

SCHEDULES

SCHEDULE 11 **U.K.**

Approval of authorised treatment facilities and exporters

PART 2 **U.K.**

Conditions of approval of authorised treatment facilities

[^{F19}9. An evidence note for treatment must only be issued with respect to WEEE that—

- (a) has been collected by a scheme or on behalf of a scheme;
- (b) has arisen as waste in the United Kingdom; and
- (c) has been received for treatment at an AATF.]

Textual Amendments

F1 Sch. 11 para. 9 substituted (25.12.2015) by [The Waste Electrical and Electronic Equipment \(Amendment\) Regulations 2015 \(S.I. 2015/1968\)](#), regs. 1, **2(45)(b)**

Commencement Information

I1 Sch. 11 para. 9 in force at 1.1.2014, see [reg. 1\(2\)](#)

10. An evidence note for reuse as a whole appliance [^{F2}must] only be issued with respect to WEEE from private households that—

- (a) has been deposited at a designated collection facility; or
- (b) has been returned under regulation 43 or 52 and has not been deposited at a designated collection facility.

Textual Amendments

F2 Word in Sch. 11 Pt. 2 substituted (25.7.2014) by [The Waste Electrical and Electronic Equipment and Restriction of the Use of Certain Hazardous Substances in Electrical and Electronic Equipment \(Amendment\) Regulations 2014 \(S.I. 2014/1771\)](#), regs. 1(b), 3(2), **Sch. 1 para. 31**

Commencement Information

I2 Sch. 11 para. 10 in force at 1.1.2014, see [reg. 1\(2\)](#)

11. The amount of WEEE recorded on an evidence note [^{F2}must] be recorded in tonnes but any fraction of a whole tonne [^{F2}must] be recorded in kilograms and such a fraction [^{F2}must] be—

- (a) rounded up to the nearest whole kilogram where the part kilogram is 0.5 or more; and
- (b) rounded down to the nearest whole kilogram where the part kilogram is less than 0.5.

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Textual Amendments

F2 Word in Sch. 11 Pt. 2 substituted (25.7.2014) by The Waste Electrical and Electronic Equipment and Restriction of the Use of Certain Hazardous Substances in Electrical and Electronic Equipment (Amendment) Regulations 2014 (S.I. 2014/1771), regs. 1(b), 3(2), **Sch. 1 para. 31**

Commencement Information

I3 Sch. 11 para. 11 in force at 1.1.2014, see **reg. 1(2)**

12. Where—

- (a) the result of rounding up under paragraph 11(a) is 1000 kilograms, or
- (b) the result of rounding down under paragraph 11(b) is 0 kilograms, the total amount [F2] must be recorded in tonnes.

Textual Amendments

F2 Word in Sch. 11 Pt. 2 substituted (25.7.2014) by The Waste Electrical and Electronic Equipment and Restriction of the Use of Certain Hazardous Substances in Electrical and Electronic Equipment (Amendment) Regulations 2014 (S.I. 2014/1771), regs. 1(b), 3(2), **Sch. 1 para. 31**

Commencement Information

I4 Sch. 11 para. 12 in force at 1.1.2014, see **reg. 1(2)**

13. Duplicate copies of any evidence note issued by the operator of the AATF [F2] must be retained by that operator of the AATF and made available for inspection by the appropriate authority at all reasonable times.

Textual Amendments

F2 Word in Sch. 11 Pt. 2 substituted (25.7.2014) by The Waste Electrical and Electronic Equipment and Restriction of the Use of Certain Hazardous Substances in Electrical and Electronic Equipment (Amendment) Regulations 2014 (S.I. 2014/1771), regs. 1(b), 3(2), **Sch. 1 para. 31**

Commencement Information

I5 Sch. 11 para. 13 in force at 1.1.2014, see **reg. 1(2)**

14. An evidence note [F2] must not be issued by an operator of an AATF for more than the total amount of WEEE received in the relevant approval period.

Textual Amendments

F2 Word in Sch. 11 Pt. 2 substituted (25.7.2014) by The Waste Electrical and Electronic Equipment and Restriction of the Use of Certain Hazardous Substances in Electrical and Electronic Equipment (Amendment) Regulations 2014 (S.I. 2014/1771), regs. 1(b), 3(2), **Sch. 1 para. 31**

Commencement Information

I6 Sch. 11 para. 14 in force at 1.1.2014, see **reg. 1(2)**

15. An evidence note [F2] must not be issued by an operator of an AATF in respect of any WEEE that has previously been treated by another AATF.

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Textual Amendments

F2 Word in Sch. 11 Pt. 2 substituted (25.7.2014) by The Waste Electrical and Electronic Equipment and Restriction of the Use of Certain Hazardous Substances in Electrical and Electronic Equipment (Amendment) Regulations 2014 (S.I. 2014/1771), regs. 1(b), 3(2), **Sch. 1 para. 31**

Commencement Information

I7 Sch. 11 para. 15 in force at 1.1.2014, see **reg. 1(2)**

16. An evidence note for reuse as a whole appliance [^{F2}must] not be issued by an operator of an AATF—

- (a) for more than the total amount of WEEE received for reuse as a whole appliance by, or on behalf of, that AATF in the relevant approval period; or
- (b) for any WEEE in respect of which evidence of reuse has been issued by another AATF or an approved exporter.

Textual Amendments

F2 Word in Sch. 11 Pt. 2 substituted (25.7.2014) by The Waste Electrical and Electronic Equipment and Restriction of the Use of Certain Hazardous Substances in Electrical and Electronic Equipment (Amendment) Regulations 2014 (S.I. 2014/1771), regs. 1(b), 3(2), **Sch. 1 para. 31**

Commencement Information

I8 Sch. 11 para. 16 in force at 1.1.2014, see **reg. 1(2)**

17. An evidence note [^{F2}must] only be issued by an AATF in a format approved by the Secretary of State.

Textual Amendments

F2 Word in Sch. 11 Pt. 2 substituted (25.7.2014) by The Waste Electrical and Electronic Equipment and Restriction of the Use of Certain Hazardous Substances in Electrical and Electronic Equipment (Amendment) Regulations 2014 (S.I. 2014/1771), regs. 1(b), 3(2), **Sch. 1 para. 31**

Commencement Information

I9 Sch. 11 para. 17 in force at 1.1.2014, see **reg. 1(2)**

18. An AATF [^{F2}must] not issue an evidence note in relation to non-obligated WEEE.

Textual Amendments

F2 Word in Sch. 11 Pt. 2 substituted (25.7.2014) by The Waste Electrical and Electronic Equipment and Restriction of the Use of Certain Hazardous Substances in Electrical and Electronic Equipment (Amendment) Regulations 2014 (S.I. 2014/1771), regs. 1(b), 3(2), **Sch. 1 para. 31**

Commencement Information

I10 Sch. 11 para. 18 in force at 1.1.2014, see **reg. 1(2)**

19. An evidence note [^{F2}must] only be issued by an operator of an AATF to—

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- (a) an operator of a scheme; or
- (b) a producer or authorised representative to whom regulation 14(10) applies.

Textual Amendments

F2 Word in Sch. 11 Pt. 2 substituted (25.7.2014) by [The Waste Electrical and Electronic Equipment and Restriction of the Use of Certain Hazardous Substances in Electrical and Electronic Equipment \(Amendment\) Regulations 2014 \(S.I. 2014/1771\)](#), regs. 1(b), 3(2), **Sch. 1 para. 31**

Commencement Information

I11 Sch. 11 para. 19 in force at 1.1.2014, see [reg. 1\(2\)](#)

20. An evidence note which relates to—

- (a) WEEE received for treatment, recovery or recycling in any relevant approval period; or
- (b) WEEE that is reused as a whole appliance and has been deposited at a designated collection facility or returned under regulation 43 or 52 in any relevant approval period,

[^{F2}must] not be issued by an operator of an AATF after 31st January in the year immediately following the end of that relevant approval period.

Textual Amendments

F2 Word in Sch. 11 Pt. 2 substituted (25.7.2014) by [The Waste Electrical and Electronic Equipment and Restriction of the Use of Certain Hazardous Substances in Electrical and Electronic Equipment \(Amendment\) Regulations 2014 \(S.I. 2014/1771\)](#), regs. 1(b), 3(2), **Sch. 1 para. 31**

Commencement Information

I12 Sch. 11 para. 20 in force at 1.1.2014, see [reg. 1\(2\)](#)

21. Where WEEE is treated at an AATF and is subsequently exported, it must be exported by an approved exporter.

Commencement Information

I13 Sch. 11 para. 21 in force at 1.1.2014, see [reg. 1\(2\)](#)

22. From 1st January 2014 until 31st December 2015 WEEE treated at the relevant AATF [^{F2}must] meet the following targets—

- (a) for WEEE that falls within categories 1 and 10 of Schedule 1—
 - (i) at least 80% recovery by the average weight in tonnes of the equipment;
 - (ii) at least 75% reuse and recycling of components, materials and substances by the average weight in tonnes of the equipment;
- (b) for WEEE that falls within categories 3 and 4 of Schedule 1—
 - (i) at least 75% recovery by the average weight in tonnes of the equipment;
 - (ii) at least 65% reuse and recycling of components, materials and substances by the average weight in tonnes of the equipment;
- (c) for WEEE that falls within categories 2, 5, 6, 7, 8 and 9 of Schedule 1—
 - (i) at least 70% recovery by the average weight in tonnes of the equipment;

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- (ii) excluding gas discharge lamps and LED light sources, at least 50% reuse and recycling of components, materials and substances by the average weight in tonnes of the equipment;
- (d) for gas discharge lamps and LED light sources, at least 80% reuse and recycling of components, materials and substances by the average weight in tonnes of the lamps.

Textual Amendments

F2 Word in [Sch. 11 Pt. 2](#) substituted (25.7.2014) by [The Waste Electrical and Electronic Equipment and Restriction of the Use of Certain Hazardous Substances in Electrical and Electronic Equipment \(Amendment\) Regulations 2014 \(S.I. 2014/1771\)](#), regs. 1(b), 3(2), [Sch. 1 para. 31](#)

Commencement Information

I14 [Sch. 11 para. 22](#) in force at 1.1.2014, see [reg. 1\(2\)](#)

23. From 1st January 2016 until 31st December 2018 WEEE treated at the relevant AATF [^{F2}must] meet the following targets—

- (a) for WEEE that falls within categories 1 and 10 of Schedule 1—
 - (i) at least 85% recovery by the average weight in tonnes of the equipment,
 - (ii) at least 80% reuse and recycling of components, materials and substances by the average weight in tonnes of the equipment;
- (b) for WEEE that falls within categories 3 and 4 of Schedule 1—
 - (i) at least 80% recovery by the average weight in tonnes of the equipment,
 - (ii) at least 70% reuse and recycling of components, materials and substances by the average weight in tonnes of the equipment;
- (c) for WEEE that falls within categories 2, 5, 6, 7, 8 and 9 of Schedule 1—
 - (i) at least 75% recovery by the average weight in tonnes of the equipment,
 - (ii) excluding gas discharge lamps and LED light sources, at least 55% reuse and recycling of components, materials and substances by the average weight in tonnes of the equipment;
- (d) for gas discharge lamps and LED light sources, at least 80% reuse and recycling of components, materials and substances by the average weight in tonnes of the lamps.

Textual Amendments

F2 Word in [Sch. 11 Pt. 2](#) substituted (25.7.2014) by [The Waste Electrical and Electronic Equipment and Restriction of the Use of Certain Hazardous Substances in Electrical and Electronic Equipment \(Amendment\) Regulations 2014 \(S.I. 2014/1771\)](#), regs. 1(b), 3(2), [Sch. 1 para. 31](#)

Commencement Information

I15 [Sch. 11 para. 23](#) in force at 1.1.2016, see [reg. 1\(3\)](#)

[^{F3}**24.** From 1st January 2019 WEEE treated at the relevant ATTF must meet the following targets—

- (a) for WEEE that falls within categories 1 and 10 of Schedule 3—
 - (i) at least 85% recovery by the average weight in tonnes of the equipment,

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- (ii) at least 80% reuse and recycling of components, materials and substances by the average weight in tonnes of the equipment;
- (b) for WEEE that falls within categories 3 and 4 of Schedule 3—
 - (i) at least 80% recovery by the average weight in tonnes of the equipment,
 - (ii) at least 70% reuse and recycling of components, materials and substances by the average weight in tonnes of the equipment;
- (c) for WEEE that falls within categories 2, 5, 6, 7, 8 and 9 of Schedule 3—
 - (i) at least 75% recovery by the average weight in tonnes of the equipment,
 - (ii) excluding gas discharge lamps and LED light sources, at least 55% reuse and recycling of components, materials and substances by the average weight in tonnes of the equipment;
- (d) for gas discharge lamps and LED light sources, at least 80% reuse and recycling of components, materials and substances by the average weight in tonnes of the lamps.]

Textual Amendments

F3 Sch. 11 para. 24 substituted (25.7.2014) by [The Waste Electrical and Electronic Equipment \(Amendment\) \(No. 2\) Regulations 2018 \(S.I. 2018/1214\)](#), regs. 1, **32(2)**

Commencement Information

I16 Sch. 11 para. 24 in force at 1.1.2019, see [reg. 1\(4\)](#)

25. The operator of the AATF [^{F2}must] comply with the requirements of regulation 66.

Textual Amendments

F2 Word in Sch. 11 Pt. 2 substituted (25.7.2014) by [The Waste Electrical and Electronic Equipment and Restriction of the Use of Certain Hazardous Substances in Electrical and Electronic Equipment \(Amendment\) Regulations 2014 \(S.I. 2014/1771\)](#), regs. 1(b), 3(2), **Sch. 1 para. 31**

Commencement Information

I17 Sch. 11 para. 25 in force at 1.1.2014, see [reg. 1\(2\)](#)

26. The operator of the AATF has systems and procedures in place to ensure that data included in reports produced under regulation 66 are accurate.

Commencement Information

I18 Sch. 11 para. 26 in force at 1.1.2014, see [reg. 1\(2\)](#)

27. Where the operator of an ATF has submitted an application for approval under regulation 61(1) which was accompanied by the fee specified in regulation 65(1)(a) and he subsequently issues evidence notes for in excess of 400 tonnes of WEEE in the approval period to which the application relates, the operator of that ATF must pay the fee specified in regulation 65(1)(b) within 28 days of the date on which the first evidence note which exceeded 400 tonnes is issued in the relevant approval period, less the amount of the fee already paid which is specified in regulation 65(1)(a).

Document Generated: 2024-06-11

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Commencement Information

I19 Sch. 11 para. 27 in force at 1.1.2014, see [reg. 1\(2\)](#)

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Changes and effects yet to be applied to the whole Instrument associated Parts and Chapters:

Whole provisions yet to be inserted into this Instrument (including any effects on those provisions):

- Sch. 8 Pt. 3 para. 31 word substituted by [S.I. 2015/1968 reg. 2\(43\)\(b\)\(i\)](#)
- Sch. 8 Pt. 3 para. 31 word substituted by [S.I. 2015/1968 reg. 2\(43\)\(b\)\(ii\)](#)