Changes to legislation: There are outstanding changes not yet made by the legislation.gov.uk editorial team to The Financial Services (Omnibus 1 Directive) Regulations 2012. Any changes that have already been made by the team appear in the content and are referenced with annotations. (See end of Document for details) View outstanding changes

SCHEDULE

ORDERS SPECIFYING MATTERS IN RELATION TO WHICH THE TREASURY ARE DESIGNATED

Commencement Information

Sch. in force at 16.4.2012, see reg. 1

Designation Order		Relevant matters
The European Communities (Designation) (No. 4) Order 1992(1)		Measures relating to the publication of information about developments in the sphere of activity of a company or undertaking, whose securities are admitted to trading on a market, which may affect the price of that company's or undertaking's securities.
The European Communities (No. 3) Order 1993(2)	(Designation)	Measures relating to investment firms and to the provision of investment services and to the operation of regulated markets and clearing or settlement systems.
The European Communities Order 1994(3)	(Designation)	Measures relating to the movement of capital and to payments, between Member States and between Member States and countries which are not Member States.
The European Communities (No. 4) Order 2000(4)	(Designation)	Measures relating to securities and rights in securities.
The European Communities (No. 3) Order 2001(5)	(Designation)	Credit and financial institutions and the taking of deposits or other repayable funds from the public.
The European Communities (No. 4) Order 2002(6)	(Designation)	Authorisation and regulation of insurance intermediaries.
		Collective investment in transferable securities and other liquid assets.

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⁽¹⁾ S.I. 1992/2870. (2) S.I.1993/2661. (3) S.I. 1994/757. (4) S.I. 2000/3057. (5) S.I. 2001/3495.

⁽⁶⁾ S.I. 2002/2840.

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Designation Order	Relevant matters	
The European Communities (No. 3) Order 2003(7)	(Designation)	The provision of cash, securities and interests in securities as collateral.
The European Communities (No.5) Order 2004(8)	(Designation)	Insider dealing and market manipulation.
The European Communities (No. 7) Order 2004(9)	(Designation)	The listing of securities on a stock exchange and information concerning listed securities.
		Prospectuses on offers of transferable securities to the public and admission of securities to trading on a regulated market.

⁽⁷⁾ S.I. 2003/1888. (8) S.I. 2004/2642. (9) S.I. 2004/3328.

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Changes and effects yet to be applied to:

- Regulations power to modify conferred by 2023 c. 29 s. 3 Sch. 1 Pt. 2
- Regulations revoked by 2023 c. 29 Sch. 1 Pt. 2