide your residential address here it will appear on the public record.

SE FM02
Formation of holding Societas Europaea (SE)

C3	<p>Member's position</p> <p>I consent to act as member of <input checked="" type="radio"/></p> <p><input type="checkbox"/> the administrative organ of the SE.</p> <p><input type="checkbox"/> the supervisory organ of the SE.</p> <p><input type="checkbox"/> the management organ of the SE.</p>	<p><input checked="" type="radio"/> Please tick one box.</p>
C4	<p>Signature ⁹</p> <p>I consent to act as member of the proposed SE named in Section A1.</p> <p>Signature</p> <p>X</p>	<p><input checked="" type="radio"/> Signature</p> <p>The person named above consents to act as member of the proposed SE.</p> <p>X</p>



This page is not shown on the public record



Do not cover this barcode

C5 Member's usual residential address

	Please complete your usual residential address below.
Building name/number	
Street	
Post town	
County/Region	
Postcode	
Country	

Member's usual residential address
Please state 'Same as service address' in this section if your usual residential address is recorded in the SE's proposed register of member's residential addresses as 'Same as the service address'.
You cannot state 'Same as service address' if your service address has been stated in Section C2 as 'The SE's Registered Office'. You will need to complete the address in full.
This cannot be a PO Box, DX or LP (Legal Post in Scotland) number.

Section 243 of Companies Act 2006

Section 243 exemption

Only tick the box below if you are in the process of applying for, or have been granted, exemption by the Registrar from disclosing your usual residential address to credit reference agencies under section 243 of the Companies Act 2006.

Different postal address:
If you are applying for, or have been granted, a section 243 exemption, please post this whole form to the different postal address below:
The Registrar of Companies, PO Box 4082, Cardiff, CF14 3WE.

Where you are applying for a section 243 exemption with this notice, the application and this form must be posted together.

If you are currently in the process of applying for, or have been granted, a section 243 exemption, you may wish to check you have not entered your usual residential address in Section C2 as this will appear on the public record.



SE FM02
Formation of holding Societas Europaea (SE)

Member

C1		Member appointments ^①									
		Please use this section to list all the members of the SE. Please complete Sections C1-C5. For a corporate member, complete Sections D1-D6.									
Title *			<p>① Corporate appointments For corporate member appointments, please complete Sections D1-D6 instead of Section C.</p> <p>② Former name(s) Please provide any previous names which have been used for business purposes in the last 20 years. Married women do not need to give former names unless previously used for business purposes.</p> <p>③ Country/State of residence This is in respect of your usual residential address as stated in Section C5.</p> <p>④ Business occupation If you have a business occupation, please enter here. If you do not, please leave blank.</p> <p>Additional appointments If you wish to appoint more than one member, please use the 'Member appointments' continuation page.</p>								
Full forename(s)											
Surname											
Former name(s) ^②											
Country/State of residence ^③											
Nationality											
Date of birth	<table border="1"> <tr> <td>d</td><td>d</td><td>m</td><td>m</td><td>y</td><td>y</td><td>y</td><td>y</td> </tr> </table>			d	d	m	m	y	y	y	y
d	d	m		m	y	y	y	y			
Business occupation (if any) ^④											
C2		Member's service address ^⑤									
Building name/number			<p>⑤ Service address This is the address that will appear on the public record. This does not have to be your usual residential address.</p> <p>Please state 'The SE's Registered Office' if your service address will be recorded in the proposed SE's register of members as the SE's registered office.</p> <p>If you provide your residential address here it will appear on the public record.</p>								
Street											
Post town											
County/Region											
Postcode	<table border="1"> <tr> <td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td> </tr> </table>										
Country											
C3		Member's position									
		I consent to act as member of ^⑥ <input type="checkbox"/> the administrative organ of the SE. <input type="checkbox"/> the supervisory organ of the SE. <input type="checkbox"/> the management organ of the SE.	<p>⑥ Please tick one box.</p>								
C4		Signature ^⑦									
		I consent to act as member of the proposed SE named in Section A1.									
Signature	Signature 		<p>⑦ Signature The person named above consents to act as member of the proposed SE.</p>								



This page is not shown on the public record



Do not cover this barcode

C5 Member's usual residential address

	Please complete your usual residential address below.										
Building name/number											
Street											
Post town											
County/Region											
Postcode	<table border="1"> <tr> <td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td> </tr> </table>										
Country											

Member's usual residential address
Please state 'Same as service address' in this section if your usual residential address is recorded in the SE's proposed register of member's residential addresses as 'Same as the service address'.
You cannot state 'Same as service address' if your service address has been stated in Section C2 as 'The SE's Registered Office'. You will need to complete the address in full.
This cannot be a PO Box, DX or LP (Legal Post in Scotland) number.

Section 243 of Companies Act 2006

Section 243 exemption

Only tick the box below if you are in the process of applying for, or have been granted, exemption by the Registrar from disclosing your usual residential address to credit reference agencies under section 243 of the Companies Act 2006.

Different postal address:

If you are applying for, or have been granted, a section 243 exemption, please post this whole form to the different postal address below:
The Registrar of Companies, PO Box 4082, Cardiff, CF14 3WE.

Where you are applying for a section 243 exemption with this notice, the application and this form must be posted together.

If you are currently in the process of applying for, or have been granted, a section 243 exemption, you may wish to check you have not entered your usual residential address in Section C2 as this will appear on the public record.



SE FM02
Formation of holding Societas Europaea (SE)

Corporate member

D1 Corporate member appointments		
Please use this section to list all the corporate members of the SE. Please complete Sections C1-C5.		
Name of corporate body or firm		<p>Additional appointments If you wish to appoint more than one corporate member, please use the 'Corporate member appointments' continuation page.</p> <p>Registered or principal address This is the address that will appear on the public record. This address must be a physical location for the delivery of documents. It cannot be a PO Box number (unless contained within a full address), DX number or LP (Legal Post in Scotland) number.</p>
Building name/number		
Street		
Post town		
County/Region		
Postcode		
Country		
D2 Location of the registry of the corporate body or firm		
Is the corporate member registered within the European Economic Area (EEA)?		
<p>→ Yes Complete Section D3 only</p> <p>→ No Complete Section D4 only</p>		
D3 EEA companies		
Please give details of the register where the company file is kept (including the relevant state) and the registration number in that register.		<p>EEA A full list of countries of the EEA can be found in our guidance: www.companieshouse.gov.uk</p> <p>This is the register mentioned in Article 3 of the First Company Law Directive (68/151/EEC).</p>
Where the company/firm is registered		
Registration number		
D4 Non-EEA companies		
Please give details of the legal form of the corporate body or firm and the law by which it is governed. If applicable, please also give details of the register in which it is entered (including the state) and its registration number in that register.		<p>Non-EEA Where you have provided details of the register (including state) where the company or firm is registered, you must also provide its number in that register.</p>
Legal form of the corporate body or firm		
Governing law		
If applicable, where the company/firm is registered		
If applicable, the registration number		

CHFP000
10/09 Version 2.0

SE FM02
Formation of holding Societas Europaea (SE)

D5	Corporate member's position		
	I consent to act as member of ☐ <input type="checkbox"/> the administrative organ of the SE. <input type="checkbox"/> the supervisory organ of the SE. <input type="checkbox"/> the management organ of the SE.	☐ Please tick one box.	
D6	Signature⁹		
Signature	I consent to act as member of the proposed SE named in Section A1 . Signature X	☐ Signature The person named above consents to act as corporate member of the proposed SE.	

SE FM02
Formation of holding Societas Europaea (SE)

Part 4 Declarations

E1 Member's Declaration									
Member name	<p>I,</p> <p>of</p> <p>_____</p> <p>_____</p> <p>being a proposed member of the management or administrative organ of the proposed SE named in Part 1 confirm that:</p> <p>1. In accordance with Article 32(2) of Council Regulation (EC) No 2157/2001 (the 'Regulation') the draft terms of formation were drawn up by the promoting company(ies) and/or SEs named in Part 1 and were publicised by each of those company(ies) and/or SE(s) in accordance with the Regulation and any requirements of the Member State of the promoting companies on: (please give the date for each promoting company/SE)</p> <p>_____</p> <p>_____</p> <p>_____</p> <p>2. In accordance with Article 32(4) and (5) the report(s) (copy(ies) attached to this form) were drawn up on</p> <p>Drawing up date</p> <table border="1"> <tr> <td>d</td> <td>d</td> <td>m</td> <td>m</td> <td>y</td> <td>y</td> <td>y</td> <td>y</td> </tr> </table> <p>by</p> <p>3. The general meeting(s) of each of the promoting company(ies) and/or SE(s) named in Part 1 approved the draft terms referred to in paragraph 1 above on (please specify the date for each company and/or SE)</p> <p>_____</p> <p>_____</p> <p>_____</p> <p>4. The general meeting(s) of the promoting company(ies) and/or SE(s) (Please tick the appropriate box below):</p> <p><input type="checkbox"/> did not reserve the right to make registration of the proposed SE conditional upon its express ratification of the employee involvement arrangements in accordance with Council Directive 2001/86/EC; or</p> <p><input type="checkbox"/> did reserve the right to make registration of the proposed SE conditional upon its express ratification of the employee involvement arrangements in accordance with the Council Directive 2001/86/EC. The general meeting(s) of those promoting company(ies) and/or SE(s) ratified the employee involvement arrangements on: (please give the name and date for each company and/or SE below)</p> <p>_____</p> <p>_____</p> <p>_____</p>	d	d	m	m	y	y	y	y
d	d	m	m	y	y	y	y		

SE FM02
Formation of holding Societas Europaea (SE)

5. In accordance with Article 32 of the Regulation the shareholders of the promoting company(ies) and/or SE(s) named in Part 1 promoting the formation were given 3 months, from the date upon which the terms for the formation of the proposed SE were finally determined, to inform the promoting company(ies) and/or SE(s) whether they intended to contribute their shares;
6. The shareholders of the promoting company(ies) and/or SE(s) named in Part 1 have assigned the minimum proportion of shares in each company(ies) and/or SE(s) in accordance with the draft terms of formation;
7. Each of the promoting company(ies) and/or SE(s) named in Part 1 either;
 - a. has its registered office and head office within the Community, or
 - b. (in the case of promoting companies - this does not apply to promoting SE(s)) its head office is not in the Community, it is formed under the law of a Member State, its registered office is in that Member State and it has a real and continuous link with a Member State's economy;
8. Each of at least two of the promoting company(ies) and/or SEs (please tick the appropriate box below)
 - is governed by the law of a different Member State, or
 - has for at least two years had a subsidiary company governed by the law of another Member State or a branch situated in another Member State; and
9. The information given on this form is correct.

● This must be signed by a proposed member of the management or administrative organ of the proposed SE named in Part 1.

Signature	Signature <div style="text-align: center; font-size: 2em; font-weight: bold;">X</div> <div style="text-align: right; font-size: 2em; font-weight: bold;">X</div>								
Date	<table style="width: 100%; border-collapse: collapse;"> <tr> <td style="border: 1px solid black; width: 20px; text-align: center;">d</td> <td style="border: 1px solid black; width: 20px; text-align: center;">d</td> <td style="border: 1px solid black; width: 20px; text-align: center;">m</td> <td style="border: 1px solid black; width: 20px; text-align: center;">m</td> <td style="border: 1px solid black; width: 20px; text-align: center;">y</td> <td style="border: 1px solid black; width: 20px; text-align: center;">y</td> <td style="border: 1px solid black; width: 20px; text-align: center;">y</td> <td style="border: 1px solid black; width: 20px; text-align: center;">y</td> </tr> </table>	d	d	m	m	y	y	y	y
d	d	m	m	y	y	y	y		

E2	Lawyer's Declaration								
Lawyer name	I, <hr/> of <hr/> <hr/> <hr/>								
	make this declaration in connection with the formation of the proposed holding SE named in Part 1 and confirm that: <ol style="list-style-type: none"> 1. I am a lawyer entitled to pursue my professional activities under one of the denominations laid down in Council Directive 77/249/EEC or Council Directive 98/5/EC; and 2. All the acts, formalities and conditions required by Articles 32 and 33 of Council Regulation (EC) No 2157/2001 have been fulfilled in accordance with the requirements in or referred to in Articles 32 and 33 respectively except that I am not required to confirm that employee involvement has been decided in accordance with the Council Directive 2001/86/EC. 								
Signature	Signature <div style="text-align: center; font-size: 2em; font-weight: bold;">X</div> <div style="text-align: right; font-size: 2em; font-weight: bold;">X</div>								
Date	<table style="width: 100%; border-collapse: collapse;"> <tr> <td style="border: 1px solid black; width: 20px; text-align: center;">d</td> <td style="border: 1px solid black; width: 20px; text-align: center;">d</td> <td style="border: 1px solid black; width: 20px; text-align: center;">m</td> <td style="border: 1px solid black; width: 20px; text-align: center;">m</td> <td style="border: 1px solid black; width: 20px; text-align: center;">y</td> <td style="border: 1px solid black; width: 20px; text-align: center;">y</td> <td style="border: 1px solid black; width: 20px; text-align: center;">y</td> <td style="border: 1px solid black; width: 20px; text-align: center;">y</td> </tr> </table>	d	d	m	m	y	y	y	y
d	d	m	m	y	y	y	y		

SE FM02
Formation of holding Societas Europaea (SE)

E3 Employee Involvement Declaration

Please complete declaration A or B, as appropriate.

Employee Involvement Declaration A

Declaration A	<p>(To be signed on behalf of the Special Negotiating Body (SNB) and by a proposed member of the management or administrative organ of the proposed subsidiary SE)</p> <p>We, being an authorised member of the SNB and a proposed member of the management or administrative organ of the proposed SE declare that:</p> <ol style="list-style-type: none"> There are no outstanding disputes under The European Public Limited-Liability Company (Employee Involvement) (Great Britain) Regulations 2009 ('the Great Britain Regulations') or (as the case may be) The European Public Limited-Liability Company (Employee Involvement) (Northern Ireland) Regulations 2009 ('the Northern Ireland Regulations') or under the equivalent legislation of any other Member State involved; and The promoting companies or SEs named in Part 1 of this form have fulfilled all their obligations in accordance with the Great Britain Regulations or (as the case may be) the Northern Ireland Regulations; and either (tick as appropriate) <ul style="list-style-type: none"> <input type="checkbox"/> An employee involvement agreement has been reached in accordance with regulation 15 of the Great Britain Regulations or (as the case may be) regulation 15 of the Northern Ireland Regulations, or <input type="checkbox"/> The SNB established under regulations 8 to 41 of the Great Britain Regulations or (as the case may be) regulations 8 to 39 of the Northern Ireland Regulations has taken the decisions in accordance with regulation 17 of the Great Britain Regulations or (as the case may be) regulation 17 of the Northern Ireland Regulations not to open or to terminate negotiations but instead to rely upon national rules for information and consultation, or <input type="checkbox"/> The SNB and the management of the subscribing SE named in Part 1 of the form have agreed, in accordance with regulation 19 of the Great Britain Regulations or (as the case may be) regulation 19 of the Northern Ireland Regulations, to apply the standard rules contained in the Schedule to the Great Britain Regulations or (as the case may be) the Schedule to the Northern Ireland Regulations. The authorised member of the SNB confirms that the agreement or decision referred to in paragraph 2 above has been made by the majority required by regulation 16 of the Great Britain Regulations or (as the case may be) regulation 16 of the Northern Ireland Regulations. 	<p>● This must be signed by a proposed member of the management or administrative organ of the proposed SE named in Part 1.</p>								
Signature of authorised member of SNB	Signature X	X								
Name										
Date	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 20px;">d</td><td style="width: 20px;">d</td><td style="width: 20px;">m</td><td style="width: 20px;">m</td><td style="width: 20px;">y</td><td style="width: 20px;">y</td><td style="width: 20px;">y</td><td style="width: 20px;">y</td> </tr> </table>		d	d	m	m	y	y	y	y
d	d	m	m	y	y	y	y			
Signature of proposed member of SE ●	Signature X	X								
Name										
Date	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 20px;">d</td><td style="width: 20px;">d</td><td style="width: 20px;">m</td><td style="width: 20px;">m</td><td style="width: 20px;">y</td><td style="width: 20px;">y</td><td style="width: 20px;">y</td><td style="width: 20px;">y</td> </tr> </table>		d	d	m	m	y	y	y	y
d	d	m	m	y	y	y	y			

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10/09 Version 2.0

SE FM02
Formation of holding Societas Europaea (SE)

Employee Involvement Declaration B

Declaration B

(To be signed by a proposed member of the management or administrative organ of the proposed SE)

On behalf of the promoting SE named in **Part 1** of this form, I declare that:

1. There are no outstanding disputes under either The European Public Limited-Liability Company (Employee Involvement) (Great Britain) Regulations 2009 ('the Great Britain Regulations') or (as the case may be) The European Public Limited-Liability Company (Employee Involvement) (Northern Ireland) Regulations 2009 ('the Northern Ireland Regulations') or under the equivalent legislation of any other Member State involved; and
2. The promoting companies or SEs named in **Part 1** of this form have fulfilled all their obligations in accordance with the Great Britain Regulations or (as the case may be) the Northern Ireland Regulations ; and
3. The deadline for completing negotiations under regulation 14 of the Great Britain Regulations or (as the case may be) regulation 14 of the Northern Ireland Regulations has passed and no agreement has been reached and no decision has been taken regarding the options set out in paragraph 2 of declaration A above and that the standard rules contained in the Schedule to the Great Britain Regulations or (as the case may be) the Schedule to the Northern Ireland Regulations will apply.

● This must be signed by a proposed member of the management or administrative organ of the proposed SE named in Part 1.

Signature ●

Signature

X

X

Name

Date

d d m m y y y y

SE FM02

Formation of holding Societas Europaea (SE)

Presenter information

You do not have to give any contact information, but if you do it will help Companies House if there is a query on the form. The contact information you give will be visible to searchers of the public record.

Contact name

Company name

Address

Post town

County/Region

Postcode

Country

DX

Telephone

Checklist

We may return forms completed incorrectly or with information missing.

Please make sure you have remembered the following:

- You have filed form SE DT01 and SE SC01 in advance of this form.
- You have completed the proposed name.
- You have given details of the promoting companies or SEs.
- You have provided details of any subscribing share capital.
- You have attached the appropriate supporting documents.
- You have completed the proposed member's details.
- Any address given must be a physical location. They cannot be a PO Box number (unless part of a full service address), DX or LP (Legal Post in Scotland) number.
- You have completed the appropriate declarations.
- You have signed the form, where appropriate.
- You have enclosed the correct fee.

Important information

Please note that all information on this form will appear on the public record, apart from information relating to usual residential addresses.

How to pay

A fee of £20 is payable to Companies House in respect of a formation.

Make cheques or postal orders payable to 'Companies House.'

Where to send

You may return this form to any Companies House address, however for expediency we advise you to return it to the appropriate address below:

For SEs registered in England and Wales:
The Registrar of Companies, Companies House,
Crown Way, Cardiff, Wales, CF14 3UZ.
DX 33050 Cardiff.

For SEs registered in Scotland:
The Registrar of Companies, Companies House,
Fourth floor, Edinburgh Quay 2,
139 Fountainbridge, Edinburgh, Scotland, EH3 9FF.
DX ED235 Edinburgh 1
or LP - 4 Edinburgh 2 (Legal Post).

For SEs registered in Northern Ireland:
The Registrar of Companies, Companies House,
First Floor, Waterfront Plaza, 8 Laganbank Road,
Belfast, Northern Ireland, BT1 3BS.
DX 481 N.R. Belfast 1.

Section 243 exemption

If you are applying for, or have been granted, a section 243 exemption, please post this whole form to the different postal address below:
The Registrar of Companies, PO Box 4082,
Cardiff, CF14 3WE.

Further information

For further information, please see the guidance notes on the website at www.companieshouse.gov.uk or email enquiries@companieshouse.gov.uk

This form is available in an alternative format. Please visit the forms page on the website at www.companieshouse.gov.uk

SE FM02 - continuation page
Formation of holding Societas Europaea (SE)

A4		Detail of promoting companies or SEs	
Details			
Registered number (if applicable)	<input type="text"/>	<input type="text"/>	<input type="text"/>
Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
Registered office address	<input type="text"/>	<input type="text"/>	<input type="text"/>
Postcode	<input type="text"/>	<input type="text"/>	<input type="text"/>
Name of Member State and address of registry where documents are filed	<input type="text"/>	<input type="text"/>	<input type="text"/>
Details			
Registered number (if applicable)	<input type="text"/>	<input type="text"/>	<input type="text"/>
Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
Registered office address	<input type="text"/>	<input type="text"/>	<input type="text"/>
Postcode	<input type="text"/>	<input type="text"/>	<input type="text"/>
Name of Member State and address of registry where documents are filed	<input type="text"/>	<input type="text"/>	<input type="text"/>
Details			
Registered number (if applicable)	<input type="text"/>	<input type="text"/>	<input type="text"/>
Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
Registered office address	<input type="text"/>	<input type="text"/>	<input type="text"/>
Postcode	<input type="text"/>	<input type="text"/>	<input type="text"/>
Name of Member State and address of registry where documents are filed	<input type="text"/>	<input type="text"/>	<input type="text"/>

SE FM02 - continuation page

Formation of holding Societas Europaea (SE)

Member

C1		Member appointments ¹									
		Please use this section to list all the members of the SE. Please complete Sections C1-C5. For a corporate member, complete Sections D1-D6.									
Title *			<p>1 Corporate appointments For corporate member appointments, please complete Sections D1-D6 instead of Section C.</p> <p>2 Former name(s) Please provide any previous names which have been used for business purposes in the last 20 years. Married women do not need to give former names unless previously used for business purposes.</p> <p>3 Country/State of residence This is in respect of your usual residential address as stated in Section C5.</p> <p>4 Business occupation If you have a business occupation, please enter here. If you do not, please leave blank.</p>								
Full forename(s)											
Surname											
Former name(s) ²											
Country/State of residence ³											
Nationality											
Date of birth	<table border="1"> <tr> <td>d</td><td>d</td><td>m</td><td>m</td><td>y</td><td>y</td><td>y</td><td>y</td> </tr> </table>			d	d	m	m	y	y	y	y
d	d	m	m	y	y	y	y				
Business occupation (if any) ⁴											
C2		Member's service address ⁵									
Building name/number			<p>5 Service address This is the address that will appear on the public record. This does not have to be your usual residential address.</p> <p>Please state 'The SE's Registered Office' if your service address will be recorded in the proposed SE's register of members as the SE's registered office.</p> <p>If you provide your residential address here it will appear on the public record.</p>								
Street											
Post town											
County/Region											
Postcode	<table border="1"> <tr> <td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td> </tr> </table>										
Country											
C3		Member's position									
		I consent to act as member of ⁶	<p>6 Please tick one box.</p>								
		<input type="checkbox"/> the administrative organ of the SE.									
		<input type="checkbox"/> the supervisory organ of the SE.									
		<input type="checkbox"/> the management organ of the SE.									
C4		Signature ⁷									
		I consent to act as member of the proposed SE named in Section A1.	<p>7 Signature The person named above consents to act as member of the proposed SE.</p>								
Signature	<table border="1"> <tr> <td>Signature</td> <td>X</td> <td>X</td> </tr> </table>			Signature	X	X					
Signature	X	X									



This page is not shown on the public record



Do not cover this barcode

C5 Member's usual residential address

	Please complete your usual residential address below.										
Building name/number											
Street											
Post town											
County/Region											
Postcode	<table border="1"> <tr> <td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td> </tr> </table>										
Country											

Member's usual residential address
Please state 'Same as service address' in this section if your usual residential address is recorded in the SE's proposed register of member's residential addresses as 'Same as the service address'.
You cannot state 'Same as service address' if your service address has been stated in Section C2 as 'The SE's Registered Office'. You will need to complete the address in full.
This cannot be a PO Box, DX or LP (Legal Post in Scotland) number.

Section 243 of Companies Act 2006

Section 243 exemption

Only tick the box below if you are in the process of applying for, or have been granted, exemption by the Registrar from disclosing your usual residential address to credit reference agencies under section 243 of the Companies Act 2006.

Different postal address:

If you are applying for, or have been granted, a section 243 exemption, please post this whole form to the different postal address below:
The Registrar of Companies, PO Box 4082, Cardiff, CF14 3WE.

Where you are applying for a section 243 exemption with this notice, the application and this form must be posted together.

If you are currently in the process of applying for, or have been granted, a section 243 exemption, you may wish to check you have not entered your usual residential address in Section C2 as this will appear on the public record.



SE FM02 - continuation page

Formation of holding Societas Europaea (SE)

Corporate member

D1	Corporate member appointments	
	Please use this section to list all the corporate members of the SE. Please complete Sections C1-C5.	Registered or principal address This is the address that will appear on the public record. This address must be a physical location for the delivery of documents. It cannot be a PO Box number (unless contained within a full address), DX number or LP (Legal Post in Scotland) number.
Name of corporate body or firm		
Building name/number		
Street		
Post town		
County/Region		
Postcode		
Country		
D2	Location of the registry of the corporate body or firm	
	Is the corporate member registered within the European Economic Area (EEA)? → Yes Complete Section D3 only → No Complete Section D4 only	
D3	EEA companies	
	Please give details of the register where the company file is kept (including the relevant state) and the registration number in that register.	EEA A full list of countries of the EEA can be found in our guidance: www.companieshouse.gov.uk This is the register mentioned in Article 3 of the First Company Law Directive (68/151/EEC).
Where the company/firm is registered		
Registration number		
D4	Non-EEA companies	
	Please give details of the legal form of the corporate body or firm and the law by which it is governed. If applicable, please also give details of the register in which it is entered (including the state) and its registration number in that register.	Non-EEA Where you have provided details of the register (including state) where the company or firm is registered, you must also provide its number in that register.
Legal form of the corporate body or firm		
Governing law		
If applicable, where the company/firm is registered		
If applicable, the registration number		

SE FM02 - continuation page
 Formation of holding Societas Europaea (SE)

D5

Corporate member's position

I consent to act as member of **1**

- the administrative organ of the SE.
- the supervisory organ of the SE.
- the management organ of the SE.

1 Please tick one box.

D6

Signature¹

I consent to act as member of the proposed SE named in **Section A1**.

Signature

Signature

X

X

1 **Signature**

The person named above consents to act as corporate member of the proposed SE.

In accordance with Section 7 of the European Public Limited-Liability Company Regulations 2004.

SE FM03

Formation of subsidiary Societas Europaea (SE) under Article 2(3) of Council Regulation (EC) No 2157/2001



A fee is payable with this form. Please see 'How to pay' on the last page.

✓ **What this form is for**
You may use this form to form a subsidiary SE under Article 2(3) of Council Regulation (EC) No 2157/2001.

✗ **What this form is NOT for**
You cannot use this form to form an SE by any other means.

For further information, please refer to our guidance at www.companieshouse.gov.uk

Part 1 SE details

→ **Filling in this form**
Please complete in typescript or in bold black capitals.
All fields are mandatory unless specified or indicated by *

A1 Proposed name

Proposed name of SE in full ●

● **Duplicate names**
Duplicate names are not permitted. A list of registered names can be found on our website. There are various rules that may affect your choice of name. More information is available at www.companieshouse.gov.uk

A2 SE name restrictions ●

Please tick the box only if the proposed SE name contains sensitive or restricted words or expressions that require you to seek comments of a government department or other specified body.

I confirm that the proposed SE name contains sensitive or restricted words or expressions and that approval, where appropriate, has been sought of a government department or other specified body and I attach a copy of their response.

● **SE name restrictions**
A list of sensitive or restricted words or expressions that require consent can be found in guidance available on our website: www.companieshouse.gov.uk

A3 Proposed registered office address

Building name/number	
Street	
Post town	
County/Region	
Postcode	
Country	

SE FM03

Formation of subsidiary Societas Europaea (SE) under Article 2(3) of Council Regulation (EC) No 2157/2001

A4

Details of subscribing SEs, companies, firms or legal bodies

Details	
Registered number (if applicable)	<input type="text"/>
Name	<input type="text"/>
Registered office address	<input type="text"/>
Postcode	<input type="text"/>
Name of Member State and address of registry where documents are filed	<input type="text"/>
Details	
Registered number (if applicable)	<input type="text"/>
Name	<input type="text"/>
Registered office address	<input type="text"/>
Postcode	<input type="text"/>
Name of Member State and address of registry where documents are filed	<input type="text"/>
Details	
Registered number (if applicable)	<input type="text"/>
Name	<input type="text"/>
Registered office address	<input type="text"/>
Postcode	<input type="text"/>
Name of Member State and address of registry where documents are filed	<input type="text"/>

Continuation page

Please use a continuation page if you need to enter more details.

SE FM03

Formation of subsidiary Societas Europaea (SE) under Article 2(3) of Council Regulation (EC) No 2157/2001

Part 2 Subscribing capital of the proposed SE

B1		Subscribed capital in pound sterling (£) or euros (€) *
Subscribed capital		<p>* Subscribing capital in pound sterling (£) or euros (€) This must not be less than £50,000 or €65,000.</p>
B2		Subscribed capital in other currencies *
		<p>* Subscribing capital in other currencies Please specify currencies.</p>
B3		Total subscribed capital
	<input type="checkbox"/> Please tick the box to confirm that the total subscribed capital of the proposed SE is not less than the equivalent of €120,000.	
B4		Principal business activity
	<p>Please show the trade classification code number(s) for the principal activity or activities. *</p>	
Classification code 1	<input type="text"/>	<p>* Principal business activity You must provide a trade classification (Standard Industrial Classification 2003) code or a description of your SE's main business in this space.</p> <p>A full list of the trade classification codes are available on our website: www.companieshouse.gov.uk</p>
Classification code 2	<input type="text"/>	
Classification code 3	<input type="text"/>	
Classification code 4	<input type="text"/>	
	<input type="text"/>	
	<p>If you cannot determine a code, please give a brief description of your business activity below:</p>	
Principal activity description	<input type="text"/>	
B5		Documents attached
<p>Please tick the boxes to confirm that the following document is attached to this form.</p>		
	Document description	Number of pages
	<input type="checkbox"/> Statutes of the proposed subsidiary SE.	

SE FM03

Formation of subsidiary Societas Europaea (SE) under Article 2(3) of Council Regulation (EC) No 2157/2001

Part 3	Proposed members of the SE	
	<p>Please list below details of persons who are members of the SE named above.</p> <p>For SEs with a two-tier system, details of all members of both the supervisory and management organs must be given. For SEs with a one-tier system, please give the details of all members of the administrative organ.</p> <p>For a member who is an individual, go to Section C1; for a corporate member, go to Section D1;</p> <p>Please use a continuation page if there are more members of the SE.</p>	
Member		

C1	Member appointments¹	
	<p>Please use this section to list all the members of the SE. Please complete Sections C1-C5. For a corporate member, complete Sections D1-D6.</p>	
Title *		<p>1 Corporate appointments For corporate member appointments, please complete Sections D1-D6 instead of Section C.</p> <p>2 Former name(s) Please provide any previous names which have been used for business purposes in the last 20 years. Married women do not need to give former names unless previously used for business purposes.</p> <p>3 Country/State of residence This is in respect of your usual residential address as stated in Section C5.</p> <p>4 Business occupation If you have a business occupation, please enter here. If you do not, please leave blank.</p> <p>Additional appointment If you wish to appoint more than one member, please use the 'Member appointments' continuation page.</p>
Full forename(s)		
Surname		
Former name(s) ²		
Country/State of residence ³		
Nationality		
Date of birth	d d m m y y y y	
Business occupation (if any) ⁴		

C2	Member's service address⁵	
Building name/number		<p>5 Service address This is the address that will appear on the public record. This does not have to be your usual residential address.</p> <p>Please state 'The SE's Registered Office' if your service address will be recorded in the proposed SE's register of members as the SE's registered office.</p> <p>If you provide your residential address here it will appear on the public record.</p>
Street		
Post town		
County/Region		
Postcode		
Country		

SE FM03

Formation of subsidiary Societas Europaea (SE) under Article 2(3) of Council Regulation (EC) No 2157/2001

C3

Member's position

I consent to act as member of

the administrative organ of the SE.
 the supervisory organ of the SE.
 the management organ of the SE.

Please tick one box.

C4

Signature

I consent to act as member of the proposed SE named in Section A1.

Signature

Signature
X **X**

Signature
The person named above consents to act as member of the proposed SE.

SE FM03

Formation of subsidiary Societas Europaea (SE) under Article 2(3) of Council Regulation (EC) No 2157/2001



This page is not shown on the public record



Do not cover this barcode

C5 Member's usual residential address

	Please complete your usual residential address below.								
Building name/number									
Street									
Post town									
County/Region									
Postcode	<table border="1"><tr><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td></tr></table>								
Country									

Member's usual residential address
 Please state 'Same as service address' in this section if your usual residential address is recorded in the SE's proposed register of member's residential addresses as 'Same as the service address'.
 You cannot state 'Same as service address' if your service address has been stated in Section C2 as 'The SE's Registered Office'. You will need to complete the address in full.
 This cannot be a PO Box, DX or LP (Legal Post in Scotland) number.

Section 243 of Companies Act 2006

Section 243 exemption

Only tick the box below if you are in the process of applying for, or have been granted, exemption by the Registrar from disclosing your usual residential address to credit reference agencies under section 243 of the Companies Act 2006.

Different postal address:

If you are applying for, or have been granted, a section 243 exemption, please post this whole form to the different postal address below:
The Registrar of Companies, PO Box 4082, Cardiff, CF14 3WE.

Where you are applying for a section 243 exemption with this notice, the application and this form must be posted together.

If you are currently in the process of applying for, or have been granted, a section 243 exemption, you may wish to check you have not entered your usual residential address in Section C2 as this will appear on the public record.



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10/09 Version 2.0

SE FM03

Formation of subsidiary Societas Europaea (SE) under Article 2(3) of Council Regulation (EC) No 2157/2001

Member

C1		Member appointments ¹	
		Please use this section to list all the members of the SE. Please complete Sections C1-C5. For a corporate member, complete Sections D1-D6.	
Title *			<p>1 Corporate appointments For corporate member appointments, please complete Sections D1-D6 instead of Section C.</p> <p>2 Former name(s) Please provide any previous names which have been used for business purposes in the last 20 years. Married women do not need to give former names unless previously used for business purposes.</p> <p>3 Country/State of residence This is in respect of your usual residential address as stated in Section C5.</p> <p>4 Business occupation If you have a business occupation, please enter here. If you do not, please leave blank.</p> <p>Additional appointment If you wish to appoint more than one member, please use the 'Member appointments' continuation page.</p>
Full forename(s)			
Surname			
Former name(s) ²			
Country/State of residence ³			
Nationality			
Date of birth	d d m m y y y y		
Business occupation (if any) ⁴			
C2		Member's service address ⁵	
Building name/number			<p>5 Service address This is the address that will appear on the public record. This does not have to be your usual residential address.</p> <p>Please state 'The SE's Registered Office' if your service address will be recorded in the proposed SE's register of members as the SE's registered office.</p> <p>If you provide your residential address here it will appear on the public record.</p>
Street			
Post town			
County/Region			
Postcode			
Country			
C3		Member's position	
		I consent to act as member of ⁶	<p>6 Please tick one box.</p>
		<input type="checkbox"/> the administrative organ of the SE.	
		<input type="checkbox"/> the supervisory organ of the SE.	
		<input type="checkbox"/> the management organ of the SE.	
C4		Signature ⁷	
		I consent to act as member of the proposed SE named in Section A1.	<p>7 Signature The person named above consents to act as member of the proposed SE.</p>
Signature	Signature	X	

CHFP000
10/09 Version 2.0

SE FM03

Formation of subsidiary Societas Europaea (SE) under Article 2(3) of Council Regulation (EC) No 2157/2001



This page is not shown on the public record



Do not cover this barcode

C5 Member's usual residential address

	Please complete your usual residential address below.
Building name/number	
Street	
Post town	
County/Region	
Postcode	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>
Country	

Member's usual residential address
 Please state 'Same as service address' in this section if your usual residential address is recorded in the SE's proposed register of member's residential addresses as 'Same as the service address'.
 You cannot state 'Same as service address' if your service address has been stated in Section C2 as 'The SE's Registered Office'. You will need to complete the address in full.
 This cannot be a PO Box, DX or LP (Legal Post in Scotland) number.

Section 243 of Companies Act 2006

Section 243 exemption

Only tick the box below if you are in the process of applying for, or have been granted, exemption by the Registrar from disclosing your usual residential address to credit reference agencies under section 243 of the Companies Act 2006.

Different postal address:

If you are applying for, or have been granted, a section 243 exemption, please post this whole form to the different postal address below:
The Registrar of Companies, PO Box 4082, Cardiff, CF14 3WE.

Where you are applying for a section 243 exemption with this notice, the application and this form must be posted together.

If you are currently in the process of applying for, or have been granted, a section 243 exemption, you may wish to check you have not entered your usual residential address in Section C2 as this will appear on the public record.



CHFP000
10/09 Version 2.0

SE FM03

Formation of subsidiary Societas Europaea (SE) under Article 2(3) of Council Regulation (EC) No 2157/2001

Corporate member

D1 Corporate member appointments		
Please use this section to list all the corporate members of the SE. Please complete Sections D1-D6.		
Name of corporate body or firm		<p>Additional appointments If you wish to appoint more than one corporate member, please use the 'Corporate member appointments' continuation page.</p> <p>Registered or principal address This is the address that will appear on the public record. This address must be a physical location for the delivery of documents. It cannot be a PO Box number (unless contained within a full address), DX number or LP (Legal Post in Scotland) number.</p>
Building name/number		
Street		
Post town		
County/Region		
Postcode		
Country		
D2 Location of the registry of the corporate body or firm		
Is the corporate member registered within the European Economic Area (EEA)?		
<p>→ Yes Complete Section D3 only</p> <p>→ No Complete Section D4 only</p>		
D3 EEA companies		
Please give details of the register where the company file is kept (including the relevant state) and the registration number in that register.		<p>EEA A full list of countries of the EEA can be found in our guidance: www.companieshouse.gov.uk</p> <p>This is the register mentioned in Article 3 of the First Company Law Directive (68/151/EEC).</p>
Where the company/firm is registered		
Registration number		
D4 Non-EEA companies		
Please give details of the legal form of the corporate body or firm and the law by which it is governed. If applicable, please also give details of the register in which it is entered (including the state) and its registration number in that register.		<p>Non-EEA Where you have provided details of the register (including state) where the company or firm is registered, you must also provide its number in that register.</p>
Legal form of the corporate body or firm		
Governing law		
If applicable, where the company/firm is registered		
If applicable, the registration number		

CHFP000
10/09 Version 2.0

SE FM03

Formation of subsidiary Societas Europaea (SE) under Article 2(3) of Council Regulation (EC) No 2157/2001

D5

Corporate member's position

I consent to act as member of
 the administrative organ of the SE.
 the supervisory organ of the SE.
 the management organ of the SE.

Please tick one box.

D6

Signature

I consent to act as member of the proposed SE named in Section A1.

Signature

Signature
X **X**


Signature
The person named above consents to act as corporate member of the proposed SE.


SE FM03

Formation of subsidiary Societas Europaea (SE) under Article 2(3) of Council Regulation (EC) No 2157/2001

Part 4 Declarations

E1 Member's Declaration

Member name	I,
	of
being a proposed member of the management or administrative organ of the proposed subsidiary SE named in Part 1 confirm that:	
1. Each of the subscribing SEs, companies, firms or other legal bodies governed by public or private law either:	
a. has its registered office and head office within the Community; or	
b. (in the case of subscribing companies, firms and other legal bodies - this does not apply to subscribing SEs) its head office is not in the Community, it is formed under the law of a Member State, its registered office is in that Member State and it has a real and continuous link with a Member State's economy; and	
2. The information given in this form is correct.	
Signature 	Signature X
Date	d d m m y y y y

 This must be signed by a proposed member of the management or administrative organ of the subsidiary SE name in Part 1.

X

SE FM03

Formation of subsidiary Societas Europaea (SE) under Article 2(3) of Council Regulation (EC) No 2157/2001

E2

Employee Involvement Declaration

Please complete declaration A or B, as appropriate.

Employee Involvement Declaration A

Declaration A	<p>(To be signed on behalf of the Special Negotiating Body (SNB) and by a proposed member of the management or administrative organ of the proposed subsidiary SE)</p> <p>We, being an authorised member of the SNB and a proposed member of the management or administrative organ of the proposed SE declare that:</p> <ol style="list-style-type: none"> There are no outstanding disputes under The European Public Limited-Liability Company (Employee Involvement) (Great Britain) Regulations 2009 ('the Great Britain Regulations') or (as the case may be) The European Public Limited-Liability Company (Employee Involvement) (Northern Ireland) Regulations 2009 ('the Northern Ireland Regulations') or under the equivalent legislation of any other Member State involved; and The subscribing SEs, companies, firms or other legal bodies named in Part 1 of this form have fulfilled all their obligations in accordance with the Great Britain Regulations or (as the case may be) the Northern Ireland Regulations; and either (tick as appropriate) <ul style="list-style-type: none"> <input type="checkbox"/> An employee involvement agreement has been reached in accordance with regulation 15 of the Great Britain Regulations or (as the case may be) regulation 15 of the Northern Ireland Regulations, or <input type="checkbox"/> The SNB established under regulations 8 to 41 of the Great Britain Regulations or (as the case may be) regulations 8 to 39 of the Northern Ireland Regulations has taken the decisions in accordance with regulation 17 of the Great Britain Regulations or (as the case may be) regulation 17 of the Northern Ireland Regulations not to open or to terminate negotiations but instead to rely upon national rules for information and consultation, or <input type="checkbox"/> The SNB and the management of the subscribing SE named in Part 1 of the form have agreed, in accordance with regulation 19 of the Great Britain Regulations or (as the case may be) regulation 19 of the Northern Ireland Regulations, to apply the standard rules contained in the Schedule to the Great Britain Regulations or (as the case may be) the Schedule to the Northern Ireland Regulations. The authorised member of the SNB confirms that the agreement or decision referred to in paragraph 2 above has been made by the majority required by regulation 16 of the Great Britain Regulations or (as the case may be) regulation 16 of the Northern Ireland Regulations. 	<p>● This must be signed by a proposed member of the management or administrative organ of the proposed subsidiary SE named in Part 1.</p>								
Signature of authorised member of SNB	Signature X	X								
Name										
Date	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 20px; text-align: center;">d</td> <td style="width: 20px; text-align: center;">d</td> <td style="width: 20px; text-align: center;">m</td> <td style="width: 20px; text-align: center;">m</td> <td style="width: 20px; text-align: center;">y</td> <td style="width: 20px; text-align: center;">y</td> <td style="width: 20px; text-align: center;">y</td> <td style="width: 20px; text-align: center;">y</td> </tr> </table>		d	d	m	m	y	y	y	y
d	d	m	m	y	y	y	y			
Signature of proposed member of SE ●	Signature X	X								
Name										
Date	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 20px; text-align: center;">d</td> <td style="width: 20px; text-align: center;">d</td> <td style="width: 20px; text-align: center;">m</td> <td style="width: 20px; text-align: center;">m</td> <td style="width: 20px; text-align: center;">y</td> <td style="width: 20px; text-align: center;">y</td> <td style="width: 20px; text-align: center;">y</td> <td style="width: 20px; text-align: center;">y</td> </tr> </table>		d	d	m	m	y	y	y	y
d	d	m	m	y	y	y	y			

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10/09 Version 2.0

SE FM03

Formation of subsidiary Societas Europaea (SE) under Article 2(3) of Council Regulation (EC) No 2157/2001

Employee Involvement Declaration B

<p>Declaration B</p>	<p>(To be signed by a proposed member of the management or administrative organ of the proposed SE)</p> <p>On behalf of the promoting SE named in Part 1 of this form, I declare that:</p> <ol style="list-style-type: none"> 1. There are no outstanding disputes under either The European Public Limited-Liability Company (Employee Involvement) (Great Britain) Regulations 2009 ('the Great Britain Regulations') or (as the case may be) The European Public Limited-Liability Company (Employee Involvement) (Northern Ireland) Regulations 2009 ('the Northern Ireland Regulations') or under the equivalent legislation of any other Member State involved; and 2. The subscribing SEs, companies, firms or other legal bodies named in Part 1 of this form have fulfilled all their obligations in accordance with the Great Britain Regulations or (as the case may be) the Northern Ireland Regulations ; and 3. The deadline for completing negotiations under regulation 14 of the Great Britain Regulations or (as the case may be) regulation 14 of the Northern Ireland Regulations has passed and no agreement has been reached and no decision has been taken regarding the options set out in paragraph 2 of declaration A above and that the standard rules contained in the Schedule to the Great Britain Regulations or (as the case may be) the Schedule to the Northern Ireland Regulations will apply. 	<p>● This must be signed by a proposed member of the management or administrative organ of the proposed subsidiary SE named in Part 1.</p>
<p>Signature ●</p>	<p>Signature</p> <p>X</p>	<p>X</p>
<p>Name</p>		
<p>Date</p>	<p>d d m m y y y y</p>	

SE FM03

Formation of subsidiary Societas Europaea (SE) under Article 2(3) of Council Regulation (EC) No 2157/2001



Presenter information

You do not have to give any contact information, but if you do it will help Companies House if there is a query on the form. The contact information you give will be visible to searchers of the public record.

Contact name

Company name

Address

Post town

County/Region

Postcode

Country

DX

Telephone



Checklist

We may return forms completed incorrectly or with information missing.

Please make sure you have remembered the following:

- You have completed the proposed name.
- You have given details of subscribing SEs, companies, firms or legal bodies.
- You have provided details of any subscribing share capital.
- You have attached the statutes of the proposed subsidiary SE.
- You have completed the proposed member's details.
- Any address given must be a physical location. They cannot be a PO Box number (unless part of a full service address), DX or LP (Legal Post in Scotland) number.
- You have completed the appropriate declarations.
- You have signed the form, where appropriate.
- You have enclosed the correct fee.



Important information

Please note that all information on this form will appear on the public record, apart from information relating to usual residential addresses.



How to pay

A fee of £20 is payable to Companies House in respect of a formation.

Make cheques or postal orders payable to 'Companies House.'



Where to send

You may return this form to any Companies House address, however for expediency we advise you to return it to the appropriate address below:

For SEs registered in England and Wales:
The Registrar of Companies, Companies House,
Crown Way, Cardiff, Wales, CF14 3UZ.
DX 33050 Cardiff.

For SEs registered in Scotland:
The Registrar of Companies, Companies House,
Fourth floor, Edinburgh Quay 2,
139 Fountainbridge, Edinburgh, Scotland, EH3 9FF.
DX ED235 Edinburgh 1
or LP - 4 Edinburgh 2 (Legal Post).

For SEs registered in Northern Ireland:
The Registrar of Companies, Companies House,
First Floor, Waterfront Plaza, 8 Laganbank Road,
Belfast, Northern Ireland, BT1 3BS.
DX 481 N.R. Belfast 1.

Section 243 exemption

If you are applying for, or have been granted, a section 243 exemption, please post this whole form to the different postal address below:
The Registrar of Companies, PO Box 4082,
Cardiff, CF14 3WE.



Further information

For further information, please see the guidance notes on the website at www.companieshouse.gov.uk or email enquiries@companieshouse.gov.uk

This form is available in an alternative format. Please visit the forms page on the website at www.companieshouse.gov.uk

A4	Details of subscribing SEs, companies, firms or legal bodies
Details	
Registered number (if applicable)	<input type="text"/>
Name	<input type="text"/>
Registered office address	<input type="text"/>
Postcode	<input type="text"/>
Name of Member State and address of registry where documents are filed	<input type="text"/>
Details	
Registered number (if applicable)	<input type="text"/>
Name	<input type="text"/>
Registered office address	<input type="text"/>
Postcode	<input type="text"/>
Name of Member State and address of registry where documents are filed	<input type="text"/>
Details	
Registered number (if applicable)	<input type="text"/>
Name	<input type="text"/>
Registered office address	<input type="text"/>
Postcode	<input type="text"/>
Name of Member State and address of registry where documents are filed	<input type="text"/>

SE FM03 - continuation page

Formation of subsidiary Societas Europaea (SE) under Article 2(3) of
Council Regulation (EC) No 2157/2001

Member

C1		Member appointments ¹									
		Please use this section to list all the members of the SE. Please complete Sections C1-C5. For a corporate member, complete Sections D1-D6.									
Title *			<p>1 Corporate appointments For corporate member appointments, please complete Sections D1-D6 instead of Section C.</p> <p>2 Former name(s) Please provide any previous names which have been used for business purposes in the last 20 years. Married women do not need to give former names unless previously used for business purposes.</p> <p>3 Country/State of residence This is in respect of your usual residential address as stated in Section C5.</p> <p>4 Business occupation If you have a business occupation, please enter here. If you do not, please leave blank.</p>								
Full forename(s)											
Surname											
Former name(s) ²											
Country/State of residence ³											
Nationality											
Date of birth	<table border="1"> <tr> <td>d</td><td>d</td><td>m</td><td>m</td><td>y</td><td>y</td><td>y</td><td>y</td> </tr> </table>			d	d	m	m	y	y	y	y
d	d	m		m	y	y	y	y			
Business occupation (if any) ⁴											
C2		Member's service address ⁵									
Building name/number			<p>5 Service address This is the address that will appear on the public record. This does not have to be your usual residential address.</p> <p>Please state 'The SE's Registered Office' if your service address will be recorded in the proposed SE's register of members as the SE's registered office.</p> <p>If you provide your residential address here it will appear on the public record.</p>								
Street											
Post town											
County/Region											
Postcode	<table border="1"> <tr> <td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td> </tr> </table>										
Country											
C3		Member's position									
		I consent to act as member of ⁶	<p>6 Please tick one box.</p>								
		<input type="checkbox"/> the administrative organ of the SE.									
		<input type="checkbox"/> the supervisory organ of the SE.									
		<input type="checkbox"/> the management organ of the SE.									
C4		Signature ⁷									
		I consent to act as member of the proposed SE named in Section A1.	<p>7 Signature The person named above consents to act as member of the proposed SE.</p>								
Signature	<table border="1"> <tr> <td>Signature</td> <td>X</td> <td>X</td> </tr> </table>			Signature	X	X					
Signature	X	X									



This page is not shown on the public record



Do not cover this barcode

C5 Member's usual residential address

	Please complete your usual residential address below.
Building name/number	
Street	
Post town	
County/Region	
Postcode	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>
Country	

Member's usual residential address
 Please state 'Same as service address' in this section if your usual residential address is recorded in the SE's proposed register of member's residential addresses as 'Same as the service address'.
 You cannot state 'Same as service address' if your service address has been stated in Section C2 as 'The SE's Registered Office'. You will need to complete the address in full.
 This cannot be a PO Box, DX or LP (Legal Post in Scotland) number.

Section 243 of
 Companies Act 2006

Section 243 exemption

Only tick the box below if you are in the process of applying for, or have been granted, exemption by the Registrar from disclosing your usual residential address to credit reference agencies under section 243 of the Companies Act 2006.

Different postal address:

If you are applying for, or have been granted, a section 243 exemption, please post this whole form to the different postal address below:
 The Registrar of Companies, PO Box 4082, Cardiff, CF14 3WE.

Where you are applying for a section 243 exemption with this notice, the application and this form must be posted together.

If you are currently in the process of applying for, or have been granted, a section 243 exemption, you may wish to check you have not entered your usual residential address in Section C2 as this will appear on the public record.



SE FM03 - continuation page

Formation of subsidiary Societas Europaea (SE) under Article 2(3) of
Council Regulation (EC) No 2157/2001

Corporate member

D1		Corporate member appointments								
		Please use this section to list all the corporate members of the SE. Please complete Sections D1-D6.								
Name of corporate body or firm		<p>Registered or principal address This is the address that will appear on the public record. This address must be a physical location for the delivery of documents. It cannot be a PO Box number (unless contained within a full address), DX number or LP (Legal Post in Scotland) number.</p>								
Building name/number										
Street										
Post town										
County/Region										
Postcode	<table border="1"> <tr> <td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td> </tr> </table>									
Country										
D2		Location of the registry of the corporate body or firm								
		Is the corporate member registered within the European Economic Area (EEA)?								
		→ Yes Complete Section D3 only								
		→ No Complete Section D4 only								
D3		EEA companies								
		Please give details of the register where the company file is kept (including the relevant state) and the registration number in that register.								
Where the company/firm is registered		<p>EEA A full list of countries of the EEA can be found in our guidance: www.companieshouse.gov.uk</p> <p>This is the register mentioned in Article 3 of the First Company Law Directive (68/151/EEC).</p>								
Registration number										
D4		Non-EEA companies								
		Please give details of the legal form of the corporate body or firm and the law by which it is governed. If applicable, please also give details of the register in which it is entered (including the state) and its registration number in that register.								
Legal form of the corporate body or firm		<p>Non-EEA Where you have provided details of the register (including state) where the company or firm is registered, you must also provide its number in that register.</p>								
Governing law										
If applicable, where the company/firm is registered										
If applicable, the registration number										

SE FM03 - continuation page
 Formation of subsidiary Societas Europaea (SE) under Article 2(3) of
 Council Regulation (EC) No 2157/2001

D5	Corporate member's position		
	I consent to act as member of ● <input type="checkbox"/> the administrative organ of the SE. <input type="checkbox"/> the supervisory organ of the SE. <input type="checkbox"/> the management organ of the SE.	● Please tick one box.	
D6	Signature[Ⓢ]		
Signature	I consent to act as member of the proposed SE named in Section A1 . Signature X	Ⓢ Signature The person named above consents to act as corporate member of the proposed SE.	X

In accordance with Section 8 of the European Public Limited-Liability Company Regulations 2004.

SE FM04

Transformation of a Public Limited Company (PLC) to Societas Europaea (SE)



A fee is payable with this form.
Please see 'How to pay' on the last page.

✓ **What this form is for**
You may use this form to transform a PLC to an SE.

✗ **What this form is NOT for**
You cannot use this form to form an SE by any other means.

For further information, please refer to our guidance at www.companieshouse.gov.uk

Part 1 PLC details

A1	PLC details	
Company number of transforming PLC	<input type="text"/>	<p>→ Filling in this form Please complete in typescript or in bold black capitals. All fields are mandatory unless specified or indicated by *</p>
Full name of transforming PLC	<input type="text"/>	

A2	SE details	
Proposed name of SE in full	<input type="text"/>	<p>● Duplicate names Duplicate names are not permitted. This only applies where the proposed name of the SE is different from the name of the PLC in Section A1. A list of registered names can be found on our website. There are various rules that may affect your choice of name. More information is available at www.companieshouse.gov.uk</p>
	<input type="text"/>	

A3	SE name restrictions	
	<p>Please tick the box only if the proposed name of the SE in Section A2 is different from the name of the PLC in Section A1 and contains sensitive or restricted words or expressions that require you to seek comments of a government department or other specified body.</p> <p><input type="checkbox"/> I confirm that the proposed SE name contains sensitive or restricted words or expressions and that approval, where appropriate, has been sought of a government department or other specified body and I attach a copy of their response.</p>	<p>● SE name restrictions A list of sensitive or restricted words or expressions that require consent can be found in guidance available on our website: www.companieshouse.gov.uk</p>

A4	Proposed registered office address	
Building name/number	<input type="text"/>	
Street	<input type="text"/>	
Post town	<input type="text"/>	
County/Region	<input type="text"/>	
Postcode	<input type="text"/>	
Country	<input type="text"/>	

SE FM04

Transformation of a Public Limited Company (PLC) to Societas Europaea (SE)

Part 2 Subscribing capital of the proposed SE

B1		Subscribed capital in pound sterling (£) or euros (€) *
Subscribed capital		<p>* Subscribing capital in pound sterling (£) or euros (€) This must not be less than £50,000 or €65,000.</p>
B2		Subscribed capital in other currencies *
		<p>* Subscribing capital in other currencies Please specify currencies.</p>
B3		Total subscribed capital
	<p><input type="checkbox"/> Please tick the box to confirm that the total subscribed capital of the proposed SE is not less than the equivalent of €120,000.</p>	
B4		Principal business activity
	<p>Please show the trade classification code number(s) for the principal activity or activities. *</p>	
Classification code 1	<input type="text"/>	<p>* Principal business activity You must provide a trade classification (Standard Industrial Classification 2003) code or a description of your SE's main business in this space.</p> <p>A full list of the trade classification codes are available on our website: www.companieshouse.gov.uk</p>
Classification code 2	<input type="text"/>	
Classification code 3	<input type="text"/>	
Classification code 4	<input type="text"/>	
	<input type="text"/>	
	<p>If you cannot determine a code, please give a brief description of your business activity below:</p>	
Principal activity description	<input type="text"/>	
B5		Documents attached
<p>Please tick the boxes to confirm that all the following documents are attached to this form.</p>		
	Document description	Number of pages
<input type="checkbox"/>	Resolution approving the draft statutes and draft terms of conversion to SE of the PLC named in Part 1 in accordance with Article 37(7) of Council Regulation (EC) No 2157/2001.	
<input type="checkbox"/>	Statutes of the SE named in Part 1 .	
<input type="checkbox"/>	Copy of certificate(s) of expert(s) in accordance with Article 37(6) Council Regulation (EC) No 2157/2001.	
<input type="checkbox"/>	Report explaining and justifying the legal and economic aspects of the conversion in accordance with Article 37(4) of Council Regulation (EC) No 2157/2001.	

SE FM04

Transformation of a Public Limited Company (PLC) to Societas Europaea (SE)

Part 3

Proposed members of the SE

Please list below details of persons who are members of the SE named above.
For SEs with a two-tier system, details of all members of both the supervisory and management organs must be given. For SEs with a one-tier system, please give the details of all members of the administrative organ.

For a member who is an individual, go to Section C1; for a corporate member, go to Section D1;

Please use a continuation page if there are more members of the SE.

Member

C1

Member appointments¹

Please use this section to list all the members of the SE. Please complete Sections C1-C5. For a corporate member, complete Sections D1-D6.

Title *	
Full forename(s)	
Surname	
Former name(s) ²	
Country/State of residence ³	
Nationality	
Date of birth	d d m m y y y y
Business occupation (if any) ⁴	

¹ Corporate appointments

For corporate member appointments, please complete Sections D1-D6 instead of Section C.

² Former name(s)

Please provide any previous names which have been used for business purposes in the last 20 years. Married women do not need to give former names unless previously used for business purposes.

³ Country/State of residence

This is in respect of your usual residential address as stated in Section C5.

⁴ Business occupation

If you have a business occupation, please enter here. If you do not, please leave blank.

Additional appointment

If you wish to appoint more than one member, please use the 'Member appointments' continuation page.

C2

Member's service address⁵

Building name/number	
Street	
Post town	
County/Region	
Postcode	
Country	

⁵ Service address

This is the address that will appear on the public record. This does not have to be your usual residential address.

Please state 'The SE's Registered Office' if your service address will be recorded in the proposed SE's register of members as the SE's registered office.

If you provide your residential address here it will appear on the public record.

SE FM04

Transformation of a Public Limited Company (PLC) to Societas Europaea (SE)

C3

Member's position

I consent to act as member of

the administrative organ of the SE.

the supervisory organ of the SE.

the management organ of the SE.

Please tick one box.

C4

Signature ⁹

I consent to act as member of the proposed SE named in Section A2.

Signature

Signature

X

X

Signature

The person named above consents to act as member of the proposed SE.

SE FM04

Transformation of a Public Limited Company (PLC) to Societas Europaea (SE)



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Do not cover this barcode

C5 Member's usual residential address

	Please complete your usual residential address below.								
Building name/number									
Street									
Post town									
County/Region									
Postcode	<table border="1"><tr><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td></tr></table>								
Country									

Member's usual residential address
 Please state 'Same as service address' in this section if your usual residential address is recorded in the SE's proposed register of member's residential addresses as 'Same as the service address'.
 You cannot state 'Same as service address' if your service address has been stated in Section C2 as 'The SE's Registered Office'. You will need to complete the address in full.
 This cannot be a PO Box, DX or LP (Legal Post in Scotland) number.

Section 243 of Companies Act 2006

Section 243 exemption

Only tick the box below if you are in the process of applying for, or have been granted, exemption by the Registrar from disclosing your usual residential address to credit reference agencies under section 243 of the Companies Act 2006.

Different postal address:
If you are applying for, or have been granted, a section 243 exemption, please post this whole form to the different postal address below:
The Registrar of Companies, PO Box 4082, Cardiff, CF14 3WE.

Where you are applying for a section 243 exemption with this notice, the application and this form must be posted together.


If you are currently in the process of applying for, or have been granted, a section 243 exemption, you may wish to check you have not entered your usual residential address in Section C2 as this will appear on the public record.



SE FM04

Transformation of a Public Limited Company (PLC) to Societas Europaea (SE)

Member

C1		Member appointments ¹									
		Please use this section to list all the members of the SE. Please complete Sections C1-C5. For a corporate member, complete Sections D1-D6.									
Title *			<p>1 Corporate appointments For corporate member appointments, please complete Sections D1-D6 instead of Section C.</p> <p>2 Former name(s) Please provide any previous names which have been used for business purposes in the last 20 years. Married women do not need to give former names unless previously used for business purposes.</p> <p>3 Country/State of residence This is in respect of your usual residential address as stated in Section C5.</p> <p>4 Business occupation If you have a business occupation, please enter here. If you do not, please leave blank.</p> <p>Additional appointment If you wish to appoint more than one member, please use the 'Member appointments' continuation page.</p>								
Full forename(s)											
Surname											
Former name(s) ²											
Country/State of residence ³											
Nationality											
Date of birth	<table border="1"> <tr> <td>d</td><td>d</td><td>m</td><td>m</td><td>y</td><td>y</td><td>y</td><td>y</td> </tr> </table>			d	d	m	m	y	y	y	y
d	d	m		m	y	y	y	y			
Business occupation (if any) ⁴											
C2		Member's service address ⁵									
Building name/number			<p>5 Service address This is the address that will appear on the public record. This does not have to be your usual residential address.</p> <p>Please state 'The SE's Registered Office' if your service address will be recorded in the proposed SE's register of members as the SE's registered office.</p> <p>If you provide your residential address here it will appear on the public record.</p>								
Street											
Post town											
County/Region											
Postcode	<table border="1"> <tr> <td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td> </tr> </table>										
Country											
C3		Member's position									
		I consent to act as member of ⁶ <input type="checkbox"/> the administrative organ of the SE. <input type="checkbox"/> the supervisory organ of the SE. <input type="checkbox"/> the management organ of the SE.	<p>6 Please tick one box.</p>								
C4		Signature ⁷									
		I consent to act as member of the proposed SE named in Section A2.									
Signature	Signature 		<p>7 Signature The person named above consents to act as member of the proposed SE.</p>								

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10/09 Version 2.0

SE FM04

Transformation of a Public Limited Company (PLC) to Societas Europaea (SE)



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Do not cover this barcode

C5 Member's usual residential address

	Please complete your usual residential address below.										
Building name/number											
Street											
Post town											
County/Region											
Postcode	<table border="1"><tr><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td></tr></table>										
Country											

Member's usual residential address
 Please state 'Same as service address' in this section if your usual residential address is recorded in the SE's proposed register of member's residential addresses as 'Same as the service address'.
 You cannot state 'Same as service address' if your service address has been stated in Section C2 as 'The SE's Registered Office'. You will need to complete the address in full.
 This cannot be a PO Box, DX or LP (Legal Post in Scotland) number.

Section 243 of Companies Act 2006

Section 243 exemption

Only tick the box below if you are in the process of applying for, or have been granted, exemption by the Registrar from disclosing your usual residential address to credit reference agencies under section 243 of the Companies Act 2006.

Different postal address:
 If you are applying for, or have been granted, a section 243 exemption, please post this whole form to the different postal address below:
 The Registrar of Companies, PO Box 4082, Cardiff, CF14 3WE.

Where you are applying for a section 243 exemption with this notice, the application and this form must be posted together.

If you are currently in the process of applying for, or have been granted, a section 243 exemption, you may wish to check you have not entered your usual residential address in Section C2 as this will appear on the public record.



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10/09 Version 2.0

SE FM04

Transformation of a Public Limited Company (PLC) to Societas Europaea (SE)

Corporate member

D1 Corporate member appointments		
Please use this section to list all the corporate members of the SE. Please complete Sections D1-D6.		
Name of corporate body or firm		<p>Additional appointments If you wish to appoint more than one corporate member, please use the 'Corporate member appointments' continuation page.</p> <p>Registered or principal address This is the address that will appear on the public record. This address must be a physical location for the delivery of documents. It cannot be a PO Box number (unless contained within a full address), DX number or LP (Legal Post in Scotland) number.</p>
Building name/number		
Street		
Post town		
County/Region		
Postcode	□ □ □ □ □ □ □ □	
Country		
D2 Location of the registry of the corporate body or firm		
Is the corporate member registered within the European Economic Area (EEA)?		
→ Yes Complete Section D3 only		
→ No Complete Section D4 only		
D3 EEA companies		
Please give details of the register where the company file is kept (including the relevant state) and the registration number in that register.		<p>EEA A full list of countries of the EEA can be found in our guidance: www.companieshouse.gov.uk</p> <p>This is the register mentioned in Article 3 of the First Company Law Directive (68/151/EEC).</p>
Where the company/firm is registered		
Registration number		
D4 Non-EEA companies		
Please give details of the legal form of the corporate body or firm and the law by which it is governed. If applicable, please also give details of the register in which it is entered (including the state) and its registration number in that register.		<p>Non-EEA Where you have provided details of the register (including state) where the company or firm is registered, you must also provide its number in that register.</p>
Legal form of the corporate body or firm		
Governing law		
If applicable, where the company/firm is registered		
If applicable, the registration number		

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10/09 Version 2.0

SE FM04

Transformation of a Public Limited Company (PLC) to Societas Europaea (SE)

D5

Corporate member's position

I consent to act as member of

- the administrative organ of the SE.
- the supervisory organ of the SE.
- the management organ of the SE.

Please tick one box.

D6

Signature⁹

I consent to act as member of the proposed SE named in **Section A2**.

Signature

Signature

X

X

Signature

The person named above consents to act as corporate member of the proposed SE.

SE FM04

Transformation of a Public Limited Company (PLC) to Societas Europaea (SE)

Part 4 Declarations

E1 Director's Declaration	
Member name	I, of Being a director of the PLC named in Part 1 confirm that: 1. In accordance with Article 37(4) of Council Regulation (EC) No 2157/2001 (the 'Regulation') the draft terms of conversion were drawn up by the board of directors of the PLC named in Part 1 and were publicised in accordance with the Regulation and The European Public Limited-Liability Company Regulations 2004; 2. In accordance with Article 37(4) of the Regulation a report was drawn up by the board of Directors; 3. In accordance with Article 37(6) of the Regulation an independent expert(s) prepared a certificate on
Certificate date	d d m m y y y y 4. The general meeting approved the statutes and draft terms of conversion on
Approval date	d d m m y y y y 5. The PLC named in Part 1 has for at least two years had a subsidiary company governed by the law of another Member State; and the PLC named in Part 1 either (please tick the appropriate box) <input type="checkbox"/> has its registered office and head office within the Community; or <input type="checkbox"/> its head office is not in the Community, it is formed under the law of a Member State, its registered office is in that Member State and it has a real and continuous link with a Member State's economy; and 6. The information given in this form is correct.
Signature of director of PLC named in Part 1	Signature X X
Date	d d m m y y y y

SE FM04

Transformation of a Public Limited Company (PLC) to Societas Europaea (SE)

E2

Employee Involvement Declaration

Please complete declaration A or B, as appropriate.

Employee Involvement Declaration A

Declaration A

(To be signed on behalf of the Special Negotiating Body (SNB) and by a director of the PLC named in Part 1)

We, being an authorised member of the SNB and a director for the PLC named in Part 1 declare that:

1. There are no outstanding disputes under The European Public Limited-Liability Company (Employee Involvement) (Great Britain) Regulations 2009 ('the Great Britain Regulations') or (as the case may be) The European Public Limited-Liability Company (Employee Involvement) (Northern Ireland) Regulations 2009 ('the Northern Ireland Regulations') or under the equivalent legislation of any other Member State involved; and
2. The PLC named in Part 1 of this form have fulfilled all their obligations in accordance with the Great Britain Regulations or (as the case may be) the Northern Ireland Regulations; and either (tick as appropriate)
 - An employee involvement agreement has been reached in accordance with regulation 15 of the Great Britain Regulations or (as the case may be) regulation 15 of the Northern Ireland Regulations, or
 - The SNB established under regulations 8 to 41 of the Great Britain Regulations or (as the case may be) regulations 8 to 39 of the Northern Ireland Regulations has taken the decisions in accordance with regulation 17 of the Great Britain Regulations or (as the case may be) regulation 17 of the Northern Ireland Regulations not to open or to terminate negotiations but instead to rely upon national rules for information and consultation, or
 - The SNB and the management of the subscribing SE named in Part 1 of the form have agreed, in accordance with regulation 19 of the Great Britain Regulations or (as the case may be) regulation 19 of the Northern Ireland Regulations, to apply the standard rules contained in the Schedule to the Great Britain Regulations or (as the case may be) the Schedule to the Northern Ireland Regulations.
3. The authorised member of the SNB confirms that the agreement or decision referred to in paragraph 2 above has been made by the majority required by regulation 16 of the Great Britain Regulations or (as the case may be) regulation 16 of the Northern Ireland Regulations.

Signature of authorised member of SNB

Signature

X

X

Name

Date

d d m m y y y y

Signature of director of PLC named in Part 1

Signature

X

X

Name

Date

d d m m y y y y

SE FM04

Transformation of a Public Limited Company (PLC) to Societas Europaea (SE)

Employee Involvement Declaration B

Declaration B	<p>(To be signed by a director of the PLC named in Part 1)</p> <p>On behalf of the promoting PLC named in Part 1 of this form, I declare that:</p> <ol style="list-style-type: none"> 1. There are no outstanding disputes under either The European Public Limited-Liability Company (Employee Involvement) (Great Britain) Regulations 2009 ('the Great Britain Regulations') or (as the case may be) The European Public Limited-Liability Company (Employee Involvement) (Northern Ireland) Regulations 2009 ('the Northern Ireland Regulations') or under the equivalent legislation of any other Member State involved; and 2. The PLC named in Part 1 of this form has fulfilled all their obligations in accordance with the Great Britain Regulations or (as the case may be) the Northern Ireland Regulations ; and 3. The deadline for completing negotiations under regulation 14 of the Great Britain Regulations or (as the case may be) regulation 14 of the Northern Ireland Regulations has passed and no agreement has been reached and no decision has been taken regarding the options set out in paragraph 2 of declaration A above and that the standard rules contained in the Schedule to the Great Britain Regulations or (as the case may be) the Schedule to the Northern Ireland Regulations will apply. 									
Signature of director of PLC named in Part 1	<p>Signature</p> <p>X</p>	X								
Name										
Date	<table border="1"> <tr> <td>d</td><td>d</td><td>m</td><td>m</td><td>y</td><td>y</td><td>y</td><td>y</td> </tr> </table>		d	d	m	m	y	y	y	y
d	d	m	m	y	y	y	y			

SE FM04

Transformation of a Public Limited Company (PLC) to Societas Europaea (SE)

Presenter information

You do not have to give any contact information, but if you do it will help Companies House if there is a query on the form. The contact information you give will be visible to searchers of the public record.

Contact name

Company name

Address

Post town

County/Region

Postcode

Country

DX

Telephone

Checklist

We may return forms completed incorrectly or with information missing.

Please make sure you have remembered the following:

- You have filed form SE DT02 in advance on this form.
- The PLC name and number match the information held on the public Register.
- You have completed the proposed name of the SE.
- You have provided details of any subscribing share capital.
- You have attached the appropriate supporting documents.
- You have completed the proposed member's details.
- Any address given must be a physical location. They cannot be a PO Box number (unless part of a full service address), DX or LP (Legal Post in Scotland) number.
- You have completed the appropriate declarations.
- You have signed the form, where appropriate
- You have enclosed the correct fee.

Important information

Please note that all information on this form will appear on the public record, apart from information relating to usual residential addresses.

How to pay

A fee of £20 is payable to Companies House in respect of a formation.

Make cheques or postal orders payable to 'Companies House.'

Where to send

You may return this form to any Companies House address, however for expediency we advise you to return it to the appropriate address below:

For SEs registered in England and Wales:
The Registrar of Companies, Companies House,
Crown Way, Cardiff, Wales, CF14 3UZ.
DX 33050 Cardiff.

For SEs registered in Scotland:
The Registrar of Companies, Companies House,
Fourth floor, Edinburgh Quay 2,
139 Fountainbridge, Edinburgh, Scotland, EH3 9FF.
DX ED235 Edinburgh 1
or LP - 4 Edinburgh 2 (Legal Post).

For SEs registered in Northern Ireland:
The Registrar of Companies, Companies House,
First Floor, Waterfront Plaza, 8 Laganbank Road,
Belfast, Northern Ireland, BT1 3BS.
DX 481 N.R. Belfast 1.

Section 243 exemption

If you are applying for, or have been granted, a section 243 exemption, please post this whole form to the different postal address below:
The Registrar of Companies, PO Box 4082,
Cardiff, CF14 3WE.

Further information

For further information, please see the guidance notes on the website at www.companieshouse.gov.uk or email enquiries@companieshouse.gov.uk

This form is available in an alternative format. Please visit the forms page on the website at www.companieshouse.gov.uk

SE FM04 - continuation page

Transformation of a Public Limited Company (PLC) to Societas Europaea (SE)

Member

C1		Member appointments ¹									
		Please use this section to list all the members of the SE. Please complete Sections C1-C5. For a corporate member, complete Sections D1-D6.									
Title *			<p>1 Corporate appointments For corporate member appointments, please complete Sections D1-D6 instead of Section C.</p> <p>2 Former name(s) Please provide any previous names which have been used for business purposes in the last 20 years. Married women do not need to give former names unless previously used for business purposes.</p> <p>3 Country/State of residence This is in respect of your usual residential address as stated in Section C5.</p> <p>4 Business occupation If you have a business occupation, please enter here. If you do not, please leave blank.</p>								
Full forename(s)											
Surname											
Former name(s) ²											
Country/State of residence ³											
Nationality											
Date of birth	<table border="1"> <tr> <td>d</td><td>d</td><td>m</td><td>m</td><td>y</td><td>y</td><td>y</td><td>y</td> </tr> </table>			d	d	m	m	y	y	y	y
d	d	m		m	y	y	y	y			
Business occupation (if any) ⁴											
C2		Member's service address ⁵									
Building name/number			<p>5 Service address This is the address that will appear on the public record. This does not have to be your usual residential address.</p> <p>Please state 'The SE's Registered Office' if your service address will be recorded in the proposed SE's register of members as the SE's registered office.</p> <p>If you provide your residential address here it will appear on the public record.</p>								
Street											
Post town											
County/Region											
Postcode	<table border="1"> <tr> <td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td> </tr> </table>										
Country											
C3		Member's position									
		I consent to act as member of ⁶	<p>6 Please tick one box.</p>								
		<input type="checkbox"/> the administrative organ of the SE.									
		<input type="checkbox"/> the supervisory organ of the SE.									
		<input type="checkbox"/> the management organ of the SE.									
C4		Signature ⁷									
		I consent to act as member of the proposed SE named in Section A2.	<p>7 Signature The person named above consents to act as member of the proposed SE.</p>								
Signature	<table border="1"> <tr> <td>Signature</td> <td>X</td> <td>X</td> </tr> </table>			Signature	X	X					
Signature	X	X									

SE FM04 - continuation page

Transformation of a Public Limited Company (PLC) to Societas Europaea (SE)



This page is not shown on the public record



Do not cover this barcode

C5 Member's usual residential address

	Please complete your usual residential address below.
Building name/number	
Street	
Post town	
County/Region	
Postcode	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>
Country	

Member's usual residential address
 Please state 'Same as service address' in this section if your usual residential address is recorded in the SE's proposed register of member's residential addresses as 'Same as the service address'.
 You cannot state 'Same as service address' if your service address has been stated in Section C2 as 'The SE's Registered Office'. You will need to complete the address in full.
 This cannot be a PO Box, DX or LP (Legal Post in Scotland) number.

Section 243 of Companies Act 2006

Section 243 exemption

Only tick the box below if you are in the process of applying for, or have been granted, exemption by the Registrar from disclosing your usual residential address to credit reference agencies under section 243 of the Companies Act 2006.

Different postal address:
If you are applying for, or have been granted, a section 243 exemption, please post this whole form to the different postal address below:
The Registrar of Companies, PO Box 4082, Cardiff, CF14 3WE.

Where you are applying for a section 243 exemption with this notice, the application and this form must be posted together.

If you are currently in the process of applying for, or have been granted, a section 243 exemption, you may wish to check you have not entered your usual residential address in Section C2 as this will appear on the public record.



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10/09 Version 2.0

SE FM04 - continuation page

Transformation of a Public Limited Company (PLC) to Societas Europaea (SE)

Corporate member

D1		Corporate member appointments										
		Please use this section to list all the corporate members of the SE. Please complete Sections D1-D6.	<p>Registered or principal address This is the address that will appear on the public record. This address must be a physical location for the delivery of documents. It cannot be a PO Box number (unless contained within a full address), DX number or LP (Legal Post in Scotland) number.</p>									
Name of corporate body or firm												
Building name/number												
Street												
Post town												
County/Region												
Postcode	<table border="1"> <tr> <td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td> </tr> </table>											
Country												
D2		Location of the registry of the corporate body or firm										
		Is the corporate member registered within the European Economic Area (EEA)?										
		→ Yes Complete Section D3 only										
		→ No Complete Section D4 only										
D3		EEA companies										
		Please give details of the register where the company file is kept (including the relevant state) and the registration number in that register.	<p>EEA A full list of countries of the EEA can be found in our guidance: www.companieshouse.gov.uk</p> <p>This is the register mentioned in Article 3 of the First Company Law Directive (68/151/EEC).</p>									
Where the company/firm is registered												
Registration number												
D4		Non-EEA companies										
		Please give details of the legal form of the corporate body or firm and the law by which it is governed. If applicable, please also give details of the register in which it is entered (including the state) and its registration number in that register.	<p>Non-EEA Where you have provided details of the register (including state) where the company or firm is registered, you must also provide its number in that register.</p>									
Legal form of the corporate body or firm												
Governing law												
If applicable, where the company/firm is registered												
If applicable, the registration number												

SE FM04 - continuation page

Transformation of a Public Limited Company (PLC) to Societas Europaea (SE)

D5

Corporate member's position

- I consent to act as member of **1**
- the administrative organ of the SE.
 - the supervisory organ of the SE.
 - the management organ of the SE.

1 Please tick one box.

D6

Signature²

I consent to act as member of the proposed SE named in **Section A2**.

Signature

Signature
X

2 **Signature**
The person named above consents to act as corporate member of the proposed SE.

In accordance with Section 9(1) of the European Public Limited-Liability Company Regulations 2004.

SE FM05

Formation of subsidiary Societas Europaea (SE) under Article 3(2) of Council Regulation (EC) No 2157/2001



A fee is payable with this form. Please see 'How to pay' on the last page.

✓ **What this form is for**
You may use this form to form a subsidiary SE under Article 3(2) of Council Regulation (EC) No 2157/2001.

✗ **What this form is NOT for**
You cannot use this form to form an SE by any other means.

For further information, please refer to our guidance at www.companieshouse.gov.uk

Part 1 SE details

→ **Filling in this form**
Please complete in typescript or in bold black capitals.
All fields are mandatory unless specified or indicated by *

A1 Proposed name

Proposed name of SE in full ●

● **Duplicate names**
Duplicate names are not permitted. A list of registered names can be found on our website. There are various rules that may affect your choice of name. More information is available at www.companieshouse.gov.uk

A2 SE name restrictions ●

Please tick the box only if the proposed SE name contains sensitive or restricted words or expressions that require you to seek comments of a government department or other specified body.

I confirm that the proposed SE name contains sensitive or restricted words or expressions and that approval, where appropriate, has been sought of a government department or other specified body and I attach a copy of their response.

● **SE name restrictions**
A list of sensitive or restricted words or expressions that require consent can be found in guidance available on our website: www.companieshouse.gov.uk

A3 Proposed registered office address

Building name/number	
Street	
Post town	
County/Region	
Postcode	
Country	

SE FM05

Formation of subsidiary Societas Europaea (SE) under Article 3(2) of Council Regulation (EC) No 2157/2001

A4		Details of subscribing SE forming a subsidiary SE	
		Details	
Registered number (if applicable)		<input type="text"/>	<input type="text"/>
Name		<input type="text"/>	<input type="text"/>
Registered office address		<input type="text"/>	<input type="text"/>
Postcode		<input type="text"/>	<input type="text"/>
Name of Member		<input type="text"/>	<input type="text"/>
State and address of registry where documents are filed		<input type="text"/>	<input type="text"/>

SE FM05

Formation of subsidiary Societas Europaea (SE) under Article 3(2) of Council Regulation (EC) No 2157/2001

Part 2 Subscribing capital of the proposed SE

B1	Subscribed capital in pound sterling (£) or euros (€) *		* Subscribing capital in pound sterling (£) or euros (€) This must not be less than £50,000 or €65,000.
	Subscribed capital		
B2	Subscribed capital in other currencies *		* Subscribing capital in other currencies Please specify currencies.
B3	Total subscribed capital		
	<input type="checkbox"/> Please tick the box to confirm that the total subscribed capital of the proposed SE is not less than the equivalent of €120,000.		
B4	Principal business activity		* Principal business activity You must provide a trade classification (Standard Industrial Classification 2003) code or a description of your SE's main business in this space. A full list of the trade classification codes are available on our website: www.companieshouse.gov.uk
	Please show the trade classification code number(s) for the principal activity or activities. *		
	Classification code 1	<input type="text"/>	
	Classification code 2	<input type="text"/>	
	Classification code 3	<input type="text"/>	
	Classification code 4	<input type="text"/>	
	If you cannot determine a code, please give a brief description of your business activity below:		
	Principal activity description		
B5	Documents attached		
	Please tick the boxes to confirm that the following document is attached to this form.		
	Document description	Number of pages	
	<input type="checkbox"/> Statutes of the proposed subsidiary SE.		

SE FM05

Formation of subsidiary Societas Europaea (SE) under Article 3(2) of Council Regulation (EC) No 2157/2001

Part 3

Proposed members of the SE

Please list below details of persons who are members of the SE named above.
For SEs with a two-tier system, details of all members of both the supervisory and management organs must be given. For SEs with a one-tier system, please give the details of all members of the administrative organ.

For a member who is an individual, go to Section C1; for a corporate member, go to Section D1;

Please use a continuation page if there are more members of the SE.

Member

C1

Member appointments¹

Please use this section to list all the members of the SE. Please complete Sections C1-C5. For a corporate member, complete Sections D1-D6.

Title *	
Full forename(s)	
Surname	
Former name(s) ²	
Country/State of residence ³	
Nationality	
Date of birth	d d m m y y y y
Business occupation (if any) ⁴	

¹ Corporate appointments

For corporate member appointments, please complete Sections D1-D6 instead of Section C.

² Former name(s)

Please provide any previous names which have been used for business purposes in the last 20 years. Married women do not need to give former names unless previously used for business purposes.

³ Country/State of residence

This is in respect of your usual residential address as stated in Section C5.

⁴ Business occupation

If you have a business occupation, please enter here. If you do not, please leave blank.

Additional appointments

If you wish to appoint more than one member, please use the 'Member appointments' continuation page.

C2

Member's service address⁵

Building name/number	
Street	
Post town	
County/Region	
Postcode	
Country	

⁵ Service address

This is the address that will appear on the public record. This does not have to be your usual residential address.

Please state 'The SE's Registered Office' if your service address will be recorded in the proposed SE's register of members as the SE's registered office.

If you provide your residential address here it will appear on the public record.

SE FM05

Formation of subsidiary Societas Europaea (SE) under Article 3(2) of Council Regulation (EC) No 2157/2001

C3

Member's position

I consent to act as member of

the administrative organ of the SE.
 the supervisory organ of the SE.
 the management organ of the SE.

Please tick one box.

C4

Signature

I consent to act as member of the proposed SE named in Section A1.

Signature

Signature
X **X**

Signature
The person named above consents to act as member of the proposed SE.

SE FM05

Formation of subsidiary Societas Europaea (SE) under Article 3(2) of Council Regulation (EC) No 2157/2001



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C5

Member's usual residential address

	Please complete your usual residential address below.
Building name/number	
Street	
Post town	
County/Region	
Postcode	
Country	

Member's usual residential address

Please state 'Same as service address' in this section if your usual residential address is recorded in the SE's proposed register of member's residential addresses as 'Same as the service address'.

You cannot state 'Same as service address' if your service address has been stated in Section C2 as 'The SE's Registered Office'. You will need to complete the address in full.

This cannot be a PO Box, DX or LP (Legal Post in Scotland) number.

Section 243 of Companies Act 2006

Section 243 exemption

Only tick the box below if you are in the process of applying for, or have been granted, exemption by the Registrar from disclosing your usual residential address to credit reference agencies under section 243 of the Companies Act 2006.

Different postal address:

If you are applying for, or have been granted, a section 243 exemption, please post this whole form to the different postal address below:
The Registrar of Companies, PO Box 4082, Cardiff, CF14 3WE.

Where you are applying for a section 243 exemption with this notice, the application and this form must be posted together.

If you are currently in the process of applying for, or have been granted, a section 243 exemption, you may wish to check you have not entered your usual residential address in Section C2 as this will appear on the public record.



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10/09 Version 2.0

SE FM05

Formation of subsidiary Societas Europaea (SE) under Article 3(2) of Council Regulation (EC) No 2157/2001

Member

C1		Member appointments ^①	
		Please use this section to list all the members of the SE. Please complete Sections C1-C5. For a corporate member, complete Sections D1-D6.	
Title *			<p>① Corporate appointments For corporate member appointments, please complete Sections D1-D6 instead of Section C.</p> <p>② Former name(s) Please provide any previous names which have been used for business purposes in the last 20 years. Married women do not need to give former names unless previously used for business purposes.</p> <p>③ Country/State of residence This is in respect of your usual residential address as stated in Section C5.</p> <p>④ Business occupation If you have a business occupation, please enter here. If you do not, please leave blank.</p> <p>Additional appointments If you wish to appoint more than one member, please use the 'Member appointments' continuation page.</p>
Full forename(s)			
Surname			
Former name(s) ^②			
Country/State of residence ^③			
Nationality			
Date of birth	d d m m y y y y		
Business occupation (if any) ^④			
C2		Member's service address ^⑤	
Building name/number			<p>⑤ Service address This is the address that will appear on the public record. This does not have to be your usual residential address.</p> <p>Please state 'The SE's Registered Office' if your service address will be recorded in the proposed SE's register of members as the SE's registered office.</p> <p>If you provide your residential address here it will appear on the public record.</p>
Street			
Post town			
County/Region			
Postcode			
Country			
C3		Member's position	
		I consent to act as member of ^⑥	<p>⑥ Please tick one box.</p>
		<input type="checkbox"/> the administrative organ of the SE.	
		<input type="checkbox"/> the supervisory organ of the SE.	
		<input type="checkbox"/> the management organ of the SE.	
C4		Signature ^⑦	
		I consent to act as member of the proposed SE named in Section A1.	<p>⑦ Signature The person named above consents to act as member of the proposed SE.</p>
Signature	Signature	X	

CHFP000
10/09 Version 2.0

SE FM05

Formation of subsidiary Societas Europaea (SE) under Article 3(2) of Council Regulation (EC) No 2157/2001



This page is not shown on the public record



Do not cover this barcode

C5 Member's usual residential address

	Please complete your usual residential address below.
Building name/number	
Street	
Post town	
County/Region	
Postcode	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>
Country	

Member's usual residential address
 Please state 'Same as service address' in this section if your usual residential address is recorded in the SE's proposed register of member's residential addresses as 'Same as the service address'.
 You cannot state 'Same as service address' if your service address has been stated in Section C2 as 'The SE's Registered Office'. You will need to complete the address in full.
 This cannot be a PO Box, DX or LP (Legal Post in Scotland) number.

Section 243 of Companies Act 2006

Section 243 exemption

Only tick the box below if you are in the process of applying for, or have been granted, exemption by the Registrar from disclosing your usual residential address to credit reference agencies under section 243 of the Companies Act 2006.

Different postal address:

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The Registrar of Companies, PO Box 4082, Cardiff, CF14 3WE.

Where you are applying for a section 243 exemption with this notice, the application and this form must be posted together.

If you are currently in the process of applying for, or have been granted, a section 243 exemption, you may wish to check you have not entered your usual residential address in Section C2 as this will appear on the public record.



CHFP000
10/09 Version 2.0

SE FM05

Formation of subsidiary Societas Europaea (SE) under Article 3(2) of Council Regulation (EC) No 2157/2001

Corporate member

D1		Corporate member appointments ^①										
		Please use this section to list all the corporate members of the SE. Please complete Sections D1-D6.										
Name of corporate body or firm			<p>① Additional appointments If you wish to appoint more than one corporate member, please use the 'Corporate member appointments' continuation page.</p> <p>② Registered or principal address This is the address that will appear on the public record. This address must be a physical location for the delivery of documents. It cannot be a PO Box number (unless contained within a full address), DX number or LP (Legal Post in Scotland) number.</p>									
Building name/number ^②												
Street												
Post town												
County/Region												
Postcode	<table border="1"> <tr> <td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td> </tr> </table>											
Country												
D2		Location of the registry of the corporate body or firm										
		Is the corporate member registered within the European Economic Area (EEA)?										
		→ Yes Complete Section D3 only										
		→ No Complete Section D4 only										
D3		EEA companies ^③										
		Please give details of the register where the company file is kept (including the relevant state) and the registration number in that register.	<p>③ EEA A full list of countries of the EEA can be found in our guidance: www.companieshouse.gov.uk</p> <p>④ This is the register mentioned in Article 3 of the First Company Law Directive (68/151/EEC).</p>									
Where the company/firm is registered ^④												
Registration number												
D4		Non-EEA companies										
		Please give details of the legal form of the corporate body or firm and the law by which it is governed. If applicable, please also give details of the register in which it is entered (including the state) and its registration number in that register.	<p>⑤ Non-EEA Where you have provided details of the register (including state) where the company or firm is registered, you must also provide its number in that register.</p>									
Legal form of the corporate body or firm												
Governing law												
If applicable, where the company/firm is registered ^⑤												
If applicable, the registration number												

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10/09 Version 2.0

SE FM05

Formation of subsidiary Societas Europaea (SE) under Article 3(2) of Council Regulation (EC) No 2157/2001

D5

Corporate member's position

I consent to act as member of

the administrative organ of the SE.
 the supervisory organ of the SE.
 the management organ of the SE.

Please tick one box.

D6

Signature

I consent to act as member of the proposed SE named in Section A1.

Signature

Signature
X

Signature
The person named above consents to act as corporate member of the proposed SE.

SE FM05

Formation of subsidiary Societas Europaea (SE) under Article 3(2) of Council Regulation (EC) No 2157/2001

Part 4 Declarations

E1	Signature	
	I certify that the information given in this form is correct.	
Signature	Signature X	X
		This form must be signed by a member of the management or administrative organ of the proposed SE named in Part 1.

E2	Employee Involvement Declaration
Please complete declaration A or B, as appropriate.	

Declaration A	Employee Involvement Declaration A
	<p>(To be signed on behalf of the Special Negotiating Body (SNB) and by a proposed member of the management or administrative organ of the proposed subsidiary SE)</p> <p>We, being an authorised member of the SNB and a proposed member of the management or administrative organ of the proposed SE declare that:</p> <ol style="list-style-type: none"> There are no outstanding disputes under The European Public Limited-Liability Company (Employee Involvement) (Great Britain) Regulations 2009 ('the Great Britain Regulations') or (as the case may be) The European Public Limited-Liability Company (Employee Involvement) (Northern Ireland) Regulations 2009 ('the Northern Ireland Regulations') or under the equivalent legislation of any other Member State involved; and The subscribing SE named in Part 1 of this form have fulfilled all their obligations in accordance with the Great Britain Regulations or (as the case may be) the Northern Ireland Regulations; and either (tick as appropriate) <ul style="list-style-type: none"> <input type="checkbox"/> An employee involvement agreement has been reached in accordance with regulation 15 of the Great Britain Regulations or (as the case may be) regulation 15 of the Northern Ireland Regulations, or <input type="checkbox"/> The SNB established under regulations 8 to 41 of the Great Britain Regulations or (as the case may be) regulations 8 to 39 of the Northern Ireland Regulations has taken the decisions in accordance with regulation 17 of the Great Britain Regulations or (as the case may be) regulation 17 of the Northern Ireland Regulations not to open or to terminate negotiations but instead to rely upon national rules for information and consultation, or <input type="checkbox"/> The SNB and the management of the subscribing SE named in Part 1 of the form have agreed, in accordance with regulation 19 of the Great Britain Regulations or (as the case may be) regulation 19 of the Northern Ireland Regulations, to apply the standard rules contained in the Schedule to the Great Britain Regulations or (as the case may be) the Schedule to the Northern Ireland Regulations. The authorised member of the SNB confirms that the agreement or decision referred to in paragraph 2 above has been made by the majority required by regulation 16 of the Great Britain Regulations or (as the case may be) regulation 16 of the Northern Ireland Regulations.

SE FM05

Formation of subsidiary Societas Europaea (SE) under Article 3(2) of Council Regulation (EC) No 2157/2001

Signature of authorised member of SNB	Signature X	X	<p>● This must be signed by a proposed member of the management or administrative organ of the proposed subsidiary SE named in Part 1.</p>
Name			
Date	d d m m y y y y		
Signature of proposed member of subsidiary SE ●	Signature X	X	
Name			
Date	d d m m y y y y		

Employee Involvement Declaration B

Declaration B	<p>(To be signed by a proposed member of the management or administrative organ of the proposed SE)</p> <p>On behalf of the promoting PLC named in Part 1 of this form, I declare that:</p> <ol style="list-style-type: none"> 1. There are no outstanding disputes under either The European Public Limited-Liability Company (Employee Involvement) (Great Britain) Regulations 2009 ('the Great Britain Regulations') or (as the case may be) The European Public Limited-Liability Company (Employee Involvement) (Northern Ireland) Regulations 2009 ('the Northern Ireland Regulations') or under the equivalent legislation of any other Member State involved; and 2. The subscribing SE named in Part 1 of this form has fulfilled all their obligations in accordance with the Great Britain Regulations or (as the case may be) the Northern Ireland Regulations ; and 3. The deadline for completing negotiations under regulation 14 of the Great Britain Regulations or (as the case may be) regulation 14 of the Northern Ireland Regulations has passed and no agreement has been reached and no decision has been taken regarding the options set out in paragraph 2 of declaration A above and that the standard rules contained in the Schedule to the Great Britain Regulations or (as the case may be) the Schedule to the Northern Ireland Regulations will apply. 		<p>● This must be signed by a proposed member of the management or administrative organ of the proposed subsidiary SE named in Part 1.</p>
Signature ●	Signature X	X	
Name			
Date	d d m m y y y y		

SE FM05

Formation of subsidiary Societas Europaea (SE) under Article 3(2) of Council Regulation (EC) No 2157/2001



Presenter information

You do not have to give any contact information, but if you do it will help Companies House if there is a query on the form. The contact information you give will be visible to searchers of the public record.

Contact name

Company name

Address

Post town

County/Region

Postcode

Country

DX

Telephone



Checklist

We may return forms completed incorrectly or with information missing.

Please make sure you have remembered the following:

- You have completed the proposed name.
- You have given details of subscribing SE forming a subsidiary SE.
- You have provided details of any subscribing share capital.
- You have attached the statutes of the proposed subsidiary SE.
- You have completed the proposed member's details.
- Any address given must be a physical location. They cannot be a PO Box number (unless part of a full service address), DX or LP (Legal Post in Scotland) number.
- You have completed the appropriate declarations.
- You have signed the form, where appropriate.
- You have enclosed the correct fee.



Important information

Please note that all information on this form will appear on the public record, apart from information relating to usual residential addresses.



How to pay

A fee of £20 is payable to Companies House in respect of a formation.

Make cheques or postal orders payable to 'Companies House.'



Where to send

You may return this form to any Companies House address, however for expediency we advise you to return it to the appropriate address below:

For SEs registered in England and Wales:
The Registrar of Companies, Companies House,
Crown Way, Cardiff, Wales, CF14 3UZ.
DX 33050 Cardiff.

For SEs registered in Scotland:
The Registrar of Companies, Companies House,
Fourth floor, Edinburgh Quay 2,
139 Fountainbridge, Edinburgh, Scotland, EH3 9FF.
DX ED235 Edinburgh 1
or LP - 4 Edinburgh 2 (Legal Post).

For SEs registered in Northern Ireland:
The Registrar of Companies, Companies House,
First Floor, Waterfront Plaza, 8 Laganbank Road,
Belfast, Northern Ireland, BT1 3BS.
DX 481 N.R. Belfast 1.

Section 243 exemption

If you are applying for, or have been granted, a section 243 exemption, please post this whole form to the different postal address below:
The Registrar of Companies, PO Box 4082,
Cardiff, CF14 3WE.



Further information

For further information, please see the guidance notes on the website at www.companieshouse.gov.uk or email enquiries@companieshouse.gov.uk

This form is available in an alternative format. Please visit the forms page on the website at www.companieshouse.gov.uk

SE FM05 - continuation page

Formation of subsidiary Societas Europaea (SE) under Article 3(2) of
Council Regulation (EC) No 2157/2001

Member

C1		Member appointments ^①									
		Please use this section to list all the members of the SE. Please complete Sections C1-C5. For a corporate member, complete Sections D1-D6.									
Title *			<p>① Corporate appointments For corporate member appointments, please complete Sections D1-D6 instead of Section C.</p> <p>② Former name(s) Please provide any previous names which have been used for business purposes in the last 20 years. Married women do not need to give former names unless previously used for business purposes.</p> <p>③ Country/State of residence This is in respect of your usual residential address as stated in Section C5.</p> <p>④ Business occupation If you have a business occupation, please enter here. If you do not, please leave blank.</p>								
Full forename(s)											
Surname											
Former name(s) ^②											
Country/State of residence ^③											
Nationality											
Date of birth	<table border="1"> <tr> <td>d</td><td>d</td><td>m</td><td>m</td><td>y</td><td>y</td><td>y</td><td>y</td> </tr> </table>			d	d	m	m	y	y	y	y
d	d	m	m	y	y	y	y				
Business occupation (if any) ^④											
C2		Member's service address ^⑤									
Building name/number			<p>⑤ Service address This is the address that will appear on the public record. This does not have to be your usual residential address.</p> <p>Please state 'The SE's Registered Office' if your service address will be recorded in the proposed SE's register of members as the SE's registered office.</p> <p>If you provide your residential address here it will appear on the public record.</p>								
Street											
Post town											
County/Region											
Postcode	<table border="1"> <tr> <td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td> </tr> </table>										
Country											
C3		Member's position									
		I consent to act as member of ^⑥	<p>⑥ Please tick one box.</p>								
		<input type="checkbox"/> the administrative organ of the SE.									
		<input type="checkbox"/> the supervisory organ of the SE.									
		<input type="checkbox"/> the management organ of the SE.									
C4		Signature ^⑦									
		I consent to act as member of the proposed SE named in Section A1.	<p>⑦ Signature The person named above consents to act as member of the proposed SE.</p>								
Signature	<table border="1"> <tr> <td>Signature</td> <td>X</td> <td>X</td> </tr> </table>			Signature	X	X					
Signature	X	X									



This page is not shown on the public record



Do not cover this barcode

C5 Member's usual residential address

	Please complete your usual residential address below.
Building name/number	
Street	
Post town	
County/Region	
Postcode	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>
Country	

Member's usual residential address
 Please state 'Same as service address' in this section if your usual residential address is recorded in the SE's proposed register of member's residential addresses as 'Same as the service address'.
 You cannot state 'Same as service address' if your service address has been stated in Section C2 as 'The SE's Registered Office'. You will need to complete the address in full.
 This cannot be a PO Box, DX or LP (Legal Post in Scotland) number.

Section 243 of
 Companies Act 2006

Section 243 exemption

Only tick the box below if you are in the process of applying for, or have been granted, exemption by the Registrar from disclosing your usual residential address to credit reference agencies under section 243 of the Companies Act 2006.

Different postal address:

If you are applying for, or have been granted, a section 243 exemption, please post this whole form to the different postal address below:
 The Registrar of Companies, PO Box 4082, Cardiff, CF14 3WE.

Where you are applying for a section 243 exemption with this notice, the application and this form must be posted together.

If you are currently in the process of applying for, or have been granted, a section 243 exemption, you may wish to check you have not entered your usual residential address in Section C2 as this will appear on the public record.



SE FM05 - continuation page

Formation of subsidiary Societas Europaea (SE) under Article 3(2) of
Council Regulation (EC) No 2157/2001

Corporate member

D1		Corporate member appointments											
		Please use this section to list all the corporate members of the SE. Please complete Sections D1-D6.											
Name of corporate body or firm			<p>Registered or principal address This is the address that will appear on the public record. This address must be a physical location for the delivery of documents. It cannot be a PO Box number (unless contained within a full address), DX number or LP (Legal Post in Scotland) number.</p>										
Building name/number													
Street													
Post town													
County/Region													
Postcode	<table border="1"> <tr> <td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td> </tr> </table>												
Country													
D2		Location of the registry of the corporate body or firm											
		Is the corporate member registered within the European Economic Area (EEA)?											
		→ Yes Complete Section D3 only											
		→ No Complete Section D4 only											
D3		EEA companies											
		Please give details of the register where the company file is kept (including the relevant state) and the registration number in that register.	<p>EEA A full list of countries of the EEA can be found in our guidance: www.companieshouse.gov.uk</p> <p>This is the register mentioned in Article 3 of the First Company Law Directive (68/151/EEC).</p>										
Where the company/firm is registered													
Registration number													
D4		Non-EEA companies											
		Please give details of the legal form of the corporate body or firm and the law by which it is governed. If applicable, please also give details of the register in which it is entered (including the state) and its registration number in that register.	<p>Non-EEA Where you have provided details of the register (including state) where the company or firm is registered, you must also provide its number in that register.</p>										
Legal form of the corporate body or firm													
Governing law													
If applicable, where the company/firm is registered													
If applicable, the registration number													

SE FM05 - continuation page
 Formation of subsidiary Societas Europaea (SE) under Article 3(2) of
 Council Regulation (EC) No 2157/2001

D5	Corporate member's position		
	I consent to act as member of ● <input type="checkbox"/> the administrative organ of the SE. <input type="checkbox"/> the supervisory organ of the SE. <input type="checkbox"/> the management organ of the SE.	● Please tick one box.	
D6	Signature⁹		
Signature	I consent to act as member of the proposed SE named in Section A1 . Signature X	9 Signature The person named above consents to act as corporate member of the proposed SE.	X

In accordance with
Section 10 of the
European Public Limited-
Liability Company
Regulations 2004.

SE TR02

Transfer to the United Kingdom (UK) of Societas Europaea (SE)



A fee is payable with this form
Please see 'How to pay' on the last page.

✓ **What this form is for**
You may use this form to register a
transfer of an SE to the UK.

✗ **What this form is NOT for**
You cannot use this form to submit
any other information.

For further information, please
refer to our guidance at
www.companieshouse.gov.uk

Part 1 SE details

A1 SE details		<p>→ Filling in this form Please complete in typescript or in bold black capitals. All fields are mandatory unless specified or indicated by *</p>
SE number, if applicable	<input type="text"/>	
SE name in full	<input type="text"/>	
A2 Date of registration in current registry		
Date of registration	<input type="text"/>	
A3 Present registered office address		
Building name/number	<input type="text"/>	
Street	<input type="text"/>	
Post town	<input type="text"/>	
County/Region	<input type="text"/>	
Postcode	<input type="text"/>	
Country	<input type="text"/>	
	Please enter the name of the member state below.	
Name of member state	<input type="text"/>	
A4 Proposed registered office address in the UK		
Building name/number	<input type="text"/>	
Street	<input type="text"/>	
Post town	<input type="text"/>	
County/Region	<input type="text"/>	
Postcode	<input type="text"/>	

SE TR02

Transfer to the United Kingdom (UK) of Societas Europaea (SE)

A5		Proposed new name	
	Please enter the proposed new name of the SE on transfer, if applicable.		Ⓢ Duplicate names Duplicate names are not permitted. A list of registered names can be found on our website. There are various rules that may affect your choice of name. More information is available at www.companieshouse.gov.uk
Proposed new name	<input type="text"/>		
	<input type="text"/>		
A6		SE name restrictions	
	Please tick the box only if the proposed SE named in Section A5 contains sensitive or restricted words or expressions that require you to seek comments of a government department or other specified body.		Ⓢ SE name restrictions A list of sensitive or restricted words or expressions that require consent can be found in guidance available on our website: www.companieshouse.gov.uk
	<input type="checkbox"/> I confirm that the proposed SE name contains sensitive or restricted words or expressions and that approval, where appropriate, has been sought of a government department or other specified body and I attach a copy of their response.		
A7		Name and address of present registry	
Name of registry	<input type="text"/>		Ⓢ Please enter the name and address of the present registry.
Address	<input type="text"/>		
Postcode	<input type="text"/>		
Country	<input type="text"/>		
A8		Balance sheet	
	Please enter the date of the last balance sheet drawn up before registration in the UK, if applicable. If there isn't one, please move on to the next field.		Ⓢ Date of last balance sheet This is the date at which the balance sheet was required to be drawn up under provision of law of the Member State in which the SE had its registered office. If there isn't one, please enter the original date of formation of SE where indicated.
Date of last balance sheet	d <input type="text"/> <input type="text"/> / m <input type="text"/> <input type="text"/> y <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>		
	If there was no last balance sheet, please enter the original date of formation of the SE.		
Original date of formation of SE	d <input type="text"/> <input type="text"/> / m <input type="text"/> <input type="text"/> y <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>		
A9		Principal business activity	
	Please show the trade classification code number(s) for the principal activity or activities.		Ⓢ Principal business activity You must provide a trade classification (Standard Industrial Classification 2003) code or a description of your SE's main business in this space. A full list of the trade classification codes are available on our website: www.companieshouse.gov.uk
Classification code 1	<input type="text"/>		
Classification code 2	<input type="text"/>		
Classification code 3	<input type="text"/>		
Classification code 4	<input type="text"/>		
	If you cannot determine a code, please give a brief description of your business activity below:		
Principal activity description	<input type="text"/>		
	<input type="text"/>		
	<input type="text"/>		

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10/09 Version 2.0

SE TR02

Transfer to the United Kingdom (UK) of Societas Europaea (SE)

A10

Documents attached

Please tick the boxes to confirm that **both** the following documents are attached to this form.

Document description	Number of pages
<input type="checkbox"/> Copy of statutes of SE.	
<input type="checkbox"/> Certificate by old registration authority attesting to the completion of the acts and formalities to be completed before the transfer.	

SE TR02

Transfer to the United Kingdom (UK) of Societas Europaea (SE)

Part 2 Officers details

Please list below details of persons who are members of the SE.

For SEs with a two-tier system, details of all members of both the supervisory and management organs must be given. For SEs with a one-tier system, please give the details of all members of the administrative organ.

For a member who is an individual, go to Section B1; for a corporate member, go to Section C1;

Please use a continuation page if there are more members of the SE.

Member

B1 Member's appointments [ⓐ]

Please use this section to list all the members of the SE. Please complete Sections B1-B4. For a corporate member, complete Sections C1-C5.

Title*	
Full forename(s)	
Surname	
Former name(s) [ⓑ]	
Country/State of residence [Ⓒ]	
Nationality	
Date of birth	d d m m y y y y
Business occupation (if any) [Ⓓ]	

ⓐ Corporate appointments

For corporate member appointments, please complete Section C1-C5 instead of section B.

ⓑ Former name(s)

Please provide any previous names which have been used for business purposes in the last 20 years. Married women do not need to give former names unless previously used for business purposes.

Ⓒ Country/State of residence

This is in respect of your usual residential address as stated in Section B4.

Ⓓ Business occupation

If you have a business occupation, please enter here. If you do not, please leave blank.

Additional appointment

If you wish to appoint more than one member, please use the 'Member's appointments' continuation page.

B2 Member's service address [ⓐ]

Building name/number	
Street	
Post town	
County/Region	
Postcode	
Country	

ⓐ Service address

This is the address that will appear on the public record. This does not have to be your usual residential address.

Please state 'The SE's Registered Office' if your service address is recorded in the SE's register of members as the SE's registered office.

If you provide your residential address here it will appear on the public record.

B3 Member's position

I consent to act as member of [ⓐ]

- the administrative organ of the SE.
 the supervisory organ of the SE.
 the management organ of the SE.

[ⓐ] Please tick one box.

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SE TR02

Transfer to the United Kingdom (UK) of Societas Europaea (SE)



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B4

Member's usual residential address [Ⓢ]

	Please complete your usual residential address below.								
Building name/number									
Street									
Post town									
County/Region									
Postcode	<table border="1"><tr><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td></tr></table>								
Country									

Member's usual residential address

Please state 'Same as service address' in this section if your usual residential address is recorded in the SE's proposed register of member's residential addresses as 'Same as the service address'.

This does not apply if your service address has been stated in Section B2 as 'The SE's registered office'.

This cannot be a PO Box, DX or LP (Legal Post in Scotland) number.

Section 243 of Companies Act 2006

Section 243 exemption [Ⓢ]

Only tick the box below if you are in the process of applying for, or have been granted, exemption by the Registrar from disclosing your usual residential address to credit reference agencies under section 243 of the Companies Act 2006.

Different postal address:

If you are applying for, or have been granted, a section 243 exemption, please post this whole form to the different postal address below:
The Registrar of Companies, PO Box 4082, Cardiff, CF14 3WE.

Where you are applying for a section 243 exemption with this notice, the application and this form must be posted together.

[Ⓢ] If you are currently in the process of applying for, or have been granted, a section 243 exemption, you may wish to check you have not entered your usual residential address in Section B2 as this will appear on the public record.



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10/09 Version 2.0

SE TR02

Transfer to the United Kingdom (UK) of Societas Europaea (SE)

Member

B1 Member's appointments ¹	
	Please use this section to list all the members of the SE. Please complete Sections B1-B4. For a corporate member, complete Sections C1-C5.
Title*	
Full forename(s)	
Surname	
Former name(s) ²	
Country/State of residence ³	
Nationality	
Date of birth	d d m m y y y y
Business occupation (if any) ⁴	
	1 Corporate appointments For corporate member appointments, please complete Section C1-C5 instead of section B. 2 Former name(s) Please provide any previous names which have been used for business purposes in the last 20 years. Married women do not need to give former names unless previously used for business purposes. 3 Country/State of residence This is in respect of your usual residential address as stated in Section B4. 4 Business occupation If you have a business occupation, please enter here. If you do not, please leave blank. Additional appointment If you wish to appoint more than one member, please use the 'Member's appointments' continuation page.
B2 Member's service address ⁵	
Building name/number	
Street	
Post town	
County/Region	
Postcode	
Country	
	5 Service address This is the address that will appear on the public record. This does not have to be your usual residential address. Please state 'The SE's Registered Office' if your service address is recorded in the SE's register of members as the SE's registered office. If you provide your residential address here it will appear on the public record.
B3 Member's position	
I consent to act as member of ⁶	
<input type="checkbox"/> the administrative organ of the SE. <input type="checkbox"/> the supervisory organ of the SE. <input type="checkbox"/> the management organ of the SE.	
6 Please tick one box.	

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10/09 Version 2.0

SE TR02

Transfer to the United Kingdom (UK) of Societas Europaea (SE)



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B4

Member's usual residential address ①

Please complete your usual residential address below.

Building name/number	
Street	
Post town	
County/Region	
Postcode	
Country	

① Member's usual residential address

Please state 'Same as service address' in this section if your usual residential address is recorded in the SE's proposed register of member's residential addresses as 'Same as the service address'.

This does not apply if your service address has been stated in Section B2 as 'The SE's registered office'.

This cannot be a PO Box, DX or LP (Legal Post in Scotland) number.

Section 243 of Companies Act 2006

Section 243 exemption ②

Only tick the box below if you are in the process of applying for, or have been granted, exemption by the Registrar from disclosing your usual residential address to credit reference agencies under section 243 of the Companies Act 2006.

Different postal address:

If you are applying for, or have been granted, a section 243 exemption, please post this whole form to the different postal address below:

The Registrar of Companies, PO Box 4082, Cardiff, CF14 3WE.

Where you are applying for a section 243 exemption with this notice, the application and this form must be posted together.

② If you are currently in the process of applying for, or have been granted, a section 243 exemption, you may wish to check you have not entered your usual residential address in Section B2 as this will appear on the public record.



CHFP000
10/09 Version 2.0

SE TR02

Transfer to the United Kingdom (UK) of Societas Europaea (SE)

Corporate member

C1 Corporate member's appointments		
Please use this section to list all the corporate members of the company. Please complete Section C1-C5.		
Corporate body/firm name		<p>Additional appointment If you wish to appoint more than one corporate member, please use the 'Corporate member's appointments' continuation page.</p> <p>Registered or principal address This is the address that will appear on the public record. This address must be a physical location for the delivery of documents. It cannot be a PO Box number (unless contained within a full address), DX number or LP (Legal Post in Scotland) number.</p>
Building name/number		
Street		
Post town		
County/Region		
Postcode		
Country		
C2 Location of the registry of the corporate body or firm		
Is the corporate member registered within the European Economic Area (EEA)? → Yes Complete Section C3 only → No Complete Section C4 only		
C3 European Economic Area companies		
Please give details of the register where the company file is kept (including the relevant state) and the registration number in that register.		<p>EEA A full list of countries of the EEA can be found in our guidance: www.companieshouse.gov.uk</p> <p>This is the register mentioned in Article 3 of the First Company Law Directive (68/151/EEC).</p>
Where the company/firm is registered		
Registration number		
C4 Non-European Economic Area companies		
Please give details of the legal form of the corporate body or firm and the law by which it is governed. If applicable, please also give details of the register in which it is entered (including the state) and its registration number in that register.		<p>Non-EEA Where you have provided details of the register (including state) where the company or firm is registered, you must also provide its number in that register</p>
Legal form of the corporate body or firm		
Governing law		
If applicable, where the company/firm is registered		
If applicable, the registration number		
C5 Corporate member's position		
I consent to act as member of		<p>Please tick one box.</p>
<input type="checkbox"/> the administrative organ of the SE.		
<input type="checkbox"/> the supervisory organ of the SE.		
<input type="checkbox"/> the management organ of the SE.		

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10/09 Version 2.0

SE TR02

Transfer to the United Kingdom (UK) of Societas Europaea (SE)

Part 3

Signature

Signature	I am signing this form on behalf of the SE.	
	<small>Signature</small> X	X
This form may be signed by: Member of the management or administrative organ of the SE named in Section A1.		

SE TR02

Transfer to the United Kingdom (UK) of Societas Europaea (SE)

Presenter information

You do not have to give any contact information, but if you do it will help Companies House if there is a query on the form. The contact information you give will be visible to searchers of the public record.

Contact name
Company name
Address
Post town
County/Region
Postcode
Country
DX
Telephone

Checklist

We may return forms completed incorrectly or with information missing.

Please make sure you have remembered the following:

- The SE name and number match the information held on the public register in the current Registry.
- You have given the date of registration in current registry.
- You have completed the proposed name.
- You have completed the date of the last balance sheet or the original date of formation.
- You have attached the appropriate supporting documents.
- You have completed the member's details.
- Any address given must be a physical location. They cannot be a PO Box number (unless part of a full service address), DX or LP (Legal Post in Scotland) number.
- You have signed the form.
- You have enclosed the correct fee.

Important information

Please note that all information on this form will appear on the public record, apart from information relating to usual residential addresses.

How to pay

A fee of £20 is payable to Companies House in respect of a transfer

Make cheques or postal orders payable to 'Companies House.'

Where to send

You may return this form to any Companies House address, however for expediency we advise you to return it to the appropriate address below:

For SEs registered in England and Wales:

The Registrar of Companies, Companies House,
Crown Way, Cardiff, Wales, CF14 3UZ
DX 33050 Cardiff.

For SEs registered in Scotland:

The Registrar of Companies, Companies House,
Fourth floor, Edinburgh Quay 2,
139 Fountainbridge, Edinburgh, Scotland, EH3 9FF.
DX ED235 Edinburgh 1
or LP - 4 Edinburgh 2 (Legal Post).

For SEs registered in Northern Ireland:

The Registrar of Companies, Companies House,
First Floor, Waterfront Plaza, 8 Laganbank Road,
Belfast, Northern Ireland, BT1 3BS.
DX 481 N.R. Belfast 1.

Section 243 exemption

If you are applying for, or have been granted, a section 243 exemption, please post this whole form to the different postal address below:
The Registrar of Companies, PO Box 4082,
Cardiff, CF14 3WE.

Further information

For further information, please see the guidance notes on the website at www.companieshouse.gov.uk or email enquiries@companieshouse.gov.uk

This form is available in an alternative format. Please visit the forms page on the website at www.companieshouse.gov.uk

SE TR02 - continuation page

Transfer to the United Kingdom (UK) of Societas Europaea (SE)

Member

B1 Member's appointments ^①	
	Please use this section to list all the members of the SE. Please complete Sections B1-B4. For a corporate member, complete Sections C1-C5.
Title*	
Full forename(s)	
Surname	
Former name(s) ^②	
Country/State of residence ^③	
Nationality	
Date of birth	d d m m y y y y
Business occupation (if any) ^④	
	<p>① Corporate appointments For corporate member appointments, please complete Section C1-C5 instead of Section B.</p> <p>② Former name(s) Please provide any previous names which have been used for business purposes in the last 20 years. Married women do not need to give former names unless previously used for business purposes.</p> <p>③ Country/State of residence This is in respect of your usual residential address as stated in Section B4.</p> <p>④ Business occupation If you have a business occupation, please enter here. If you do not, please leave blank.</p>

B2 Member's service address ^⑤	
Building name/number	
Street	
Post town	
County/Region	
Postcode	
Country	
	<p>⑤ Service address This is the address that will appear on the public record. This does not have to be your usual residential address.</p> <p>Please state 'The SE's Registered Office' if your service address is recorded in the SE's register of members as the SE's registered office.</p> <p>If you provide your residential address here it will appear on the public record.</p>

B3 Member's position ^⑥	
<p>I consent to act as member of</p> <p><input type="checkbox"/> the administrative organ of the SE.</p> <p><input type="checkbox"/> the supervisory organ of the SE.</p> <p><input type="checkbox"/> the management organ of the SE.</p>	<p>⑥ Please tick one box.</p>



This page is not shown on the public record



Do not cover this barcode

B4 Member's usual residential address [ⓐ]

	Please complete your usual residential address below.											
Building name/number												
Street												
Post town												
County/Region												
Postcode	<table border="1"> <tr> <td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td> </tr> </table>											
Country												

ⓐ Member's usual residential address
 Please state 'Same as service address' in this section if your usual residential address is recorded in the SE's proposed register of member's residential addresses as 'Same as the service address'.

 This does not apply if your service address has been stated in Section B2 as 'The SE's registered office'.

 This cannot be a PO Box, DX or LP (Legal Post in Scotland) number.

Section 243 of Companies Act 2006

Section 243 exemption [ⓐ]

Only tick the box below if you are in the process of applying for, or have been granted, exemption by the Registrar from disclosing your usual residential address to credit reference agencies under section 243 of the Companies Act 2006.

Different postal address:

If you are applying for, or have been granted, a section 243 exemption, please post this whole form to the different postal address below:
 The Registrar of Companies, PO Box 4082, Cardiff, CF14 3WE.

Where you are applying for a section 243 exemption with this notice, the application and this form must be posted together.

ⓐ If you are currently in the process of applying for, or have been granted, a section 243 exemption, you may wish to check you have not entered your usual residential address in Section B2 as this will appear on the public record.



SE TR02 - continuation page

Transfer to the United Kingdom (UK) of Societas Europaea (SE)

Corporate member

C1		Corporate member's appointments										
		Please use this section to list all the corporate members of the company. Please complete Section C1-C5.	<p>Registered or principal address This is the address that will appear on the public record. This address must be a physical location for the delivery of documents. It cannot be a PO Box number (unless contained within a full address), DX number or LP (Legal Post in Scotland) number.</p>									
Corporate body/firm name												
Building name/number												
Street												
Post town												
County/Region												
Postcode	<table border="1"> <tr> <td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td> </tr> </table>											
Country												
C2		Location of the registry of the corporate body or firm										
		Is the corporate member registered within the European Economic Area (EEA)? → Yes Complete Section C3 only → No Complete Section C4 only										
C3		European Economic Area companies										
		Please give details of the register where the company file is kept (including the relevant state) and the registration number in that register.	<p>EEA A full list of countries of the EEA can be found in our guidance: www.companieshouse.gov.uk</p> <p>This is the register mentioned in Article 3 of the First Company Law Directive (68/151/EEC).</p>									
Where the company/firm is registered												
Registration number												
C4		Non-European Economic Area companies										
		Please give details of the legal form of the corporate body or firm and the law by which it is governed. If applicable, please also give details of the register in which it is entered (including the state) and its registration number in that register.	<p>Non-EEA Where you have provided details of the register (including state) where the company or firm is registered, you must also provide its number in that register</p>									
Legal form of the corporate body or firm												
Governing law												
If applicable, where the company/firm is registered												
If applicable, the registration number												
C5		Corporate member's position										
		I consent to act as member of <ul style="list-style-type: none"> <input type="checkbox"/> the administrative organ of the SE. <input type="checkbox"/> the supervisory organ of the SE. <input type="checkbox"/> the management organ of the SE. 	<p>Please tick one box.</p>									

In accordance with Section 11 of the European Public Limited-Liability Company Regulations 2004.

SE TR03

Transfer from the United Kingdom (UK) of Societas Europaea (SE)



A fee is payable with this form.
Please see 'How to pay' on the last page.

✓ **What this form is for**
You may use this form to transfer an SE from the UK.

✗ **What this form is NOT for**
You cannot use this form to submit any other information.

For further information, please refer to our guidance at www.companieshouse.gov.uk

1 SE details

SE registered number	<input type="text"/>
SE name in full	<input type="text"/>

→ **Filling in this form**
Please complete in typescript or in bold black capitals.
All fields are mandatory unless specified or indicated by *

2 Proposed new name

	Please enter the proposed new name of the SE on transfer, if applicable.
Proposed new name in full	<input type="text"/>

Duplicate names
Duplicate names are not permitted. A list of registered names can be found on our website. There are various rules that may affect your choice of name. More information is available at www.companieshouse.gov.uk

3 Member state name and address of registry ●

Name of member state	<input type="text"/>
Address	<input type="text"/>
Postcode	<input type="text"/>


● Please enter the name of the member state where the above named SE is to transfer. Please also enter the contact address of the new registry.

4 Proposed registered office address

Building name/number	<input type="text"/>
Street	<input type="text"/>
Post town	<input type="text"/>
County/Region	<input type="text"/>
Postcode	<input type="text"/>
Country	<input type="text"/>

SE TR03

Transfer from the United Kingdom (UK) of Societas Europaea (SE)

5		Principal business activity	
		Please show the trade classification code number(s) for the principal activity or activities. 	
Classification code 1	<input type="text"/>	<input type="text"/>	<input type="text"/>
Classification code 2	<input type="text"/>	<input type="text"/>	<input type="text"/>
Classification code 3	<input type="text"/>	<input type="text"/>	<input type="text"/>
Classification code 4	<input type="text"/>	<input type="text"/>	<input type="text"/>
		If you cannot determine a code, please give a brief description of your business activity below:	
Principal activity description	<input type="text"/>		
	<input type="text"/>		
	<input type="text"/>		
6		Documents attached	
		Please tick the boxes to confirm that all the following documents are attached to this form.	
	Document description	Number of pages	
<input type="checkbox"/>	Copy of the general meeting resolution approving the transfer of SE.		
<input type="checkbox"/>	Statement of Solvency form (SS01) required by Regulation 72 of The European Public Limited-Liability Company Regulations 2004.		
<input type="checkbox"/>	Report explaining and justifying the legal and economic aspects of the transfers and explaining the implications of the transfer for shareholders, creditors and employees in accordance with Article 8(3) of Council Regulation (EC) No 2157/2001.		
7		Member's Declaration	
Member name	<input type="text"/>		
	of		
	<input type="text"/>		
	<input type="text"/>		
	being a Member of the management or administrative organ of the SE named in Part 1 confirm that:		
	<ol style="list-style-type: none"> In accordance with Article 8(2) of Council Regulation (EC) No 2157/2001 (the "Regulation") a transfer proposal was drawn up by the management or administrative organ of the SE named in Part 1 and was publicised in accordance with Article 8(2) of the Regulation and The European Public Limited-Liability Company Regulations 2004; In accordance with Article 8(3) of the Regulation the management or administrative organ of the SE named in Part 1 drew up a report on 		
Date of report	d	<input type="text"/>	<input type="text"/>
	m	<input type="text"/>	<input type="text"/>
	y	<input type="text"/>	<input type="text"/>
	y	<input type="text"/>	<input type="text"/>
	<ol style="list-style-type: none"> Shareholders and creditors of the SE named in Part 1 were notified in writing in accordance with regulation 56(1) of The European Public Limited-Liability Company Regulations 2004 of the right of examination and right to copies of the proposal and report referred to in regulation 56(1); 		

CHFP000
10/09 Version 2.0

SE TR03

Transfer from the United Kingdom (UK) of Societas Europaea (SE)

	<p>4. In accordance with Article 8(4) of the Regulation at least one month before the general meeting called upon to decide upon the transfer, shareholders and creditors of the SE named in Part 1 could examine and obtain copies of the transfer proposal referred to in paragraph 1 and the report referred to in paragraph 2 above;</p> <p>5. Invoices, orders for goods and business letters of the SE named in Part 1 complied with the requirements of regulation 56(2) of The European Public Limited-Liability Company Regulations 2004.</p> <p>6. The general meeting of the SE named in Part 1 approved the transfer proposal referred to in paragraph 1 above on</p>	<p>● This must be signed by a member of the management or administrative organ of the SE named in Part 1.</p>
Approval date	<p>d d m m y y y y</p> <p>such decision being taken in accordance with Article 59 of the Regulation;</p> <p>7. No proceedings for winding up, liquidation, insolvency or suspension of payments or other similar proceedings have been brought against the SE named in Part 1; and</p> <p>8. The information contained in this form is correct.</p>	
Signature ●	<p>Signature</p> <p>X X</p>	
Date	<p>d d m m y y y y</p>	
<p>8 Lawyer's Declaration</p>		
Lawyer name	<p>I _____</p> <p>of _____</p> <p>_____</p> <p>_____</p> <p>make this declaration in connection with the proposed transfer from the United Kingdom of the registered office of the SE named in Part 1 and confirm that:</p> <p>1. I am a lawyer entitled to pursue my professional activities under one of the denominations laid down in Council Directive 77/249/EEC or Council Directive 98/5/EC; and</p> <p>2. All of the acts and formalities and conditions required by Article 8 of Council Regulation (EC) No 2157/2001 to be accomplished before the transfer out of United Kingdom of the SE named in Part 1 have been completed in accordance with the requirements in or referred to in Article 8, except that I am not required to confirm;</p> <p>(i) that the requirements of Article 8(7) have been met; nor</p> <p>(ii) that the certificate in accordance with Article 8(8) has been issued</p>	
Signature	<p>Signature</p> <p>X X</p>	
Date	<p>d d m m y y y y</p>	

CHFP000
10/09 Version 2.0

SE TR03

Transfer from the United Kingdom (UK) of Societas Europaea (SE)



Presenter information

You do not have to give any contact information, but if you do it will help Companies House if there is a query on the form. The contact information you give will be visible to searchers of the public record.

Contact name

Company name

Address

Post town

County/Region

Postcode

Country

DX

Telephone



Checklist

We may return forms completed incorrectly or with information missing.

Please make sure you have remembered the following:

- You have filed form SE TR01 in advance of this form.
- The SE name and number match the information held on the public Register.
- You have completed the proposed new name.
- You have given the member state name and address of the new registry.
- You have attached the appropriate supporting documents.
- Any address given must be a physical location. They cannot be a PO Box number (unless part of a full address), DX or LP (Legal Post in Scotland) number.
- You have completed the appropriate declarations.
- You have signed the form.
- You have enclosed the correct fee.



Important information

Please note that all information on this form will appear on the public record.



How to pay

A fee of £20 is payable to Companies House in respect of a transfer.

Make cheques or postal orders payable to 'Companies House.'



Where to send

You may return this form to any Companies House address, however for expediency we advise you to return it to the appropriate address below:

For SEs registered in England and Wales:
The Registrar of Companies, Companies House,
Crown Way, Cardiff, Wales, CF14 3UZ.
DX 33050 Cardiff.

For SEs registered in Scotland:
The Registrar of Companies, Companies House,
Fourth floor, Edinburgh Quay 2,
139 Fountainbridge, Edinburgh, Scotland, EH3 9FF.
DX ED235 Edinburgh 1
or LP - 4 Edinburgh 2 (Legal Post).

For SEs registered in Northern Ireland:
The Registrar of Companies, Companies House,
First Floor, Waterfront Plaza, 8 Laganbank Road,
Belfast, Northern Ireland, BT1 3BS.
DX 481 N.R. Belfast 1.



Further information

For further information, please see the guidance notes on the website at www.companieshouse.gov.uk or email enquiries@companieshouse.gov.uk

This form is available in an alternative format. Please visit the forms page on the website at www.companieshouse.gov.uk

In accordance with Section 82(1)(b) of the European Public Limited-Liability Company Regulations 2004.

SE WU01

Notice of initiation or termination of winding-up, liquidation, insolvency or cessation of payment procedures and decision to continue operating of Societas Europaea (SE)



✓ **What this form is for**
You may use this form to give notice of the initiation or termination of winding-up, liquidation, insolvency or cessation of payment procedures and the decision to continue the operation of the SE.

✗ **What this form is NOT for**
You must not use this form for filing any other information.

For further information, please refer to our guidance at www.companieshouse.gov.uk

1	SE details	
SE number	<input type="text"/>	→ Filing in this form Please complete in typescript or in bold black capitals. All fields are mandatory unless specified or indicated by *
SE name in full	<input type="text"/>	

2	Notice								
	In respect of the SE named above the following event occurred on	● Please insert the date.							
Date ●	<table border="1" style="width: 100%; text-align: center;"> <tr> <td>d</td><td>d</td><td>m</td><td>m</td><td>y</td><td>y</td><td>y</td><td>y</td> </tr> </table> <p>Please tick the appropriate box below.</p> <ul style="list-style-type: none"> <input type="checkbox"/> Initiation of winding up <input type="checkbox"/> Termination of winding up <input type="checkbox"/> Initiation of liquidation procedures <input type="checkbox"/> Termination of liquidation procedures <input type="checkbox"/> Initiation of insolvency procedures <input type="checkbox"/> Termination of insolvency procedures <input type="checkbox"/> Initiation of cessation of payment procedures <input type="checkbox"/> Termination of cessation of payment procedures <input type="checkbox"/> Decision to continue operating 		d	d	m	m	y	y	y
d	d	m	m	y	y	y	y		

3	Signature	
	I certify that the information given in this form is correct.	
Signature	Signature <div style="text-align: center; font-size: 2em;">✗</div>	✗
	This form may be signed by: Member of the management or administrative organ of the SE, Administrator, Administrative receiver, Receiver, Receiver manager.	

SE WU01

Notice of initiation or termination of winding-up, liquidation, insolvency or cessation of payment procedures and decision to continue operating of Societas Europaea (SE)

Presenter information

You do not have to give any contact information, but if you do it will help Companies House if there is a query on the form. The contact information you give will be visible to searchers of the public record.

Contact name
Company name
Address
Post town
County/Region
Postcode
Country
DX
Telephone

Checklist

We may return forms completed incorrectly or with information missing.

Please make sure you have remembered the following:

- The SE name and number match the information held on the public Register.
- Please ensure you have entered the date and ticked the relevant box in Section 2.
- You have signed the form.

Important information

Please note that all information on this form will appear on the public record.

Where to send

You may return this form to any Companies House address, however for expediency we advise you to return it to the appropriate address below:

For SEs registered in England and Wales:
The Registrar of Companies, Companies House,
Crown Way, Cardiff, Wales, CF14 3UZ.
DX 33050 Cardiff.

For SEs registered in Scotland:
The Registrar of Companies, Companies House,
Fourth floor, Edinburgh Quay 2,
139 Fountainbridge, Edinburgh, Scotland, EH3 9FF.
DX ED235 Edinburgh 1
or LP - 4 Edinburgh 2 (Legal Post).

For SEs registered in Northern Ireland:
The Registrar of Companies, Companies House,
First Floor, Waterfront Plaza, 8 Laganbank Road,
Belfast, Northern Ireland, BT1 3BS.
DX 481 N.R. Belfast 1.

Further information

For further information, please see the guidance notes on the website at www.companieshouse.gov.uk or email enquiries@companieshouse.gov.uk

This form is available in an alternative format. Please visit the forms page on the website at www.companieshouse.gov.uk

In accordance with Section 85 of the European Public Limited-Liability Company Regulations 2004.

SE CV01

Conversion of Societas Europaea (SE) to a Public Limited Company (PLC)



A fee is payable with this form.
Please see 'How to pay' on the last page.

- What this form is for**
 You may use this form to convert an existing SE to a PLC.
- What this form is NOT for**
 You cannot use this form to convert a PLC to an SE.
- For further information, please refer to our guidance at www.companieshouse.gov.uk

Part 1 SE details


A1		Details of converting SE	
SE number	<input type="text"/>		<p>→ Filling in this form Please complete in typescript or in bold black capitals.</p> <p>All fields are mandatory unless specified or indicated by *</p>
Full name of converting SE	<input type="text"/>		
A2		Proposed name	
	Please show the proposed company name below.		<p>● Duplicate names Duplicate names are not permitted. A list of registered names can be found on our website. There are various rules that may affect your choice of name. More information is available at www.companieshouse.gov.uk</p>
Proposed PLC name in full ●	<input type="text"/>		
For official use	<input type="text"/>		
A3		Company name restrictions ●	
		<p>Please tick the box only if the proposed company name contains sensitive or restricted words or expressions that require you to seek comments of a government department or other specified body.</p> <p><input type="checkbox"/> I confirm that the proposed company name contains sensitive or restricted words or expressions and that approval, where appropriate, has been sought of a government department or other specified body and I attach a copy of their response.</p>	<p>● Company name restrictions A list of sensitive or restricted words or expressions that require consent can be found in guidance available on our website: www.companieshouse.gov.uk</p>
A4		Situation of registered office ●	
		<p>Please tick the appropriate box below that describes the situation of the proposed registered office (only one box must be ticked):</p> <p><input type="checkbox"/> England and Wales</p> <p><input type="checkbox"/> Wales</p> <p><input type="checkbox"/> Scotland</p> <p><input type="checkbox"/> Northern Ireland</p>	<p>● Registered office Every company must have a registered office and this is the address to which the Registrar will send correspondence.</p> <p>For England and Wales companies, the address must be in England and Wales.</p> <p>For Welsh, Scottish or Northern Ireland companies, the address must be in Wales, Scotland or Northern Ireland respectively.</p>

SE CV01

Conversion of Societas Europaea (SE) to a Public Limited Company (PLC)

A5

Proposed registered office address in the UK

Building name/number		 Registered office address You must ensure that the address shown in this section is consistent with the situation indicated in Section A4. You must provide an address in England or Wales for companies to be registered in England or Wales. You must provide an address in Wales, Scotland or Northern Ireland for companies to be registered in Wales, Scotland or Northern Ireland respectively.
Street		
Post town		
County/Region		
Postcode		

A6

Attachments

Please tick the boxes below to confirm that all the following documents have been attached to this form.

Document description	Number of pages
<input type="checkbox"/> Report by management or administrative organ of the SE converting to PLC in accordance with Article 66(3) of Council Regulation (EC) No 2157/2001.	
<input type="checkbox"/> Copy of certificate(s) of expert(s) in accordance with Article 66(5) of Council Regulation (EC) No 2157/2001.	
<input type="checkbox"/> Articles of proposed PLC.	
<input type="checkbox"/> Copy of Resolution approving conversion of SE to PLC in accordance with Article 66(6) of Council Regulation (EC) No 2157/2001.	

SE CV01

Conversion of Societas Europaea (SE) to a Public Limited Company (PLC)

Part 2	Officer details									
	<p>Public companies are required to appoint at least one secretary and must appoint at least two directors, one of which must be an individual.</p> <p>For a secretary who is an individual, go to Section B1; for a corporate secretary, go to Section C1; for a director who is an individual, go to Section D1; and for a corporate director, go to Section E1.</p> <p>Please use a continuation page if you need to enter more details.</p>									
Secretary										
B1	Secretary appointments[Ⓞ]									
	<p>Please use this section to list all the secretary appointments. For a corporate secretary, complete Sections C1-C5.</p>	<p>Ⓞ Corporate appointments For corporate secretary appointments, please complete Sections C1-C5 instead of Section B.</p> <p>Additional appointments If you wish to appoint more than one secretary, please use the 'Secretary appointments' continuation page.</p> <p>Ⓞ Former name(s) Please provide any previous names which have been used for business purposes in the last 20 years. Married women do not need to give former names unless previously used for business purposes.</p>								
Title *										
Full forename(s)										
Surname										
Former name(s) [Ⓞ]										
B2	Secretary's service address [Ⓞ]									
Building name/number		<p>Ⓞ Service address This is the address that will appear on the public record. This does not have to be your usual residential address.</p> <p>Please state 'The Company's Registered Office' if your service address will be recorded in the proposed company's register of secretaries as the company's registered office.</p> <p>If you provide your residential address here it will appear on the public record.</p>								
Street										
Post town										
County/Region										
Postcode	<table border="1" style="display: inline-table; border-collapse: collapse;"> <tr> <td style="width: 20px; height: 20px;"></td> <td style="width: 20px; height: 20px;"></td> <td style="width: 20px; height: 20px;"></td> <td style="width: 20px; height: 20px;"></td> <td style="width: 20px; height: 20px;"></td> <td style="width: 20px; height: 20px;"></td> <td style="width: 20px; height: 20px;"></td> <td style="width: 20px; height: 20px;"></td> </tr> </table>									
Country										
B3	Signature[Ⓞ]									
	<p>I consent to act as secretary of the proposed PLC named in Section A2.</p>	<p>Ⓞ Signature The person named above consents to act as secretary of the proposed PLC.</p>								
Signature	<p>Signature</p> <p style="text-align: center; font-size: 2em;">X</p>									

SE CV01

Conversion of Societas Europaea (SE) to a Public Limited Company (PLC)

C1 Corporate secretary appointments		
Please use this section to list all the corporate secretary appointments.		
Name of corporate body or firm		<p>Additional appointments If you wish to appoint more than one corporate secretary, please use the 'Corporate secretary appointments' continuation page.</p> <p>Registered or principal address This is the address that will appear on the public record. This address must be a physical location for the delivery of documents. It cannot be a PO Box number (unless contained within a full address), DX number or LP (Legal Post in Scotland) number.</p>
Building name/number		
Street		
Post town		
County/Region		
Postcode		
Country		
C2 Location of the registry of the corporate body or firm		
Is the corporate secretary registered within the European Economic Area (EEA)?		
→ Yes Complete Section C3 only		
→ No Complete Section C4 only		
C3 EEA companies		
Please give details of the register where the company file is kept (including the relevant state) and the registration number in that register.		<p>EEA A full list of countries of the EEA can be found in our guidance: www.companieshouse.gov.uk</p> <p>This is the register mentioned in Article 3 of the First Company Law Directive (68/151/EEC).</p>
Where the company/firm is registered		
Registration number		
C4 Non-EEA companies		
Please give details of the legal form of the corporate body or firm and the law by which it is governed. If applicable, please also give details of the register in which it is entered (including the state) and its registration number in that register.		<p>Non-EEA Where you have provided details of the register (including state) where the company or firm is registered, you must also provide its number in that register.</p>
Legal form of the corporate body or firm		
Governing law		
If applicable, where the company/firm is registered		
If applicable, the registration number		
C5 Signature		
I consent to act as secretary of the proposed PLC named in Section A2.		<p>Signature The person named above consents to act as corporate secretary of the proposed PLC.</p>
Signature	<p>Signature</p> <p>X</p> <p>X</p>	

CHFP000
10/09 Version 2.0

SE CV01

Conversion of Societas Europaea (SE) to a Public Limited Company (PLC)

Director

D1	Director appointments^①	
	Please use this section to list all the director appointments. For a corporate director, complete Sections E1-E5.	
Title *		<p>① Appointments Public companies must appoint at least two directors, one of which must be an individual.</p> <p>② Former name(s) Please provide any previous names which have been used for business purposes in the last 20 years. Married women do not need to give former names unless previously used for business purposes.</p> <p>③ Country/State of residence This is in respect of your usual residential address as stated in Section D4.</p> <p>④ Business occupation If you have a business occupation, please enter here. If you do not, please leave blank.</p> <p>Additional appointments If you wish to appoint more directors, please use the 'Director appointments' continuation page.</p>
Full forename(s)		
Surname		
Former name(s) ^②		
Country/State of residence ^③		
Nationality		
Date of birth	d d m m y y y y	
Business occupation (if any) ^④		

D2	Director's service address^⑤	
	Please complete the service address below. You must also fill in the director's usual residential address in Section D4.	
Building name/number		<p>⑤ Service address This is the address that will appear on the public record. This does not have to be your usual residential address.</p> <p>Please state 'The Company's Registered Office' if your service address will be recorded in the proposed company's register of directors as 'The Company's Registered Office'.</p> <p>If you provide your residential address here it will appear on the public record.</p>
Street		
Post town		
County/Region		
Postcode		
Country		

D3	Signature^⑥	
	I consent to act as director of the proposed PLC named in Section A2.	
Signature	<p>Signature</p> <p>X</p>	<p>⑥ Signature The person named above consents to act as director of the proposed PLC.</p> <p>X</p>

SE CV01

Conversion of Societas Europaea (SE) to a Public Limited Company (PLC)



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Do not cover this barcode

D4 Director's usual residential address

	Please complete your usual residential address below.								
Building name/number									
Street									
Post town									
County/Region									
Postcode	<table border="1"><tr><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td></tr></table>								
Country									

New director's usual residential address
 Please state 'Same as service address' in this section if your usual residential address is recorded in the company's proposed register of director's residential addresses as 'Same as the service address'.
 This does not apply if your service address has been stated in Section D2 as 'The Company's Registered Office'.
 This cannot be a PO Box, DX or LP (Legal Post in Scotland) number.

Section 243 of Companies Act 2006

Section 243 exemption

Only tick the box below if you are in the process of applying for, or have been granted, exemption by the Registrar from disclosing your usual residential address to credit reference agencies under section 243 of the Companies Act 2006.

Different postal address:
If you are applying for, or have been granted, a section 243 exemption, please post this whole form to the different postal address below:
The Registrar of Companies, PO Box 4082, Cardiff, CF14 3WE.

Where you are applying for a section 243 exemption with this notice, the application and this form must be posted together.

If you are currently in the process of applying for, or have been granted, a section 243 exemption, you may wish to check you have not entered your usual residential address in Section D2 as this will appear on the public record.



CHFP000
10/09 Version 2.0

SE CV01

Conversion of Societas Europaea (SE) to a Public Limited Company (PLC)

Director

D1	Director appointments[ⓐ]	
	Please use this section to list all the director appointments. For a corporate director, complete Sections E1-E5.	
Title *		<p>ⓐ Appointments Public companies must appoint at least two directors, one of which must be an individual.</p> <p>ⓑ Former name(s) Please provide any previous names which have been used for business purposes in the last 20 years. Married women do not need to give former names unless previously used for business purposes.</p> <p>ⓒ Country/State of residence This is in respect of your usual residential address as stated in Section D4.</p> <p>ⓓ Business occupation If you have a business occupation, please enter here. If you do not, please leave blank.</p> <p>Additional appointments If you wish to appoint more directors, please use the 'Director appointments' continuation page.</p>
Full forename(s)		
Surname		
Former name(s) [ⓑ]		
Country/State of residence [ⓒ]		
Nationality		
Date of birth	d d m m y y y y	
Business occupation (if any) [ⓓ]		

D2	Director's service address[ⓐ]	
	Please complete the service address below. You must also fill in the director's usual residential address in Section D4.	
Building name/number		<p>ⓐ Service address This is the address that will appear on the public record. This does not have to be your usual residential address.</p> <p>Please state 'The Company's Registered Office' if your service address will be recorded in the proposed company's register of directors as 'The Company's Registered Office'.</p> <p>If you provide your residential address here it will appear on the public record.</p>
Street		
Post town		
County/Region		
Postcode		
Country		

D3	Signature[ⓐ]	
	I consent to act as director of the proposed PLC named in Section A2.	
Signature	<p>Signature</p> <p>X</p>	<p>ⓐ Signature The person named above consents to act as director of the proposed PLC.</p> <p>X</p>

SE CV01

Conversion of Societas Europaea (SE) to a Public Limited Company (PLC)



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Do not cover this barcode

D4 Director's usual residential address

	Please complete your usual residential address below.
Building name/number	
Street	
Post town	
County/Region	
Postcode	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>
Country	

New director's usual residential address
 Please state 'Same as service address' in this section if your usual residential address is recorded in the company's proposed register of director's residential addresses as 'Same as the service address'.
 This does not apply if your service address has been stated in Section D2 as 'The Company's Registered Office'.
 This cannot be a PO Box, DX or LP (Legal Post in Scotland) number.

Section 243 of Companies Act 2006

Section 243 exemption

Only tick the box below if you are in the process of applying for, or have been granted, exemption by the Registrar from disclosing your usual residential address to credit reference agencies under section 243 of the Companies Act 2006.

Different postal address:
If you are applying for, or have been granted, a section 243 exemption, please post this whole form to the different postal address below:
The Registrar of Companies, PO Box 4082, Cardiff, CF14 3WE.

Where you are applying for a section 243 exemption with this notice, the application and this form must be posted together.

If you are currently in the process of applying for, or have been granted, a section 243 exemption, you may wish to check you have not entered your usual residential address in Section D2 as this will appear on the public record.



CHFP000
10/09 Version 2.0

SE CV01

Conversion of Societas Europaea (SE) to a Public Limited Company (PLC)

Corporate director

E1 Corporate director appointments		
Please use this section to list all the corporate director appointments.		
Name of corporate body or firm		<p>Additional appointments If you wish to appoint more than one corporate director, please use the 'Corporate director appointments' continuation page.</p> <p>Registered or principal address This is the address that will appear on the public record. This address must be a physical location for the delivery of documents. It cannot be a PO Box number (unless contained within a full address), DX number or LP (Legal Post in Scotland) number.</p>
Building name/number		
Street		
Post town		
County/Region		
Postcode		
Country		
E2 Location of the registry of the corporate body or firm		
Is the corporate director registered within the European Economic Area (EEA)?		
→ Yes Complete Section E3 only		
→ No Complete Section E4 only		
E3 EEA companies		
Please give details of the register where the company file is kept (including the relevant state) and the registration number in that register.		<p>EEA A full list of countries of the EEA can be found in our guidance: www.companieshouse.gov.uk</p> <p>This is the register mentioned in Article 3 of the First Company Law Directive (68/151/EEC).</p>
Where the company/firm is registered		
Registration number		
E4 Non-EEA companies		
Please give details of the legal form of the corporate body or firm and the law by which it is governed. If applicable, please also give details of the register in which it is entered (including the state) and its registration number in that register.		<p>Non-EEA Where you have provided details of the register (including state) where the company or firm is registered, you must also provide its number in that register.</p>
Legal form of the corporate body or firm		
Governing law		
If applicable, where the company/firm is registered		
If applicable, the registration number		
E5 Signature		
I consent to act as director of the proposed PLC named in Section A2.		<p>Signature The person named above consents to act as corporate director of the proposed PLC.</p>
Signature	<p>Signature</p> <p>X</p>	

CHFP000
10/09 Version 2.0

SE CV01

Conversion of Societas Europaea (SE) to a Public Limited Company (PLC)

Part 3 Statement of capital

F1 Share capital in Pound Sterling (£)

Please complete the table below to show each class of shares held in pound sterling.
If all your issued capital is in sterling, only complete Section F1 and then go to Section F4.

Class of shares (E.g. Ordinary/Preference etc.)	Amount paid up on each share ❶	Amount (if any) unpaid on each share ❶	Number of shares ❷	Aggregate nominal value ❸
				£
				£
				£
				£
Totals				£

F2 Share capital in other currencies

Please complete the table below to show any class of shares held in other currencies.
Please complete a separate table for each currency.

Currency	Class of shares (E.g. Ordinary/Preference etc.)	Amount paid up on each share ❶	Amount (if any) unpaid on each share ❶	Number of shares ❷	Aggregate nominal value ❸
Totals					

Currency	Class of shares (E.g. Ordinary/Preference etc.)	Amount paid up on each share ❶	Amount (if any) unpaid on each share ❶	Number of shares ❷	Aggregate nominal value ❸
Totals					

F3 Totals

	Please give the total number of shares and total aggregate nominal value of issued share capital.	❶ Total aggregate nominal value. Please list total aggregate values in different currencies separately. For example: £100 + €100 + \$10 etc.
Total number of shares		
Total aggregate ❶ nominal value		

❶ Including both the nominal value and any share premium.

❷ Number of shares issued multiplied by nominal value of each share.

Continuation pages
Please use a Statement of Capital continuation page if necessary.

❸ Total number of issued shares in this class.

SE CV01

Conversion of Societas Europaea (SE) to a Public Limited Company (PLC)

F4

Prescribed particulars of rights attached to shares

Class of share		
Prescribed particulars ●		<p>● Prescribed particulars of rights attached to shares The particulars are:</p> <ul style="list-style-type: none">a. particulars of any voting rights, including rights that arise only in certain circumstances;b. particulars of any rights, as respects dividends, to participate in a distribution;c. particulars of any rights, as respects capital, to participate in a distribution (including on winding up); andd. whether the shares are to be redeemed or are liable to be redeemed at the option of the company or the shareholder and any terms or conditions relating to redemption of these shares. <p>A separate table must be used for each class of share..</p>

CHFP000
10/09 Version 2.0

SE CV01

Conversion of Societas Europaea (SE) to a Public Limited Company (PLC)

F4

Prescribed particulars of rights attached to shares

Class of share		
Prescribed particulars ●		<p>● Prescribed particulars of rights attached to shares The particulars are:</p> <ul style="list-style-type: none">a. particulars of any voting rights, including rights that arise only in certain circumstances;b. particulars of any rights, as respects dividends, to participate in a distribution;c. particulars of any rights, as respects capital, to participate in a distribution (including on winding up); andd. whether the shares are to be redeemed or are liable to be redeemed at the option of the company or the shareholder and any terms or conditions relating to redemption of these shares. <p>A separate table must be used for each class of share..</p>

CHFP000
10/09 Version 2.0

SE CV01

Conversion of Societas Europaea (SE) to a Public Limited Company (PLC)

F4

Prescribed particulars of rights attached to shares

Class of share		
Prescribed particulars ●		<p>● Prescribed particulars of rights attached to shares The particulars are:</p> <ul style="list-style-type: none">a. particulars of any voting rights, including rights that arise only in certain circumstances;b. particulars of any rights, as respects dividends, to participate in a distribution;c. particulars of any rights, as respects capital, to participate in a distribution (including on winding up); andd. whether the shares are to be redeemed or are liable to be redeemed at the option of the company or the shareholder and any terms or conditions relating to redemption of these shares. <p>A separate table must be used for each class of share..</p>

CHFP000
10/09 Version 2.0

SE CV01

Conversion of Societas Europaea (SE) to a Public Limited Company (PLC)

Class of share		
Prescribed particulars ●		<p>● Prescribed particulars of rights attached to shares The particulars are:</p> <ul style="list-style-type: none">a. particulars of any voting rights, including rights that arise only in certain circumstances;b. particulars of any rights, as respects dividends, to participate in a distribution;c. particulars of any rights, as respects capital, to participate in a distribution (including on winding up); andd. whether the shares are to be redeemed or are liable to be redeemed at the option of the company or the shareholder and any terms or conditions relating to redemption of these shares. <p>A separate table must be used for each class of share.</p> <p>Continuation pages Please use a 'Prescribed particulars of rights attached to shares' continuation page if necessary.</p>

CHFP000
10/09 Version 2.0

SE CV01

Conversion of Societas Europaea (SE) to a Public Limited Company (PLC)

Part 4 Member's declaration

Member name	I, of 	<p>● This must be signed by a member of the management or administrative organ of the SE named in Part 1.</p>
<p>being a Member of the management or administrative organ of the SE named in Part 1 confirm that:</p>		
Registration date	<p>1. The SE mentioned in Part 1 was first registered on</p> <p>d d m m y y y y</p> <p>and that no decision on conversion was taken before two years had elapsed since its registration or before the first two sets of annual accounts had been approved;</p> <p>2. In accordance with Article 66(3) of Council Regulation (EC) No 2157/2001 (the 'Regulation') the draft terms of conversion were drawn up by the management or administrative organ of the SE named in Part 1 and were publicised in accordance with the Regulation and to Regulation 85 of The European Public Limited-Liability Company Regulations 2004;</p> <p>3. In accordance with Article 66(3) of the Regulation, a report (copy attached to this form) was drawn up by the management or administrative organ of the SE named in Part 1 on</p>	
Drawn up date	<p>d d m m y y y y</p> <p>4. In accordance with Article 66(5) of the Regulation, an independent expert(s) prepared a certificate (copy(ies) attached to this form) dated</p>	
Certificate date	<p>d d m m y y y y</p> <p>5. The general meeting approved the Articles of Association and draft terms of the conversion on</p>	
Approval date	<p>d d m m y y y y</p> <p>(copy(ies) of resolution and Articles of Association are attached to this form); and</p> <p>6. The information given in this form is correct.</p>	
Signature ●	<p>Signature</p> <p>X</p> <p>X</p>	
Date	<p>d d m m y y y y</p>	

SE CV01

Conversion of Societas Europaea (SE) to a Public Limited Company (PLC)

Presenter information

You do not have to give any contact information, but if you do it will help Companies House if there is a query on the form. The contact information you give will be visible to searchers of the public record.

Contact name

Company name

Address

Post town

County/Region

Postcode

Country

DX

Telephone

Checklist

We may return forms completed incorrectly or with information missing.

Please make sure you have remembered the following:

- You have filed form SE DT03 in advance of this form.
- You have given the correct details for the SE.
- You have given the proposed name of the PLC.
- You have completed the appointment details for the officers.
- Any addresses given must be a physical location. They cannot be a PO Box number (unless part of a full service address), DX or LP (Legal Post in Scotland) number.
- You have completed the statement of capital.
- You have attached the appropriate supporting documents.
- You have completed the declaration.
- You have signed the form, where appropriate.
- You have enclosed the correct fee.

Important information

Please note that all information on this form will appear on the public record, apart from information relating to usual residential addresses.

How to pay

A fee of £20 is payable to Companies House in respect of a conversion.

Make cheques or postal orders payable to 'Companies House.'

Where to send

You may return this form to any Companies House address, however for expediency we advise you to return it to the appropriate address below:

For companies registered in England and Wales:
The Registrar of Companies, Companies House,
Crown Way, Cardiff, Wales, CF14 3UZ.
DX 33050 Cardiff.

For companies registered in Scotland:
The Registrar of Companies, Companies House,
Fourth floor, Edinburgh Quay 2,
139 Fountainbridge, Edinburgh, Scotland, EH3 9FF.
DX ED235 Edinburgh 1
or LP - 4 Edinburgh 2 (Legal Post).

For companies registered in Northern Ireland:
The Registrar of Companies, Companies House,
First Floor, Waterfront Plaza, 8 Laganbank Road,
Belfast, Northern Ireland, BT1 3BS.
DX 481 N.R. Belfast 1.

Section 243 exemption

If you are applying for, or have been granted, a section 243 exemption, please post this whole form to the different postal address below:
The Registrar of Companies, PO Box 4082,
Cardiff, CF14 3WE.

Further information

For further information, please see the guidance notes on the website at www.companieshouse.gov.uk or email enquiries@companieshouse.gov.uk

This form is available in an alternative format. Please visit the forms page on the website at www.companieshouse.gov.uk

In accordance with
Section 85 of the
European Public Limited-
Liability Company
Regulations 2004.

SE CV01 - continuation page

Conversion of Societas Europaea (SE) to a Public Limited Company (PLC)

Secretary

B1		Secretary appointments[ⓐ]	
		Please use this section to list all the secretary appointments. For a corporate secretary, complete Sections C1-C5 .	
Title *		<p>ⓐ Corporate appointments For corporate secretary appointments, please complete Sections C1-C5 instead of Section B.</p> <p>ⓑ Former name(s) Please provide any previous names which have been used for business purposes in the last 20 years. Married women do not need to give former names unless previously used for business purposes.</p>	
Full forename(s)			
Surname			
Former name(s) [ⓑ]			
B2		Secretary's service address [ⓐ]	
Building name/number		<p>ⓐ Service address This is the address that will appear on the public record. This does not have to be your usual residential address.</p> <p>Please state 'The Company's Registered Office' if your service address will be recorded in the proposed company's register of secretaries as the company's registered office.</p> <p>If you provide your residential address here it will appear on the public record.</p>	
Street			
Post town			
County/Region			
Postcode	<input type="text"/>		
Country			
B3		Signature[ⓐ]	
		I consent to act as secretary of the proposed PLC named in Section A2 .	
Signature	Signature X	X	<p>ⓐ Signature The person named above consents to act as secretary of the proposed PLC.</p>

SE CV01 - continuation page

Conversion of Societas Europaea (SE) to a Public Limited Company (PLC)

Corporate secretary

C1		Corporate secretary appointments									
		Please use this section to list all the corporate secretary appointments.	<p>Registered or principal address This is the address that will appear on the public record. This address must be a physical location for the delivery of documents. It cannot be a PO Box number (unless contained within a full address), DX number or LP (Legal Post in Scotland) number.</p>								
Name of corporate body or firm											
Building name/number											
Street											
Post town											
County/Region											
Postcode	<table border="1"> <tr> <td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td> </tr> </table>										
Country											
C2		Location of the registry of the corporate body or firm									
		Is the corporate secretary registered within the European Economic Area (EEA)?									
		→ Yes Complete Section C3 only									
		→ No Complete Section C4 only									
C3		EEA companies									
		Please give details of the register where the company file is kept (including the relevant state) and the registration number in that register.	<p>EEA A full list of countries of the EEA can be found in our guidance: www.companieshouse.gov.uk</p> <p>This is the register mentioned in Article 3 of the First Company Law Directive (68/151/EEC).</p>								
Where the company/firm is registered											
Registration number											
C4		Non-EEA companies									
		Please give details of the legal form of the corporate body or firm and the law by which it is governed. If applicable, please also give details of the register in which it is entered (including the state) and its registration number in that register.	<p>Non-EEA Where you have provided details of the register (including state) where the company or firm is registered, you must also provide its number in that register.</p>								
Legal form of the corporate body or firm											
Governing law											
If applicable, where the company/firm is registered											
If applicable, the registration number											
C5		Signature									
		I consent to act as secretary of the proposed PLC named in Section A2 .	<p>Signature The person named above consents to act as corporate secretary of the proposed PLC.</p>								
Signature	<p>Signature</p> <p>X</p>	X									

SE CV01 - continuation page

Conversion of Societas Europaea (SE) to a Public Limited Company (PLC)

Director

D1		Director appointments^①								
		Please use this section to list all the director appointments. For a corporate director, complete Sections E1-E5 .								
Title *										
Full forename(s)										
Surname										
Former name(s) ^②										
Country/State of residence ^③										
Nationality										
Date of birth	<table border="1"> <tr> <td>d</td><td>d</td><td>m</td><td>m</td><td>y</td><td>y</td><td>y</td><td>y</td> </tr> </table>		d	d	m	m	y	y	y	y
d	d	m	m	y	y	y	y			
Business occupation (if any) ^④										
		<p>① Appointments Public companies must appoint at least two directors, one of which must be an individual.</p> <p>② Former name(s) Please provide any previous names which have been used for business purposes in the last 20 years. Married women do not need to give former names unless previously used for business purposes.</p> <p>③ Country/State of residence This is in respect of your usual residential address as stated in Section D4.</p> <p>④ Business occupation If you have a business occupation, please enter here. If you do not, please leave blank.</p>								

D2		Director's service address^⑤								
		Please complete the service address below. You must also fill in the director's usual residential address in Section D4 .								
Building name/number										
Street										
Post town										
County/Region										
Postcode	<table border="1"> <tr> <td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td> </tr> </table>									
Country										
		<p>⑤ Service address This is the address that will appear on the public record. This does not have to be your usual residential address.</p> <p>Please state 'The Company's Registered Office' if your service address will be recorded in the proposed company's register of directors as 'The Company's Registered Office'.</p> <p>If you provide your residential address here it will appear on the public record.</p>								

D3		Signature^⑥		
		I consent to act as director of the proposed PLC named in Section A2 .		
Signature	<table border="1"> <tr> <td>Signature</td> <td>X</td> </tr> </table>	Signature	X	<p>⑥ Signature The person named above consents to act as director of the proposed PLC.</p>
Signature	X			

SE CV01 - continuation page

Conversion of Societas Europaea (SE) to a Public Limited Company (PLC)



This page is not shown on the public record



Do not cover this barcode

D4 Director's usual residential address [Ⓢ]

	Please complete your usual residential address below.
Building name/number	
Street	
Post town	
County/Region	
Postcode	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>
Country	

Ⓢ New director's usual residential address
 Please state 'Same as service address' in this section if your usual residential address is recorded in the company's proposed register of director's residential addresses as 'Same as the service address'.
 This does not apply if your service address has been stated in Section D2 as 'The Company's Registered Office'.
 This cannot be a PO Box, DX or LP (Legal Post in Scotland) number.

Section 243 of Companies Act 2006

Section 243 exemption [Ⓢ]

Only tick the box below if you are in the process of applying for, or have been granted, exemption by the Registrar from disclosing your usual residential address to credit reference agencies under section 243 of the Companies Act 2006.

Different postal address:
If you are applying for, or have been granted, a section 243 exemption, please post this whole form to the different postal address below:
The Registrar of Companies, PO Box 4082, Cardiff, CF14 3WE.

Where you are applying for a section 243 exemption with this notice, the application and this form must be posted together.

Ⓢ If you are currently in the process of applying for, or have been granted, a section 243 exemption, you may wish to check you have not entered your usual residential address in Section D2 as this will appear on the public record.



CHFP000
10/09 Version 2.0

SE CV01 - continuation page

Conversion of Societas Europaea (SE) to a Public Limited Company (PLC)

Corporate director

E1 Corporate director appointments		<p>Registered or principal address This is the address that will appear on the public record. This address must be a physical location for the delivery of documents. It cannot be a PO Box number (unless contained within a full address), DX number or LP (Legal Post in Scotland) number.</p>							
Please use this section to list all the corporate director appointments.									
Name of corporate body or firm									
Building name/number									
Street									
Post town									
County/Region									
Postcode	<table border="1"> <tr> <td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td> </tr> </table>								
Country									
E2 Location of the registry of the corporate body or firm									
Is the corporate director registered within the European Economic Area (EEA)?									
<p>→ Yes Complete Section E3 only</p> <p>→ No Complete Section E4 only</p>									
E3 EEA companies		<p>EEA A full list of countries of the EEA can be found in our guidance: www.companieshouse.gov.uk</p> <p>This is the register mentioned in Article 3 of the First Company Law Directive (68/151/EEC).</p>							
Please give details of the register where the company file is kept (including the relevant state) and the registration number in that register.									
Where the company/firm is registered									
Registration number									
E4 Non-EEA companies		<p>Non-EEA Where you have provided details of the register (including state) where the company or firm is registered, you must also provide its number in that register.</p>							
Please give details of the legal form of the corporate body or firm and the law by which it is governed. If applicable, please also give details of the register in which it is entered (including the state) and its registration number in that register.									
Legal form of the corporate body or firm									
Governing law									
If applicable, where the company/firm is registered									
If applicable, the registration number									
E5 Signature		<p>Signature The person named above consents to act as corporate director of the proposed PLC.</p>							
I consent to act as director of the proposed PLC named in Section A2.									
Signature	<p>Signature</p> <p>X</p>	X							

In accordance with Section 85 of the European Public Limited-Liability Company Regulations 2004.

SE CV01 - continuation page
Conversion of Societas Europaea (SE) to a Public Limited Company (PLC)

Statement of capital

Please complete the table below to show each class of shares held in other currencies.
 Please complete a separate table for each currency.

Class of shares (E.g. Ordinary/Preference etc.)	Amount paid up on each share ❶	Amount (if any) unpaid on each share ❶	Number of shares ❷	Aggregate nominal value ❸
			Totals	

❶ Including both the nominal value and any share premium. ❷ Number of shares issued multiplied by nominal value of each share.
 ❸ Total number of issued shares in this class.

F4 Prescribed particulars of rights attached to shares	
<p>Class of share</p> <hr/> <p>Prescribed particulars</p> <p>●</p>	<p>● Prescribed particulars of rights attached to shares</p> <p>The particulars are:</p> <ul style="list-style-type: none"> a. particulars of any voting rights, including rights that arise only in certain circumstances; b. particulars of any rights, as respects dividends, to participate in a distribution; c. particulars of any rights, as respects capital, to participate in a distribution (including on winding up); and d. whether the shares are to be redeemed or are liable to be redeemed at the option of the company or the shareholder and any terms or conditions relating to redemption of these shares. <p>A separate table must be used for each class of share.</p>

In accordance with Section 68(1)(a) of the European Public Limited-Liability Company Regulations 2004.

SE TR01

Proposed transfer from the United Kingdom (UK) of Societas Europaea (SE)



What this form is for
You may use this form to propose a transfer of an SE from the UK.

What this form is NOT for
You cannot use this form to file any other information.

For further information, please refer to our guidance at www.companieshouse.gov.uk

1 SE details		<p>→ Filling in this form Please complete in typescript or in bold black capitals. All fields are mandatory unless specified or indicated by *</p>
SE number	<input type="text"/>	
SE name in full	<input type="text"/>	
2 Transfer proposal		
<p>In accordance with Article 8(2) of Council Regulation (EC) No 2157/2001, a copy of a transfer proposal for the SE named above is attached to this form.</p>		
3 Signature		
I certify that the information given in this form is correct.		
Signature	<p>Signature</p> <p><input checked="" type="checkbox"/></p>	<input checked="" type="checkbox"/>
<p>This form may be signed by: Member of the management or administrative organ of the SE.</p>		

SE TR01

Proposed transfer from the United Kingdom (UK) of Societas Europaea (SE)

Presenter information

You do not have to give any contact information, but if you do it will help Companies House if there is a query on the form. The contact information you give will be visible to searchers of the public record.

Contact name
Company name
Address
Post town
County/Region
Postcode
Country
DX
Telephone

Checklist

We may return forms completed incorrectly or with information missing.

Please make sure you have remembered the following:

- The SE name and number match the information held on the public Register.
- You have attached the transfer proposal for the SE.
- You have signed the form.

Important information

Please note that all information on, and attached to, this form will appear on the public record.

Where to send

You may return this form to any Companies House address, however for expediency we advise you to return it to the appropriate address below:

For SEs registered in England and Wales:
The Registrar of Companies, Companies House,
Crown Way, Cardiff, Wales, CF14 3UZ
DX 33050 Cardiff.

For SEs registered in Scotland:
The Registrar of Companies, Companies House,
Fourth floor, Edinburgh Quay 2,
139 Fountainbridge, Edinburgh, Scotland, EH3 9FF.
DX ED235 Edinburgh 1
or LP - 4 Edinburgh 2 (Legal Post).

For SEs registered in Northern Ireland:
The Registrar of Companies, Companies House,
First Floor, Waterfront Plaza, 8 Laganbank Road,
Belfast, Northern Ireland, BT1 3BS.
DX 481 N.R. Belfast 1.

Further information

For further information, please see the guidance notes on the website at www.companieshouse.gov.uk or email enquiries@companieshouse.gov.uk

This form is available in an alternative format. Please visit the forms page on the website at www.companieshouse.gov.uk

In accordance with Section 68(2)(a) of the European Public Limited-Liability Company Regulations 2004.

SE DT01

Draft terms of formation of holding Societas Europaea (SE) involving a United Kingdom (UK) registered company or SE



✓ **What this form is for**
 You may use this form to file the draft terms of formation of a holding SE involving a UK registered Company or SE

✗ **What this form is NOT for**
 You cannot use this form to file any other information for a holding SE involving a UK registered company or SE.

For further information, please refer to our guidance at www.companieshouse.gov.uk

1 SE/Company details		→ Filling in this form Please complete in typescript or in bold black capitals. All fields are mandatory unless specified or indicated by *
SE/Company number	<input type="text"/>	
SE/Company name in full	<input type="text"/>	
2 Statement of formation		
Statement	In accordance with Article 32(3) of Council Regulation (EC) No 2157/2001, a copy of the draft terms for the proposed SE is attached to this form.	
3 Signature		
	I certify that the information given in this form is correct.	
Signature	Signature <input checked="" type="text"/>	<input checked="" type="text"/>
	This form may be signed by: Director of promoting company, Secretary of promoting company, Member of administrative or management organ of promoting SE.	

SE DT01

Draft terms of formation of holding Societas Europaea (SE) involving a United Kingdom (UK) registered company or SE

Presenter information

You do not have to give any contact information, but if you do it will help Companies House if there is a query on the form. The contact information you give will be visible to searchers of the public record.

Contact name	
Company name	
Address	
Post town	
County/Region	
Postcode	
Country	
DX	
Telephone	

Checklist

We may return forms completed incorrectly or with information missing.

Please make sure you have remembered the following:

- The SE/company name and number match the information held on the public Register.
- You have attached a copy of the Draft Terms of Formation of Holding Societas Europaea (SE).
- You have signed the form.

Important information

Please note that all information on, and attached to, this form will appear on the public record.

Where to send

You may return this form to any Companies House address, however for expediency we advise you to return it to the appropriate address below:

For SEs registered in England and Wales:
The Registrar of Companies, Companies House,
Crown Way, Cardiff, Wales, CF14 3UZ
DX 33050 Cardiff.

For SEs registered in Scotland:
The Registrar of Companies, Companies House,
Fourth floor, Edinburgh Quay 2,
139 Fountainbridge, Edinburgh, Scotland, EH3 9FF.
DX ED235 Edinburgh 1
or LP - 4 Edinburgh 2 (Legal Post).

For SEs registered in Northern Ireland:
The Registrar of Companies, Companies House,
First Floor, Waterfront Plaza, 8 Laganbank Road,
Belfast, Northern Ireland, BT1 3BS.
DX 481 N.R. Belfast 1.

Further information

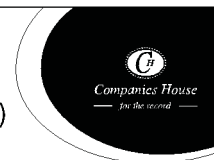
For further information, please see the guidance notes on the website at www.companieshouse.gov.uk or email enquiries@companieshouse.gov.uk

This form is available in an alternative format. Please visit the forms page on the website at www.companieshouse.gov.uk

In accordance with Section 68(3)(a) of the European Public Limited-Liability Company Regulations 2004.

SE DT02

Draft terms of conversion of a Public Limited Company (PLC) to Societas Europaea (SE)



What this form is for
You may use this form to file draft terms of conversion of a PLC to an SE.

What this form is NOT for
You cannot use this form to file any other information.

For further information, please refer to our guidance at www.companieshouse.gov.uk

1 PLC details		<p>→ Filling in this form Please complete in typescript or in bold black capitals. All fields are mandatory unless specified or indicated by *</p>
PLC number	<input type="text"/>	
PLC name in full	<input type="text"/>	
2 Statement of conversion		
Statement	In accordance with Article 37(5) of Council Regulation (EC) No 2157/2001, a copy of the draft terms for the conversion of the above named PLC to an SE is attached to this form.	
3 Signature		
	I certify that the information given in this form is correct.	
Signature	<p>Signature</p> <p><input checked="" type="checkbox"/></p>	<input checked="" type="checkbox"/>
	This form may be signed by: Director of the PLC, Secretary of the PLC.	

SE DT02

Draft terms of conversion of a Public Limited Company (PLC) to Societas Europaea (SE)

Presenter information

You do not have to give any contact information, but if you do it will help Companies House if there is a query on the form. The contact information you give will be visible to searchers of the public record.

Contact name	
Company name	
Address	
Post town	
County/Region	
Postcode	
Country	
DX	
Telephone	

Checklist

We may return forms completed incorrectly or with information missing.

Please make sure you have remembered the following:

- The PLC name and number match the information held on the public Register.
- You have attached a copy of the Draft Terms of Conversion.
- You have signed the form.

Important information

Please note that all information on, and attached to, this form will appear on the public record.

Where to send

You may return this form to any Companies House address, however for expediency we advise you to return it to the appropriate address below:

For companies registered in England and Wales:
The Registrar of Companies, Companies House,
Crown Way, Cardiff, Wales, CF14 3UZ
DX 33050 Cardiff.

For companies registered in Scotland:
The Registrar of Companies, Companies House,
Fourth floor, Edinburgh Quay 2,
139 Fountainbridge, Edinburgh, Scotland, EH3 9FF.
DX ED235 Edinburgh 1
or LP - 4 Edinburgh 2 (Legal Post).

For companies registered in Northern Ireland:
The Registrar of Companies, Companies House,
First Floor, Waterfront Plaza, 8 Laganbank Road,
Belfast, Northern Ireland, BT1 3BS.
DX 481 N.R. Belfast 1.

Further information

For further information, please see the guidance notes on the website at www.companieshouse.gov.uk or email enquiries@companieshouse.gov.uk

This form is available in an alternative format. Please visit the forms page on the website at www.companieshouse.gov.uk

In accordance with Section 70(1) of the European Public Limited-Liability Company Regulations 2004.

SE SC01

Notice of satisfaction of conditions for the formation of holding Societas Europaea (SE) by a United Kingdom (UK) registered company or SE



✓ **What this form is for**
You may use this form to give notice of a satisfaction of conditions for the formation of a holding SE by a UK registered company or SE

✗ **What this form is NOT for**
You cannot use this form to give notice of any other information.

For further information, please refer to our guidance at www.companieshouse.gov.uk

1	SE/Company details	
SE/Company number	<input type="text"/>	<p>→ Filling in this form Please complete in typescript or in bold black capitals. All fields are mandatory unless specified or indicated by *</p>
SE/ Company name in full	<input type="text"/>	
2	Proposed name	
Proposed full name of Holding SE	<input type="text"/>	
3	Notice of satisfaction	
	<p>The <input checked="" type="radio"/></p> <p><input type="checkbox"/> Promoting Company</p> <p><input type="checkbox"/> Promoting SE</p> <p>named above gives notice that in accordance with Article 33(2) of Council Regulation (EC) No 2157/2001 the conditions for the formation of the proposed holding SE named above have been fulfilled.</p>	<p><input checked="" type="radio"/> Please tick the appropriate box.</p>
4	Signature	
	I certify that the information given in this form is correct.	
Signature	<p>Signature</p> <p style="text-align: center;">X</p>	X
	<p>This form may be signed by: Director of promoting company, Secretary of promoting company, Member of an administrative or management organ of the promoting SE.</p>	

SE SC01

Notice of satisfaction of conditions for the formation of holding Societas Europaea (SE) by a United Kingdom (UK) registered company or SE

Presenter information

You do not have to give any contact information, but if you do it will help Companies House if there is a query on the form. The contact information you give will be visible to searchers of the public record.

Contact name
Company name
Address
Post town
County/Region
Postcode
Country
DX
Telephone

Checklist

We may return forms completed incorrectly or with information missing.

Please make sure you have remembered the following:

- The SE/Company name and number match the information held on the public Register.
- You have read and understood the notice of satisfaction.
- You have signed the form.

Important information

Please note that all information on this form will appear on the public record.

Where to send

You may return this form to any Companies House address, however for expediency we advise you to return it to the appropriate address below:

For SEs registered in England and Wales:
The Registrar of Companies, Companies House,
Crown Way, Cardiff, Wales, CF14 3UZ.
DX 33050 Cardiff.

For SEs registered in Scotland:
The Registrar of Companies, Companies House,
Fourth floor, Edinburgh Quay 2,
139 Fountainbridge, Edinburgh, Scotland, EH3 9FF.
DX ED235 Edinburgh 1
or LP - 4 Edinburgh 2 (Legal Post).

For SEs registered in Northern Ireland:
The Registrar of Companies, Companies House,
First Floor, Waterfront Plaza, 8 Laganbank Road,
Belfast, Northern Ireland, BT1 3BS.
DX 481 N.R. Belfast 1.

Further information

For further information, please see the guidance notes on the website at www.companieshouse.gov.uk or email enquiries@companieshouse.gov.uk

This form is available in an alternative format. Please visit the forms page on the website at www.companieshouse.gov.uk

In accordance with Section 72(6) of the European Public Limited-Liability Company regulations 2004.

SE SS01

Statement of solvency by members of Societas Europaea (SE) which is proposing to transfer from the United Kingdom (UK)



What this form is for
You may use this form to make a statement of solvency by members of the SE which is proposing to transfer from the UK.

What this form is NOT for
You cannot use this form to make any other statement.

For further information, please refer to our guidance at www.companieshouse.gov.uk

1	SE details	
SE number	<input type="text"/>	<p>→ Filling in this form Please complete in typescript or in bold black capitals.</p> <p>All fields are mandatory unless specified or indicated by *</p>
SE name in full	<input type="text"/>	
2	Proposed name of SE, if applicable	
Proposed new name of SE in full ¹	<input type="text"/>	<p>¹ Proposed new name Please enter proposed name, if applicable.</p>
3	Date of proposed transfer	
Proposed transfer date	<input type="text"/>	
4	Declaration	
<p>We being all the members of the: ²</p> <p><input type="checkbox"/> administrative organ of the SE named above</p> <p><input type="checkbox"/> management organ of the SE named above and having been authorised to make this statement by the supervisory organ;</p> <p>make this statement in accordance with Regulation 72(6) of The European Public Limited-Liability Company Regulations 2004, in order to satisfy the Secretary of State as required by Article 8(7) of Council Regulation (EC) No 2157/2001 (the "Regulation").</p> <p>We have formed the opinion:</p> <ol style="list-style-type: none"> As regards the above named SE's financial situation immediately following the date (as given above) on which the transfer is proposed to be made, that there will be no grounds on which the SE could then be found to be unable to pay its debts; As regards the above named SE's prospects for the year immediately following the date (as given above) on which the transfer is proposed to be made, that, having regard to our intentions with respect to the management of the SE's business during that year and to the amount and character of the financial resources which will in our view be available to the SE during that year, the SE will be able to carry on business as a going concern (and will accordingly be able to pay its debts as they fall due throughout that year); and In forming our opinion for the purposes of paragraph 1 above we have taken into account the same liabilities (including prospective and contingent liabilities) as would be relevant under section 122 of the Insolvency Act 1986 (winding up by the court) to the question whether a company is unable to pay its debts. 		<p>² Please tick the appropriate box.</p>

SE SS01

Statement of solvency by members of Societas Europaea (SE) which is proposing to transfer from the United Kingdom (UK)

5

Signatures of all the members of the management or administrative organ of the SE named in Section 1

Member's signature	Signature X	X	<p>• All members of the management or supervisory organ of the SE must sign.</p> <p>Continuation pages Please use a continuation page if necessary.</p>
Member's signature	Signature X	X	
Member's signature	Signature X	X	
Member's signature	Signature X	X	
Member's signature	Signature X	X	
Member's signature	Signature X	X	
Member's signature	Signature X	X	
Member's signature	Signature X	X	
Member's signature	Signature X	X	
Member's signature	Signature X	X	

CHFP000
10/09 Version 2.0

SE SS01

Statement of solvency by members of Societas Europaea (SE) which is proposing to transfer from the United Kingdom (UK)

Presenter information

You do not have to give any contact information, but if you do it will help Companies House if there is a query on the form. The contact information you give will be visible to searchers of the public record.

Contact name
Company name
Address
Post town
County/Region
Postcode
Country
DX
Telephone

Checklist

We may return forms completed incorrectly or with information missing.

Please make sure you have remembered the following:

- The SE name and number match the information held on the public Register.
- You have filed this form with an SE TR03.
- You have ticked the appropriate box and read the declaration.
- You have signed the form.

Important information

Please note that all information on this form will appear on the public record.

Where to send

You may return this form to any Companies House address, however for expediency we advise you to return it to the appropriate address below:

For SEs registered in England and Wales:
The Registrar of Companies, Companies House,
Crown Way, Cardiff, Wales, CF14 3UZ
DX 33050 Cardiff.

For SEs registered in Scotland:
The Registrar of Companies, Companies House,
Fourth floor, Edinburgh Quay 2,
139 Fountainbridge, Edinburgh, Scotland, EH3 9FF.
DX ED235 Edinburgh 1
or LP - 4 Edinburgh 2 (Legal Post).

For SEs registered in Northern Ireland:
The Registrar of Companies, Companies House,
First Floor, Waterfront Plaza, 8 Laganbank Road,
Belfast, Northern Ireland, BT1 3BS.
DX 481 N.R. Belfast 1.

Further information

For further information, please see the guidance notes on the website at www.companieshouse.gov.uk or email enquiries@companieshouse.gov.uk

This form is available in an alternative format. Please visit the forms page on the website at www.companieshouse.gov.uk

In accordance with Section 72(6) of the European Public Limited-Liability Company regulations 2004.

SE SS01 - continuation page
 Statement of solvency by members of Societas Europaea (SE) which is proposing to transfer from the United Kingdom (UK)

5		Signatures of all the members of the management or administrative organ of the SE named in Section 1
Member's signature	Signature X	X
Member's signature	Signature X	X
Member's signature	Signature X	X
Member's signature	Signature X	X
Member's signature	Signature X	X
Member's signature	Signature X	X
Member's signature	Signature X	X
Member's signature	Signature X	X
Member's signature	Signature X	X
Member's signature	Signature X	X
Member's signature	Signature X	X

• All members of the management or supervisory organ of the SE must sign.

CHFP000
10/09 Version 2.0

In accordance with Section 79(2) of the European Public Limited-Liability Company Regulations 2004.

SE AP01

Appointment of a member of a supervisory organ of a Societas Europaea (SE)



✓ **What this form is for**
You may use this form to appoint a member of a supervisory organ of an SE.

✗ **What this form is NOT for**
You cannot use this form if you are appointing a corporate member of a supervisory organ of an SE (to do this, please use form SE AP02) or to appoint a member of an administrative or management organ (to do this, please use form AP01).

For further information, please refer to our guidance at www.companieshouse.gov.uk

1 SE details		<p>→ Filling in this form Please complete in typescript or in bold black capitals. All fields are mandatory unless specified or indicated by *</p>
SE number	<input type="text"/>	
SE name in full	<input type="text"/>	
2 Date of member's appointment		
Date of appointment	<input type="text"/>	
3 New member's details		<p>➊ Former name(s) Please provide any previous names which have been used for business purposes in the past 20 years. Married women do not need to give former names unless previously used for business purposes. Continue in Section 6 if required</p> <p>➋ Country/State of residence This is in respect of your usual residential address as stated in Section 4a.</p> <p>➌ Business occupation If you have a business occupation, please enter here. If you do not, please leave blank.</p>
Title *	<input type="text"/>	
Full forename(s)	<input type="text"/>	
Surname	<input type="text"/>	
Former name(s) ➊	<input type="text"/>	
Country/State of residence ➋	<input type="text"/>	
Nationality	<input type="text"/>	
Date of birth	<input type="text"/>	
Business occupation (if any) ➌	<input type="text"/>	
4 New member's service address ➍		<p>➍ Service address Please provide your service address. This is the address that will appear on the public record. This does not have to be the usual residential address. Please state 'The SE's Registered Office' if your service address is recorded in the SE's register of members as the SE's registered office. If you provide your residential address here it will appear on the public record.</p>
Please complete the service address below. You must also complete the member's usual residential address in Section 4a.		
Building name/number	<input type="text"/>	
Street	<input type="text"/>	
Post town	<input type="text"/>	
County/Region	<input type="text"/>	
Postcode	<input type="text"/>	
Country	<input type="text"/>	

SE AP01

Appointment of a member of a supervisory organ of Societas Europaea (SE)



This page is not shown on the public record



Do not cover this barcode

4a

New member's usual residential address

	Please complete your usual residential address below.
Building name/number	
Street	
Post town	
County/Region	
Postcode	
Country	

New member's usual residential address

Please state 'Same as service address' in this section if your usual residential address is recorded in the SE's register of member's residential addresses as 'Same as service address'.

You cannot use 'Same as service address' if your service address has been stated in Section 4 as 'The SE's Registered Office'. You will need to complete the address in full.

This cannot be a PO Box, DX or LP (Legal Post in Scotland) number.

Section 243 of Companies Act 2006

Section 243 exemption

Only tick the box below if you are in the process of applying for, or have been granted, exemption by the Registrar from disclosing your usual residential address to credit reference agencies under section 243 of the Companies Act 2006.

Different postal address:

If you are applying for, or have been granted, a section 243 exemption, please post this whole form to the different postal address below:
The Registrar of Companies, PO Box 4082, Cardiff, CF14 3WE.

Where you are applying for a section 243 exemption with this notice, the application and this form must be posted together.

If you are currently in the process of applying for, or have been granted, a section 243 exemption, you may wish to check you have not entered your usual residential address in Section 4 as this will go on the public record.



CHFP000
10/09 Version 2.0

SE AP01

Appointment of a member of a supervisory organ of Societas Europaea (SE)

5		Signatures	
		I consent to act as member of a supervisory organ of the above named SE.	
New member's signature	Signature	X	X
Authorising signature	Signature	X	X
		This form may be signed and authorised by: Member of management organ of the SE, Liquidator, Administrator, Administrative receiver, Receiver, Receiver manager.	
6		Additional former names (continued from Section 3)	Additional former names
Former names			Use this space to enter any additional names.

SE AP01

Appointment of a member of a supervisory organ of Societas Europaea (SE)

Presenter information

You do not have to give any contact information, but if you do it will help Companies House if there is a query on the form. The contact information you give will be visible to searchers of the public record.

Contact name
Company name
Address
Post town
County/Region
Postcode
Country
DX
Telephone

Checklist

We may return forms completed incorrectly or with information missing.

Please make sure you have remembered the following:

- The SE name and number match the information held on the public Register.
- You have provided a business occupation if you have one.
- You have provided a correct date of birth.
- You have completed the date of appointment.
- You have completed the nationality box .
- You have provided both the service address and the usual residential address.
- Addresses must be a physical location. They cannot be a PO Box number (unless part of a full service address), DX or LP (Legal Post in Scotland) number.
- You have included all former names used for business purposes over the last 20 years.
- You have enclosed a relevant section 243 application if applying for this at the same time as completing this form.
- The new member has signed the form.
- You have provided an authorising signature.

Important information

Please note that all information on this form will appear on the public record, apart from information relating to usual residential addresses.

Where to send

You may return this form to any Companies House address, however for expediency we advise you to return it to the appropriate address below:

For SEs registered in England and Wales:
The Registrar of Companies, Companies House,
Crown Way, Cardiff, Wales, CF14 3UZ
DX 33050 Cardiff.

For SEs registered in Scotland:
The Registrar of Companies, Companies House,
Fourth floor, Edinburgh Quay 2,
139 Fountainbridge, Edinburgh, Scotland, EH3 9FF.
DX ED235 Edinburgh 1
or LP - 4 Edinburgh 2 (Legal Post).

For SEs registered in Northern Ireland:
The Registrar of Companies, Companies House,
First Floor, Waterfront Plaza, 8 Laganbank Road,
Belfast, Northern Ireland, BT1 3BS.
DX 481 N.R. Belfast 1.

Section 243 exemption

If you are applying for, or have been granted a section 243 exemption, please post this whole form to the different postal address below:
The Registrar of Companies, PO Box 4082,
Cardiff, CF14 3WE.

Further information

For further information, please see the guidance notes on the website at www.companieshouse.gov.uk or email enquiries@companieshouse.gov.uk

This form is available in an alternative format. Please visit the forms page on the website at www.companieshouse.gov.uk

In accordance with Section 79(2) of the European Public Limited-Liability Company Regulations 2004.

SE AP02

Appointment of corporate member of a supervisory organ of Societas Europaea (SE)



✓ **What this form is for**
You may use this form to appoint a corporate member of a supervisory organ of an SE.


✗ **What this form is NOT for**
You cannot use this form to appoint a member of a supervisory organ of an SE (to do this, please use form SE AP01) or to appoint a corporate member of an administrative or management organ (to do this, please use form AP02).

For further information, please refer to our guidance at www.companieshouse.gov.uk

1 SE details		<p>→ Filling in this form Please complete in typescript or in bold black capitals. All fields are mandatory unless specified or indicated by *</p>
SE number	<input type="text"/>	
SE name in full	<input type="text"/>	
2 Date of corporate member's appointment		
Date of appointment	<input type="text"/>	
3 Corporate member's details		<p>● Registered or principal address This is the address that will appear on the public record. This address must be a physical location for the delivery of documents. It cannot be a PO Box number (unless contained within a full address), DX number or LP (Legal post in Scotland) number.</p>
Corporate body/firm name	<input type="text"/>	
Building name/number ●	<input type="text"/>	
Street	<input type="text"/>	
Post town	<input type="text"/>	
County/Region	<input type="text"/>	
Postcode	<input type="text"/>	
Country	<input type="text"/>	
<p>Is the company within the European Economic Area (EEA) → Yes complete Section 4 → No complete Section 5</p>		
4 EEA companies ●		<p>● EEA A full list of countries of the EEA can be found in our guidance: www.companieshouse.gov.uk</p> <p>● This is the register mentioned in Article 3 of the First Company Law Directive (68/151/EEC).</p>
Please give details of the register where the company file is kept (including the relevant state) and the registration number in that register.		
Where the company/firm is registered ●	<input type="text"/>	
Registration number	<input type="text"/>	

SE AP02

Appointment of corporate member of a supervisory organ of Societas Europaea (SE)

5	Non-EEA companies	
	Please give details of the legal form of the corporate body or firm and the law by which it is governed. If applicable, please also give details of the register in which it is entered (including the state) and its registration number in that register.	Non - EEA Where you have provided details of the register (including state) where the company or firm is registered, you must also provide its number in that register.
Legal form of the corporate body or firm		
Governing law		
If applicable, where the company/firm is registered 		
Registration number		
6	Signatures	
	I consent to act as member of a supervisory organ of the above named SE.	
New member's signature	Signature X	X
Authorising signature	Signature X	X
	This form may be signed and authorised by: Member of management organ of the SE, Liquidator, Administrator, Administrative receiver, Receiver, Receiver manager.	

SE AP02

Appointment of corporate member of a supervisory organ of Societas Europaea (SE)

Presenter information

You do not have to give any contact information, but if you do it will help Companies House if there is a query on the form. The contact information you give will be visible to searchers of the public record.

Contact name
Company name
Address
Post town
County/Region
Postcode
Country
DX
Telephone

Checklist

We may return forms completed incorrectly or with information missing.

Please make sure you have remembered the following:

- The SE name and number match the information held on the public record.
- You have completed the date of appointment.
- You have entered the new corporate member's address.
- The address must be a physical location. It cannot be a PO Box number (unless part of a full address), DX or LP (Legal Post in Scotland) number.
- You have completed either Section 4 or Section 5.
- An officer of the new corporate member has signed the form.
- You have provided an authorising signature.

Important information

Please note that all information on this form will appear on the public record.

Where to send

You may return this form to any Companies House address, however for expediency we advise you to return it to the appropriate address below:

For SEs registered in England and Wales:
The Registrar of Companies, Companies House,
Crown Way, Cardiff, Wales, CF14 3UZ
DX 33050 Cardiff.

For SEs registered in Scotland:
The Registrar of Companies, Companies House,
Fourth floor, Edinburgh Quay 2,
139 Fountainbridge, Edinburgh, Scotland, EH3 9FF.
DX ED235 Edinburgh 1
or LP - 4 Edinburgh 2 (Legal Post).

For SEs registered in Northern Ireland:
The Registrar of Companies, Companies House,
First Floor, Waterfront Plaza, 8 Laganbank Road,
Belfast, Northern Ireland, BT1 3BS.
DX 481 N.R. Belfast 1.

Further information

For further information, please see the guidance notes on the website at www.companieshouse.gov.uk or email enquiries@companieshouse.gov.uk

This form is available in an alternative format. Please visit the forms page on the website at www.companieshouse.gov.uk

In accordance with Section 79(2) of the European Public Limited-Liability Company Regulations 2004.

SE TM01

Termination of appointment of member of a supervisory organ of Societas Europaea (SE)



✓ **What this form is for**
You may use this form to terminate the appointment of a member (individual or corporate) of an SE.

✗ **What this form is NOT for**
You cannot use this form to terminate the appointment of a director of a company. To do this, please use form TM01 'Termination of appointment of director'.

For further information, please refer to our guidance at www.companieshouse.gov.uk

1 SE details		
SE number	<input type="text"/>	→ Filling in this form Please complete in typescript or in bold black capitals. All fields are mandatory unless specified or indicated by *
SE name in full	<input type="text"/>	
2 Current details on the Register		
Please give us the current appointment details of this member held on the public Register.		● Date of birth Providing a date of birth will help us identify the correct person on the public record. This is voluntary information and if completed it will be placed on the public record.
Date of birth ●	<input type="text"/>	
Title *	<input type="text"/>	
Full forename(s)	<input type="text"/>	
Surname/Corporate name	<input type="text"/>	
3 Termination date ●		
Date of termination of appointment	<input type="text"/>	● Only one member appointment can be terminated per form.
4 Signature		
I am signing this form on behalf of the SE.		
Signature	Signature <input type="text"/>	✗
This form may be signed by: Member of the management organ of the SE, Liquidator, Administrator, Administrative receiver, Receiver, Receiver manager.		

SE TM01

Termination of appointment of member of a supervisory organ of Societas Europaea (SE)

Presenter information

You do not have to give any contact information, but if you do it will help Companies House if there is a query on the form. The contact information you give will be visible to searchers of the public record.

Contact name
Company name
Address
Post town
County/Region
Postcode
Country
DX
Telephone

Checklist

We may return forms completed incorrectly or with information missing.

Please make sure you have remembered the following:

- The SE name and number match the information held on the public Register.
- You have correctly entered the name of the member being terminated.
- You have included the date of termination.
- You have signed the form.

Important information

Please note that all information on this form will appear on the public record.

Where to send

You may return this form to any Companies House address, however for expediency we advise you to return it to the appropriate address below:

For SEs registered in England and Wales:
The Registrar of Companies, Companies House,
Crown Way, Cardiff, Wales, CF14 3UZ
DX 33050 Cardiff.

For SEs registered in Scotland:
The Registrar of Companies, Companies House,
Fourth floor, Edinburgh Quay 2,
139 Fountainbridge, Edinburgh, Scotland, EH3 9FF.
DX ED235 Edinburgh 1
or LP - 4 Edinburgh 2 (Legal Post).

For SEs registered in Northern Ireland:
The Registrar of Companies, Companies House,
First Floor, Waterfront Plaza, 8 Laganbank Road,
Belfast, Northern Ireland, BT1 3BS.
DX 481 N.R. Belfast 1.

Further information

For further information, please see the guidance notes on the website at www.companieshouse.gov.uk or email enquiries@companieshouse.gov.uk

This form is available in an alternative format. Please visit the forms page on the website at www.companieshouse.gov.uk

In accordance with Section 79(2) of the European Public Limited-Liability Company Regulations 2004.

SE CH01

Change of member's details of a supervisory organ of a Societas Europaea (SE)



✓ **What this form is for**
You may use this form to change the details of a member of a supervisory organ of an SE.

✗ **What this form is NOT for**
You cannot use this form to change the details of a corporate member of a supervisory organ of an SE. To do this, please use form SE CH02 'Change of corporate member's details of a supervisory organ of a Societas Europaea (SE)'.

For further information, please refer to our guidance at www.companieshouse.gov.uk

1 SE details		<p>→ Filling in this form Please complete in typescript or in bold black capitals. All fields are mandatory unless specified or indicated by *</p>
SE number	<input type="text"/>	
SE name in full	<input type="text"/>	
2 Member's current details on the Register		<p>● Current details This information is used to identify your details on the public record. ● This is voluntary information and if completed it will be placed on the public record.</p>
Date of birth *	<input type="text"/>	
Title *	<input type="text"/>	
Full forename(s)	<input type="text"/>	
Surname	<input type="text"/>	
3 Date of change of details		
Date of change of details	<input type="text"/>	Please complete the appropriate sections to indicate which of your details have changed.
4 Change of name details		<p>● New name Please enter your new name.</p>
Title *	<input type="text"/>	
Full forename(s)	<input type="text"/>	
Surname	<input type="text"/>	
5 Change of service address		<p>● Service address This is the address that will appear on the public record. This does not have to be your usual residential address. Please state 'The SE's Registered Office' if your service address is recorded in the SE's register of members as the SE's registered office. If you provide your residential address here it will appear on the public record. Please complete Section 5a if your usual residential address has changed.</p>
Building name/number	<input type="text"/>	
Street	<input type="text"/>	
Post town	<input type="text"/>	
County/Region	<input type="text"/>	
Postcode	<input type="text"/>	
Country	<input type="text"/>	
<input type="checkbox"/> I confirm that there has been no change in the SE's register of members' residential addresses.		

SE CH01

Change of member's details of a supervisory organ of a Societas Europaea (SE)



This page is not shown on the public record



Do not cover this barcode

5a

Change of usual residential address

Please complete this section if you have changed your usual residential address.

Building name/number	
Street	
Post town	
County/Region	
Postcode	
Country	

Change of member's usual residential address

Please state 'Same as service address' in this section if your usual residential address is recorded in the SE's register of members residential addresses as 'Same as service address'.

You cannot state 'Same as service address' if your service address has been stated in Section 5 as 'The SE's Registered Office'. You will need to complete the address in full.

This cannot be a PO Box, DX or LP (Legal Post in Scotland) number.

Section 243 of Companies Act 2006

Section 243 exemption

Only tick the box below if you are in the process of applying for, or have been granted, exemption by the Registrar from disclosing your usual residential address to credit reference agencies under section 243 of the Companies Act 2006.

Different postal address:

If you are applying for, or have been granted, a section 243 exemption, please post this whole form to the different postal address below:
The Registrar of Companies, PO Box 4082, Cardiff, CF14 3WE.

Where you are applying for a section 243 exemption with this notice, the application and this form must be posted together.

If you are currently in the process of applying for, or have been granted, a section 243 exemption, you may wish to check you have not entered your usual residential address in Section 5 as this will appear on the public record.



CHFP000
10/09 Version 2.0

SE CH01

Change of member's details of a supervisory organ of a Societas Europaea (SE)

6	Change of other details	
Change of country/ state of residence		
Change of nationality		
Change of business occupation		
7	Signature	
	I am signing this form on behalf of the SE.	
Signature	Signature X	X
	This form may be signed by: Member of management organ of the SE, Liquidator, Administrator, Administrative receiver, Receiver, Receiver manager.	

SE CH01

Change of member's details of a supervisory organ of a Societas Europaea (SE)

Presenter information

You do not have to give any contact information, but if you do it will help Companies House if there is a query on the form. The contact information you give will be visible to searchers of the public record.

Contact name
Company name
Address
Post town
County/Region
Postcode
Country
DX
Telephone

Checklist

We may return forms completed incorrectly or with information missing.

Please make sure you have remembered the following:

- The SE name and number match the information held on the public Register.
- You have completed in Section 3 the date of change of details.
- If you have changed the service address, you have ticked the no change box in Section 5 to indicate no change in your usual residential address or provided your new usual residential address in Section 5a.
- Any new address must be a physical location. They cannot be a PO Box number (unless part of a full service address), DX or LP (Legal Post in Scotland) number.
- You have entered the relevant change of details.
- You have signed the form.

Important information

Please note that all information on this form will appear on the public record, apart from information relating to usual residential addresses.

Where to send

You may return this form to any Companies House address, however for expediency we advise you to return it to the appropriate address below:

For SEs registered in England and Wales:
The Registrar of Companies, Companies House,
Crown Way, Cardiff, Wales, CF14 3UZ.
DX 33050 Cardiff.

For SEs registered in Scotland:
The Registrar of Companies, Companies House,
Fourth floor, Edinburgh Quay 2,
139 Fountainbridge, Edinburgh, Scotland, EH3 9FF.
DX ED235 Edinburgh 1
or LP - 4 Edinburgh 2 (Legal Post).

For SEs registered in Northern Ireland:
The Registrar of Companies, Companies House,
First Floor, Waterfront Plaza, 8 Laganbank Road,
Belfast, Northern Ireland, BT1 3BS.
DX 481 N.R. Belfast 1.

Section 243 exemption

If you are applying for, or have been granted a section 243 exemption, please post this whole form to the different postal address below:
The Registrar of Companies, PO Box 4082,
Cardiff, CF14 3WE.

Further information

For further information, please see the guidance notes on the website at www.companieshouse.gov.uk or email enquiries@companieshouse.gov.uk

This form is available in an alternative format. Please visit the forms page on the website at www.companieshouse.gov.uk

SE CH01

Change of member's details of a supervisory organ of a Societas Europaea (SE)

Supplementary guidance

In completing this form you will need to know how the operation of the register of members and register of members' residential addresses kept by the SE affects it.

A Entries for a member

SEs have to keep two registers of members:

- The register of members, which will contain members' particulars except for the residential address, and
- The register of members' residential addresses.

You must inform Companies House of both addresses for each of your members. We put the service address on the public register, but keep the residential address secure and only allow certain people to see it.

If the two addresses are the same for a member, you can enter the service address in the register of members, and simply enter "same as service address" in the register of members' residential addresses.

B Changes of address where you have used the "same as service address" facility

B1. Changing both addresses to the same address

If the member is changing both addresses to the same new address, the registers will look as follows:

- Register of members

Original entry: 1 High Street Anytown. AN1 1AA	New entry: 2 Low Street Anytown AN1 1BB
--	---

- Register of members' residential addresses

Original entry: "Same as service address"	New entry: Residential address remains the same as the service address. There is no need for a new entry in the register as the existing "same as" entry is still correct.
---	--

You must complete form SE CH01 and enter the new service address in **Section 5**. As the entry in your residential address register has not changed, (because it is still the "same as" the service address), you would tick the box in **Section 5** (confirming that there has been no change to the register of members' residential addresses). You would not then complete **Section 5a**.

B2. Change of service address only

If the member is only moving his service address, and not moving his residential address as well, the registers will look as follows:

- Register of members

Original entry: 1 High Street Anytown AN1 1AA	New entry: 2 Low Street Anytown AN1 1BB
---	---

- Register of members' residential addresses

Original entry: "Same as service address"	New entry: 1 High Street Anytown AN1 1AA
---	--

You must complete form SE CH01 and enter in **Section 5** the new service address. As the entry in your members' residential address register has changed, (because it is not now the "same as" the service address), you do not tick the box (as there has been a change in the register of residential addresses). You need to complete **Section 5a** with the residential address.

B3. Change of residential address only

If, however, the member is only moving his residential address, and not moving his service address as well, the registers will look as follows:

- Register of members

Original entry 1 High Street Anytown AN1 1AA	New entry 1 High Street Anytown AN1 1AA
--	---

- Register of members' residential addresses

Original entry "Same as service address"	New entry 2 Low Street Anytown AN1 1BB
--	--

You must complete form SE CH01. Do not complete the service address or tick box in **Section 5** as the usual residential address is now not the same as the service address. The entry in the register of members' residential addresses has changed, so you need to complete **Section 5a** with the residential address.

C Changes of address where the addresses are different

If the addresses in the two registers are different you will need to fill in the appropriate part of the form to record any address changes. So, if only the service address changes, you only complete **Section 5** and tick the box to show there has been no change to the register of residential addresses. If only the residential address changes, you only fill in **Section 5a**. If both addresses change you would complete **Section 5**, but not tick the box (to show that there has been a change in the register of members' residential addresses), and then fill in **Section 5a**.

In accordance with Section 79(2) of the European Public Limited-Liability Company Regulations 2004.

SE CH02

Change of corporate member's details of a supervisory organ of a Societas Europaea (SE)



✓ **What this form is for**
You may use this form to change the details of a corporate member of a supervisory organ of an SE.




✗ **What this form is NOT for**
You cannot use this form to change the details of an individual who is a member of a supervisory organ of an SE. To do this, please use form SE CH01 'Change of member's details of a supervisory organ of a Societas Europaea (SE)'.

For further information, please refer to our guidance at www.companieshouse.gov.uk

1 SE details		→ Filling in this form Please complete in typescript or in bold black capitals. All fields are mandatory unless specified or indicated by *
SE number	<input type="text"/>	
SE name in full	<input type="text"/>	
2 Corporate member's current details on the Register		● Current details This information is used to identify your details on the public record.
Corporate body/firm name	<input type="text"/>	
3 Date of change of details		
Date of change of details	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>	
		Please complete the appropriate sections to indicate which of your details have changed.
4 Change of name details		
Change of corporate body/firm name	<input type="text"/>	
5 Change of registered or principal office address		● Registered or principal address This is the address that will appear on the public record. This address must be a physical location for the delivery of documents. It cannot be a PO Box number (unless contained within a full address), DX number or LP (Legal Post in Scotland) number.
Building name/number	<input type="text"/>	
Street	<input type="text"/>	
Post town	<input type="text"/>	
County/Region	<input type="text"/>	
Postcode	<input type="text"/>	
Country	<input type="text"/>	

SE CH02

Change of corporate member's details of a supervisory organ of a Societas Europaea (SE)

6	Change of European Economic Area (EEA) company details 	
	Please give any new details of the register where the company file is kept (including the relevant state) and any change of registration number in that register.	<p>EEA A full list of countries of the EEA can be found in our guidance: www.companieshouse.gov.uk</p> <p>This is the register mentioned in Article 3 of the First Company Law Directive (68/151/EEC).</p>
New details where the company/firm is registered 		
New registration number		
7	Change of Non-EEA company details	
	Please show any change of details of the legal form of the corporate body or firm and the law by which it is governed. If applicable, please detail any change to the register in which it is entered (including the state) and any new registration number in that register.	<p>Non-EEA Where you have provided details of the register (including state) where the company or firm is registered, you must also provide its number in that register.</p>
Change of details of the legal form of the corporate body or firm		
Change of details of governing law		
If applicable, new details where the company/firm is registered 		
If applicable, the new registration number		
8	Signature	
	I am signing this form on behalf of the SE.	<p style="text-align: right;">X</p> <p>This form may be signed by: Member of management organ of the SE, Liquidator, Administrator, Administrative receiver, Receiver, Receiver manager.</p>
Signature	Signature X	

SE CH02

Change of corporate member's details of a supervisory organ of a Societas Europaea (SE)

Presenter information

You do not have to give any contact information, but if you do it will help Companies House if there is a query on the form. The contact information you give will be visible to searchers of the public record.

Contact name
Company name
Address
Post town
County/Region
Postcode
Country
DX
Telephone

Checklist

We may return forms completed incorrectly or with information missing.

Please make sure you have remembered the following:

- The SE name and number match the information held on the public Register.
- You have entered in Section 3 the date of change of details.
- You have entered the relevant change of details.
- A new address must be a physical location. It cannot be a PO Box number (unless part of a full address), DX or LP (Legal Post in Scotland) number.
- You have signed the form.

Important information

Please note that all information on this form will appear on the public record.

Where to send

You may return this form to any Companies House address, however for expediency we advise you to return it to the appropriate address below:

For SEs registered in England and Wales:
The Registrar of Companies, Companies House,
Crown Way, Cardiff, Wales, CF14 3UZ.
DX 33050 Cardiff.

For SEs registered in Scotland:
The Registrar of Companies, Companies House,
Fourth floor, Edinburgh Quay 2,
139 Fountainbridge, Edinburgh, Scotland, EH3 9FF.
DX ED235 Edinburgh 1
or LP - 4 Edinburgh 2 (Legal Post).

For SEs registered in Northern Ireland:
The Registrar of Companies, Companies House,
First Floor, Waterfront Plaza, 8 Laganbank Road,
Belfast, Northern Ireland, BT1 3BS.
DX 481 N.R. Belfast 1.

Further information

For further information, please see the guidance notes on the website at www.companieshouse.gov.uk or email enquiries@companieshouse.gov.uk

This form is available in an alternative format. Please visit the forms page on the website at www.companieshouse.gov.uk

In accordance with section 82(1)(a) of the European Public Limited-Liability Company Regulations 2004.

SE AS01

Amendment of Statutes of a Societas Europaea (SE)



What this form is for
You may use this form to amend the statutes of an SE.

What this form is NOT for
You cannot use this form to amend any other details.

For further information, please refer to our guidance at www.companieshouse.gov.uk

1 SE details		<p>→ Filling in this form Please complete in typescript or in bold black capitals. All fields are mandatory unless specified or indicated by *</p>
SE number	<input type="text"/>	
SE name in full	<input type="text"/>	
2 Statement of amendment		
Statement	<p>The above company gives notice that in accordance with section 82(1)(a) of The European Public Limited-Liability Company Regulations 2004 the statutes of the SE have been amended.</p> <p>A copy of the amendments to the statutes is attached to this form.</p> <p>The amendments came into effect on</p>	
Date of amendment	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>	
3 Signatures		
	<p>I am signing this form on behalf of the SE.</p>	
SE member's signature	<p>Signature</p> <p><input checked="" type="text"/></p>	<input checked="" type="text"/>
	<p>This form may be signed by: Member of management or administrative organ of the SE.</p>	

SE AS01

Amendments of Statutes of Societas Europaea (SE)

Presenter information

You do not have to give any contact information, but if you do it will help Companies House if there is a query on the form. The contact information you give will be visible to searchers of the public record.

Contact name
Company name
Address
Post town
County/Region
Postcode
Country
DX
Telephone

Checklist

We may return forms completed incorrectly or with information missing.

Please make sure you have remembered the following:

- The SE name and number match the information held on the public Register.
- You have attached a copy of the amendments of statutes to this form.
- You have provided the date of amendment.
- You have signed the form.

Important information

Please note that all information on this form will appear on the public record.

Where to send

You may return this form to any Companies House address, however for expediency we advise you to return it to the appropriate address below:

For SEs registered in England and Wales:
The Registrar of Companies, Companies House,
Crown Way, Cardiff, Wales, CF14 3UZ
DX 33050 Cardiff.

For SEs registered in Scotland:
The Registrar of Companies, Companies House,
Fourth floor, Edinburgh Quay 2,
139 Fountainbridge, Edinburgh, Scotland, EH3 9FF.
DX ED235 Edinburgh 1
or LP - 4 Edinburgh 2 (Legal Post).

For SEs registered in Northern Ireland:
The Registrar of Companies, Companies House,
First Floor, Waterfront Plaza, 8 Laganbank Road,
Belfast, Northern Ireland, BT1 3BS.
DX 481 N.R. Belfast 1.

Further information

For further information, please see the guidance notes on the website at www.companieshouse.gov.uk or email enquiries@companieshouse.gov.uk

This form is available in an alternative format. Please visit the forms page on the website at www.companieshouse.gov.uk

In accordance with Section 86 of the European Public Limited-Liability Company Regulations 2004.

SE DT03

Notification of draft terms of conversion of Societas Europaea (SE) to a Public Limited Company (PLC)



What this form is for
You may use this form to file draft terms of conversion of an SE to a PLC.

What this form is NOT for
You cannot use this form to file any other information.

For further information, please refer to our guidance at www.companieshouse.gov.uk

1 SE details		<p>→ Filling in this form Please complete in typescript or in bold black capitals. All fields are mandatory unless specified or indicated by *</p>
SE number	<input type="text"/>	
SE name in full	<input type="text"/>	
2 Proposed name of PLC		
Proposed full name of PLC	<input type="text"/>	
3 Statement of notification		
Statement	In accordance with Article 66(4) of Council Regulation (EC) No 2157/2001 and Section 86 of the European Public Limited-Liability Company Regulations 2004, a copy of the draft terms for the conversion of the above named SE is attached.	
4 Signature		
	I certify that the information given in this form is correct.	
Signature	<p>Signature</p> <p><input checked="" type="checkbox"/></p>	<input checked="" type="checkbox"/>
	This form may be signed by: Member of the management or administrative organ of the SE.	

SE DT03

Notification of draft terms of conversion of Societas Europaea (SE) to a Public Limited Company (PLC)

Presenter information

You do not have to give any contact information, but if you do it will help Companies House if there is a query on the form. The contact information you give will be visible to searchers of the public record.

Contact name

Company name

Address

Post town

County/Region

Postcode

Country

DX

Telephone

Checklist

We may return forms completed incorrectly or with information missing.

Please make sure you have remembered the following:

- The SE name and number match the information held on the public Register.
- You have completed the proposed name.
- You have attached a copy of the Draft Terms of Conversion.
- You have signed the form.

Important information

Please note that all information on, and attached to, this form will appear on the public record.

Where to send

You may return this form to any Companies House address, however for expediency we advise you to return it to the appropriate address below:

For SEs registered in England and Wales:
The Registrar of Companies, Companies House,
Crown Way, Cardiff, Wales, CF14 3UZ
DX 33050 Cardiff.

For SEs registered in Scotland:
The Registrar of Companies, Companies House,
Fourth floor, Edinburgh Quay 2,
139 Fountainbridge, Edinburgh, Scotland, EH3 9FF.
DX ED235 Edinburgh 1
or LP - 4 Edinburgh 2 (Legal Post).

For SEs registered in Northern Ireland:
The Registrar of Companies, Companies House,
First Floor, Waterfront Plaza, 8 Laganbank Road,
Belfast, Northern Ireland, BT1 3BS.
DX 481 N.R. Belfast 1.

Further information

For further information, please see the guidance notes on the website at www.companieshouse.gov.uk or email enquiries@companieshouse.gov.uk

This form is available in an alternative format. Please visit the forms page on the website at www.companieshouse.gov.uk

Transitional provision: registers relating to members of the supervisory organ of an SE

Interpretation

1.—(1) In this Schedule—

- (a) “existing SE” means an SE which, immediately before 1st October 2009, was registered in accordance with regulation 12 of the SEs Regulations or regulation 12 of the Northern Ireland SEs Regulations;
- (b) “the SEs Regulations” means the European Public Limited-Liability Company Regulations 2004;
- (c) “the new SEs Regulations” means the SEs Regulations, as amended by these Regulations;
- (d) “the old SEs Regulations” means the SEs Regulations, disregarding the amendments made by these Regulations;
- (e) “the Northern Ireland SEs Regulations” means the European Public Limited-Liability Company Regulations (Northern Ireland) 2004.

(2) In this Schedule—

“the Companies Acts register” means the register, within the meaning given by section 1080(2) of the Companies Act 2006, as that provision has effect in relation to SEs;

“the old Regulations” means—

- (a) the old SEs Regulations;
- (b) the Northern Ireland SEs Regulations;

“register of SO members” means the register of particulars of members of its supervisory organ which a two-tier SE is required to keep—

- (a) by regulation 79 of the SEs Regulations, or
- (b) by regulation 77 of the Northern Ireland SEs Regulations;

“SE” has the same meaning as in the SEs Regulations;

“two-tier SE” means an SE which has adopted the form of a two-tier system in its statutes.

Particulars to be registered

2.—(1) An existing SE need not comply with any provision of the new SEs Regulations which requires its register of SO members to contain particulars additional to those required by the old Regulations until the earlier of—

- (a) the date on which the SE makes up its first annual return made up to a date on or after 1st October 2009, and
- (b) the last date to which the SE should have made up that return.

(2) Sub-paragraph (1) does not apply in relation to a member of whom particulars are first registered on or after 1st October 2009 (whether the member was appointed before, on or after that date).

(3) Sub-paragraph (1) ceases to apply in relation to a member whose registered particulars fall to be altered on or after 1st October 2009 because they have changed (whether the change occurred before, on or after that date).

(4) This paragraph does not affect the particulars required to be included in the SE’s annual return.

Relevant existing address to be treated as service address

3.—(1) In the case of an existing SE—

- (a) the relevant existing address of a member of the supervisory organ is deemed, on and after 1st October 2009, to be a service address, and
- (b) any entry in the SE's register of SO members stating that address is treated, on and after that date, as complying with the obligation in regulation 80(1)(b) of the new SEs Regulations to state a service address.

(2) The “relevant existing address” is—

- (a) the address which, immediately before 1st October 2009, appeared (in accordance with regulation 80(2) of the old SEs Regulations) in the SE's register of SO members as having been notified to the SE under regulations made under sections 723B to 723F of the Companies Act 1985(a) (service address notified by individual applying for confidentiality order in respect of usual residential address), or
- (b) if no such address appeared, the address which, immediately before that date, appeared in the SE's register of SO members as the member's usual residential address.

(3) Any notification of a change of relevant existing address occurring before 1st October 2009 that is received by the SE on or after that date is treated as being or, as the case may be, including notification of a change of service address.

(4) The operation of this paragraph does not give rise to any duty to notify the registrar under regulation 80C of the new SEs Regulations (duty to notify registrar of changes in particulars contained in the register).

Shadow directors

4.—(1) An existing SE must remove from its register of SO members on 1st October 2009 any entry relating to a person who is a shadow director of an SE by virtue of the members of the supervisory organ acting in accordance with the person's directions or instructions.

(2) Regulation 80C of the new SEs Regulations (duty to notify registrar of changes) applies as if the shadow director had ceased to be a member of the supervisory organ on that date.

Removal of particulars from regulation 79 register on or after 1st October 2009

5. The removal by an existing SE from its register of SO members on or after 1st October 2009 of particulars required by the old Regulations but not required by the new SEs Regulations does not give rise to any duty to notify the registrar under regulation 80C of the new SEs Regulations (duty to notify registrar of changes in particulars contained in the register).

Register of members' usual residential addresses (regulation 80B)

6.—(1) The duty of a two-tier SE to keep a register under regulation 80B of the new SEs Regulations (register of residential addresses of members of its supervisory organ) has effect on and after 1st October 2009.

(2) The entry on that register of information which, immediately before that date, was contained in the SE's register of SO members does not give rise to any duty to notify the registrar under regulation 80C of the new SEs Regulations (duty to notify registrar of changes in particulars contained in the register).

Duty to notify registrar of changes (Regulation 80C)

7.—(1) Regulation 80C of the new SEs Regulations (duty to notify registrar of changes) applies in relation to any change occurring on or after 1st October 2009—

(a) 1985 c.6; sections 723B to 723F were inserted by the Criminal Justice and Police Act 2001 (c.16), section 45(1) and (2).

- (a) among the members of a two-tier SE's supervisory organ, or
 - (b) in the particulars contained in the register of SO members.
- (2) In relation to a change occurring before that date—
- (a) regulations 79(2), (4) and (7) and 80 of the old SEs Regulations, or
 - (b) regulations 77(2), (4) and (6) and 78 of the Northern Ireland SEs Regulations,
- continue to apply.

Members of the supervisory organ: entries on the Companies Acts register

8.—(1) The registrar may make such entries in the Companies Acts register as appear to be appropriate, having regard to paragraphs 2 to 6 and the information appearing on the register immediately before 1st October 2009 or notified to the registrar in accordance with paragraph 7(2).

- (2) In particular, the registrar may record as a service address—
- (a) a relevant existing address (within the meaning of paragraph 3), or
 - (b) in the case of an SE falling within sub-paragraph (3), an address notified to the registrar in connection with that application as the usual residential address of a member of the supervisory organ.
- (3) An SE falls within this sub-paragraph if—
- (a) it was registered on an application received by the registrar before 1st October 2009, and
 - (b) the requirements as to registration were met in relation to it before that date.
- (4) Any notification of a change of the relevant existing address occurring before 1st October 2009 that is received by the registrar on or after that date is treated as being or, as the case may be, including notification of a change of service address.

Residential addresses of members of the supervisory organ: protection from disclosure

9. Where the usual residential address of a member of the supervisory organ of an SE appears as a service address—

- (a) in the register of SO members by virtue of paragraph 3, or
- (b) in the Companies Acts register by virtue of paragraph 8,

that address is not protected information for the purposes of Chapter 8 of Part 10 of the Companies Act 2006.

10.—(1) Section 242(1) of the Companies Act 2006 (duty of registrar to omit protected information from material available for inspection) does not apply—

- (a) to material delivered to the registrar before 1st October 2009, or
- (b) to material delivered to the registrar on or after 1st October 2009 by virtue of paragraph 7(2) (notification of change occurring before that date).

(2) In section 242(2)(b) of the Companies Act 2006 (exclusion of material registered before commencement) the reference to things registered before Chapter 8 of Part 10 of that Act comes into force is treated as including anything registered as a result of a notification in accordance with paragraph 7(2) (notification on or after 1st October 2009 of change occurring before that date).

(3) Sub-paragraphs (1) and (2) have effect subject to paragraph 12 (which provides for the continued protection of information formerly protected by a confidentiality order).

11. In determining under section 245(1) of the Companies Act 2006 whether to put on the public record the usual residential address of a member of the supervisory organ of an SE, the registrar may take into account only—

- (a) communications sent by the registrar on or after 1st October 2009, and

- (b) evidence as to the effectiveness of service coming to the registrar's attention on or after that date.

Continuation of protection afforded by confidentiality orders under the Companies Act 1985

12.—(1) A member of the supervisory organ of an SE in relation to whom a confidentiality order under section 723B of the Companies Act 1985 was in force immediately before 1st October 2009 is treated on and after that date as if—

- (a) the member had made an application under section 1088 of the Companies Act 2006 (application to make address unavailable for public inspection) in respect of any address which, immediately before that date, was contained in “confidential records” as defined in section 723D(3) of the Companies Act 1985, and
- (b) that application had been determined by the registrar in the member's favour.

(2) The provisions of regulations under section 1088 relating to decisions of the registrar in favour of an applicant (in particular, as to the duration and revocation of such a decision) apply accordingly.

(3) In those regulations as they apply in accordance with this paragraph, any reference to an offence under section 1112 of the Companies Act 2006 (false statement) is to be read as a reference to an offence under regulations under section 723E(1)(a) of the Companies Act 1985 in relation to the application for the confidentiality order.

13.—(1) A member of the supervisory organ of an SE in relation to whom a confidentiality order under section 723B of the Companies Act 1985 was in force immediately before 1st October 2009 is treated on and after that date as if—

- (a) the member had made an application under section 243(4) of the Companies Act 2006 (application to prevent disclosure of protected information by registrar to credit reference agency), and
- (b) that application had been determined by the registrar in the member's favour.

(2) The provisions of regulations under section 243(4) relating to decisions of the registrar in favour of an applicant (in particular as to the duration and revocation of such a decision) apply accordingly.

(3) In those regulations as they apply in accordance with this paragraph, any reference to an offence under section 1112 of the Companies Act 2006 (false statement) is to be read as a reference to an offence under regulations under section 723E(1)(a) of the Companies Act 1985 in relation to the application for the confidentiality order.

14. Where a confidentiality order under section 723B of the Companies Act 1985 was in force immediately before 1st October 2009 in relation to a member of the supervisory organ of an SE, regulation 79(5) and (8) of the new SEs Regulations (inspection of the SE's register of SO members) does not apply in relation to that part of the SE's register of SO members containing particulars of the usual residential address of the individual that before that date were protected from disclosure by regulation 79(6) of the old SEs Regulations.

Effect of pending application for confidentiality order

15.—(1) Section 723B(3) to (8) of the Companies Act 1985 (application for confidentiality order) continues to apply in relation to an application for a confidentiality order made before 1st October 2009.

(2) Paragraphs 12 to 14 (continuation of protection afforded by confidentiality orders) apply to a person in respect of whom such an application has been made, and has not been determined or withdrawn, as to a person in relation to whom a confidentiality order was in force immediately before that date.

- (3) If the application is dismissed or withdrawn, those paragraphs cease to apply.

(4) If the application is successful, those paragraphs continue to apply as in the case of an individual in relation to whom a confidentiality order was in force immediately before 1st October 2009.

EXPLANATORY NOTE

(This note is not part of the Regulations)

Council Regulation 2157/2001 on the Statute for a European Company (“the Regulation”) provides for the creation of a form of public limited-liability company, the *Societas Europaea*, known as the “SE”. It is governed by the Regulation and, in respect of matters where the Regulation so provides, by the law applying to public limited-liability companies of the Member State in which it is, or is proposed to be, registered. The Regulation, although directly applicable in the territory of the European Community, obliges Member States to make arrangements in relation to SEs which register in their territory. These arrangements are contained in the European Public Limited-Liability Company Regulations 2004 (S.I. 2004/2326) (“the Principal Regulations”) for Great Britain and in the European Public Limited-Liability Company Regulations (Northern Ireland) 2004 (S.R. (NI) 2004 No 417) (“the Northern Ireland Regulations”) for Northern Ireland.

These Regulations make amendments to the Principal Regulations. Some of the amendments are consequential on the extension of the Principal Regulations to Northern Ireland, and the revocation of the Northern Ireland Regulations by section 1285 of the Companies Act 2006 (c.46). Other amendments replace references to provisions of the Companies Act 1985 (c.6) with references to the equivalent provisions of the Companies Act 2006. Other amendments are consequential on changes to United Kingdom company law and reflect changes made to company law by the Companies Act 2006. In addition, Part 3 of the Principal Regulations, which relates to the involvement of employees in relation to the running of an SE, is omitted and is re-enacted with modifications in the European Public Limited-Liability Company (Employee Involvement) (Great Britain) Regulations 2009 (S.I. 2009/2401). The equivalent provisions for Northern Ireland are now contained in the European Public Limited-Liability Company (Employee Involvement) (Northern Ireland) Regulations 2009 (S.I. 2009/2402).

These Regulations also prescribe new forms for SEs which replace those currently contained in the Principal Regulations. The new forms are set out in Schedule 1 to these Regulations.

Regulation 60 of the Principal Regulations (opposition of competent authority to company’s participation in merger) is omitted (see regulation 19 of these Regulations).

An Impact Assessment of the effect that the Principal Regulations would have on the costs of business was prepared and placed in the library of both Houses of Parliament, as were transposition notes. These documents can be obtained from the Department for Business, Innovation and Skills, 1 Victoria Street, London SW1H 0ET, and can be downloaded from http://www.opsi.gov.uk/si/em_20042326_en.pdf. No further Impact Assessment has been produced.

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