
STATUTORY INSTRUMENTS

2009 No. 463

**The Aquatic Animal Health (England
and Wales) Regulations 2009**

PART 1

General

Title and commencement

1.—(1) These Regulations may be cited as the Aquatic Animal Health (England and Wales) Regulations 2009.

(2) These Regulations come into force—

- (a) for the purposes of regulation 5(1), on 1st May 2009;
- (b) for the purposes of regulation 12(1), on 1st August 2009;
- (c) for all other purposes, on 27th March 2009.

Extent and application

2.—(1) These Regulations extend to England and Wales.

(2) These Regulations do not apply to—

- (a) any ornamental aquatic animal reared in a non-commercial aquarium;
- (b) any aquatic animal caught for the purpose of production of fishmeal, fish feed, fish oil or any similar product;
- (c) any wild aquatic animal harvested or caught for direct entry into the food chain other than one which is to be treated as an aquaculture animal under regulation 18(3).

Interpretation

3.—(1) In these Regulations—

[^{F1}“Commission [Decision 2009/177/EC](#)” means Commission [Decision 2009/177/EC](#) implementing Council [Directive 2006/88/EC](#) as regards surveillance and eradication programmes and disease-free status of Member States, zones and compartments;]

[^{F2}“the competent authority” has the meaning given in regulation 3A;]

[^{F3}“Decision 2015/1554” means Commission Implementing Decision (EU) 2015/1554 laying down rules for the application of [Directive 2006/88/EC](#) as regards requirements for surveillance and diagnostic methods;]

^{F4} ...

“Directive [2006/88/EC](#)” means Council Directive [2006/88/EC](#) on animal health requirements for aquaculture animals and products thereof, and on the prevention and control of certain diseases in aquatic animals;

“England” includes the sea adjacent to England to a distance of 12 nautical miles from the baselines from which the breadth of the territorial sea is measured;

“inspector” means any person authorised by the competent authority to be an inspector for the purposes of these Regulations;

“listed disease” means—

(a) a disease listed in the second column of [^{F5}Annex 1A to Regulation (EC) No [1251/2008](#)];
or

(b) a disease listed in [^{F6}...Schedule 1 to these Regulations;

“non-commercial installation” means any aquaculture production business in which aquatic animals are kept with no intention of placing them on the market;

“operator”, in relation to an aquaculture production business, a specialist transport business, processing establishment, non-commercial installation or put and take fishery, means any person who is responsible for the management of that business, establishment, installation or fishery;

“processing establishment” means any food business which is approved for processing aquaculture animals for food purposes in accordance with Article 4 of Regulation (EC) No. [853/2004](#)^{M1} laying down specific hygiene rules for food of animal origin, and which processes aquaculture animals under Part 4 of these Regulations or any provision in any Regulations made in relation to Scotland or Northern Ireland which has equivalent effect to that Part;

“Regulation (EC) No.1251/2008” means Commission Regulation (EC) No.1251/2008 on implementing Directive [2006/88/EC](#) as regards conditions and certification requirements for the placing on the market and the import into the Community of aquaculture animals and products thereof and laying down a list of vector species;

“specialist transport business” means a business or undertaking which wholly or mainly transports live aquaculture animals in a mode of transport specially designed or adapted for that purpose; and

“Wales” has the meaning given in section 158 of the Government of Wales Act 2006.

(2) Any expression used in these Regulations which is also used in Directive [2006/88/EC](#) (except for the definitions of “operator” and “processing establishment” in paragraph (1) and “wild aquatic animal” in regulation 18(5)) has the meaning it bears in that Directive.

(3) References to [^{F7}... [^{F8}... and Regulation (EC) No.1251/2008 are references to [^{F9}that instrument] as amended from time to time.

(4) Schedule 1 (list of diseases) has effect.

Textual Amendments

F1 Words in reg. 3(1) inserted (31.12.2020) by [The Animals, Aquatic Animal Health, Invasive Alien Species, Plant Propagating Material and Seeds \(Amendment\) \(EU Exit\) Regulations 2020](#) (S.I. 2020/1388), regs. 1(2)(c), **38(2)(a)(i)**

F2 Words in reg. 3(1) substituted (31.12.2020 immediately before IP completion day) by [The Animals, Aquatic Animal Health, Invasive Alien Species, Plant Propagating Material and Seeds \(Amendment\) \(EU Exit\) Regulations 2020](#) (S.I. 2020/1388), regs. 1(2)(a), **40(2)**

- F3** Words in reg. 3(1) inserted (31.12.2020) by [The Animals, Aquatic Animal Health, Invasive Alien Species, Plant Propagating Material and Seeds \(Amendment\) \(EU Exit\) Regulations 2020 \(S.I. 2020/1388\)](#), regs. 1(2)(c), **38(2)(a)(ii)**
- F4** Words in reg. 3(1) omitted (1.5.2011) by virtue of [The Aquatic Animal Health \(England and Wales\) \(Amendment\) Regulations 2011 \(S.I. 2011/981\)](#), regs. 1, **2(2)(a)**
- F5** Words in reg. 3(1) substituted (31.12.2020) by [The Animals, Aquatic Animal Health, Invasive Alien Species, Plant Propagating Material and Seeds \(Amendment\) \(EU Exit\) Regulations 2020 \(S.I. 2020/1388\)](#), regs. 1(2)(c), **38(2)(a)(iii)**
- F6** Words in reg. 3(1) omitted (15.8.2022) by virtue of [The Aquatic Animal Health \(Amendment\) Regulations 2022 \(S.I. 2022/835\)](#), regs. 1(2), **7(2)**
- F7** Words in reg. 3(3) omitted (1.5.2011) by virtue of [The Aquatic Animal Health \(England and Wales\) \(Amendment\) Regulations 2011 \(S.I. 2011/981\)](#), regs. 1, **2(2)(b)**
- F8** Words in reg. 3(3) omitted (31.12.2020) by virtue of [The Animals, Aquatic Animal Health, Invasive Alien Species, Plant Propagating Material and Seeds \(Amendment\) \(EU Exit\) Regulations 2020 \(S.I. 2020/1388\)](#), regs. 1(2)(c), **38(2)(b)(i)**
- F9** Words in reg. 3(3) substituted (31.12.2020) by [The Animals, Aquatic Animal Health, Invasive Alien Species, Plant Propagating Material and Seeds \(Amendment\) \(EU Exit\) Regulations 2020 \(S.I. 2020/1388\)](#), regs. 1(2)(c), **38(2)(b)(ii)**

Marginal Citations

- M1** OJ No L 139, 30.4.2004, p55.

[^{F10}The competent authority

3A. The Secretary of State is, in relation to England, and the Welsh Ministers are, in relation to Wales, the competent authority for the purposes of—

- (a) these Regulations;
- (b) Regulation [\(EC\) No 1251/2008](#);
- (c) Commission [Decision 2008/896/EC](#) on guidelines for the purpose of the risk-based animal health surveillance schemes provided for in Council [Directive 2006/88/EC](#);
- (d) Commission Implementing Decision (EU) 2015/1554 laying down rules for the application of [Directive 2006/88/EC](#) as regards requirements for surveillance and diagnostic methods.]

Textual Amendments

- F10** Reg. 3A inserted (31.12.2020 immediately before IP completion day) by [The Animals, Aquatic Animal Health, Invasive Alien Species, Plant Propagating Material and Seeds \(Amendment\) \(EU Exit\) Regulations 2020 \(S.I. 2020/1388\)](#), regs. 1(2)(a), **40(3)**

[^{F11}List of susceptible species and geographic areas declared disease-free

3B.—(1) The appropriate authority may from time to time specify in a document a list of species susceptible to any diseases listed in Schedule 1 together with the geographic areas declared free from any such disease.

- (2) “The appropriate authority” for the purposes of paragraph (1) means—
 - (a) in relation to England, the Secretary of State;
 - (b) in relation to Wales, the Welsh Ministers,

but the appropriate authority is the Secretary of State if consent is given by the Welsh Ministers in respect of the exercise of the function conferred by paragraph (1) in relation to Wales.]

Textual Amendments

F11 Reg. 3B inserted (15.8.2022) by [The Aquatic Animal Health \(Amendment\) Regulations 2022 \(S.I. 2022/835\)](#), regs. 1(2), **7(3)**

PART 2

Authorisation and registration requirements

Application of Part 2

4. This Part does not apply where any ornamental aquatic animal is kept in any pet shop, garden centre, garden pond, commercial aquarium or with any wholesaler—

- (a) without any direct contact with natural waters; or
- (b) that is equipped with an effluent treatment system reducing the risk of transmitting diseases to such waters to an acceptable level;

unless the animal is kept by a person who has introduced it into England or Wales.

Authorisation

5.—(1) Subject to regulation 14, it is an offence to operate an aquaculture production business or a processing establishment unless the business or establishment is authorised by the competent authority.

(2) The competent authority may grant an authorisation in relation to two or more aquaculture production businesses which are situated in one mollusc farming area, provided the businesses relate exclusively to molluscs.

(3) But a dispatch centre, purification centre or similar business in a mollusc farming area must be separately authorised.

(4) The requirement for a non-commercial installation or put and take fishery to be authorised under paragraph (1) only applies where the competent authority—

- (a) considers that authorisation is necessary to prevent or limit the spread of disease; and
- (b) serves the operator with a written notice to that effect.

(5) Where the operator is served with a notice under paragraph (4)(b), the operator must apply for authorisation under regulation 8(1) within 28 days of the service.

(6) Where the operator so applies, the operator is to be treated as having, as from the date of the application, an interim authorisation to carry on the activity to which the application relates until the interim authorisation lapses on the earliest of the dates mentioned in regulation 14(3).

Authorisation conditions for aquaculture production businesses

6.—(1) The competent authority may grant an authorisation to an aquaculture production business, subject to such conditions as it considers appropriate, where it is satisfied that the operation of the business will not lead to an unacceptable risk of spreading disease.

(2) It is a condition of an authorisation that the aquaculture production business must—

- (a) keep a record, in such form and manner as the competent authority may specify, of—
 - (i) the movement of any aquaculture animal or any aquaculture animal product into or out of the premises of the business;
 - (ii) the number of any aquaculture animals that have died in each epidemiological unit within the premises;
 - (iii) the results of any surveillance carried out by the business; and
 - (iv) the results of any surveillance carried out by the competent authority which have been notified to the business;
- (b) follow good hygiene practice; and
- (c) comply with any surveillance requirement imposed by the authority.

Authorisation conditions for processing establishments

7.—(1) The competent authority may grant an authorisation to a processing establishment, subject to such conditions as it considers appropriate, where it is satisfied that the operation of the establishment will not lead to an unacceptable risk of spreading disease.

- (2) It is a condition of an authorisation that the processing establishment must—
 - (a) keep a record, in such form and manner as the competent authority may specify, of the movement of any aquaculture animal or any aquaculture animal product into or out of the premises of the establishment; and
 - (b) follow good hygiene practice.

Application for an authorisation

8.—(1) An application for an authorisation must be in such form and contain such information as the competent authority may reasonably require.

(2) The competent authority must serve an applicant with a written notice of the result of the application within 90 days of receiving it.

(3) Where the competent authority grants an authorisation, it must notify the applicant of the following—

- (a) the duration of the authorisation;
- (b) any condition to which it is subject; and
- (c) an authorisation number.

(4) Where the competent authority refuses to grant an authorisation, or grants an authorisation subject to any condition, it must—

- (a) give reasons for the refusal or the imposition of the condition; and
- (b) inform the applicant of the right of appeal under regulation 37.

(5) It is an offence for a person to make a statement in an application that is false and which that person knows or suspects is false.

Amendment of an authorisation

9.—(1) The competent authority may amend an authorisation, including any condition to which it is subject.

- (2) The amendment may be made—
 - (a) on the initiative of the competent authority; or

- (b) on application by an operator.
- (3) An application under paragraph (2)(b) must be in such form and contain such information as the competent authority may reasonably require.
- (4) The competent authority must serve a written notice on the operator which—
 - (a) specifies the amendment or any decision not to make the amendment;
 - (b) gives the reason for the amendment or any decision not to make it; and
 - (c) informs the applicant of the right of appeal under regulation 37.

Suspension of an authorisation

10.—(1) The competent authority may suspend an authorisation by serving an operator with a written notice where it considers that an aquaculture production business, processing establishment, non-commercial installation or put and take fishery authorised under this Part has not complied with, is not complying with, or is not likely to comply with, a condition of its authorisation or a requirement in these Regulations.

- (2) The notice must—
 - (a) give the date on which the suspension is to take effect and its duration;
 - (b) give the reason for the suspension; and
 - (c) inform the operator of the right of appeal under regulation 37.

Revocation of an authorisation

11.—(1) The competent authority may revoke an authorisation by serving an operator with a written notice where it considers that an aquaculture production business, processing establishment, non-commercial installation or put and take fishery authorised under this Part has not complied with, is not complying with, or is not likely to comply with, a condition of its authorisation or a requirement in these Regulations.

- (2) The notice must—
 - (a) give the date on which the revocation is to take effect;
 - (b) give the reason for the revocation; and
 - (c) inform the operator of the right of appeal under regulation 37.

Registration

12.—(1) It is an offence to operate a non-commercial installation, put and take fishery or specialist transport business unless it is registered by the competent authority.

(2) An application for registration must be in such form and contain such information as the competent authority may reasonably require.

- (3) On receipt of the information, the competent authority must—
 - (a) undertake the registration; and
 - (b) serve the operator with a written notice of a registration number.

(4) An operator must notify the competent authority in writing of any change in the information provided under paragraph (2) within 90 days of the change.

(5) It is an offence to fail to comply with paragraph (4).

(6) It is an offence for a person to provide information to the competent authority that is false and which that person knows or suspects is false.

(7) This regulation does not apply where a non-commercial installation or put and take fishery is required to be authorised by virtue of regulation 5(1) and (4).

Public record of aquaculture production businesses and processing establishments

13.—(1) The competent authority must establish and maintain a record of—

- (a) any aquaculture production business authorised under regulation 6; and
- (b) any processing establishment authorised under regulation 7.

(2) The record must contain the information set out in Part I of Annex II to Directive [2006/88/EC](#) for a business authorised under regulation 6.

(3) The record must contain the information set out in Part II of that Annex for an establishment authorised under regulation 7.

(4) The record must be publicly available for inspection free of charge at all reasonable times and at such place as determined by the competent authority.

(5) The competent authority must make arrangements for the provision of a copy of an entry in the record on request.

(6) Those arrangements may include payment of a reasonable fee by the person making the request.

[^{F12}(7) The reference in paragraph (2) to Part 1 of Annex 2 to [Directive 2006/88/EC](#) is to be read in accordance with paragraph 2 of Schedule 1A to these Regulations.

(8) The reference in paragraph (3) to Part 2 of Annex 2 to [Directive 2006/88/EC](#) is to be read in accordance with paragraph 3 of Schedule 1A to these Regulations.]

Textual Amendments

F12 Reg. 13(7)(8) inserted (31.12.2020) by [The Animals, Aquatic Animal Health, Invasive Alien Species, Plant Propagating Material and Seeds \(Amendment\) \(EU Exit\) Regulations 2020 \(S.I. 2020/1388\)](#), regs. 1(2)(c), **38(3)**

Transitional provision

14.—(1) This regulation applies where—

- (a) a person operates an aquaculture production business or a processing establishment on 27th March 2009; and
- (b) makes an application for an authorisation under regulation 8 which has not been finally decided before 1st May 2009.

(2) The operator is to be treated as having, as from 1st May 2009, an interim authorisation to carry on the activity to which the application relates until the interim authorisation lapses in accordance with paragraph (3).

(3) It lapses on the earliest of the following dates—

- (a) when the application is withdrawn;
- (b) when the competent authority grants an authorisation under regulation 6 or 7;
- (c) where the competent authority refuses to grant an authorisation under regulation 6 or 7 and the applicant does not appeal, on the date on which the right of appeal lapses under regulation 37;

- (d) where the competent authority refuses to grant an authorisation under regulation 6 or 7 and the applicant does appeal, on the date on which the appeal is finally decided.

PART 3

Placing on the market

Application of Part 3

15.—(1) This Part does not apply to any person who—

- (a) places on the market any aquaculture animal or any aquaculture animal product for scientific purposes; and
- (b) has obtained the prior written consent of the competent authority.

(2) The competent authority may grant consent for the purposes of paragraph (1)(b) subject to such conditions as it considers appropriate, having regard to the risk of the spread of disease.

General health requirements

16.—(1) It is an offence to place on the market any aquaculture animal for farming or to release any such animal into the wild or into a put and take fishery, unless the animal—

- (a) is clinically healthy; and
- (b) comes from a farm or mollusc farming area where there is no unexplained increased mortality.

(2) Paragraph (1)(b) does not apply where—

- (a) the animal comes from a part of the farm or mollusc farming area independent of the epidemiological unit in which the increased mortality has occurred; and
- (b) the placing on the market of the animal has the prior written consent of the competent authority.

(3) It is an offence to release any ornamental aquatic animal kept in a closed ornamental facility into an open ornamental facility, farm, mollusc farming area, relaying area, put and take fishery or into the wild, without the prior written consent of the competent authority.

(4) It is an offence to contravene any of the following provisions of Regulation (EC) No.1251/2008—

- (a) Article 9 (introduction of aquaculture animals after inspection);
- (b) Article 15(1), (2) or (3) (requirements for the release of aquaculture animals or aquaculture animal products and for transport water).

(5) In paragraph (3) “closed ornamental facility” and “open ornamental facility” have the same meaning as in Article 2(a) and (b) of Regulation (EC) No.1251/2008.

Certification requirements

17.—(1) It is an offence to introduce any aquaculture animal or any aquaculture animal product into England or Wales where the animal or product is required to be accompanied by an animal health certificate under ^{F13}... Chapter III or IV of Regulation (EC) No.1251/2008 unless it is accompanied by such a certificate completed in accordance with the relevant model specified in that Article or Chapter.

(2) A person is guilty of an offence if, for the purpose of procuring the issue of an animal health certificate under ^{F13}... Chapter III of Regulation (EC) No.1251/2008, that person—

- (a) makes a statement that is false and which that person knows or suspects is false; or
- (b) intentionally fails to disclose any material particular.

(3) In paragraph (1) the reference to the introduction of any aquaculture animal or any aquaculture animal product into England or Wales includes the introduction of any such animal or product into any zone or compartment within England or Wales from any other zone or compartment within England or Wales.

Textual Amendments

F13 Words in reg. 17(1)(2) omitted (1.5.2011) by virtue of [The Aquatic Animal Health \(England and Wales\) \(Amendment\) Regulations 2011 \(S.I. 2011/981\)](#), regs. 1, **2(3)**

Additional certification provisions

18.—(1) Where any aquaculture animal, which is susceptible to a disease listed in the second column of [^{F14}[Annex 1A to Regulation \(EC\) No 1251/2008](#)] or is a vector for that disease, is introduced into England or Wales with the intention of being released into the wild or into a put and take fishery, the place of introduction is, for the purpose of regulation 17(1), to be treated as though it has, in relation to that disease, a Category I disease-free health status under Part A of Annex III to [^{F15}[Directive 2006/88/EC](#)].

(2) Where any wild aquatic animal, which is susceptible to a listed disease or is a vector for that disease, is introduced into England or Wales with the intention of being released into the wild or into a put and take fishery, the animal is, for the purposes of regulations 17(1) and 18(1), to be treated as though it is an aquaculture animal introduced with the intention of being released into the wild or into a put and take fishery.

(3) Where any wild aquatic animal, which is susceptible to a listed disease or is a vector for that disease, is introduced into England or Wales for further processing before human consumption, the animal is, for the purpose of regulation 17(1), to be treated as though it is an aquaculture animal introduced for further processing before human consumption.

(4) In paragraph (1)—

- [^{F16}(a) an animal is susceptible to a disease listed in the second column of Annex 1A to Regulation (EC) No 1251/2008 if it is of a species listed as susceptible to that disease in a document published under Article 3a(1) of Regulation (EC) No 1251/2008;
- (b) an animal is a vector for a disease listed in the second column of Annex 1A to Regulation (EC) No 1251/2008 if it is of a species listed as a vector for that disease in a document published under Article 3(1) of Regulation No 1251/2008 and it fulfils the conditions set out in that document.]

(5) In paragraphs (2) and (3)—

- (a) “wild aquatic animal” means any live aquatic animal which can survive in the natural aquatic environment in England or Wales and is not an aquaculture animal;
- [^{F17}(b) an animal is of a species susceptible to a listed disease if the species is listed as susceptible to that disease—
 - (i) in a document published under Article 3a(1) of Regulation (EC) No 1251/2008; or
 - (ii) in a document published under regulation 3B(1) .]

[^{F18}(c) an animal is a vector for a listed disease if it is of a species listed as a vector for that disease in a document published under Article 3(1) of Regulation (EC) No 1251/2008 and fulfils the conditions set out in that document.]

(6) In paragraphs (1) to (3) any reference to the introduction of any animal into England or Wales includes the introduction of the animal into any zone or compartment within England or Wales from any other zone or compartment within England or Wales.

[^{F19}(7) The reference in paragraph (1) to Part A of Annex 3 to Directive 2006/88/EC is to be read in accordance with paragraph 4 of Schedule 1A to these Regulations.]

Textual Amendments

- F14** Words in reg. 18(1) substituted (31.12.2020) by [The Animals, Aquatic Animal Health, Invasive Alien Species, Plant Propagating Material and Seeds \(Amendment\) \(EU Exit\) Regulations 2020 \(S.I. 2020/1388\)](#), regs. 1(2)(c), **38(4)(a)(i)**
- F15** Words in reg. 18(1) substituted (31.12.2020) by [The Animals, Aquatic Animal Health, Invasive Alien Species, Plant Propagating Material and Seeds \(Amendment\) \(EU Exit\) Regulations 2020 \(S.I. 2020/1388\)](#), regs. 1(2)(c), **38(4)(a)(ii)**
- F16** Reg. 18(4)(a)(b) substituted (15.8.2022) by [The Aquatic Animal Health \(Amendment\) Regulations 2022 \(S.I. 2022/835\)](#), regs. 1(2), **7(4)(a)**
- F17** Reg. 18(5)(b) substituted (15.8.2022) by [The Aquatic Animal Health \(Amendment\) Regulations 2022 \(S.I. 2022/835\)](#), regs. 1(2), **7(4)(b)(i)**
- F18** Reg. 18(5)(c) substituted (15.8.2022) by [The Aquatic Animal Health \(Amendment\) Regulations 2022 \(S.I. 2022/835\)](#), regs. 1(2), **7(4)(b)(ii)**
- F19** Reg. 18(7) inserted (31.12.2020) by [The Animals, Aquatic Animal Health, Invasive Alien Species, Plant Propagating Material and Seeds \(Amendment\) \(EU Exit\) Regulations 2020 \(S.I. 2020/1388\)](#), regs. 1(2)(c), **38(4)(d)**

Disease prevention requirements in respect of transport

19.—(1) It is an offence to transport any aquaculture animal unless the requirements in paragraph (2) are met.

(2) The requirements are—

- (a) the duration of the transport must be as short as reasonably possible;
- (b) the means of transport must be cleaned and disinfected before dispatch;
- (c) if the animal is transported overland in water—
 - (i) it must be transported in such a way that the water cannot escape from the means of transport; and
 - (ii) any water exchange is carried out only at a water station which the competent authority has approved; and
- (d) the conditions of transport must minimise the risk of spreading disease to—
 - (i) the animal being transported;
 - (ii) any aquatic animal at any place of transit;
 - (iii) any aquatic animal at the place of destination.

(3) Where a person imports from a third country any aquaculture animal which is intended for quarantine the animal must be transported directly from the border [^{F20}control] post to a quarantine facility.

(4) In paragraph (3)—

- [^{F21}(a) “border control post” has the meaning given by Article 3(38) of the Regulation (EU) 2017/625 of the European Parliament and of the Council on official controls and other official activities performed to ensure the application of food and feed law, rules on animal health and welfare, plant health and plant protection products;]
- (b) “quarantine facility” has the meaning given in Article 2(1) of Commission Decision [2008/946/EC](#)^{M2} on implementing Council Directive [2006/88/EC](#) as regards requirements for quarantine of aquaculture animals.
- (5) It is an offence not to comply with paragraph (3).

Textual Amendments

- F20** Word in reg. 19(3) substituted (14.12.2019) by [The Official Controls \(Animals, Feed and Food, Plant Health Fees etc.\) Regulations 2019 \(S.I. 2019/1488\)](#), regs. 1(1), **25(a)**
- F21** Reg. 19(4)(a) substituted (14.12.2019) by [The Official Controls \(Animals, Feed and Food, Plant Health Fees etc.\) Regulations 2019 \(S.I. 2019/1488\)](#), regs. 1(1), **25(b)**

Marginal Citations

- M2** OJ No L 337, 16.12.2008, p94.

Recording of information in respect of transport

20.—(1) It is an offence for a person who transports any aquaculture animal to fail to keep a record of the information referred to in paragraph (2) for a period of two years beginning with the date on which the aquaculture animal reaches its place of destination.

(2) The information is—

- (a) the number of any animals that die in the course of transport;
- (b) all facilities operating under an authorisation or registration granted under Part 2 which are visited in the course of the transport;
- (c) any water exchange in the course of the transport;
- (d) the source of any water introduced into the means of transport; and
- (e) the location at which any water from the means of transport is discharged.

(3) The competent authority must specify the form and manner in which the information referred to in paragraph (2) must be recorded.

(4) It is a defence for a person charged with an offence in relation to paragraph (2)(a) to show that it was not practicable to keep such a record.

Exemption for transport in small containers

21. Regulations 19(1) and (2) and 20 do not apply where the transport is by means of a container which—

- (a) is watertight and airtight;
- (b) is no larger than one cubic metre; and
- (c) is accompanied by a label or document which lists its contents, place of origin, any place of transit and its place of destination.

[^{F22}][^{F23}PART 3A

Quarantine

Textual Amendments

- F22** Pt. 3A inserted (31.12.2020 immediately before IP completion day) by [The Animals, Aquatic Animal Health, Invasive Alien Species, Plant Propagating Material and Seeds \(Amendment\) \(EU Exit\) Regulations 2020 \(S.I. 2020/1388\)](#), regs. 1(2)(a), **40(4)**
- F23** Pt. 3A substituted (31.12.2020) by [The Animals, Aquatic Animal Health, Invasive Alien Species, Plant Propagating Material and Seeds \(Amendment\) \(EU Exit\) Regulations 2020 \(S.I. 2020/1388\)](#), regs. 1(2)(c), **38(5)**

Interpretation of Part 3A

21A.—(1) In this Part—

“Commission [Decision 2008/946/EC](#)” means Commission [Decision 2008/946/EC](#) implementing Council [Directive 2006/88/EC](#) as regards requirements for quarantine of aquaculture animals;

“constituent GB territory” means England, Wales or Scotland as the case may be;

“the EU Directive” means Council [Directive 2006/88/EC](#) on animal health requirements for aquaculture animals and products thereof, and on the prevention and control of certain diseases in aquatic animals as it has effect in European Union law, as amended from time to time.

(2) References in this Part to a part of England or Wales and to a constituent GB territory, or a zone or compartment thereof, being “declared free” of one or more diseases means declared free in accordance with Section 2 of Commission [Decision 2009/177/EC](#).

Vector species

21B.—(1) An aquaculture animal intended for farming or restocking may only be introduced into a part of England or Wales which has been declared free of a disease for which that animal is a vector if it—

(a) originates from—

- (i) a constituent GB territory, or a zone or compartment thereof, declared free of that disease;
- (ii) Northern Ireland, or a zone or a compartment thereof, declared free of that disease in accordance with Article 49 or 50 of the EU Directive; or
- (iii) the Channel Islands, the Isle of Man or a third country, where the competent authority has consented to its introduction; or

(b) has been, or will be, held in quarantine facilities in accordance with the requirements of Commission [Decision 2008/946/EC](#).

(2) For the purposes of paragraph (1)(a)(iii), consent may only be given if the appropriate authority is satisfied that the aquaculture animal concerned is free of the relevant disease.

(3) In this regulation, an aquaculture animal is a vector for a disease if it [^{F24}is of a species listed as a vector for that disease in a document published under Article 3(1) of Regulation [\(EC\) No 1251/2008](#) and fulfils the conditions set out in that document.]

Textual Amendments

- F24** Words in [reg. 21B\(3\)](#) substituted (15.8.2022) by [The Aquatic Animal Health \(Amendment\) Regulations 2022 \(S.I. 2022/835\)](#), regs. 1(2), **7(5)**

Wild aquatic animals

21C.—(1) Wild aquatic animals of species susceptible to one or more of the diseases listed in Annex 1A to Regulation [\(EC\) No 1251/2008](#) which are intended for release into a farm or mollusc farming area in a part of England or Wales which has been declared free of any of those diseases must—

- (a) originate from—
- (i) a constituent GB territory, or a zone or compartment thereof, declared free of that disease;
 - (ii) Northern Ireland, or a zone or a compartment thereof, declared free of that disease in accordance with Article 49 or 50 of the EU Directive; or
 - (iii) the Channel Islands, the Isle of Man or a third country, where the appropriate authority has consented to its introduction; or
- (b) be, or have been, held in quarantine facilities in accordance with the requirements of Commission [Decision 2008/946/EC](#).

(2) For the purposes of paragraph (1)(a)(iii), consent may only be given if the appropriate authority is satisfied that the aquaculture animal concerned is free of the relevant disease.

[^{F25}(3) In this regulation, an animal is susceptible to a disease listed in Annex 1A to Regulation 1251/2008 if it is of a species listed as susceptible to that disease in a document published under Article 3a(1) of Regulation [\(EC\) No 1251/2008](#).]]

Textual Amendments

- F25** [Reg. 21C\(3\)](#) inserted (15.8.2022) by [The Aquatic Animal Health \(Amendment\) Regulations 2022 \(S.I. 2022/835\)](#), regs. 1(2), **7(6)**

PART 4

Notification and control of disease outbreaks

Interpretation of Part 4

22. In this Part—

“confirmed designation” means a designation made by the competent authority under regulation 28;

“confirmed designation area” means the area in respect of which a confirmed designation has been made;

“initial designation” means a designation made by the competent authority under regulation 24;

“initial designation area” means the area in respect of which an initial designation has been made.

Obligation to notify in case of suspicion of a listed disease or increased mortality

- 23.**—(1) A relevant person is guilty of an offence if that person—
- (a) knows or suspects that a listed disease is present in aquatic animals; and
 - (b) fails immediately to notify the competent authority of the information or other matter on which that knowledge or suspicion is based.
- (2) A relevant person is guilty of an offence if that person—
- (a) knows or suspects that increased mortality has occurred or is occurring in aquaculture animals; and
 - (b) fails immediately to notify the competent authority or a veterinarian of the information or other matter on which that knowledge or suspicion is based.
- (3) In this regulation “a relevant person” means—
- (a) the owner of, or any person attending, aquatic animals;
 - (b) any person accompanying aquaculture animals during transport;
 - (c) any veterinarian, or other professional, involved in aquatic animal health services; or
 - (d) any other person with an occupational relationship to aquatic animals of susceptible species or to products of such animals.

Initial designation

24.—(1) Where the competent authority suspects that a listed disease or an emerging disease is present, or may become present, in aquatic animals in England or Wales, it must designate such area as it considers appropriate to prevent or limit the spread of that disease.

- (2) Where the competent authority has made an initial designation, it must—
- (a) take samples of any water or aquatic animals which it suspects are infected;
 - (b) examine the samples in a laboratory designated by the [^{F26}competent authority]; and
 - (c) undertake an epizootic investigation in accordance with Article 29 of [^{F27}Directive 2006/88/EC].
- (3) A person commits an offence if, without the prior written consent of the competent authority, that person—
- (a) moves any aquatic animal into, out of or within an initial designation area; or
 - (b) disposes of any dead aquatic animal which comes from that area.

[^{F28}(4) The reference in paragraph (2)(c) to Article 29 of Directive 2006/88/EC is to be read in accordance with paragraph 1 of Schedule 1A to these Regulations.]

Textual Amendments

- F26** Words in reg. 24(2)(b) substituted (31.12.2020) by [The Animals, Aquatic Animal Health, Invasive Alien Species, Plant Propagating Material and Seeds \(Amendment\) \(EU Exit\) Regulations 2020 \(S.I. 2020/1388\)](#), regs. 1(2)(c), **38(6)(a)(i)**
- F27** Words in reg. 24(2)(c) substituted (31.12.2020) by [The Animals, Aquatic Animal Health, Invasive Alien Species, Plant Propagating Material and Seeds \(Amendment\) \(EU Exit\) Regulations 2020 \(S.I. 2020/1388\)](#), regs. 1(2)(c), **38(6)(a)(ii)**
- F28** Reg. 24(4) inserted (31.12.2020) by [The Animals, Aquatic Animal Health, Invasive Alien Species, Plant Propagating Material and Seeds \(Amendment\) \(EU Exit\) Regulations 2020 \(S.I. 2020/1388\)](#), regs. 1(2)(c), **38(6)(b)**

Contents and publication of an initial designation

25.—(1) An initial designation must—

- (a) be in the form of a written notice;
- (b) describe the initial designation area;
- (c) describe the circumstances in which a person commits an offence under regulation 24(3);
- (d) specify any consent given by the competent authority under regulation 24(3).

(2) An initial designation may specify that—

- (a) any equipment, material or substance liable to transmit disease must not be moved into, out of or within the initial designation area or any part of that area;
- (b) any means of transport liable to transmit disease must not be moved into, out of or within that area or any part of that area.

(3) The competent authority must serve a copy of an initial designation on any person it considers likely to be materially affected by the making of the designation.

[^{F29}(3A) An initial designation may be amended, suspended or withdrawn by further notice.]

(4) It is an offence not to comply with any restriction imposed under paragraph (2).

Textual Amendments

F29 Reg. 25(3A) inserted (1.5.2011) by [The Aquatic Animal Health \(England and Wales\) \(Amendment\) Regulations 2011 \(S.I. 2011/981\)](#), regs. 1, **2(4)**

Actions by an inspector in respect of an initial designation

26.—(1) This regulation applies where the competent authority has made an initial designation.

(2) An inspector may—

- (a) take samples of any aquatic animal or water in the initial designation area;
- (b) slaughter, destroy or dispose of any such animal.

(3) An inspector may by written notice direct any person to—

- (a) take steps to secure the slaughter, destruction or disposal of any aquatic animal in the initial designation area;
- (b) operate a disinfection facility at the entrance to, and exit from, any farm or mollusc farming area in that area;
- (c) disinfect any means of transport in that area;
- (d) take any other steps which the inspector considers necessary to eradicate the disease or to prevent or limit the spread of the disease to other aquatic animals.

(4) An inspector may by written notice prohibit any person from entering any farm or mollusc farming area within the initial designation area.

(5) Where an inspector considers that a requirement under paragraph (3) has not been complied with, the inspector may—

- (a) enter any place within the initial designation area;
- (b) take or cause to be taken such steps as the inspector considers necessary to—
 - (i) ensure compliance with the requirement; or
 - (ii) remedy the consequences of the failure to carry it out;

- (c) recover any expenses reasonably incurred in so doing from any person who has failed to comply with the requirement.
- (6) It is an offence not to comply with a requirement imposed under paragraph (3) or (4).

Withdrawal of initial designation

- 27.** The competent authority must withdraw an initial designation when—
- (a) it is satisfied that the suspected disease is not present, or is not likely to become present, in the initial designation area; or
 - (b) it has designated the area under regulation 28.

Confirmed designation

28.—(1) Where the competent authority has confirmed that a listed disease or an emerging disease is present in aquatic animals in England or Wales, it must designate such area as it considers appropriate to prevent or limit the spread of that disease.

(2) A person commits an offence if, without the prior written consent of the competent authority, that person—

- (a) moves any aquatic animal into, out of or within a confirmed designation area; or
- (b) disposes of any dead aquatic animal which comes from that area.

Contents and publication of a confirmed designation

- 29.—**(1) A confirmed designation must—
- (a) be in the form of a written notice;
 - (b) describe the confirmed designation area;
 - (c) describe the circumstances in which a person commits an offence under regulation 28(2);
 - (d) specify any consent given by the competent authority under regulation 28(2).
- (2) A confirmed designation may specify that—
- (a) any equipment, material or substance liable to transmit disease must not be moved into, out of or within the confirmed designation area or any part of that area;
 - (b) any means of transport liable to transmit disease must not be moved into, out of or within that area or any part of that area.
- (3) The competent authority must—
- (a) publish a confirmed designation in such manner as it considers appropriate;
 - (b) serve a copy of a confirmed designation on any person it considers likely to be materially affected by the making of the designation.
- [^{F30}(3A) A confirmed designation may be amended, suspended or withdrawn by further notice.]
- (4) It is an offence not to comply with any restriction imposed under paragraph (2).

Textual Amendments

- F30** Reg. 29(3A) inserted (1.5.2011) by [The Aquatic Animal Health \(England and Wales\) \(Amendment\) Regulations 2011 \(S.I. 2011/981\)](#), regs. 1, **2(5)**

Actions by an inspector in respect of a confirmed designation

30.—(1) This regulation applies where the competent authority has made a confirmed designation.

(2) An inspector may—

- (a) take samples of any aquatic animal or water in the confirmed designation area;
- (b) slaughter, destroy or dispose of any such animal.

(3) An inspector may by written notice direct any person to—

- (a) take steps to secure the slaughter, destruction or disposal of any aquatic animal in the confirmed designation area;
- (b) operate a disinfection facility at the entrance to, and exit from, any farm or mollusc farming area in that area;
- (c) disinfect any means of transport in that area;
- (d) take any other steps which the inspector considers necessary to eradicate the disease or to prevent or limit the spread of the disease to other aquatic animals.

(4) An inspector may by written notice prohibit any person from entering any farm or mollusc farming area within the confirmed designation area.

(5) Where an inspector considers that a requirement under paragraph (3) has not been complied with, the inspector may—

- (a) enter any place within the confirmed designation area;
- (b) take or cause to be taken such steps as the inspector considers necessary to—
 - (i) ensure compliance with the requirement; or
 - (ii) remedy the consequences of the failure to carry it out;
- (c) recover any expenses reasonably incurred in so doing from any person who has failed to comply with the requirement.

(6) It is an offence not to comply with a requirement imposed under paragraph (3) or (4).

Withdrawal of confirmed designation

31. The competent authority must withdraw a confirmed designation when it is satisfied that the listed disease or the emerging disease is not present in the confirmed designation area.

PART 5

Enforcement, appeals and penalties

Entry and inspection of land and premises

32.—(1) An inspector may, on producing a duly authenticated document showing the inspector's authority if required, enter and inspect any land or premises at any reasonable time for the purposes of administering and enforcing these Regulations.

[^{F31}(2) An inspector entering any land or premises under paragraph (1) may be accompanied by such person, and may enter together with such equipment or vehicle, as the inspector considers necessary.]

(3) An inspector may not enter any premises which are a dwelling unless a justice of the peace has issued a warrant authorising the inspector to do so.

(4) A justice of the peace may only issue such a warrant if satisfied that there is good reason to believe that the inspector will not be able to administer or enforce these Regulations without the warrant.

(5) A warrant issued under paragraph (3) remains in force—

(a) for one month; or

(b) until the purpose for which it is issued has been fulfilled,

whichever is the sooner.

Textual Amendments

F31 Reg. 32(2) substituted (31.12.2020) by [The Animals, Aquatic Animal Health, Invasive Alien Species, Plant Propagating Material and Seeds \(Amendment\) \(EU Exit\) Regulations 2020 \(S.I. 2020/1388\)](#), regs. 1(2)(c), **38(7)**

Search and examination of items on land and premises

33. Where an inspector exercises the power conferred by regulation 32 the inspector may—

(a) search the land or premises for any item, including any aquatic animal or water;

(b) examine anything that is—

(i) on the land or premises;

(ii) attached to or otherwise forms part of the land or premises.

Production of documents

34. For the purposes of administering or enforcing these Regulations, an inspector may require any person—

(a) to produce any document or record that is in that person's possession or control;

(b) to render any such document or record on a computer system into a visible and legible form, including requiring it to be produced in a form in which it may be taken away.

Seizure of items

35.—(1) This regulation applies where an inspector exercises the powers conferred by regulation 33 or 34.

(2) An inspector may—

(a) seize, detain or remove any item that is on the land or premises;

(b) take copies of or extracts from any document or record found on the land or premises.

(3) The power conferred in paragraph (2)(a) is to be construed as including power to take samples of any aquatic animal or water.

(4) An inspector to whom any document or record has been produced, in accordance with a requirement imposed under regulation 34, may—

(a) seize, detain or remove that document or record;

(b) take copies of or extracts from that document or record.

(5) If, in the opinion of the inspector, it is not for the time being practicable for the inspector to seize and remove any item, the inspector may require any person on the land or premises to secure

that the item is not removed or otherwise interfered with until such time as the inspector may seize and remove it.

- (6) The powers conferred by this regulation may only be exercised—
 - (a) for the purposes of determining whether an offence under these Regulations has been committed; or
 - (b) in relation to an item which an inspector reasonably believes to be evidence of the commission of an offence under these Regulations.
- (7) Any item seized by an inspector may be disposed of as the competent authority sees fit.
- (8) It is an offence not to comply with a requirement imposed under paragraph (5).

Enforcement notices

36.—(1) Where the competent authority considers that any person has not complied with, is not complying with, or is not likely to comply with, a condition of an authorisation under Part 2 or a requirement in these Regulations it may serve that person with an enforcement notice.

- (2) An enforcement notice must state—
 - (a) the steps which the person must take;
 - (b) the date by which the steps must be taken;
 - (c) the reason for the service of the notice and for the requirements in it;
 - (d) that there is a right of appeal under regulation 37.
- (3) Where an inspector considers that a requirement in an enforcement notice has not been complied with, the inspector may—
 - (a) take such steps as the inspector considers necessary—
 - (i) to ensure compliance with the requirements of the notice; or
 - (ii) to remedy the consequences of the failure to carry them out;
 - (b) recover any expenses reasonably incurred in so doing from any person who has failed to comply with the notice.
- (4) It is an offence not to comply with an enforcement notice.

Appeals

- 37.**—(1) A person may appeal to the competent authority against any of the following—
- (a) refusal to grant an authorisation under regulation 8;
 - (b) any condition to which an authorisation is subject;
 - (c) any amendment made to an authorisation under regulation 9 or any decision not to grant an amendment under that regulation;
 - (d) suspension or revocation of an authorisation under regulation 10 or 11;
 - (e) service of an enforcement notice under regulation 36.
- (2) An appellant may within 21 days beginning with the date on which the relevant event occurs serve the competent authority with a written notice that the appellant wishes to—
- (a) appear before and be heard by an independent person appointed by the competent authority; or
 - (b) provide written representations to the competent authority.
- (3) Where an appellant gives notice under paragraph (2)(a)—

- (a) the competent authority must appoint an independent person to hear representations and specify a time limit within which representations to that person must be made;
 - (b) if the appellant requests, the hearing must be in public;
 - (c) the person appointed must report to the competent authority; and
 - (d) if the appellant requests, the competent authority must provide a copy of the appointed person's report to the appellant.
- (4) Where an appellant gives notice under paragraph (2)(b)—
- (a) the competent authority must appoint an independent person to consider the representations; and
 - (b) the requirements in paragraph (3)(c) and (d) apply.
- (5) The competent authority must serve the appellant with a written notice of its final decision and the reasons for it.

Obstruction

38. It is an offence —

- (a) intentionally to obstruct any person acting in the execution of these Regulations;
- (b) to fail without reasonable cause to give to such person any assistance or information which that person may reasonably require for the purposes of that person's functions under these Regulations; or
- (c) knowingly or recklessly to give false or misleading information to such person.

Offences by bodies corporate

39.—(1) If an offence under these Regulations committed by a body corporate is shown—

- (a) to have been committed with the consent or connivance of an officer; or
- (b) to be attributable to any neglect on the part of the officer,

that officer as well as the body corporate is guilty of the offence and is liable to be proceeded against and punished accordingly.

(2) If the affairs of a body corporate are managed by its members, paragraph (1) applies in relation to the acts and defaults of a member in connection with the member's functions of management as if the member were a director of the body.

(3) “Officer”, in relation to a body corporate, means a director, member of the committee of management, chief executive, manager, secretary or other similar officer of the body, or a person purporting to act in any such capacity.

Penalties

40. A person who is guilty of an offence under these Regulations is liable—

- (a) on summary conviction, to a fine not exceeding the statutory maximum;
- (b) on conviction on indictment, to a fine.

PART 6

Miscellaneous

Vaccination

41. It is an offence to vaccinate an aquatic animal against a listed disease without the prior written consent of the competent authority.

Public register of disease-free zones and compartments

42.—(1) The competent authority must establish and maintain a register of declarations made by the authority under ^{F32}Articles 2a and 2b of Commission [Decision 2009/177/EC](#).

(2) The register must be publicly available for inspection free of charge at all reasonable times and at such place as determined by the competent authority.

Textual Amendments

F32 Words in reg. 42(1) substituted (31.12.2020) by [The Animals, Aquatic Animal Health, Invasive Alien Species, Plant Propagating Material and Seeds \(Amendment\) \(EU Exit\) Regulations 2020 \(S.I. 2020/1388\)](#), regs. 1(2)(c), **38(8)**

Disclosure of information

43.—(1) The competent authority, any local authority, the Environment Agency and the Food Standards Agency may disclose information to each other for the purposes of ^{F33}... these Regulations.

(2) The competent authority may disclose information to the equivalent authority in Scotland or Northern Ireland for the purposes of ^{F34}... these Regulations or the equivalent Regulations in Scotland or Northern Ireland.

Textual Amendments

F33 Words in reg. 43(1) omitted (31.12.2020) by virtue of [The Animals, Aquatic Animal Health, Invasive Alien Species, Plant Propagating Material and Seeds \(Amendment\) \(EU Exit\) Regulations 2020 \(S.I. 2020/1388\)](#), regs. 1(2)(c), **38(9)(a)**

F34 Words in reg. 43(2) omitted (31.12.2020) by virtue of [The Animals, Aquatic Animal Health, Invasive Alien Species, Plant Propagating Material and Seeds \(Amendment\) \(EU Exit\) Regulations 2020 \(S.I. 2020/1388\)](#), regs. 1(2)(c), **38(9)(b)**

Service of notices

44.—(1) Any notice served under these Regulations may be served on a person by—

- (a) delivering it to that person;
- (b) sending it by post;
- (c) transmitting it electronically.

(2) Where the person on whom a notice to be served is a body corporate, the notice is duly served if it is served on the secretary or clerk of that body.

- (3) For the purposes of this regulation and section 7 of the Interpretation Act 1978 ^{M3} (references to service by post) in its application to this regulation, the proper address of any person to whom a notice is to be given is—
- (a) if the person has given an address for service, that address;
 - (b) if no address has been given—
 - (i) in the case of the secretary or clerk of a body corporate, the registered or principal office of that body;
 - (ii) in any other case, the person's last known address at the time of service.
- (4) If the notice is transmitted electronically, it is to be treated as duly served if—
- (a) the person upon whom the notice is required or authorised to be served (“the recipient”) has indicated to the person serving the notice the recipient's willingness to receive notices transmitted by electronic means and has provided an address suitable for that purpose; and
 - (b) the notice is sent to the address provided.

Marginal Citations

M3 1978 c.30.

Repeals, amendments and revocations

45. Schedule 2 (repeals, amendments and revocations) has effect.

Department for Environment, Food and Rural
Affairs

Jane Kennedy
Minister of State

one of the Welsh Ministers

Elin Jones
Minister for Rural Affairs,

Changes to legislation:

There are currently no known outstanding effects for the The Aquatic Animal Health (England and Wales) Regulations 2009.