
STATUTORY INSTRUMENTS

2012 No. 847

The Data-gathering Powers (Relevant Data) Regulations 2012

Dealing etc in securities

17.—(1) The relevant data for a data-holder of the type described in paragraph 19 of Schedule 23 are—

- (a) information and documents relating to securities transactions in respect of which that person is a relevant data-holder; and
- (b) in relation to a person who carries on a business of effecting public issues or placings or otherwise effects public issues or placings, information relating to the issue, allotment or placing of the public issues or placings.

(2) In this regulation—

- (a) “placing” means a placing of shares or securities in a company; and
- (b) “public issue” means a public issue of shares or securities in a company.