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STATUTORY INSTRUMENTS

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**2013 No. 1389**

**ELECTRICITY  
GAS**

**The Electricity and Gas (Market Integrity and  
Transparency) (Enforcement etc.) Regulations 2013**

<i>Made</i>	- - - -	<i>4th June 2013</i>
<i>Laid before Parliament</i>		<i>6th June 2013</i>
<i>Coming into force</i>		<i>29th June 2013</i>

**THE ELECTRICITY AND GAS (MARKET INTEGRITY AND  
TRANSPARENCY) (ENFORCEMENT ETC.) REGULATIONS 2013**

PART 1

General

1. Citation, commencement and extent
2. Interpretation
3. Regulated person
4. REMIT requirement
5. Application to the Crown etc
6. Service of notices

PART 2

Functions of the Authority

- 6A Authority's general functions
7. It shall be the duty of the Authority, where either...

PART 3

Information and Investigations

Obligation to record and retain records

8. Obligation to record and retain records

**Changes to legislation:** There are currently no known outstanding effects for the The Electricity and Gas (Market Integrity and Transparency) (Enforcement etc.) Regulations 2013. (See end of Document for details)

Power to require information: regulated persons etc

9. Authority's power to require information: regulated persons etc

Investigations

10. Power of Authority to investigate
11. Authority's power to require information
12. Authority's power to summon and hear

Powers to require information: supplemental provisions

13. Reports by skilled persons
14. Information and documents: supplemental provisions
15. Admissibility of statements

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16. Power to enter premises under a warrant
17. Search warrants: safeguards
18. Execution of search warrant
19. Retention of documents taken under regulation 16

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20. Offences

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- 20A General restrictions on disclosure of information
- 20B

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Injunctions

21. Injunctions

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22. Restitution orders
23. Power of Authority to require restitution
24. Warning notices

25. Decision notices

PART 5

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- 26. Power of Authority to impose penalty
- 27. Statement of policy
- 28. Statement of policy: transitory provision
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- 32. Power of court to impose penalty
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36. Decision notices

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- 37. Notices of discontinuance
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39. Publication

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- 40. Third party rights
- 41. Access to Authority material

The Authority's procedures

- 42. The Authority's procedures
- 43. Statements under regulation 42: consultation

**Changes to legislation:** There are currently no known outstanding effects for the The Electricity and Gas (Market Integrity and Transparency) (Enforcement etc.) Regulations 2013. (See end of Document for details)

## PART 7

### Hearings and appeals

44. Proceedings before Tribunal: general provisions
45. Proceedings before Tribunal: decision notices etc
46. Offences

### Legal assistance before the Tribunal

47. Assistance by way of representation: Scotland

## PART 8

### Offences

48. Offences by bodies corporate etc
49. Proceedings for offences
50. Jurisdiction and procedure in respect of offences

## PART 9

### Miscellaneous provisions

51. Protected disclosures
52. Limitation on power to require documents
53. Review  
Signature

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### SCHEDULE 1 — Application to the Crown etc

1. Crown application
2. The Crown is not liable for any penalty under any...
3. (1) Nothing in these Regulations affects Her Majesty in her...
4. Entry to Crown premises
5. (1) If the Secretary of State considers that in the...

### SCHEDULE 2 — Service of notices

1. Interpretation
2. Methods of service
3. Appropriate person to be served
4. Proper address for service
5. Service by electronic means of communication
6. Deemed service
7. Service on the Authority
8. Electronic service on the Authority

### SCHEDULE 3 — Transitory provision

1. This Schedule has effect until the Authority has issued a...
2. In exercising, or deciding whether to exercise, its power under...
3. The Authority must have regard to— (a) the seriousness of...
4. The Authority must have regard to paragraphs 4.1 to 5.5...
5. (1) For references to “licence holder” or “licensee” read “person...

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## Explanatory Note

**Changes to legislation:**

There are currently no known outstanding effects for the The Electricity and Gas (Market Integrity and Transparency) (Enforcement etc.) Regulations 2013.