[F1SCHEDULE 1

Regulations 10(1), 11(1), 14(1)16(1), 18(4)-(6), 22(1), 25(1) and 27(1)]

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Textual Amendments

- F1 Regulations revoked (E.) (6.4.2024) by The Building (Registered Building Control Approvers etc.) (England) Regulations 2024 (S.I. 2024/110), regs. 1(3), 43(a)(i) (with regs. 44-47)
- F2 Sch. 1 Forms 1-12 as they applied to buildings in Wales other than excepted energy buildings at the date of the amending Regulations substituted in relation to excepted energy buildings (W.) (8.6.2018) by The Building Regulations &c. (Amendment) (Excepted Energy Buildings) (Wales) Regulations 2018 (S.I. 2018/558), regs. 1(2), 22 (with reg. 29)

[Editorial note: The substitution of Sch. 1 Forms 1-12 by The Building Regulations &c. (Amendment) Regulations 2015 (S.I. 2015/767), reg. 3(3), Sch. 2, which applied in relation to England, did not apply in relation to any building in Wales other than an excepted energy building. Since 8.6.2018 The Building Regulations &c. (Amendment) (Excepted Energy Buildings) (Wales) Regulations 2018 (S.I. 2018/558), reg. 22 provided that the existing forms as they applied to buildings in Wales other than excepted energy buildings are now substituted in relation to excepted energy buildings. Therefore the substitution of the forms by S.I. 2015/767 is superseded and the original forms shown below apply in relation to Wales as amended by The Building Regulations &c. (Amendment) (Wales) Regulations 2013 (S.I. 2013/747) and The Building (Approved Inspectors etc.) (Amendment) (Wales) Regulations 2014 (S.I. 2014/58) as follows:

Sch. 1 Form 1 (now Form 1(W)) para. 4(a)(b) omitted (W.) (10.2.2014) by virtue of S.I. 2014/58, regs. 1(4), 6(2)(a) (with reg. 1(2)(3)) [Editorial note: this amendment has not been incorporated in the version of the image of Form 1(W) dated 6.4.2024]

Sch. 1 Form 1 (now Form 1(W)) paras. 12, 13 inserted (W.) (10.2.2014) by S.I. 2014/58, regs. 1(4), 6(2) (b) (with reg. 1(2)(3)) [Editorial note: this amendment has not been incorporated in the version of the image of Form 1(W) dated 6.4.2024]

Sch. 1 Form 2 (now Form 2(W)) para. 3(b)(d) omitted (W.) (10.2.2014) by virtue of S.I. 2014/58, regs. 1(4), 6(3)(a) (with reg. 1(2)(3)) [Editorial note: this amendment has not been incorporated in the version of the image of Form 2(W) dated 6.4.2024]

Sch. 1 Form 2 (now Form 2(W)) paras. 12, 13 inserted (W.) (10.2.2014) by S.I. 2014/58, regs. 1(4), 6(3) (b) (with reg. 1(2)(3)) [Editorial note: this amendment has not been incorporated in the version of the image of Form 2(W) dated 6.4.2024]

Sch. 1 Form 2 (now Form 2(W)) Note (11) inserted (W.) (10.2.2014) by S.I. 2014/58, regs. 1(4), 6(3) (c) (with reg. 1(2)(3)) [Editorial note: this amendment has not been incorporated in the version of the image of Form 2(W) dated 6.4.2024]

Sch. 1 Form 3 (now Form 3(W)) para. 3 substituted (W.) (10.2.2014) by S.I. 2014/58, regs. 1(4), 6(4) (with reg. 1(2)(3)) [Editorial note: this amendment has not been incorporated in the version of the image of Form 3(W) dated 6.4.2024]

Sch. 1 Form 4 (now Form 4(W)) para. 4(a)(b) omitted (W.) (10.2.2014) by virtue of S.I. 2014/58, regs. 1(4), 6(5)(a) (with reg. 1(2)(3)) [Editorial note: this amendment has not been incorporated in the version of the image of Form 4(W) dated 6.4.2024]

Sch. 1 Form 4 (now Form 4(W)) paras. 16, 17 inserted (W.) (10.2.2014) by S.I. 2014/58, regs. 1(4), 6(5) (b) (with reg. 1(2)(3)) [Editorial note: this amendment has not been incorporated in the version of the image of Form 4(W) dated 6.4.2024]

Sch. 1 Form 5 (now Form 5(W)) para. 6 substituted (W.) (10.2.2014) by S.I. 2014/58, regs. 1(4), 6(6) (with reg. 1(2)(3)) [Editorial note: this amendment has not been incorporated in the version of the image of Form 5(W) dated 6.4.2024]

- Sch. 1 Form 5 (now Form 5(W)) para. 9 inserted (W.) (19.4.2013) by S.I. 2013/747, regs. 1(4), **33(2)** (with reg. 1(2)(3)) [Editorial note: this amendment has not been incorporated in the version of the image of Form 5(W) dated 6.4.2024]
- Sch. 1 Form 12 (now Form PB4(W)) para. 7 inserted (W.) (19.4.2013) by S.I. 2013/747, regs. 1(4), **33(2)** (with reg. 1(2)(3)) [Editorial note: this amendment has not been incorporated in the version of the image of Form PB4(W) dated 6.4.2024]]
- F3 Sch. 1 Form 1 (now Form 1(W)) para. 13 substituted (W.) (28.7.2022) by The Building Safety Act 2022 (Consequential Amendments) (Approved Inspectors) (Wales) Regulations 2022 (S.I. 2022/767), regs. 1(3), 2(5)(a) [Editorial note: this amendment has not been incorporated in the version of the image of Form 1(W) dated 6.4.2024]
- F4 Sch. 1 Form 2 (now Form 2(W)) para. 13 substituted (W.) (28.7.2022) by The Building Safety Act 2022 (Consequential Amendments) (Approved Inspectors) (Wales) Regulations 2022 (S.I. 2022/767), regs. 1(3), 2(5)(b) [Editorial note: this amendment has not been incorporated in the version of the image of Form 2(W) dated 6.4.2024]
- F5 Sch. 1 Form 3 (now Form 3(W)) para. 3 substituted (W.) (28.7.2022) by The Building Safety Act 2022 (Consequential Amendments) (Approved Inspectors) (Wales) Regulations 2022 (S.I. 2022/767), regs. 1(3), 2(5)(c) [Editorial note: this amendment has not been incorporated in the version of the image of Form 3(W) dated 6.4.2024]
- F6 Sch. 1 Form 4 (now Form 4(W)) para. 17 substituted (W.) (28.7.2022) by The Building Safety Act 2022 (Consequential Amendments) (Approved Inspectors) (Wales) Regulations 2022 (S.I. 2022/767), regs. 1(3), 2(5)(d) [Editorial note: this amendment has not been incorporated in the version of the image of Form 4(W) dated 6.4.2024]
- F7 Sch. 1 Form 5 (now Form 5(W)) para. 6 substituted (W.) (28.7.2022) by The Building Safety Act 2022 (Consequential Amendments) (Approved Inspectors) (Wales) Regulations 2022 (S.I. 2022/767), regs. 1(3), 2(5)(e) [Editorial note: this amendment has not been incorporated in the version of the image of Form 5(W) dated 6.4.2024]
- F8 Sch. 1 Form 1 renumbered as Form 1(W) (6.4.2024) by virtue of The Building (Approved Inspectors etc.) (Amendment) (Wales) Regulations 2024 (S.I. 2024/244), regs. 1(1), **3(1)** [Editorial note: this amendment has been incorporated in the version of the image of Form 1(W) dated 6.4.2024]
- F9 Sch. 1 Form 2 renumbered as Form 2(W) (6.4.2024) by virtue of The Building (Approved Inspectors etc.) (Amendment) (Wales) Regulations 2024 (S.I. 2024/244), regs. 1(1), 3(2) [Editorial note: this amendment has been incorporated in the version of the image of Form 2(W) dated 6.4.2024]
- F10 Sch. 1 Form 3 renumbered as Form 3(W) (6.4.2024) by virtue of The Building (Approved Inspectors etc.) (Amendment) (Wales) Regulations 2024 (S.I. 2024/244), regs. 1(1), 3(3) [Editorial note: this amendment has been incorporated in the version of the image of Form 3(W) dated 6.4.2024]
- F11 Sch. 1 Form 4 renumbered as Form 4(W) (6.4.2024) by virtue of The Building (Approved Inspectors etc.) (Amendment) (Wales) Regulations 2024 (S.I. 2024/244), regs. 1(1), **3(4)** [Editorial note: this amendment has been incorporated in the version of the image of Form 4(W) dated 6.4.2024]
- F12 Sch. 1 Form 5 renumbered as Form 5(W) (6.4.2024) by virtue of The Building (Approved Inspectors etc.) (Amendment) (Wales) Regulations 2024 (S.I. 2024/244), regs. 1(1), **3(5)** [Editorial note: this amendment has been incorporated in the version of the image of Form 5(W) dated 6.4.2024]
- F13 Sch. 1 Form 9 renumbered as Form PB1(W) (6.4.2024) by virtue of The Building (Approved Inspectors etc.) (Amendment) (Wales) Regulations 2024 (S.I. 2024/244), regs. 1(1), 3(6) [Editorial note: this amendment has been incorporated in the version of the image of Form PB1(W) dated 6.4.2024]
- F14 Sch. 1 Form 10 renumbered as Form PB2(W) (6.4.2024) by virtue of The Building (Approved Inspectors etc.) (Amendment) (Wales) Regulations 2024 (S.I. 2024/244), regs. 1(1), 3(7) [Editorial note: this amendment has been incorporated in the version of the image of Form PB2(W) dated 6.4.2024]
- F15 Sch. 1 Form 11 renumbered as Form PB3(W) (6.4.2024) by virtue of The Building (Approved Inspectors etc.) (Amendment) (Wales) Regulations 2024 (S.I. 2024/244), regs. 1(1), 3(8) [Editorial note: this amendment has been incorporated in the version of the image of Form PB3(W) dated 6.4.2024]
- F16 Sch. 1 Form 12 renumbered as Form PB4(W) (6.4.2024) by virtue of The Building (Approved Inspectors etc.) (Amendment) (Wales) Regulations 2024 (S.I. 2024/244), regs. 1(1), 3(9) [Editorial note: this amendment has been incorporated in the version of the image of Form PB4(W) dated 6.4.2024]

- F17 Sch. 1 Form 1 (now Form 1(W)): before the signature block, the words "I (the registered building control approver) confirm that none of the work to which this notice relates is higher-risk building work." are inserted as a final paragraph (6.4.2024) by The Building (Approved Inspectors etc.) (Amendment) (Wales) Regulations 2024 (S.I. 2024/244), regs. 1(1), 10(a) (with reg. 12) [Editorial note: this amendment has not been incorporated in the version of the image of Form 1(W) dated 6.4.2024]
- F18 Sch. 1 Form 2 (now Form 2(W)): before the signature block, the words "I (the registered building control approver) confirm that none of the work to which this notice relates is higher-risk building work." are inserted as a final paragraph (6.4.2024) by The Building (Approved Inspectors etc.) (Amendment) (Wales) Regulations 2024 (S.I. 2024/244), regs. 1(1), 10(b) (with reg. 12) [Editorial note: this amendment has not been incorporated in the version of the image of Form 2(W) dated 6.4.2024]
- F19 Sch. 1 Form 3 (now Form 3(W)): before the signature block, the words "I (the registered building control approver) confirm that none of the work to which this certificate relates is higher-risk building work." are inserted as a final paragraph (6.4.2024) by The Building (Approved Inspectors etc.) (Amendment) (Wales) Regulations 2024 (S.I. 2024/244), regs. 1(1), 10(c) (with reg. 12) [Editorial note: this amendment has not been incorporated in the version of the image of Form 3(W) dated 6.4.2024]
- F20 Sch. 1 Form 4 (now Form 4(W)): before the signature block, the words "I (the registered building control approver) confirm that none of the work to which this notice relates is higher-risk building work." are inserted as a final paragraph (6.4.2024) by The Building (Approved Inspectors etc.) (Amendment) (Wales) Regulations 2024 (S.I. 2024/244), regs. 1(1), 10(d) (with reg. 12) [Editorial note: this amendment has not been incorporated in the version of the image of Form 4(W) dated 6.4.2024]
- F21 Sch. 1 Form 5 (now Form 5(W)): before the signature block, the words "I (the registered building control approver) confirm that none of the work to which this certificate relates is higher-risk building work." are inserted as a final paragraph (6.4.2024) by The Building (Approved Inspectors etc.) (Amendment) (Wales) Regulations 2024 (S.I. 2024/244), regs. 1(1), 10(e) (with reg. 12) [Editorial note: this amendment has not been incorporated in the version of the image of Form 5(W) dated 6.4.2024]
- F22 Sch. 1 Forms 6-8 omitted (6.4.2024) by virtue of The Building (Approved Inspectors etc.) (Amendment) (Wales) Regulations 2024 (S.I. 2024/244), regs. 1(1), 10(f) (with reg. 12)
- F23 Sch. 1 Form 7(W) inserted (6.4.2024) by The Building (Approved Inspectors etc.) (Amendment) (Wales) Regulations 2024 (S.I. 2024/244), reg. 1(1), Sch. 1
- F24 Sch. 1 Form 8(W) inserted (6.4.2024) by The Building (Approved Inspectors etc.) (Amendment) (Wales) Regulations 2024 (S.I. 2024/244), reg. 1(1), Sch. 1
- F25 Sch. 1 Form 9(W) inserted (6.4.2024) by The Building (Approved Inspectors etc.) (Amendment) (Wales) Regulations 2024 (S.I. 2024/244), reg. 1(1), Sch. 1
- F26 Sch. 1 Form 10(W) inserted (6.4.2024) by The Building (Approved Inspectors etc.) (Amendment) (Wales) Regulations 2024 (S.I. 2024/244), reg. 1(1), Sch. 1
- F27 Sch. 1 Form 11(W) inserted (6.4.2024) by The Building (Approved Inspectors etc.) (Amendment) (Wales) Regulations 2024 (S.I. 2024/244), reg. 1(1), Sch. 1
- F28 Sch. 1 Form 12(W) inserted (6.4.2024) by The Building (Approved Inspectors etc.) (Amendment) (Wales) Regulations 2024 (S.I. 2024/244), reg. 1(1), Sch. 1
- F29 Sch. 1 Form 13(W) inserted (6.4.2024) by The Building (Approved Inspectors etc.) (Amendment) (Wales) Regulations 2024 (S.I. 2024/244), reg. 1(1), Sch. 1
- F30 Sch. 1 Form 14(W) inserted (6.4.2024) by The Building (Approved Inspectors etc.) (Amendment) (Wales) Regulations 2024 (S.I. 2024/244), reg. 1(1), Sch. 1
- F31 Sch. 1 Form 15(W) inserted (6.4.2024) by The Building (Approved Inspectors etc.) (Amendment) (Wales) Regulations 2024 (S.I. 2024/244), reg. 1(1), Sch. 1
- F32 Sch. 1 Form 16(W) inserted (6.4.2024) by The Building (Approved Inspectors etc.) (Amendment) (Wales) Regulations 2024 (S.I. 2024/244), reg. 1(1), Sch. 1
- F33 Sch. 1 Form 17(W) inserted (6.4.2024) by The Building (Approved Inspectors etc.) (Amendment) (Wales) Regulations 2024 (S.I. 2024/244), reg. 1(1), Sch. 1

Form 1(W)

Section 47 of the Building Act 1984 ("The Act)" The Building (Approved Inspectors etc.) Regulations 2010 ("the 2010 Regulations")

INITIAL NOTICE

To: (1)

- This notice relates to the following works: (2)
- The approved inspector in relation to the work is: (3)
- The person intending to carry out the work is: (3)
- 4. With this notice are the following documents, which are those relevant to the work described in this notice—(4)
 - [(a) in the case of a notice given by an inspector approved following an application under regulation 4(1) of the 2010 Regulations, a copy of the notice of approval;]
 - a declaration signed by the insurer that a named scheme of insurance approved by the Secretary of State applies in relation to the work described in the notice;
 - [(c) in the case of the erection or extension of a building, a plan to scale of not less than 1:1250 showing the boundaries and location of the site and (where the work includes the construction of a new drain or private sewer) a statement—
 - as to the approximate location of any proposed connection to be made to a sewer, or
 - (ii) if no connection is to be made to a sewer, as to the proposals for the discharge of the proposed drain or private sewer including the location of any septic tank and associated secondary treatment system, or any wastewater treatment system or any cesspool;]
 - [(d) a statement of any local enactment relevant to the work, and of the steps to be taken to comply with it.]
- The work [is]/[is not] (5) minor work. (6)

Changes to legislation: There are currently no known outstanding effects for the The Building (Approved Inspectors etc.) Regulations 2010, SCHEDULE 1. (See end of Document for details)

- [6. I (7) declare that I do not, and will not while this notice is in force, have any financial or professional interest (8) in the works described.] (9)
- The approved inspector [will]/[will not] (10) be obliged to consult the fire and rescue authority by regulation 12 of the 2010 Regulations.
- [8. I (7) undertake to consult the fire and rescue authority before giving a plans certificate in accordance with section 50 of the Act or a final certificate in accordance with section 51 of the Act in respect of any of the work described above.] (9)
- The approved inspector [will]/[will not] (11) be obliged to consult the sewerage undertaker by regulation 13 of the 2010 Regulations.
- [10. I (7) undertake to consult the sewerage undertaker before giving a plans certificate in accordance with section 50 of the Act or a final certificate in accordance with section 51 of the Act in respect of any of the work described above.] (9)
- 11. I (7) am aware of the obligations laid upon me by Part 2 of the Act and by regulation 8 of the 2010 Regulations.

Signed Signed

Approved Inspector Person intending to carry out the work

Date Date

- Name and address of local authority.
- (2) Location and description of the work, including the use of any building to which the work relates.
- (3) Name and address.
- (4) The local authority may reject this notice only on grounds prescribed by the Secretary of State. These are set out in Schedule 2 to the 2010 Regulations. They include failure to provide relevant documents. The documents listed in paragraph 4 of the notice relevant to the work described above should therefore be sent with this notice. Any sub-paragraph which does not apply should be deleted.
- (5) Delete whichever does not apply.
- (6) "Minor work" has the meaning given in regulation 9(5) of the 2010 Regulations. If the work is not minor work, the declaration in paragraph 6 must be made.
- (7) Name of the approved inspector.
- (8) "Professional or financial interest" has the meaning given in regulation 9 of the 2010 Regulations.

- (9) Delete this statement if it does not apply.
- (10) Delete whichever does not apply. If the inspector is obliged to consult the fire and rescue authority, the declaration in paragraph 8 must be made.
- (11) Delete whichever does not apply. If the inspector is obliged to consult the sewerage undertaker, the declaration in paragraph 10 must be made.

Form 2(W)

Section 51A of the Building Act 1984 ("the Act") The Building (Approved Inspectors etc.) Regulations 2010 ("the 2010 Regulations")

AMENDMENT NOTICE

To: (1)

- This notice amends the initial notice a copy of which accompanies this notice.
- This notice amends the work in the initial notice in the following manner: (2)
- 3. With this notice are the following documents, which are those relevant to the work described in this notice—(3)
 - (a) a copy of the original notice;
 - [(b) in the case of a notice given by an inspector approved following an application under regulation 4(1) of the 2010 Regulations, a copy of the notice of approval;
 - (c) either-
 - a statement to the effect that all plans submitted with the original notice remain unchanged, or
 - (ii) all amended plans, and a statement to the effect that any plans not included remain unchanged; (4)
 - a declaration signed by the insurer that a named scheme of insurance approved by the Secretary of State applies in relation to the work described in the notice;
 - [(e) in the case of the erection or extension of a building, a plan to a scale of not less than 1:1250 showing the boundaries and location of the site and (where the work includes the construction of a new drain or private sewer) a statement—
 - as to the approximate location of any proposed connection to be made to a sewer, or
 - (ii) if no connection is to be made to a sewer, as to the proposals for the discharge of the proposed drain or private sewer, including the location of any septic tank and associated secondary treatment system, or any wastewater treatment system or any cesspool;
 - [(f) a statement of any local enactment relevant to the work, and of the steps taken to comply with it.]
- The work [is]/[is not] (4) minor work. (5)

- [5. I (6) declare that I do not, and will not while this notice is in force, have any financial or professional interest (7) in the work described.] (8)
- [6. I (6) am satisfied that plans relating to the work described above have been submitted to me, and that they neither are defective nor show work which, if carried out in accordance with them, would contravene any provision of building regulations.] (8)
- The approved inspector [will]/[will not] (9) be obliged to consult the fire and rescue authority by regulation 12 of the 2010 Regulations.
- [8. I (6) undertake to consult the fire and rescue authority before giving a plans certificate in accordance with section 50 of the Act or a final certificate in accordance with section 51 of the Act in respect of any of the work described above.] (8)
- 9. The approved inspector [will]/[will not] (10) be obliged to consult the sewerage undertaker by regulation 13 of the 2010 Regulations.
- [10. I (6) undertake to consult the sewerage undertaker before giving a plans certificate in accordance with section 50 of the Act or a final certificate in accordance with section 51 of the Act in respect of any of the work described above.] (8)
- 11. I (6) am aware of the obligations laid upon me by Part 2 of the Act and by regulation 8 of the 2010 Regulations.

Signed Signed

Approved Inspector Person intending to carry out the work

Date Date

- (1) Name and address of local authority.
- (2) Location and/or description of the new work in the amendment notice and how it amends the work mentioned in the initial notice including the use of any building to which the new work relates.
- (3) The local authority may reject this notice only on grounds prescribed by the Secretary of State. These are set out in paragraphs 1 to 11 of Schedule 2 to the 2010 Regulations. They include failure to provide relevant documents. The documents listed in paragraph 3 of the notice relevant to the work described above should therefore be sent with this notice. Any sub-paragraph which does not apply should be deleted.
- (4) Delete whichever does not apply.

Changes to legislation: There are currently no known outstanding effects for the The Building (Approved Inspectors etc.) Regulations 2010, SCHEDULE 1. (See end of Document for details)

- (5) "Minor work" has the meaning given in regulation 9(5) of the 2010 Regulations. If the work is not minor work, the declaration in paragraph 5 must be made.
- (6) Name of the approved inspector.
- (7) "Professional or financial interest" has the meaning given in regulation 9 of the 2010 Regulations.
- (8) Delete this statement if it does not apply.
- (9) Delete whichever does not apply. If the inspector is obliged to consult the fire and rescue authority, the declaration in paragraph 8 must be made.
- (10) Delete whichever does not apply. If the inspector is obliged to consult the sewerage undertaker, the declaration in paragraph 10 must be made.

Form 3(W)

Section 50 of the Building Act 1984 ("the Act") The Building (Approved Inspectors etc.) Regulations 2010 ("the 2010 Regulations")

PLANS CERTIFICATE

- This certificate relates to the following work: (1)
- 2. I am an approved inspector for the purposes of Part 2 of the Act and the above work is [the whole]/[part] (2) of the work described in an initial notice given by me and dated. (3)
- With this certificate is the declaration, signed by the insurer, that a named scheme of
 insurance approved by the Secretary of State applies in relation to the work to which the certificate
 relates.
- 4. Plans of the work specified above have been submitted to me and I am satisfied that the plans neither are defective nor show that work carried out in accordance with them would contravene any provision of building regulations.
- 5. The work [is]/[is not] (2) minor work. (4)
- [6. I declare that I have had no financial or professional interest (5) in the work described since giving the initial notice described in paragraph 2.] (6)
- [7. I have consulted the fire and rescue authority in accordance with regulation 12 of the 2010 Regulations.] (6)
- [8. I have consulted the sewerage undertaker in accordance with regulation 13 of the 2010 Regulations.] (6)
- 9. The plans to which this certificate relates bear the following date and reference number: (7)

Signed

Approved Inspector

Date

- (1) Location and description of the work, including the use of any building to which the work relates.
- (2) Delete whichever does not apply.

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Changes to legislation: There are currently no known outstanding effects for the The Building (Approved Inspectors etc.) Regulations 2010, SCHEDULE 1. (See end of Document for details)

- (3) Insert date.
- (4) "Minor work" has the meaning given in regulation 9(5) of the 2010 Regulations. If the work is not minor work, the declaration in paragraph 6 must be made.
- (5) "Professional or financial interest" has the meaning given in regulation 9 of the 2010 Regulations.
- (6) Delete this statement if it does not apply.
- (7) Insert the date and reference number.

Form 4(W)

Sections 47 and 50 of the Building Act 1984 ("the Act") The Building (Approved Inspectors etc.) Regulations 2010 ("the 2010 Regulations")

COMBINED INITIAL NOTICE AND PLANS CERTIFICATE

To: (1)

- This notice relates to the following work: (2)
- The approved inspector in relation to the work is: (3)
- The person intending to carry out the work is: (3)
- 4. With this notice are the following documents, which are those relevant to the work described in this notice—(4)
 - in the case of a notice given by an inspector approved following an application under regulation 4(1) of the 2010 Regulations, a copy of the notice of approval;
 - a declaration signed by the insurer that a named scheme of insurance approved by the Secretary of State applies in relation to the work described in the notice;
 - [(c) in the case of the erection or extension of a building, a plan to a scale of not less than 1:1250 showing the boundaries and location of the site and (where the work includes the construction of a new drain or private sewer) a statement—
 - as to the approximate location of any proposed connection to be made to a sewer, or
 - (ii) if no connection is to be made to a sewer, as to the proposals for the discharge of the proposed drain or private sewer, including the location of any septic tank and associated secondary treatment system, or any wastewater treatment system or any cesspool;]
 - [(d) a statement of any local enactment relevant to the work, and of the steps to be taken to comply with it.]
- 5. The work [is]/[is not] (5) minor work. (6)
- [6. I (7) declare that I do not, and will not while this notice is in force, have any financial or professional interest (8) in the work described.] (9)
- 7. I (7) am satisfied that plans relating to the work described above have been submitted to me, and that they neither are defective nor show work which, if carried out in accordance with them, would contravene any provision of building regulations.

Changes to legislation: There are currently no known outstanding effects for the The Building (Approved Inspectors etc.) Regulations 2010, SCHEDULE 1. (See end of Document for details)

- 8. The approved inspector [is]/[is not] (10) obliged to consult the fire and rescue authority by regulation 12 of the 2010 Regulations.
- [9. I (7) have consulted the fire and rescue authority in accordance with regulation 12.] (9)
- [10. I (7) undertake to consult the fire and rescue authority before giving a final certificate in accordance with section 51 of the Act in respect of any of the work described above.] (9)
- The approved inspector [is]/[is not] (11) obliged to consult the sewerage undertaker by regulation 13 of the 2010 Regulations.
- I (7) have consulted the sewerage undertaker in accordance with regulation 13.] (9)
- [13. I (7) undertake to consult the sewerage undertaker before giving a final certificate in accordance with section 51 of the Act in respect of any of the work described above.] (9)
- The plans to which this certificate relates bear the following date and reference number. (12)
- 15. I (7) am aware of the obligations laid upon me by Part 2 of the Act and by regulation 8 of the 2010 Regulations.

Signed Signed

Approved Inspector Person intending to carry out the work

Date Date

- (1) Name and address of local authority.
- (2) Location and description of the work, including the use of any building to which the work relates.
- (3) Name and address.
- (4) The local authority may reject this notice only on grounds prescribed by the Secretary of State. These are set out in Schedules 2 and 3 to the 2010 Regulations. They include failure to provide relevant documents. The documents listed in paragraph 4 of the notice relevant to the work described above should therefore be sent with this notice. Any subsequent paragraph which does not apply should be deleted.
- (5) Delete whichever does not apply.
- (6) "Minor work" has the meaning given in regulation 9(5) of the 2010 Regulations. If the work is not minor work, the declaration in paragraph 6 must be made.
- (7) Name of the approved inspector.

- (8) "Professional or financial interest" has the meaning given in regulation 9 of the 2010 Regulations.
- (9) Delete this statement if it does not apply.
- (10) Delete whichever does not apply. If the inspector is obliged to consult the fire and rescue authority, the declarations in paragraph 9 and 10 must be made.
- (11) Delete whichever does not apply. If the inspector is obliged to consult the sewerage undertaker, the declarations in paragraphs 12 and 13 must be made.
- (12) Insert the date and reference number.

Form 5(W)

Section 51 of the Building Act 1984 The Building (Approved Inspectors etc.) Regulations 2010 ("the 2010 Regulations")

FINAL CERTIFICATE

- This certificate relates to the following work: (1)
- I am an approved inspector and the work described above was [the whole]/[part] (2) of the work described in an initial notice given by me and dated. (3)
- Subject to what is said in paragraph 4 below, the work described above has been completed and I have performed the functions assigned to me by regulation 8 of the 2010 Regulations.
- [4. The work described above involves the insertion of insulating material into a cavity wall and this [has]/[has not] (2) been carried out.] (4)
- [5. Final certificates have now been issued in respect of all the work described in the initial notice referred to in paragraph 2 above.] (4)
- 6. With this certificate is the declaration, signed by the insurer that a named scheme of insurance approved by the Secretary of State applies in relation to the work to which the certificate relates.
- The work [is]/[is not] (2) minor work. (5)
- [8. I have had no professional or financial interest in the work described above since giving the initial notice described in paragraph 2 above.] (4)

Signed

Approved Inspector

Date

- (1) Location and description of the work, including the use of any building to which the work relates.
- (2) Delete whichever does not apply.
- (3) Insert date.
- (4) Delete this statement if it does not apply.
- (5) "Minor work" has the meaning given in regulation 9(5) of the 2010 Regulations. If the work is not minor work, the declaration in paragraph 8 must be made.

Document Generated: 2024-06-20 **Changes to legislation:** There are currently no known outstanding effects for the The Building (Approved Inspectors etc.) Regulations 2010, SCHEDULE 1. (See end of Document for details)

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F22																													
F22																													

Form 7(W)

The Building (Approved Inspectors etc.) Regulations 2010

NOTICE OF REJECTION OF AN INITIAL NOTICE, AMENDMENT NOTICE, PLANS CERTIFICATE OR FINAL CERTIFICATE

To: (1)

- 1. I am authorised to sign this notice by _____: (2)
 2. This notice relates to the [initial notice / amendment notice / plans certificate / final certificate]
 (3) received on ______ (4).
- 3. The local authority hereby rejects the [initial notice / amendment notice / plans certificate / final certificate] (3) on the following grounds ______(5).
- 4. A person aggrieved with this rejection may appeal under section 55(1) of the Building Act 1984. An appeal must be made within 21 days beginning with the day after the day on which this notice is given (ignoring Christmas Day, Good Friday and bank holidays).

Signature

Date

- (1): Insert the name and address of the person to whom the notice is given. It must be given to the approver who submitted the notice or certificate and, in the case of an initial notice or amendment notice, also to the person intending to carry out the work (and if that person is not the client also to the client).
- (2): Insert name and address of the local authority.
- (3): Delete whichever does not apply.
- (4): Insert date the notice or certificate was received by the local authority.
- (5): State which of the grounds set out in Schedule 2, 3

Form 8(W)

Section 51C of the Building Act 1984

The Building (Approved Inspectors etc.) Regulations 2010

NOTICE OF CHANGE OF PERSON CARRYING OUT THE WORK

To: (1)

- 1. This notice proposes a change to the person carrying out the work in relation to the initial notice a copy of which accompanies this notice.
- 2. (2) is a registered building control approver for the purposes of Part 2 of the Building Act 1984 and gave the initial notice referred to in paragraph 1.
- 3. We (2) and (3) propose that the work to which the initial notice relates should be carried out by (4).

Signature	Date
(RBCA)	
Signature	Date
(Person intending	g to carry out the work)

- (1): Name and address of local authority
- (2): Name of the registered building control approver.
- (3): Name of the person intending to carry out the work.
- (4): Name, address, telephone number and (if available) email address of the person intending to carry out the work, and if that person is not the client also the name, address, telephone number and (if available) email address of the client.

Form 9(W)

Section 52(1)(a), (b), (c), (d), (e) or (f) of the Building Act 1984

The Building (Approved Inspectors etc.)
Regulations 2010

NOTICE OF CANCELLATION BY REGISTERED BUILDING CONTROL APPROVER

To: (1)

- 1. This notice relates to the following work: (2)
- 2.An initial notice dated (3) has been given and the above work was specified in it.
- 3. (4) hereby confirms it is a registered building control approver for the purposes of Part 2 of the Building Act 1984 in respect of that work.
 - 4. (4) hereby cancels the initial notice.
- 5.[(4) hereby confirms it gave notice in accordance with regulation 18A of the Building (Approved Inspectors etc.) Regulations 2010 and the recipient of the notice failed to remedy the contravention within the prescribed period. The contravention is:] (5)

Signature

On behalf of (4)

Date

NOTES

(1): Insert name and address of the person to whom the notice is given. It must be given to the local authority and the person carrying out or intending to carry out the work.

Form 10(W)

Section 52(3) of the Building Act 1984

The Building (Approved Inspectors etc.) Regulations 2010

NOTICE OF CANCELLATION BY PERSON CARRYING OUT THE WORK

To: (1)

- 1. This notice relates to the following work: (2)
- 2. An initial notice dated (3) has been given and the above work was specified in it.
- 3. (4) hereby confirms it is/they are the person [carrying out][intending to carry out] (5) the work.
 - 4. (4) hereby cancel the initial notice.

Signature

On behalf of (4)

Date

NOTES

- (1) Insert name and address of the person to whom the notice is given. It must be given to the local authority and, if practicable, the registered building control approver.
- (2) Location and description of the work, including the use of the building to which the work relates.
- (3) Insert date.

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- (4) Name of person carrying out or intending to carry out the work.
- (5) Delete as appropriate.

Form 11(W)

Section 52(5A) of the Building Act 1984

The Building (Approved Inspectors etc.)
Regulations 2010

NOTICE OF CANCELLATION BY LOCAL AUTHORITY

To: (1)

- 1. This notice relates to the following work: (2)
- 2. I am authorised to sign this notice by the following local authority: (3)
- The local authority accepted an initial notice on
 and the work described above was specified in it.
- 4. [The local authority gave to the person shown in the initial notice as the registered building control approver a notice under section 52(7) of the Building Act 1984, in accordance with regulation 18(10) of the Building (Approved Inspectors etc) Regulations 2010, and the authority [has had regard to the representations received] [has received no representations](5)](6).
- 5. It appears to the local authority that a condition under section 52(5B) of the Building Act 1984, namely [section 52(5B)[(a)][(b)][(c)][(d)]](5), is satisfied.
- The local authority hereby cancels the initial notice.

Signature

Date

- (1) Insert the name and address of the person to whom the notice is given. It must be given to the person shown on the initial notice as2the registered building control approver and the person shown on the initial notice as the person intending to carry out the work.
- (2) Location and description of the work, including the

Form 12(W)

Section 52(7) of the Building Act 1984

The Building (Approved Inspectors etc.) Regulations 2010

NOTICE OF PROPOSED CANCELLATION

To: (1)

- 1. This notice relates to the following work: (2)
- 2. I am authorised to sign this notice by the following local authority: (3)
- 3. The local authority accepted an initial notice on (4) and the above work was specified in it.
- 4. The local authority is proposing to cancel the initial notice under section 52(5A) of the Building Act 1984 based condition in 52(5B)[(a)][(b)](5) of that Act as it appears that condition is satisfied.
- 5. If you wish to make any representations on the proposed cancellation you must make them before: (6).

Signature

Date

- (1) Insert the name and address of the person to whom the notice is given. It must be given to the registered building control approver.
- (2) Location and description of the work, including the use of any building to which the work relates.
- (3) Name and address of the local authority.
- (4) Insert date.
- (5) Delete whichever does not apply.

Form 13(W)

Section 52A(1) of the Building Act 1984 The Building (Approved Inspectors etc.) Regulations 2010

NOTICE OF CANCELLATION BY REGISTERED BUILDING CONTROL APPROVER OF WORK WHICH HAS BECOME HIGHER-RISK BUILDING WORK

To: (1)

- An initial notice dated (2) has been given in relation to the work.
- 2. This notice relates to [the following part of]/[all of] (3) that work: (4)
- 3. I am the registered building control approver in relation to the initial notice referred to in paragraph 1.
- 4. I hereby cancel [the part of the initial notice which relates to higher-risk building work referred to in paragraph 2]/[the initial notice] (3).

Signature

Date

- (1): Insert the name and address of the person to whom the notice is given. It must be given to the local authority and the person carrying out or intending to carry out the work (and if that person is not the client also to the client).
- (2): Insert date.
- (3): Delete whichever does not apply.
- (4): Location and description of the higher-risk building work.

Form 14(W)

Section 52A(2) of the Building Act 1984

The Building (Approved Inspectors etc.) Regulations 2010

NOTICE OF CANCELLATION BY PERSON INTENDING TO CARRY OUT THE WORK WHEN WORK BECOMES HIGHER-RISK BUILDING WORK

To: (1)

- 1. An initial notice dated (2) has been given in relation to the work.
- 2. This notice relates to [the following part of]/[all of] (3) that work: (4)
- 3. I am the person [carrying out]/[intending to carry out] (3) the work under the initial notice referred to in paragraph 1.
- 4. I hereby cancel [the part of the initial notice which relates to higher-risk building work referred to in paragraph 2]/[the initial notice] (3).

Signature

Date

- (1): Insert the name and address of the person to whom the notice is given. It must be given to the local authority and the registered building control approver (and if the person carrying the work is not the client also to the client).
- (2): Insert date.
- (3): Delete whichever does not apply.
- (4). Location and description of the higher right

Form 15(W)

Section 52A(4) of the Building Act 1984

The Building (Approved Inspectors etc.) Regulations 2010

NOTICE OF CANCELLATION BY LOCAL AUTHORITY OF WORK WHICH HAS BECOME HIGHER-RISK BUILDING WORK

To: (1)

- The local authority accepted an initial notice on
 in relation to the work.
- 2. This notice relates to [the following part of]/[all of] (3) that work: (4)
- **3.** I am authorised to sign this notice by the following local authority (5):
- 4. It appears to the local authority that the work referred to in paragraph 2 has become higher-risk building work and the local authority hereby cancels [the part of the initial notice which relates to higher-risk building work referred to in paragraph 2]/[the initial notice] (3).

Signature

Date

- (1): Insert the name and address of the person to whom the notice is given. It must be given to the registered building control approver and the person shown in the initial notice as the person intending to carry out the work (and if that person is 460t the client also to the client).
- (2): Insert date.
- (3). Delete whichever does not apply

Form 16(W)

Section 53D(2) of the Building Act 1984

The Building (Approved Inspectors etc.) Regulations 2010

NOTICE OF CANCELLATION BY LOCAL AUTHORITY OF WORK WHERE NO TRANSFER CERTIFICATE AND TRANSFER REPORT IS GIVEN

To: (1)

- The local authority accepted an initial notice on
 in relation to work.
- 2. I am authorised to sign this notice by the following local authority: (3)
- 3. [The local authority has rejected the transfer certificate and transfer report] [It appears to the local authority that no transfer certificate and transfer report has been given] (4) in relation to the initial notice referred to in paragraph 1 and the local authority hereby cancels the initial notice.

Signature

Date

- (1) Insert the name and address of the person to whom the notice is given. It must be given to the registered building control approver and the person shown in the initial notice as the person intending to carry out the work.
- (2) Insert date
- (3) Name and address of the local authority.
- (4) Delete whichever does not apply.

Form 17(W)

Section 53D(3) of the Building Act 1984

The Building (Approved Inspectors etc.) Regulations 2010

NOTICE OF CANCELLATION BY PERSON CARRYING OUT THE WORK

To: (1)

- 1. This notice relates to the following work: (2)
- An initial notice dated (3) has been given and the above work was specified in it.
- 3. (4) hereby confirms it is the person [carrying out][intending to carry out] (5) the work.
 - 4. (4) hereby cancels the initial notice.

Signature

On behalf of (4)

Date

- (1) Insert name and address of the person to whom the notice is given. It must be given to the local authority and, if practicable, the registered building control approver.
- (2) Location and description of the work, including the use of the building to which the work relates.
- (3) Insert date.
- (4) Name of person carrying out or intending to carry out the work.
- (5) Delete as appropriate.

Form PB1(W)

Section 54 of the Building Act 1984 ("the Act") The Building (Approved Inspectors etc.) Regulations 2010 ("the 2010 Regulations")

PUBLIC BODY'S NOTICE

To: (1)

- This notice relates to the following work: (2)
- (3) is approved under Part 2 of the Act and intends to carry out in relation to a building belonging to it the work described above which can be adequately supervised by its own servants or agents.
- 3. With this notice are the following documents, which are those relevant to the work described in this notice—(4)
 - in the case of the erection or extension of a building, a plan to a scale of not less than
 1: 1250 showing the boundaries and location of the site and (where the work includes the construction of a new drain or private sewer) a statement—
 - as to the approximate location of any proposed connection to be made to a sewer, or
 - if no connection is to be made to a sewer, as to the proposals for the discharge of the proposed drain or private sewer, including the location of any septic tank and associated secondary treatment system, any wastewater treatment system or any cesspool;
 - [(b) a statement of any local enactment relevant to the work, and of the steps to be taken to comply with it.]
- 4. The public body [will]/[will not] (5) be obliged to consult the fire and rescue authority by regulation 23 of the 2010 Regulations.
- [5. (3) undertakes to consult the fire and rescue authority before giving a public body's plans certificate in accordance with paragraph 2 of Schedule 4 to the Act or a public body's final certificate in accordance with paragraph 3 of Schedule 4 to the Act in respect of any of the work described above.] (7)
- The public body [will]/[will not] (6) be obliged to consult the sewerage undertaker by regulation 24 of the 2010 Regulations.
- [7. (3) undertakes to consult the sewerage undertaker before giving a public body's plans certificate in accordance with paragraph 2 of Schedule 4 to the Act or a public body's final certificate in accordance with paragraph 3 of Schedule 4 to the Act in respect of any of the work described above.] (7)

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Date

Changes to legislation: There are currently no known outstanding effects for the The Building (Approved Inspectors etc.) Regulations 2010, SCHEDULE 1. (See end of Document for details)

- (1) Name and address of local authority.
- (2) Location and description of the work, including the use of any building to which the work relates.
- (3) Name and address of public body.
- (4) The local authority may reject this notice only on grounds prescribed by the Secretary of State. These are set out in Schedule 5 to the 2010 Regulations. They include failure to provide relevant documents. The documents listed in paragraph 3 relevant to the work described above should therefore be sent with this notice. Any sub-paragraph which does not apply should be deleted.
- (5) Delete whichever does not apply. If the public body is obliged to consult the fire and rescue authority, the declaration in paragraph 5 must be made.
- (6) Delete whichever does not apply. If the public body is obliged to consult the sewerage undertaker, the declaration in paragraph 7 must be made.
- (7) Delete this statement if it does not apply.

Form PB2(W)

Paragraph 2 of Schedule 4 to the Building Act 1984 ("the Act") The Building (Approved Inspectors etc.) Regulations 2010 ("the 2010 Regulations")

PUBLIC BODY'S PLANS CERTIFICATE

- This certificate relates to the following work: (1)
- 2. (2) is an approved public body under Part 2 of the Act and the above work is [the whole]/[part] (3) of work described in a public body's notice given by the body and dated. (4)
- 3. Plans of the work described above have been inspected by a servant or agent of the public body who is competent to assess the plans and that person is satisfied that the plans neither are defective nor show work which, if carried out in accordance with them, would contravene any provision of building regulations.
- [4. The fire and rescue authority has been consulted in accordance with regulation 23 of the 2010 Regulations.] (5)
- [5. The sewerage undertaker has been consulted in accordance with regulation 24 of the 2010 Regulations.] (5)
- The plans inspected bear the following date and reference number: (6)

Signature

Date

- (1) Location and description of the work, including the use of any building to which the work relates.
- (2) Name and address of public body.
- (3) Delete whichever does not apply.
- (4) Insert date.
- (5) Delete this statement if it does not apply.
- (6) Insert the date and reference number.

Form PB3(W)

Paragraph 2(2) of Schedule 4 to the Building Act 1984 ("the Act")
The Building (Approved Inspectors etc.) Regulations 2010 ("the 2010 Regulations")

COMBINED PUBLIC BODY'S NOTICE AND PLANS CERTIFICATE

To: (1)

- This certificate relates to the following work: (2)
- (3) is an approved public body under Part 2 of the Act.
- With this notice are the following documents, which are those relevant to the work described in this notice—(4)
 - [(a) in the case of the erection or extension of a building, a plan to a scale of not less than 1:1250 showing the boundaries and location of the site and (where the work includes the construction of a new drain or private sewer) a statement—
 - as to the approximate location of any proposed connection to be made to a sewer, or
 - if no connection is to be made to a sewer, as to the proposals for the discharge of the proposed drain or private sewer, including the location of any septic tank and associated secondary treatment system, or any wastewater treatment system or any cesspool;
 - [(b) a statement of any local enactment relevant to the work, and of the steps to be taken to comply with it.]
- 4. Plans of the work described above have been inspected by a servant or agent of the public body who is competent to assess the plans and that person is satisfied that the plans neither are defective nor show work which, if carried out in accordance with them, would contravene any provision of building regulations.
- [5. The fire and rescue authority has been consulted in accordance with regulation 23 of the 2010 Regulations.] (5)
- [6. The body undertakes to consult the fire and rescue authority before giving a final certificate in accordance with paragraph 3 of Schedule 4 to the Act in respect of the work described above.] (5)
- [7. The sewerage undertaker has been consulted in accordance with regulation 24 of the 2010 Regulations.] (5)
- [8. The body undertakes to consult the sewerage undertaker before giving a final certificate in accordance with paragraph 3 of Schedule 4 to the Act in respect of any of the work described above.]

 (5)

9. The plans inspected bear the following date and reference number: (6)
Signature
Date
NOTES
(1) Name and address of local authority.
(2) Location and description of the work, including the use of any building to which the work relates.
(3) Name and address of public body.
(4) The local authority may reject this notice only on grounds prescribed by the Secretary of State. These are set out in Schedules 5 and 6 to the 2010 Regulations. They include failure to provide relevant documents. The documents listed in paragraph 3 relevant to the work described above should therefore be sent with this notice. Any sub-paragraph which does not apply should be deleted.
(5) Delete this statement if it does not apply.

(6) Insert the date and reference number.

Form PB4(W)

Paragraph 3 of Schedule 4 to the Building Act 1984 The Building (Approved Inspectors etc.) Regulations 2010 ("the 2010 Regulations")

PUBLIC BODY'S FINAL CERTIFICATE

- This certificate relates to the following work: (1)
- 2. The work described above is [the whole]/[part] (2) of the work described in a public body's notice given by (3) on (4). Subject to what is said in paragraph 3 below, the work has been supervised by the servant or agent of (3) to ensure compliance with those substantive requirements of building regulations which apply to it.
- [3. The work to which this certificate relates involves the insertion of insulating material into a cavity wall and this [has]/[has not] (2) been carried out.] (5)
- [4. A public body's final certificate has now been issued in respect of all the work specified in the public body's notice referred to in paragraph 2.] (5)
- [5. The fire and rescue authority has been consulted in accordance with regulation 23 of the 2010 Regulations.] (5)
- [6. The sewerage undertaker has been consulted in accordance with regulation 24 of the 2010 Regulations.] (5)

Signature

Date

- (1) Location and description of the work, including the use of any building to which the work relates.
- (2) Delete whichever does not apply.
- (3) Insert the name of the public body.
- (4) Insert the date.
- (5) Delete this statement if it does not apply.

Changes to legislation:There are currently no known outstanding effects for the The Building (Approved Inspectors etc.) Regulations 2010, SCHEDULE 1.