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STATUTORY INSTRUMENTS

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**2013 No. 1162**

**FINANCIAL SERVICES AND MARKETS**

**The Financial Conglomerates and Other Financial  
Groups (Amendment) Regulations 2013**

<i>Made</i>	- - - -	<i>20th May 2013</i>
<i>Laid before Parliament</i>		<i>20th May 2013</i>
<i>Coming into force</i>		<i>10th June 2013</i>

**THE FINANCIAL CONGLOMERATES AND OTHER  
FINANCIAL GROUPS (AMENDMENT) REGULATIONS 2013**

1. Citation and commencement
  2. Amendment to the Financial Conglomerates and Other Financial Groups Regulations 2004
  3. In regulation 1(2) (interpretation), at the end of the definition...
  4. (1) Regulation 8 (supervision of third-country financial conglomerates) is amended...
  5. (1) Regulation 9 (supervision of third-country banking groups) is amended...
  6. (1) Regulation 10 (supervision of third-country groups subject to the...
  7. Amendments to the Capital Requirements Regulations 2006
  8. In regulation 1(2) (interpretation)— (a) in paragraph (c) of the...
  9. In regulation 2(2)(c) (application for permission) after “EEA parent financial...
  10. For regulation 11(3)(a) and (b) (the appropriate regulator’s duties as...
  11. At the end of regulation 12A(3) (the appropriate regulator’s duties...
  12. Amendments to the Financial Services and Markets Act 2000
  13. Amendments to the Financial Services and Markets Act 2000 (Disclosure of Confidential Information) Regulations 2001
- Signature  
Explanatory Note

**Changes to legislation:**

There are outstanding changes not yet made by the legislation.gov.uk editorial team to The Financial Conglomerates and Other Financial Groups (Amendment) Regulations 2013. Any changes that have already been made by the team appear in the content and are referenced with annotations.

[View outstanding changes](#)

**Changes and effects yet to be applied to :**

- Regulations power to modify conferred by [2023 c. 29 s. 3 Sch. 1 Pt. 2](#)
- Regulations revoked by [2023 c. 29 Sch. 1 Pt. 2](#)