

SCHEDULE

Regulation 2(1) and (2)

Functions of the Board exercisable by the Authority

**Table 1**

Column 1	Column 2	Column 3
Provision	Function	Ancillary, Related or Supplemental Functions
<b>National Health Service Act 2006</b>		
1. Directions under section 103(1) (GDS contracts: payments) in respect of the payments to be made under GDS Contracts.	(a) The making of payments to the contractor which are required to be paid under the directions.  (b) Adjusting the amount of payments to the contractor, as required by the directions, by the annual uplift in respect of each financial year that is determined by the Secretary of State following consideration of a recommendation by the Review Body for Doctors' and Dentists' Remuneration.  (c) Providing a monthly payment schedule to the contractor in respect of the payments made to the contractor under the GDS Contract.	(a) Providing a monthly payment schedule, which under the directions must be sent to the contractor, to the Board in respect of the payments made to the contractor under the GDS Contract.  (b) After the end of each financial year, providing an annual reconciliation statement to the Board about the payments made to the contractor under the GDS Contract in respect of that financial year, and by a date which allows the Board sufficient time to prepare the contractor's Annual Reconciliation Report, required under the directions.
2. Regulations made under section 106(3)(f) (persons performing primary dental services).	The making of payments which are required to be paid to the contractor or to a performer of primary dental services who is suspended from a dental performers list.	Providing timely reports to the Board in respect of any information which the Authority discovers in the course of or as a consequence of making payments required to be paid to the contractor or to a performer of primary dental services who is suspended from a dental performers list and which the Authority considers might be evidence of a breach of contract, an unlawful activity or an irregularity or which is otherwise unusual.
3. Directions under section 109(4) (regulations about section 107 arrangements) in respect of the	(a) The making of payments to the contractor which are required to be paid under the directions.	(a) Providing a monthly payment schedule, which under the directions must be sent to the contractor,

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payments to be made under PDS Agreements.	<p>(b) Adjusting the amount of payments to the contractor, as required by the directions, by the annual uplift in respect of each financial year that is determined by the Secretary of State following consideration of a recommendation by the Review Body for Doctors' and Dentists' Remuneration.</p> <p>(c) Providing a monthly payment schedule to the contractor in respect of the payments made to the contractor under the PDS Agreement.</p>	<p>to the Board in respect of the payments made to the contractor under the PDS Agreement.</p> <p>(b) After the end of each financial year, providing an annual reconciliation statement to the Board about the payments made to the contractor under the PDS Agreement in respect of that financial year, and by a date which allows the Board sufficient time to prepare the contractor's Annual Reconciliation Report, required under the directions.</p>
4. Section 112 (assistance and support: primary dental services).	The making of payments under the section to the contractor or person proposing to provide services that the Board determined that it is to make.	<p>(a) Providing the Board with information received from a contractor or person proposing to provide services to enable the Board to make a determination in respect of the provision of assistance or support under the section.</p> <p>(b) Providing timely reports to the Board in respect of any information which the Authority discovers in the course of or as a consequence of making payments to the contractor under the section (or as a result of ancillary, related or supplemental functions) and which the Authority considers might be evidence of a breach of contract, an unlawful activity or an irregularity or which is otherwise unusual.</p> <p>(c) Providing timely reports to the Board in respect of any payments made to a person proposing to provide services.</p>

**The National Health Service (General Dental Services Contracts) Regulations 2005**

5. Regulation 21(1) and (2) (finance).	The making of payments to the contractor which are required to be paid under the GDS Contract including under the directions	Providing timely reports to the Board in respect of any information which the Authority discovers in the
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	made under section 103 of the 2006 Act.	course of or as a consequence of making payments to the contractor under the GDS Contract, including under the directions made under section 103 of the 2006 Act (or as a result of ancillary, related or supplemental functions) and which it considers might be evidence of a breach of contract, an unlawful activity or an irregularity or which is otherwise unusual.

**SCHEDULE 1 (additional services)**

6. Paragraph 8(2) and (3) (completion of orthodontic courses of treatment).	<p>Requesting and receiving from the contractor—</p> <p>(a) the reasons for failure to complete one or more orthodontic courses of treatment and determining the period within which the contractor must comply with that request; and</p> <p>(b) further information or clarification of information where appropriate.</p>	<p>(a) Processing, assessing and monitoring the information received from the contractor in respect of the forms completed pursuant to paragraph 38 of Schedule 3 to the GDS Contracts Regulations for the purposes of analysing the number of orthodontic courses of treatment which have not been completed and the reasons for incomplete treatments.</p> <p>(b) Providing reports to the Board on the information received from the contractor pursuant to paragraph 8(2) of Schedule 1 to the GDS Contracts Regulations which shall include an assessment of the information sufficient to enable the Board to determine whether the number of courses of treatment which are not complete is excessive and whether the reasons given by the contractor are satisfactory.</p> <p>(c) Providing timely reports to the Board in respect of the information received from the contractor pursuant to paragraph 8(2) of Schedule 1 to the GDS Contracts Regulations which the Authority considers might</p>
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		be evidence of a breach of contract, an unlawful activity or an irregularity or which is otherwise unusual.
<b>SCHEDULE 3 (other contractual terms)</b>		
7. Paragraph 8 (completion of courses of treatment).	Requesting and receiving from the contractor—	(a) Processing, assessing and monitoring the information received from the contractor in respect of the forms completed pursuant to paragraph 38 of Schedule 3 to the GDS Contracts Regulations for the purposes of analysing the number of courses of treatment which have not been completed and the reasons for incomplete treatments.
	(a) the reasons for failure to complete one or more courses of treatment; and	
	(b) further information or clarification of information where appropriate.	
		(b) Providing reports to the Board in respect of the information received from the contractor relating to incomplete courses of treatment which must include an assessment of the information to enable the Board to determine whether the number of courses of treatment which are not complete is excessive and whether the reasons given by the contractor are satisfactory.
		(c) Providing timely reports to the Board in respect of the information received from the contractor relating to incomplete courses of treatment which the Authority considers might be evidence of a breach of contract, an unlawful activity or an irregularity or which is otherwise unusual.
8. Paragraph 35 (provision of and access to information).	(a) Requesting and receiving from the contractor the information specified in paragraph 35(2) and requesting further information if appropriate.	(a) Processing, assessing and monitoring the information received pursuant to paragraph 35(2) of Schedule 3 to the GDS Contracts Regulations, in particular for the purposes of the prevention, investigation

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	<p>(b) Authorising persons in writing to request and receive such information.</p>	<p>and detection of fraud or other unlawful activities.</p> <p>(b) Preparing and sending to the Board the information received pursuant to paragraph 35(2) of Schedule 3 to the GDS Contracts Regulations in the Board’s required format.</p> <p>(c) Providing timely reports to the Board in respect of the information received pursuant to paragraph 35(2) of Schedule 3 to the GDS Contracts Regulations which the Authority considers might be evidence of a breach of contract, an unlawful activity or an irregularity or which is otherwise unusual.</p>
<p>9. Paragraph 37(1) and (3) (inquiries about referrals and appointment of qualified dental practitioner).</p>	<p>(a) Making inquiries (oral or in writing) to the contractor (and receiving responses) concerning—</p> <p>(i) the referral by or on behalf of the contractor of any patient for any other services provided under the 2006 Act, or</p> <p>(ii) the considerations by which the contractor makes such referrals or provides for them to be made on its behalf.</p> <p>(b) Appointing qualified dental practitioners to assist it in the exercise of its functions under this provision.</p>	<p>(a) Processing, assessing and monitoring the information received pursuant to paragraph 37(1) of Schedule 3 to the GDS Contracts Regulations, in particular for the purposes of the prevention, investigation and detection of fraud or other unlawful activities.</p> <p>(b) Providing the Board with regular reports, based on the information received pursuant to paragraph 37(1) of Schedule 3 to the GDS Contracts Regulations and other relevant information available to the Authority, concerning referrals (and which may include matters relating to prescription forms and the issue of such forms) to enable the Board to discharge its functions under the 2006 Act.</p> <p>(c) Providing the contractor with regular reports, based on the information received pursuant to paragraph 37(1)</p>

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<p>10. Paragraph 38 (notification of a course of treatment, orthodontic course of treatment etc.).</p>	<p>(a) Receiving from the contractor completed forms issued by the Board in accordance with paragraph 38.</p> <p>(b) Requesting and receiving from the contractor further information relating to incomplete or wrongly completed forms where appropriate.</p>	<p>of Schedule 3 to the GDS Contracts Regulations and other relevant information available to the Authority, concerning referrals (and which may include matters relating to prescription forms and the issue of such forms) to assist it in the discharge of its obligations under the GDS Contract.</p> <p>(d) Providing timely reports to the Board in respect of the information received pursuant to paragraph 37(1) of Schedule 3 to the GDS Contracts Regulations which the Authority considers might be evidence of a breach of contract, an unlawful activity or an irregularity or which is otherwise unusual.</p> <p>(a) Processing, assessing and monitoring the information received pursuant to paragraph 38 of Schedule 3 to the GDS Contracts Regulations, in particular for the purposes of—</p> <p>(i) monitoring the performance of the contractor, and</p> <p>(ii) the prevention, investigation and detection of fraud or other unlawful activities.</p> <p>(b) Providing monthly reports to the Board and the contractor based on the information provided pursuant to paragraph 38 of Schedule 3 to the GDS Contracts Regulations and setting out in particular—</p> <p>(i) the number of units of dental and orthodontic activity provided by the contractor during the month and the current total for the year,</p>

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11. Paragraph 39(1) (annual report).		(ii) information relating to courses of treatment and case assessments provided by the contractor,  (iii) information relating to patient charges, in particular the total amount levied and the number and type of patient charge declarations submitted, and  (iv) information regarding patients treated, in particular the number of patients and their age and sex.  (c) Providing timely reports to the Board in respect of the information received pursuant to paragraph 38 of Schedule 3 to the GDS Contracts Regulations which the Authority considers might be evidence of a breach of contract, an unlawful activity or an irregularity or which is otherwise unusual.  Providing an annual report to the Board being an amalgamation of the information provided in the monthly reports for the year referred to in entry (b) of column 3, relating to entry 10 in column 1, and which must contain sufficient detailed information to enable the Board to provide an annual report in accordance with paragraph 39(1) of Schedule 3 to the GDS Contracts Regulations.
12. Paragraph 44 (entry and inspection).	Authorising persons in writing to enter and inspect practice premises.	(a) Processing, assessing and monitoring the information and evidence obtained pursuant to paragraph 44 of Schedule 3 to the GDS Contracts Regulations.  (b) Providing a report to the Board based upon the

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<p>13. Paragraph 58(3) and regulation 24(3) (mid-year reviews).</p>		<p>information and evidence obtained pursuant to paragraph 44 of Schedule 3 to the GDS Regulations, in particular setting out any information that the Authority considers might be evidence of a breach of contract, an unlawful activity or an irregularity or which is otherwise unusual.</p> <p>(a) Providing a mid-year report to the Board by 15th October in each year in respect of GDS Contracts that commence on 1st April—</p> <p>(i) setting out the number of units of dental and orthodontic activity provided by the contractor between 1st April and 30th September, and</p> <p>(ii) the percentage of the total number of units of dental or orthodontic activity required to be provided during the financial year that the number in paragraph (i) represents.</p> <p>(b) Providing a mid-year report to the Board by a date notified by the Board in respect of GDS Contracts that do not commence on 1st April—</p> <p>(i) setting out the number of units of dental and orthodontic activity provided by the contractor in the period specified by the Board in its notification, and</p> <p>(ii) the percentage of the total number of units of dental or orthodontic activity required to be provided during the financial year that the number in paragraph (i) represents.</p> <p>Providing the Board with such information as it may require and which the Authority</p>
<p>14. Paragraph 59 (action following a mid-year review).</p>		



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		holds as a consequence of its exercise of functions specified in this Schedule, when requested, to enable the Board to make a determination for the purposes of paragraph 59(2) of Schedule 3 to the GDS Contracts Regulations.

**The National Health Service (Personal Dental Services Agreements) Regulations 2005**

15. Regulation 17(1) and (2) (finance).	The making of payments to the contractor which are required to be paid under the PDS Agreement including those made under directions made under section 109.	Providing timely reports to the Board in respect of any information which the Authority discovers in the course of or as a consequence of making payments to the contractor under the PDS Agreement, including under the directions made under section 109 (or as a result of ancillary, related or supplemental functions), and which it considers might be evidence of a breach of contract, an unlawful activity or an irregularity or which is otherwise unusual.
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**SCHEDULE 1 (additional services)**

16. Paragraph 8(2) and (3) (completion of orthodontic courses of treatment).	Requesting and receiving from the contractor—  (a) the reasons for failure to complete one or more orthodontic courses of treatment and determining the period within which the contractor must comply with that request; and  (b) further information or clarification of information where appropriate.	(a) Processing, assessing and monitoring the information received from the contractor in respect of the forms completed pursuant to paragraph 39 of Schedule 3 to the PDS Agreements Regulations for the purpose of analysing the number of orthodontic courses of treatment which have not been completed and the reasons for incomplete treatments.  (b) Providing reports to the Board on the information received from the contractor pursuant to paragraph 8(2) of Schedule 1 to the PDS Agreements Regulations which shall include an assessment of the information sufficient to enable the Board to determine whether the number of courses
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		<p>of treatment which are not complete is excessive and whether the reasons given by the contractor are satisfactory.</p> <p>(c) Providing timely reports to the Board in respect of the information received from the contractor pursuant to paragraph 8(2) of Schedule 1 to the PDS Agreements Regulations which the Authority considers might be evidence of a breach of contract, an unlawful activity or an irregularity or which is otherwise unusual.</p>
<p><b>SCHEDULE 3 (other contractual terms)</b></p>		
<p>17. Paragraph 9 (completion of courses of treatment).</p>	<p>Requesting and receiving from the contractor—</p> <p>(a) the reasons for failure to complete one or more courses of treatment; and</p> <p>(b) further information or clarification of information where appropriate.</p>	<p>(a) Processing, assessing and monitoring the information received from the contractor in respect of the forms completed pursuant to paragraph 39 of Schedule 3 to the PDS Agreements Regulations for the purpose of analysing the number of courses of treatment which have not been completed and the reasons for incomplete treatments.</p> <p>(b) Providing reports to the Board in respect of the information received from the contractor relating to incomplete courses of treatment which shall include an assessment of the information sufficient to enable the Board to determine whether the number of courses of treatment which are not complete is excessive and whether the reasons given by the contractor are satisfactory.</p> <p>(c) Providing timely reports to the Board in respect of the information received from the contractor relating to incomplete courses of</p>

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18. Paragraph 36 (provision of and access to information).	<p>(a) Requesting and receiving from the contractor the information specified in paragraph 36(2) and requesting further information if appropriate.</p> <p>(b) Authorising persons in writing to request and receive such information.</p>	<p>treatment which the Authority considers might be evidence of a breach of contract, an unlawful activity or an irregularity or which is otherwise unusual.</p> <p>(a) Processing, assessing and monitoring the information received pursuant to paragraph 36(2) of Schedule 3 to the PDS Agreements Regulations, in particular for the purposes of the prevention, investigation and detection of fraud or other unlawful activities.</p> <p>(b) Preparing and sending to the Board the information received pursuant to paragraph 36(2) of Schedule 3 to the PDS Agreements Regulations, in the Board’s required format.</p> <p>(c) Providing timely reports to the Board in respect of the information received pursuant to paragraph 36(2) of Schedule 3 to the PDS Agreements Regulations which the Authority considers might be evidence of a breach of contract, an unlawful activity or an irregularity or which is otherwise unusual.</p>
19. Paragraph 38(1) and (3) (inquiries about referrals and appointment of qualified dental practitioner).	<p>(a) Making inquiries (oral or in writing) to the contractor (and receiving responses) concerning—</p> <p>(i) the referral by or on behalf of the contractor of any patient for any other services provided under the 2006 Act, or</p> <p>(ii) the considerations by which the contractor makes such referrals or provides for them to be made on its behalf.</p> <p>(b) Appointing qualified dental practitioners to assist it in the</p>	<p>(a) Processing, assessing and monitoring the information received pursuant to paragraph 38(1) of Schedule 3 to the PDS Agreements Regulations, in particular for the purposes of the prevention, investigation and detection of fraud or other unlawful activities.</p> <p>(b) Providing the Board with regular reports, based on the information received pursuant to paragraph 38(1) of Schedule 3 to the PDS Agreements Regulations and other relevant information</p>

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	<p>exercise of its functions under this provision.</p>	<p>available to the Authority, concerning referrals (and which may include matters relating to prescription forms and the issue of such forms) to enable the Board to discharge its functions under the 2006 Act.</p> <p>(c) Providing the contractor with regular reports, based on the information received pursuant to paragraph 38(1) of Schedule 3 to the PDS Agreements Regulations and other relevant information available to the Authority, concerning referrals (and which may include matters relating to prescription forms) to assist it in the discharge of its obligations under the PDS Agreement.</p> <p>(d) Providing timely reports to the Board in respect of the information received pursuant to paragraph 38(1) of Schedule 3 to the PDS Agreements Regulations which the Authority considers might be evidence of a breach of contract, an unlawful activity or an irregularity or which is otherwise unusual.</p>
<p>20. Paragraph 39 (notification of a course of treatment, orthodontic course of treatment etc.).</p>	<p>(a) Receiving from the contractor completed forms issued by the Board in accordance with paragraph 39.</p> <p>(b) Requesting and receiving from the contractor further information relating to incomplete or wrongly completed forms where appropriate.</p>	<p>(a) Processing, assessing and monitoring the information received pursuant to paragraph 39 of Schedule 3 to the PDS Agreements Regulations, in particular for the purposes of—</p> <p>(i) monitoring the performance of the contractor, and</p> <p>(ii) the prevention, investigation and detection of fraud or other unlawful activities.</p>

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		<p>(b) Providing monthly reports to the Board and the contractor based on the information provided pursuant to paragraph 39 of Schedule 3 to the PDS Agreements Regulations and setting out in particular—</p> <p>(i) the number of units of dental and orthodontic activity provided by the contractor during the month and the current total for the year,</p> <p>(ii) information relating to courses of treatment and case assessments provided by the contractor,</p> <p>(iii) information relating to patient charges, in particular the total amount levied and the number and type of patient charge declarations submitted, and</p> <p>(iv) information regarding patients treated, in particular the number of patients and their age and sex.</p> <p>(c) Providing timely reports to the Board in respect of the information received pursuant to paragraph 39 of Schedule 3 to the PDS Agreements Regulations which the Authority considers might be evidence of a breach of contract, an unlawful activity or an irregularity or which is otherwise unusual.</p>
21. Paragraph 40(1) (annual report).		Providing an annual report to the Board being an amalgamation of the information provided in the monthly reports for the year referred to in entry (b) of column 2, relating to entry 20 of column 1, and which must contain sufficient detailed

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22. Paragraph 44 (entry and inspection).	Authorising persons in writing to enter and inspect practice premises.	<p>information to enable the Board to provide an annual report in accordance with paragraph 40(1) of Schedule 3 to the PDS Agreements Regulations.</p> <p>(a) Processing, assessing and monitoring the information and evidence obtained pursuant to paragraph 44 of Schedule 3 to the PDS Agreements Regulations.</p> <p>(b) Providing a report to the Board based upon the information and evidence obtained pursuant to paragraph 44 of Schedule 3 to the PDS Agreements Regulations, in particular setting out any information that the Authority considers might be evidence of a breach of contract, an unlawful activity or an irregularity or which is otherwise unusual.</p>
23. Paragraph 58(3) and regulation 20(3) (mid-year reviews).		<p>(a) Providing a mid-year report to the Board by 15th October in each year in respect of PDS Agreements that commence on 1st April—</p> <p>(i) setting out the number of units of dental and orthodontic activity provided by the contractor between 1st April and 30th September, and</p> <p>(ii) the percentage of the total number of units of dental or orthodontic activity required to be provided during the financial year that the number in paragraph (i) represents.</p> <p>(b) Providing a mid-year report to the Board by a date notified by the Board in respect of PDS Agreements that do not commence on 1st April—</p>

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		(i) setting out the number of units of dental and orthodontic activity provided by the contractor in the period specified by the Board in its notification, and
		(ii) the percentage of the total number of units of dental or orthodontic activity required to be provided during the financial year that the number in paragraph (i) represents.
24. Paragraph 59 (action following mid-year review).		Providing the Board with such information as it may require and which the Authority holds as a consequence of the exercise of functions specified in this Schedule, when requested, to enable the Board to make a determination for the purposes of paragraph 59(2) of Schedule 3 to the PDS Agreements Regulations.
<b>The National Health Service (Dental Charges) Regulations 2005(1)</b>		
25. Regulation 7 (conditions for exemption under the Act).	(a) Requiring the supply of, and receiving, specified and non-specified evidence.  (b) Inspection, consideration of non-specified evidence in respect of a person falling within a specified category and determining whether such evidence is acceptable.	(a) Processing, assessing and monitoring the information received pursuant to section 177 of the 2006 Act.  (b) Providing timely reports to the Board in respect of the information received pursuant to section 177 of the 2006 Act which the Authority considers might be evidence of a breach of contract, an unlawful activity or an irregularity or which is otherwise unusual.
<b>National Health Service Pension Scheme Regulations 1995(2)</b>		
26. Regulations D1 and D2 (contributions by members and by employing authorities), as applied by virtue of regulation R1 (practitioners and trainee practitioners) with	(a) The making of deductions of members' contributions from payments made under a GDS Contract or PDS Agreement.	(a) The making of a determination of whether or not a notice pursuant to paragraph 23 (accounts and actuarial reports) of Schedule 2 is invalid.

(1) S.I. 2005/3477; as amended by S.I. 2006/1837, 2007/544, 2008/547, 2009/407, 2011/519 and 2012/502.  
(2) S.I. 1995/300. Relevant amendments are made by S.I. 1998/666 and 2216, 2000/605, 2002/561 and 2469, 2003/631 and 2322, 2004/665, 2005/661 and 3074, 2006/600 and 2919, 2007/3280, 2008/654 and 2263, 2009/3812446, 2010/492 and 1634, 2011/2586 and 2012/610.

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the modifications set out in Schedule 2 (medical and dental practitioners).	<p>(b) The paying of those members' contributions that the employing authority has deducted to the Secretary of State.</p> <p>(c) The receiving of members' contributions of foundation trainees from the holder of a GDS Contract or PDS Agreement, and the paying of those member's contributions to the Secretary of State.</p> <p>(d) The making of the contributions (including additional contributions) to the scheme that the employing authority must make, which relate to those members' contributions of practitioners and foundation trainees, and the paying of those employing authority contributions to the Secretary of State.</p> <p>(e) The functions of the employing authority of—</p> <p>(i) giving and receiving notices referred to in paragraph 23(4) to (7) (accounts and actuarial reports) of Schedule 2 (medical and dental practitioners), including agreeing such other period for the reception of such notices as permitted, accepting replacement notices where a material particular has changed and estimating and recording pensionable earnings in respect of invalid reconciliation notices,</p> <p>(ii) the provision to the Secretary of State of the statements referred to in paragraph 23(12) and (13) of Schedule 2 that the employing authority is required to provide, and</p>	<p>(b) Providing timely reports to the Secretary of State or, where appropriate, the employing authority, in respect of any information which it discovers in the course of or as a consequence of performing functions under the Regulations, including regulation U3(5) (or as a result of ancillary, related or supplemental functions), and which it considers might be evidence of a breach of contract, an unlawful activity or an irregularity or which is otherwise unusual.</p> <p>(c) The determination of such other period as may be permitted in accordance with paragraph 23 for the issue and return of the notices referred to in sub-paragraphs (5) to (7) of that paragraph.</p>



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	(iii) the recovery of the amount of unpaid contributions where an employing authority has ceased to exist in accordance with sub-paragraph (17A) of Schedule 2.	
27. Regulation U3(5) (accounts and actuarial reports).	The keeping of records in respect of contributions deducted and made, and the provision of a statement to the Secretary of State in respect of those matters.	
<b>National Health Service Pension Scheme Regulations 2008(3)</b>		
28 Chapter 3.C (contributions)	<p>(a) The making of deductions of members' contributions of practitioners from payments made under a GDS Contract or PDS Agreement.</p> <p>(b) The paying of those members' contributions that the employing authority has deducted to the Secretary of State.</p> <p>(c) The receiving of members' contributions of foundation trainees from the holder of a GDS Contract or PDS Agreement, and the paying of those members' contributions to the Secretary of State.</p> <p>(d) The making of the contributions (including additional contributions) to the scheme that the employing authority must make, which relate to those members' contributions of practitioners and foundation trainees, and the paying of those employing authority contributions to the Secretary of State.</p> <p>(e) The recovery of the amount of unpaid contributions where an employing authority has</p>	<p>Providing timely reports to the Secretary of State or, where appropriate, the employing authority, in respect of any information which it discovers in the course of or as a consequence of performing functions under the Regulations, including regulation 3.J.14(14) (employing authority and certain member record keeping and contribution estimates) (or as a result of ancillary, related or supplemental functions), and which it considers might be evidence of a breach of contract, an unlawful activity or an irregularity or which is otherwise unusual.</p>

(3) [S.I. 2008/653](#); as amended by [S.I. 2008/2263](#), [2009/381](#), [1298](#) and [2446](#), [2010/234](#), [478](#), [492](#) and [1634](#), [2011/591](#) and [2586](#), [2012/610](#), and [1909](#).

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	ceased to exist in accordance with paragraph (19A) of regulation 3.C.5 (payment of contributions)(4).	
29. Regulation 3.J.14 (employing authority and certain member record keeping and contribution estimates).	(a) Giving and receiving notices referred to in regulation 3.J.14 including agreeing such other period for the reception of such notices as permitted, accepting replacement notices where a material particular has changed and estimating and recording pensionable earnings in respect of invalid reconciliation notices.  (b) The provision to the Secretary of State of the statements referred to in paragraphs (11) and (12) of regulation 3.J.14 the employing authority is required to provide.  (c) The keeping of records in respect of contributions deducted and made, and the provision of a statement to the Secretary of State in respect of those matters.	(a) The making of a determination of whether or not a notice under regulation 3.J.14 is invalid.  (b) The determination of such other period as may be permitted in accordance with regulation 3.J.14 for the issue and return of the notices referred to in paragraphs (4) to (6) of that regulation.

**The National Health Service Pension Scheme (Additional Voluntary Contributions) Regulations 2000(5)**

30. Regulation 4 (payment and amount of additional voluntary contributions)	(a) The making of deductions from payments made under a GDS Contract or PDS Agreement in respect of money purchase additional voluntary contributions payable to a provider of a free-standing additional voluntary contributions scheme and owed by a practitioner member of the NHS pension scheme.  (b) The remission of those contributions to the Secretary	Providing timely reports to, as appropriate, the Secretary of State, the provider of the free-standing additional voluntary contributions scheme or the employing authority, in respect of any information which it discovers in the course of or as a consequence of performing functions under the Regulations, and which it considers might be evidence of a breach of contract, an unlawful activity or an
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(4) Paragraph 19A was inserted by [S.I.2013/413](#).

(5) [S.I. 2000/619](#); as amended by [S.I. 2001/1428](#) and [3649](#), [2002/610](#), [2005/3074](#), [2006/600](#), [2007/3280](#), [2008/655](#), [2009/2446](#), [2010/492](#), and [2011/ 2586](#).

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Column 1	Column 2	Column 3
	of State (in practice, they are sent directly to the provider of the free-standing additional voluntary contributions scheme).	irregularity or which is otherwise unusual.