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STATUTORY INSTRUMENTS

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**2014 No. 2054**

The Ukraine (European Union Financial  
Sanctions) (No.3) Regulations 2014

PART 2

Restrictions on Financial Services

**Dealing with transferable securities and money-market instruments**

**3.—(1)** A person (“P”) must not directly or indirectly purchase, sell, provide brokering or assistance in the issuance of, or otherwise deal with transferable securities or money-market instruments with a maturity exceeding 90 days, issued after 1 August 2014 by a person, entity or body falling within paragraph (2).

(2) The following persons, entities or bodies fall within this paragraph—

- (a) a major credit institution or other major institution listed in Annex III to the Council Regulation,
- (b) a legal person, entity or body established outside the European Union, more than 50% of the proprietary rights of which are owned by a legal person, entity or body falling within sub-paragraph (a),
- (c) a legal person, entity or body acting on behalf of, or at the direction of, a legal person, entity or body falling within sub-paragraphs (a) or (b).