

## SCHEDULE

Regulation 4(19)

## Section 191 of the Companies Act 1989: index of defined expressions

**Commencement Information**

**II** Sch. in force at 1.4.2013 in accordance with reg. 1(2)

| <b><i>Defined Expression</i></b>                                   | <b><i>Section</i></b>         |
|--|-------------------------------|
| administration   | Sections 190(6A) and (6B)     |
| administrator  | Sections 190(6A) and (6B)     |
| administrative receiver  | Section 190(1)                |
| charge   | Section 190(1)                |
| clearing member  | Section 190(1)                |
| clearing member client contract                                    | Section 155(1)(a)             |
| clearing member house contract                                     | Section 155(1)(b)             |
| client   | Section 190(1)                |
| client trade   | Section 155(1)(c)             |
| cover for margin   | Section 190(3)                |
| default fund contribution  | Section 188(3A)               |
| default rules (and related expressions)                            | Section 188                   |
| designated non-member  | Section 155(2)                |
| EMIR Level 1 Regulation  | Section 190(1)                |
| EMIR Level 2 Regulation  | Section 190(1)                |
| the FCA  | Section 190(1)                |
| indirect client  | Section 190(1)                |
| insolvency law (and similar expressions)                           | Sections 190(6) and (6B)      |
| interim trustee  | Sections 190(1) and 190(7)(b) |
| liquidator   | Sections 190(6A) and (6B)     |
| margin   | Section 190(3)                |
| market charge  | Section 173                   |
| market contract  | Section 155                   |
| member of a clearing house   | Section 190(1)                |
| notice   | Section 190(5)                |
| overseas (in relation to investment exchanges and clearing houses) | Section 190(1)                |
| party (in relation to a market contract)                           | Section 187                   |
| permanent trustee  | Sections 190(1) and 190(7)(b) |

**Changes to legislation:** There are currently no known outstanding effects for the The Financial Services and Markets Act 2000 (Over the Counter Derivatives, Central Counterparties and Trade Repositories) Regulations 2013. (See end of Document for details)

| <b><i>Defined Expression</i></b>                                      | <b><i>Section</i></b>     |
|---|---------------------------|
| the PRA   | Section 190(1)            |
| qualifying collateral arrangement                                     | Section 155A(1)(a)        |
| qualifying property transfers   | Section 155A(1)(b)        |
| recognised central counterparty                                       | Section 190(1)            |
| recognised clearing house   | Section 190(1)            |
| recognised investment exchange  | Section 190(1)            |
| relevant office-holder  | Section 189               |
| sequestration   | Section 190(7)(a)         |
| set off (in relation to Scotland)                                     | Section 190(1)            |
| settlement and related expressions (in relation to a market contract) | Section 190 (2)           |
| The Stock Exchange  | Section 190(1)            |
| trustee, interim or permanent (in relation to Scotland)               | Section 190(7)(b)         |
| UK (in relation to investment exchanges)                              | Section 190(1)            |
| winding up  | Sections 190(6A) and (6B) |

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There are currently no known outstanding effects for the The Financial Services and Markets Act 2000 (Over the Counter Derivatives, Central Counterparties and Trade Repositories) Regulations 2013.