
STATUTORY INSTRUMENTS

2012 No. 3038

The Greenhouse Gas Emissions Trading Scheme Regulations 2012

PART 2

Stationary installations

CHAPTER 3

Allocation of allowances

Allocation of allowances for 2013 to 2020

16.—(1) In this regulation the “allocated amount”, in relation to an installation, means the annual amount of allowances to be allocated to that installation for each scheme year in the trading period 2013 to 2020.

(2) Subject to paragraph (5), the allocated amount is the amount specified in the list that was submitted to the European Commission on 12th December 2011 in accordance with Article 15(5) of the Free Allocation Decision, as substituted by the modified list submitted in April 2012 following the first stage of the Commission's scrutiny process ^{F1}.

(3) Schedule 6 sets out procedures for the allocation of allowances to new entrants to the scheme, and for the adjustment of existing allocations.

[^{F2}(3A) Schedule 6A sets out procedures for the allocation of allowances to operators of installations which cease to be excluded installations, and for the adjustment of such allocations.]

(4) The Secretary of State must make any revisions to that list that become necessary in consequence of—

- (a) the procedures set out in Schedule 6 [^{F3}or Schedule 6A];
- (b) the application of the linear reduction factor referred to in Article 9 of the Directive; or
- (c) any other adjustment required by the European Commission in accordance with the Directive or the Free Allocation Decision.

(5) Following such a revision the allocated amount is the amount specified in the list as so revised.

(6) The Secretary of State must by 30th April in each scheme year publish the latest version of the list as so revised.

(7) Paragraph (6) is subject to regulation 47 (national security).

Textual Amendments

- F1** See *Modified UK National Implementation Measures for Phase III of the EU Emissions Trading System*
<http://www.decc.gov.uk/assets/decc/11/cutting-emissions/eu-ets/5233-modified-uk-national-implementation-measures-for-p.pdf>.

Changes to legislation: *There are outstanding changes not yet made by the legislation.gov.uk editorial team to The Greenhouse Gas Emissions Trading Scheme Regulations 2012. Any changes that have already been made by the team appear in the content and are referenced with annotations. (See end of Document for details) [View outstanding changes](#)*

- F2** Reg. 16(3A) inserted (4.12.2015) by The Greenhouse Gas Emissions Trading Scheme (Amendment) Regulations 2015 (S.I. 2015/1849), regs. 1(1), **5(2)**
- F3** Words in reg. 16(4)(a) inserted (4.12.2015) by The Greenhouse Gas Emissions Trading Scheme (Amendment) Regulations 2015 (S.I. 2015/1849), regs. 1(1), **5(3)**

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Changes and effects yet to be applied to :

- Regulations modified by [2019 c. 1 s. 79\(5\)](#)
- Regulations modified by [S.I. 2020/1369 reg. 45](#)
- Regulations revoked in part by [S.I. 2021/511 reg. 12](#)

Changes and effects yet to be applied to the whole Instrument associated Parts and Chapters:

Whole provisions yet to be inserted into this Instrument (including any effects on those provisions):

- Sch. 4 para. 3(2A) inserted by [S.I. 2019/107 reg. 54\(c\)\(ii\)](#) (This amendment not applied to legislation.gov.uk. S.I. 2019/107 revoked immediately before IP completion day by S.I. 2020/1369, regs. 1(2), 43(a))
- Sch. 4 para. 4(1A) inserted by [S.I. 2019/107 reg. 54\(d\)\(ii\)](#) (This amendment not applied to legislation.gov.uk. S.I. 2019/107 revoked immediately before IP completion day by S.I. 2020/1369, regs. 1(2), 43(a))
- Sch. 4 para. 5(1A) inserted by [S.I. 2019/107 reg. 54\(e\)\(ii\)](#) (This amendment not applied to legislation.gov.uk. S.I. 2019/107 revoked immediately before IP completion day by S.I. 2020/1369, regs. 1(2), 43(a))
- Sch. 5 para. 6(2A) inserted by [S.I. 2019/107 reg. 55\(d\)\(i\)](#) (This amendment not applied to legislation.gov.uk. S.I. 2019/107 revoked immediately before IP completion day by S.I. 2020/1369, regs. 1(2), 43(a))
- Sch. 5 para. 7(A1) inserted by [S.I. 2019/107 reg. 55\(e\)](#) (This amendment not applied to legislation.gov.uk. S.I. 2019/107 revoked immediately before IP completion day by S.I. 2020/1369, regs. 1(2), 43(a))
- Sch. 5 para. 6(2A)(2B) inserted by [S.I. 2019/1440 reg. 29\(8\)\(d\)](#)
- Sch. 5 para. 7(8) inserted by [S.I. 2019/1440 reg. 29\(9\)\(e\)](#)
- Sch. 5 para. 8(6A) inserted by [S.I. 2019/1440 reg. 29\(10\)\(c\)](#)
- Sch. 5A inserted by [S.I. 2019/1440 Sch.](#)
- Sch. 5A para. 5(4) word omitted (cond.) by virtue of S.I. 2019/1440, Sch. (as amended) by [S.I. 2020/18 reg. 16\(2\)](#)
- Sch. 5A para. 5(3) words substituted (cond.) by S.I. 2019/1440, Sch. (as amended) by [S.I. 2020/18 reg. 16\(2\)](#)
- Sch. 6 para. 1A(1)(e) inserted by [S.I. 2019/107 reg. 56\(d\)](#) (This amendment not applied to legislation.gov.uk. S.I. 2019/107 revoked immediately before IP completion day by S.I. 2020/1369, regs. 1(2), 43(a))
- reg. 1A inserted by [S.I. 2020/1369 reg. 3](#)
- reg. 3(1A)-(1C) inserted by [S.I. 2019/644 reg. 2\(3\)](#)
- reg. 3(2)(ba) inserted by [S.I. 2019/1440 reg. 3\(3\)\(b\)\(ii\)](#)
- reg. 8(9)(10) omitted by [S.I. 2020/1369 reg. 6\(e\)](#)
- reg. 8(10) inserted by [S.I. 2019/1440 reg. 4\(5\)](#)
- reg. 9(1) reg. 9 renumbered as reg. 9(1) by [S.I. 2019/1440 reg. 5\(2\)](#)
- reg. 9(2) inserted by [S.I. 2019/1440 reg. 5\(3\)](#)
- reg. 10(3A) inserted by [S.I. 2019/1440 reg. 6\(3\)](#)
- reg. 12A inserted by [S.I. 2020/1369 reg. 8](#)
- reg. 13(1A) inserted by [S.I. 2020/1369 reg. 9\(a\)](#)
- reg. 14(1)(b)(i) words substituted in earlier amending provision S.I. 2019/1440, reg. 10 by [S.I. 2020/1369 reg. 44\(c\)](#)
- reg. 15(A1)-(C1) inserted by [S.I. 2019/1440 reg. 11\(3\)](#)

- reg. 15(C1) words substituted (temp.) by S.I. 2019/1440, reg. 11(3) (as amended) by [S.I. 2020/18 reg. 12\(2\)](#)
- reg. 15A inserted by [S.I. 2019/1440 reg. 12](#)
- reg. 22(1A) inserted by [S.I. 2019/107 reg. 11\(b\)](#) (This amendment not applied to [legislation.gov.uk](#). S.I. 2019/107 revoked immediately before IP completion day by S.I. 2020/1369, regs. 1(2), 43(a))
- reg. 23(5) inserted by [S.I. 2019/107 reg. 12](#) (This amendment not applied to [legislation.gov.uk](#). S.I. 2019/107 revoked immediately before IP completion day by S.I. 2020/1369, regs. 1(2), 43(a))
- reg. 44(5A)-(5D) inserted by [S.I. 2019/1440 reg. 18\(5\)](#)
- reg. 44(5A) words omitted by [S.I. 2020/1369 reg. 12\(c\)](#)
- reg. 44(5C)(a) words omitted by [S.I. 2020/1369 reg. 12\(d\)\(i\)](#)
- reg. 44(5C)(b) words omitted by [S.I. 2020/1369 reg. 12\(d\)\(ii\)](#)
- reg. 44(5D)(a) words omitted by [S.I. 2020/1369 reg. 12\(e\)](#)
- reg. 45(6)(f) and word inserted by [S.I. 2019/1440 reg. 19\(c\)](#) (This amendment not applied to [legislation.gov.uk](#). Regs. 19(c) omitted immediately before IP completion day by virtue of S.I. 2020/1369, regs. 1(2), 44(e))
- reg. 45(6)(ea) and word inserted by [S.I. 2020/1369 reg. 13\(d\)](#)
- reg. 52(2A) inserted by [2019 c. 1 s. 76\(2\)](#)
- reg. 53A inserted by [S.I. 2020/1369 reg. 19](#)
- reg. 54(2A) inserted by [S.I. 2019/1440 reg. 20\(2\)](#)
- reg. 54(2A)(a)(b) omitted by [S.I. 2020/1369 reg. 20\(b\)](#)
- reg. 54(7)(ca) inserted by [S.I. 2019/1440 reg. 20\(6\)\(b\)](#)
- reg. 54(7)(ca)(i) words omitted by [S.I. 2020/1369 reg. 20\(c\)\(ii\)\(aa\)](#)
- reg. 54(7)(ca)(ii) omitted by [S.I. 2020/1369 reg. 20\(c\)\(ii\)\(bb\)](#)
- reg. 54(9)(10) inserted by [S.I. 2019/1440 reg. 20\(7\)](#)
- reg. 58ZA58ZB inserted by [S.I. 2019/1440 reg. 22](#)
- reg. 58ZB(4) word substituted (cond.) by S.I. 2019/1440, reg. 22 (as amended) by [S.I. 2020/18 reg. 13\(2\)\(a\)](#)
- reg. 58ZB(4) words omitted in earlier amending provision S.I. 2019/1440, reg. 22 by [S.I. 2020/1369 reg. 44\(f\)](#)
- reg. 58ZB(5)(b) word omitted (cond.) by virtue of S.I. 2019/1440, reg. 22 (as amended) by [S.I. 2020/18 reg. 13\(2\)\(b\)\(ii\)](#)
- reg. 58ZB(5)(b) words inserted (cond.) by S.I. 2019/1440, reg. 22 (as amended) by [S.I. 2020/18 reg. 13\(2\)\(b\)\(i\)](#)
- reg. 80(A1) inserted by [S.I. 2019/107 reg. 48\(a\)](#) (This amendment not applied to [legislation.gov.uk](#). S.I. 2019/107 revoked immediately before IP completion day by S.I. 2020/1369, regs. 1(2), 43(a))
- reg. 84A inserted by [S.I. 2019/1440 reg. 25](#)
- reg. 84A omitted by [S.I. 2020/1369 reg. 36](#)
- reg. 87B(5)(ea) inserted by [S.I. 2019/1440 reg. 26\(3\)\(c\)](#)
- reg. 90 inserted by [S.I. 2019/107 reg. 53](#) (This amendment not applied to [legislation.gov.uk](#). S.I. 2019/107 revoked immediately before IP completion day by S.I. 2020/1369, regs. 1(2), 43(a))