
STATUTORY INSTRUMENTS

2013 No. 440

The Financial Services Act 2012 (Transitional Provisions) (Permission and Approval) Order 2013

PART 5

CHAPTER 1

EEA Passport rights (Schedule 3)

UK firm seeking to provide services

20.—(1) Paragraphs (2) and (3) apply if—

- (a) before the commencement date, the Authority received a notice of intention within the meaning of paragraph 20(1)(1) of Schedule 3 (services); and
- (b) the notice would have been sent to the PRA as the appropriate UK regulator (within the meaning of paragraph 18A of that Schedule) had the notice been sent on the commencement date.

(2) The notice of intention to be treated as if it had been received by the PRA.

(3) The following are to be treated as if sent, provided or given by the PRA—

- (a) a copy of a notice of intention and any specified information sent by the Authority before the commencement date to a host state regulator for the purposes of paragraph 20(3) of Schedule 3;
- (b) information about the compensation scheme provided by the Authority before the commencement date to a host state regulator for the purposes of paragraph 20(3ZA) of that Schedule;
- (c) a consent notice given by the Authority before the commencement date to a host state regulator for the purposes of paragraph 20(3A)(a) of that Schedule;
- (d) written notice given by the Authority before the commencement date to a firm for the purposes of paragraph 20(3A)(b) of that Schedule;
- (e) a copy of a notice of intention sent by the Authority before the commencement date to a host state regulator for the purposes of paragraph 20(3B)(a) of that Schedule;
- (f) written notice given by the Authority before the commencement date to a firm for the purposes of paragraph 20(3B)(b) of that Schedule;
- (g) any confirmation, description or details sent by the Authority before the commencement date to a host state regulator for the purposes of paragraph 20(3C) of that Schedule;
- (h) any written notice given by the Authority before the commencement date to a firm for the purposes of paragraph 20(4) of that Schedule.

(1) Paragraph 20 was amended by [S.Is. 2001/1376](#), [2003/2066](#), [2007/126](#) and [2011/1613](#) and [2012/1906](#) and is further amended by the 2012 Act, Schedule 4, Part 1, paragraph 11.

Status: This is the original version (as it was originally made). This item of legislation is currently only available in its original format.
