
STATUTORY INSTRUMENTS

2009 No. 3112

**NATIONAL HEALTH SERVICE, ENGLAND
SOCIAL CARE, ENGLAND
PUBLIC HEALTH, ENGLAND**

The Care Quality Commission (Registration) Regulations 2009

*Made - - - - 26th November 2009
Laid before Parliament 30th November 2009
Coming into force 1st April 2010*

**THE CARE QUALITY COMMISSION
(REGISTRATION) REGULATIONS 2009**

PART 1

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1. Citation and commencement
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Changes to legislation: There are outstanding changes not yet made by the legislation.gov.uk editorial team to The Care Quality Commission (Registration) Regulations 2009. Any changes that have already been made by the team appear in the content and are referenced with annotations. (See end of Document for details) View outstanding changes

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SCHEDULE 1 — INFORMATION REQUIRED IN RESPECT OF A SERVICE PROVIDER WHO PROPOSES TO MANAGE THE CARRYING ON OF A REGULATED ACTIVITY

1. Proof of identity including a recent photograph.
2. Where the certificate is required for a purpose referred to...
3. Satisfactory evidence of conduct in previous employment concerned with the...
4. Where a person (P) has been previously employed in a...
5. Satisfactory documentary evidence of any relevant qualification.
6. A full employment history, together with a satisfactory written explanation...
7. Satisfactory information about any physical or mental health conditions which...
8. For the purposes of this Schedule— (a) “the appointed day”...

SCHEDULE 2 — PUBLICATION OF INFORMATION RELATING TO ENFORCEMENT ACTION

PART 1 — INFORMATION RELATING TO ENFORCEMENT ACTION WHICH MUST BE PUBLISHED

1. In relation to the cancellation or suspension of a registered...
2. In relation to the conviction of any person in respect...

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3. (1) This paragraph applies to a decision under section 12(5)...
4. In relation to the payment by any person of a...
5. Paragraphs 1 and 3 do not apply, and the information...
6. Paragraph 2 does not apply, and the information prescribed in...
7. Paragraph 4 does not apply, and the information prescribed in...
8. (1) The time prescribed for information required to be published...
PART 2 — INFORMATION RELATING TO ENFORCEMENT ACTION
WHICH MAY BE PUBLISHED
9. In relation to a conviction in respect of an offence...
10. In relation to decisions under section 12(5) of the Act...
11. Where a person who is not a registered person is...
12. In relation to the payment by any person of a...
13. (1) In relation to a warning notice given under section...

SCHEDULE 3 — INFORMATION TO BE INCLUDED IN THE STATEMENT OF
PURPOSE

1. The aims and objectives of the service provider in carrying...
2. The kinds of services provided for the purposes of the...
3. The full name of the service provider and of any...
4. The legal status of the service provider.
5. Details of the locations at which the services provided for...

Explanatory Note

Changes to legislation:

There are outstanding changes not yet made by the legislation.gov.uk editorial team to The Care Quality Commission (Registration) Regulations 2009. Any changes that have already been made by the team appear in the content and are referenced with annotations.

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Changes and effects yet to be applied to :

- Sch. 1 para. 1 coming into force by S.I. 2009/3112 reg. 1
- Sch. 1 para. 2 coming into force by S.I. 2009/3112 reg. 1
- Sch. 1 para. 3 coming into force by S.I. 2009/3112 reg. 1
- Sch. 1 para. 4 coming into force by S.I. 2009/3112 reg. 1
- Sch. 1 para. 5 coming into force by S.I. 2009/3112 reg. 1
- Sch. 1 para. 6 coming into force by S.I. 2009/3112 reg. 1
- Sch. 1 para. 7 coming into force by S.I. 2009/3112 reg. 1
- Sch. 1 para. 8 coming into force by S.I. 2009/3112 reg. 1
- Sch. 2 para. 1 coming into force by S.I. 2009/3112 reg. 1
- Sch. 2 para. 2 coming into force by S.I. 2009/3112 reg. 1
- Sch. 2 para. 3 coming into force by S.I. 2009/3112 reg. 1
- Sch. 2 para. 4 coming into force by S.I. 2009/3112 reg. 1
- Sch. 2 para. 5 coming into force by S.I. 2009/3112 reg. 1
- Sch. 2 para. 6 coming into force by S.I. 2009/3112 reg. 1
- Sch. 2 para. 7 coming into force by S.I. 2009/3112 reg. 1
- Sch. 2 para. 8 coming into force by S.I. 2009/3112 reg. 1
- Sch. 2 para. 9 coming into force by S.I. 2009/3112 reg. 1
- Sch. 2 para. 10 coming into force by S.I. 2009/3112 reg. 1
- Sch. 2 para. 11 coming into force by S.I. 2009/3112 reg. 1
- Sch. 2 para. 12 coming into force by S.I. 2009/3112 reg. 1
- Sch. 2 para. 13 coming into force by S.I. 2009/3112 reg. 1
- Sch. 3 para. 1 coming into force by S.I. 2009/3112 reg. 1
- Sch. 3 para. 2 coming into force by S.I. 2009/3112 reg. 1
- Sch. 3 para. 3 coming into force by S.I. 2009/3112 reg. 1
- Sch. 3 para. 4 coming into force by S.I. 2009/3112 reg. 1
- Sch. 3 para. 5 coming into force by S.I. 2009/3112 reg. 1
- Sch. 3 para. 3 substituted by S.I. 2012/921 reg. 9
- Regulations words substituted by S.I. 2022/634 Sch. para. 1(1)(3)
- reg. 1 coming into force by S.I. 2009/3112 reg. 1
- reg. 2 coming into force by S.I. 2009/3112 reg. 1
- reg. 2 words inserted by S.I. 2013/235 Sch. 2 para. 134(2)(b)
- reg. 2 words substituted by S.I. 2013/235 Sch. 2 para. 134(2)(a)
- reg. 3 coming into force by S.I. 2009/3112 reg. 1
- reg. 4 coming into force by S.I. 2009/3112 reg. 1
- reg. 5 coming into force by S.I. 2009/3112 reg. 1
- reg. 6 coming into force by S.I. 2009/3112 reg. 1
- reg. 7 coming into force by S.I. 2009/3112 reg. 1
- reg. 8 coming into force by S.I. 2009/3112 reg. 1
- reg. 9 coming into force by S.I. 2009/3112 reg. 1
- reg. 9 heading substituted by S.I. 2013/235 Sch. 2 para. 134(3)(a)
- reg. 9(1) words substituted by S.I. 2013/235 Sch. 2 para. 134(3)(b)
- reg. 9(2) words substituted by S.I. 2013/235 Sch. 2 para. 134(3)(c)
- reg. 9(4) words substituted by S.I. 2013/235 Sch. 2 para. 134(3)(d)
- reg. 9(6)(a) words substituted by S.I. 2013/235 Sch. 2 para. 134(3)(e)
- reg. 9(7)(8) omitted by S.I. 2013/235 Sch. 2 para. 134(3)(g)
- reg. 10 coming into force by S.I. 2009/3112 reg. 1
- reg. 11 coming into force by S.I. 2009/3112 reg. 1
- reg. 12 coming into force by S.I. 2009/3112 reg. 1
- reg. 13 coming into force by S.I. 2009/3112 reg. 1
- reg. 14 coming into force by S.I. 2009/3112 reg. 1

- reg. 15 coming into force by S.I. 2009/3112 reg. 1
- reg. 16 coming into force by S.I. 2009/3112 reg. 1
- reg. 16(1)(b) substituted by S.I. 2012/921 reg. 3(a)
- reg. 16(2) substituted by S.I. 2012/921 reg. 3(b)
- reg. 16(2) words substituted by S.I. 2013/235 Sch. 2 para. 134(4)(a)(i)
- reg. 16(2)(a)(ii) words inserted by S.I. 2013/235 Sch. 2 para. 134(4)(a)(ii)
- reg. 16(4) words substituted by S.I. 2012/1186 reg. 2
- reg. 16(4) words substituted by S.I. 2012/1641 Sch. 3 para. 11(2)
- reg. 17 coming into force by S.I. 2009/3112 reg. 1
- reg. 17(1) words inserted by S.I. 2012/921 reg. 4(a)
- reg. 17(3)(d) omitted by S.I. 2012/921 reg. 4(d)
- reg. 18 coming into force by S.I. 2009/3112 reg. 1
- reg. 18(2)(d) omitted by S.I. 2012/921 reg. 5(a)
- reg. 18(4) words substituted by S.I. 2012/1186 reg. 2
- reg. 18(4) words substituted by S.I. 2012/1641 Sch. 3 para. 11(4)
- reg. 19 coming into force by S.I. 2009/3112 reg. 1
- reg. 20 coming into force by S.I. 2009/3112 reg. 1
- reg. 20(9) words inserted by S.I. 2018/378 Sch. para. 20(m)
- reg. 21 coming into force by S.I. 2009/3112 reg. 1
- reg. 22 coming into force by S.I. 2009/3112 reg. 1
- reg. 23 coming into force by S.I. 2009/3112 reg. 1
- reg. 24 coming into force by S.I. 2009/3112 reg. 1
- reg. 25 coming into force by S.I. 2009/3112 reg. 1

Changes and effects yet to be applied to the whole Instrument associated Parts and Chapters:

- blanket amendment words substituted by S.I. 2023/1071 Sch. para. 1

Whole provisions yet to be inserted into this Instrument (including any effects on those provisions):

- Pt. 7 inserted by S.I. 2012/921 reg. 8
- reg. 9(6A)(6B) inserted by S.I. 2013/235 Sch. 2 para. 134(3)(f)
- reg. 16(1)(c) omitted by S.I. 2012/921 reg. 5(a)
- reg. 16(4A) inserted by S.I. 2012/1641 Sch. 3 para. 11(3)
- reg. 16(6) inserted by S.I. 2012/921 reg. 3(c)
- reg. 16(6)(a) substituted by S.I. 2013/235 Sch. 2 para. 134(4)(b)
- reg. 17(2A) inserted by S.I. 2012/921 reg. 4(b)
- reg. 17(3)(ca) inserted by S.I. 2012/921 reg. 4(c)
- reg. 18(2)(h) inserted by S.I. 2012/921 reg. 5(b)
- reg. 18(4A)(4B) inserted by S.I. 2012/921 reg. 5(c)
- reg. 18(4ZA) inserted by S.I. 2012/1641 Sch. 3 para. 11(5)
- reg. 22A inserted by S.I. 2012/921 reg. 6
- reg 26 added by S.I. 2010/49 reg 2
- reg. 26 revoked by S.I. 2012/921 reg. 7