
STATUTORY INSTRUMENTS

2013 No. 3113

The Waste Electrical and Electronic
Equipment Regulations 2013

PART 8

APPROVAL OF AUTHORISED TREATMENT FACILITIES AND EXPORTERS

Reporting

66.—(1) An operator of an AATF or an approved exporter [^{F1}must] provide reports to the appropriate authority—

- (a) on or before 30th April in a relevant approval period in respect of the first quarter period in that approval period;
- (b) on or before 31st July in a relevant approval period in respect of the second quarter period in that approval period;
- (c) on or before 31st October in a relevant approval period in respect of the third quarter period in that approval period; and
- (d) on or before 31st January in the year immediately following the end of a relevant approval period in respect of the fourth quarter period in that approval period.

(2) During the transitional period, the reports referred to in paragraph (1) [^{F1}must], include details of—

- (a) in the case of an AATF—
 - (i) the total amount in tonnes of WEEE received for treatment under these Regulations, and
 - (ii) the total amount in tonnes of WEEE delivered to another ATF for treatment under these Regulations,
- (iii) where sub-paragraph (a)(ii) applies
 - (aa) the name and address of the operator of the ATF referred to in that sub-paragraph; and
 - (bb) the address of the ATF where the treatment referred to in that sub-paragraph was carried out;
- (iv) the total amount in tonnes of WEEE in respect of which evidence of reuse as a whole appliance has been issued by that AATF under these Regulations,
- (v) where sub-paragraph (iv) applies and the evidence relates to WEEE that has not been received at the premises of that AATF, the name and address of the reuse establishment or undertaking in receipt of that WEEE, and
- (vi) where sub-paragraph (a)(i), (ii) or (iv) applies, details of the amounts in tonnes of WEEE [^{F1}must] be provided by reference to the following categories—

- (aa) each of the categories listed in Schedule 1 (excluding display equipment, appliances containing refrigerants, gas discharge lamps, LED light sources and photovoltaic panels),
- (bb) display equipment,
- (cc) appliances containing refrigerants,
- (dd) gas discharge lamps, and LED light sources; and
- (ee) photovoltaic panels

and in the case of each category [^{F1}must] specify the amount in tonnes of WEEE from private households, WEEE from users other than private households and from or on behalf of which scheme it was received;

- (b) in the case of an approved exporter, the total amount of WEEE in tonnes received and the total amount of WEEE in tonnes exported for reuse as a whole appliance, treatment, recovery or recycling by reference to the following categories—
 - (i) each of the categories listed in Schedule 1 (excluding display equipment, appliances containing refrigerants, gas discharge lamps, LED light sources and photovoltaic panels),
 - (ii) display equipment,
 - (iii) appliances containing refrigerants,
 - (iv) gas discharge lamps and LED light sources, and;
 - (v) photovoltaic panels

and in the case of each category [^{F1}must] specify the amount in tonnes of WEEE from private households, WEEE from users other than private households and from or on behalf of which scheme it was received; and

- (c) in relation to each scheme to whom an evidence note has been issued—
 - (i) the name of the scheme; and
 - (ii) the total tonnage of WEEE stated in all evidence notes issued to that scheme.

(3) In addition to the requirements in paragraph (2), the reports referred to in paragraph (1) [^{F1}must] include details of the total amount in tonnes of non-obligated WEEE received by the AATF or approved exporter and [^{F1}must] also specify the total amount in tonnes of non-obligated WEEE received by the AATF that was retained by the operator of a designated collection facility under regulation 53, by reference to the following categories—

- (a) each of the categories listed in Schedule 1 (excluding display equipment, appliances containing refrigerants, gas discharge lamps, LED light sources and photovoltaic panels);
- (b) display equipment;
- (c) appliances containing refrigerants;
- (d) gas discharge lamps and LED light sources; and
- (e) photovoltaic panels.

(4) In addition to the requirements in paragraph (2), the report referred to in paragraph (1)(d) [^{F1}must] include all of the information provided in the quarterly reports that relate to the relevant approval period.

(5) From 1st January 2014 until 31st December 2015 an operator of an AATF or an approved exporter [^{F1}must] allow the appropriate authority to assess whether the conditions in Schedule 11 part 2 paragraph 22 have been met during the relevant approval period.

(6) From 1st January 2016 until 31st December 2018 an operator of an AATF or an approved exporter [^{F1}must] allow the appropriate authority to assess whether the conditions in Schedule 11 part 2 paragraph 23 have been met during the relevant approval period.

(7) From 1st January 2019, an operator of an AATF or an approved exporter [^{F1}must] allow the appropriate authority to assess whether the conditions in Schedule 11 part 2 paragraph [^{F2}24] have been met during the relevant approval period.

(8) From 1st January 2019, the reports referred to in paragraph (1) [^{F1}must], include details of—

(a) in the case of an AATF—

(i) the total amount in tonnes of WEEE received for treatment under these Regulations, and

(ii) the total amount in tonnes of WEEE delivered to another ATF for treatment under these Regulations,

(iii) where sub-paragraph (a)(ii) applies

(aa) the name and address of the operator of the ATF referred to in that sub-paragraph; and

(bb) the address of the ATF where the treatment referred to in that sub-paragraph was carried out;

(iv) the total amount in tonnes of WEEE in respect of which evidence of reuse as a whole appliance has been issued by that AATF under these Regulations, [^{F3}and]

(v) where sub-paragraph (iv) applies and the evidence relates to WEEE that has not been received at the premises of that AATF, the name and address of the reuse establishment or undertaking in receipt of that WEEE; ^{F4} ...

^{F5}(vi)

(b) in the case of an approved exporter, the total amount of WEEE in tonnes received and the total amount of WEEE in tonnes exported for reuse as a whole appliance, treatment, recovery or recycling ^{F6}...; and

(c) in relation to each scheme to whom an evidence note has been issued—

(i) the name of the scheme, and

(ii) the total tonnage of WEEE stated in all evidence notes issued to that scheme.

[^{F7}(8A) Where paragraph (8)(a)(i), (ii) or (iv) or (8)(b) applies, details of the amounts in tonnes of WEEE must be provided by reference to each of the following categories—

(i) the categories listed in Schedule 3 (excluding display equipment, appliances containing refrigerants, gas discharge lamps, LED light sources and photovoltaic panels),

(ii) display equipment,

(iii) appliances containing refrigerants,

(iv) gas discharge lamps and LED light sources, and

(v) photovoltaic panels

and in the case of each category must specify the amount in tonnes of WEEE from private households, WEEE from users other than private households and from or on behalf of which scheme it was received.]

(9) In addition to the requirements in paragraph (8) the reports referred to in paragraph (1) [^{F1}must] include details of the total amount in tonnes of non-obligated WEEE received by the AATF [^{F8}or approved exporter and must also specify the total amount in tonnes of non-obligated WEEE received

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by the AATF that was retained by the operator of a designated collection facility under regulation 53, by reference to each of the following categories—

- (i) the categories listed in Schedule 3 (excluding display equipment, appliances containing refrigerants, gas discharge lamps, LED light sources and photovoltaic panels),
- (ii) display equipment,
- (iii) appliances containing refrigerants,
- (iv) gas discharge lamps and LED light sources, and
- (v) photovoltaic panels]

(10) In addition to the requirements in paragraph (8), the report referred to in paragraph (1)(d) [F1] must] include all of the information provided in the quarterly reports that relate to the relevant approval period.

[F9] (11) The reports referred to in paragraph (1) must be either submitted in the format published by the appropriate authority under regulation 81(2) or online.]

Textual Amendments

- F1** Word in reg. 66 substituted (25.7.2014) by The Waste Electrical and Electronic Equipment and Restriction of the Use of Certain Hazardous Substances in Electrical and Electronic Equipment (Amendment) Regulations 2014 (S.I. 2014/1771), regs. 1(b), 3(2), **Sch. 1 para. 27**
- F2** Word in reg. 66(7) substituted (25.12.2015) by The Waste Electrical and Electronic Equipment (Amendment) Regulations 2015 (S.I. 2015/1968), regs. 1, **2(33)(c)**
- F3** Word in reg. 66(8)(a)(iv) inserted (1.1.2019) by The Waste Electrical and Electronic Equipment (Amendment) (No. 2) Regulations 2018 (S.I. 2018/1214), regs. 1, **22(1)(a)**
- F4** Word in reg. 66(8)(a)(v) omitted (1.1.2019) by virtue of The Waste Electrical and Electronic Equipment (Amendment) (No. 2) Regulations 2018 (S.I. 2018/1214), regs. 1, **22(1)(b)**
- F5** Reg. 66(8)(a)(vi) omitted (1.1.2019) by virtue of The Waste Electrical and Electronic Equipment (Amendment) (No. 2) Regulations 2018 (S.I. 2018/1214), regs. 1, **22(1)(c)**
- F6** Words in reg. 66(8)(b) omitted (1.1.2019) by virtue of The Waste Electrical and Electronic Equipment (Amendment) (No. 2) Regulations 2018 (S.I. 2018/1214), regs. 1, **22(1)(d)**
- F7** Reg. 66(8A) inserted (1.1.2019) by The Waste Electrical and Electronic Equipment (Amendment) (No. 2) Regulations 2018 (S.I. 2018/1214), regs. 1, **22(2)**
- F8** Words in reg. 66(9) substituted (1.1.2019) by The Waste Electrical and Electronic Equipment (Amendment) (No. 2) Regulations 2018 (S.I. 2018/1214), regs. 1, **22(3)**
- F9** Reg. 66(11) inserted (1.1.2019) by The Waste Electrical and Electronic Equipment (Amendment) (No. 2) Regulations 2018 (S.I. 2018/1214), regs. 1, **22(4)**

Commencement Information

- I1** Reg. 66(1)-(5)(9)(10) in force at 1.1.2014, see **reg. 1(2)**
- I2** Reg. 66(6) in force at 1.1.2016, see **reg. 1(3)**
- I3** Reg. 66(7)(8) in force at 1.1.2019, see **reg. 1(4)**

Changes to legislation:

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Changes and effects yet to be applied to the whole Instrument associated Parts and Chapters:

Whole provisions yet to be inserted into this Instrument (including any effects on those provisions):

- Sch. 8 Pt. 3 para. 31 word substituted by [S.I. 2015/1968 reg. 2\(43\)\(b\)\(i\)](#)
- Sch. 8 Pt. 3 para. 31 word substituted by [S.I. 2015/1968 reg. 2\(43\)\(b\)\(ii\)](#)