
STATUTORY INSTRUMENTS

2014 No. 2710

**The Russia, Crimea and Sevastopol
(Sanctions) (Overseas Territories) Order 2014**

PART 3

General

Licences granted by the Governor

13.—(1) The Governor may, with the consent of the Secretary of State, grant a licence authorising an activity that would otherwise be prohibited under article 5, 6, 7, 8, 10, or 11.

(2) A person is not guilty of an offence under article 5, 6, 7, 8, 10, or 11 in respect of anything done by the person under the authority of a licence granted by the Governor.

(3) A licence may, in particular, relate to—

- (a) the activities set out in articles 5, 6(1) and (3), 8, 10 and 11 where the activity concerns the execution of an obligation arising from a contract or agreement concluded on or before 16th October 2014;
- (b) the activities set out in article 8(1) provided the Governor has no reasonable grounds to believe that the sale, supply, transfer or export of the technologies is for projects related to deep water oil exploration and production, Arctic oil exploration and production, or shale oil projects in Russia;
- (c) the activities set out in article 11 where they relate to the provision of assistance necessary to the maintenance and safety of existing capabilities within the Territory, unless the Governor has reasonable grounds to believe that those activities relate to projects pertaining to deep water oil exploration and production, Arctic oil exploration and production, or shale oil projects in Russia.

(4) Where a licence is requested pursuant to this article, exporters shall supply the Governor with all relevant information required for their application for an export authorisation.

(5) A licence must specify the acts authorised by it and may be—

- (a) general or granted to a category of persons or to a particular person,
- (b) subject to conditions, and
- (c) of indefinite duration or subject to an expiry date.

(6) The Governor may, with the consent of the Secretary of State, vary or revoke a licence at any time.

(7) On the grant, variation or revocation of a licence, the Governor must—

- (a) in the case of a licence granted to a particular person, give written notice of the grant, variation or revocation to that person, and

- (b) in the case of a general licence or a licence granted to a category of persons, take such steps as the Governor considers appropriate to publicise the grant, variation or revocation of the licence.
- (8) Any notice to be given to a person by the Governor under paragraph (7) may be given—
 - (a) by posting it to the person’s last known address, or
 - (b) where the person is a body corporate, partnership or unincorporated body other than a partnership, by posting it to the registered or principal office in the Territory of the body or partnership.
- (9) Where the Governor does not have an address in the Territory for the person, the Governor must make arrangements for the notice to be given to the person at the first available opportunity.
- (10) Failing to comply with any condition in the licence is acting in a way that is not authorised by the licence unless—
 - (a) the licence was modified after the completion of the act authorised by the licence, and
 - (b) the alleged failure to comply with a condition in the licence would not have been a failure if the licence had not been so modified.
- (11) It is an offence for a person to knowingly or recklessly make any statement or give any document or information which is false in a material particular for the purpose of obtaining a licence.
- (12) A licence granted in connection with the application for which the false statement was made or the false document or information given is void from the time it was granted.

Licences granted outside the Territory

- 14.** A person is not guilty of an offence under article 5, 6, 7, 8, 10, or 11 in respect of anything done by the person—
- (a) outside the Territory, and
 - (b) under the authority of a licence granted in accordance with any provisions of the law in force in the place where it is done corresponding with the provisions of this Order.

Claims for indemnity or under contract

- 15.—**(1) No claims in connection with any contract or transaction the performance of which has been affected, directly or indirectly, in whole or in part, by the measures imposed under this Order, including claims for indemnity or any other claim of this type, such as a claim for compensation or a claim under a guarantee, in particular a claim for extension or payment of a bond, guarantee or indemnity, particularly a financial guarantee or financial indemnity, of whatever form, shall be satisfied, if they are made by—
- (a) a designated person;
 - (b) any person who has been convicted of an offence under this Order;
 - (c) any person, if the claim relates to goods the import of which is prohibited under article 4;
 - (d) entities referred to in paragraphs (b) or (c) of article 12(1), paragraphs (c) and (d) of article 12(2), or listed in Annexes III, IV, V or VI to the Russia Sanctions Regulation;
 - (e) any natural person of Russian nationality or a legal person, entity or body established in Russia; or
 - (f) any person acting on behalf of one of the persons referred to in subparagraphs (a) to (e).
- (2) In any proceedings for the enforcement of such a claim, the burden of proof that the claim is not prohibited is on the person seeking the enforcement of that claim.

Requirement to publish relevant lists

16.—(1) The Governor must—

- (a) publish a list of restricted goods and the lists in Annexes II, III, IV, V and VI to the Russian Sanctions Regulation and Annexes II and III to the Crimea and Sevastopol Regulation; and
- (b) keep the lists up to date.

(2) The Governor may publish a list under paragraph (1) in any form the Governor considers appropriate, including by means of a website.

Evidence and information

17. Schedule 3 contains further provision about obtaining evidence and information.

Functions of the Governor

18.—(1) The Governor may, to such extent and subject to such restrictions and conditions as the Governor thinks proper, delegate or authorise the delegation of any of the Governor's functions under this Order to any person, or class or description of persons.

(2) References in this Order to the Governor are to be construed accordingly.

(3) The Governor may by regulations specify in the currency of the Territory the amount which is to be taken as equivalent to sums expressed in sterling in this Order.

Circumvention and contravention of prohibitions

19. It is an offence for a person to intentionally participate in an activity, knowing that the object or effect of the activity is (directly or indirectly)—

- (a) to circumvent any of the prohibitions in articles 4 to 12; or
- (b) to enable or facilitate the contravention of any such prohibition.

Penalties

20.—(1) A person guilty of an offence under article 4, 5, 6, 7, 8, 9, 10, 11, 12 or 19 is liable—

- (a) on conviction on indictment, to imprisonment for a term not exceeding seven years or to a fine or to both; or
- (b) on summary conviction, to imprisonment for a term not exceeding six months or to a fine not exceeding £5,000 or its equivalent or to both.

(2) A person guilty of an offence under article 13(11), or paragraph 4(b), (c) or (d) of Schedule 3 is liable—

- (a) on conviction on indictment, to imprisonment for a term not exceeding two years or to a fine or to both; or
- (b) on summary conviction, to imprisonment for a term not exceeding six months or to a fine not exceeding £5,000 or its equivalent or to both.

(3) A person guilty of an offence under paragraph 4(a) or 6 of Schedule 3 is liable on summary conviction to imprisonment for a term not exceeding six months or to a fine not exceeding £5,000 or its equivalent or to both.

(4) If an offence under this Order committed by a body corporate is shown—

- (a) to have been committed with the consent or connivance of an officer of the body corporate, or
- (b) to be attributable to any neglect on the part of an officer of the body corporate,

the officer as well as the body corporate is guilty of an offence and is liable to be proceeded against and punished accordingly.

Proceedings

21.—(1) Proceedings against a person for an offence may be taken before the appropriate court in the Territory having jurisdiction in the place where that person is for the time being.

(2) Summary proceedings for an offence alleged to have been committed outside the Territory may be instituted within the period of 12 months beginning with the date on which the person charged first enters the Territory after committing the offence.

(3) Proceedings for an offence must not be instituted in the Territory except with the consent of the principal public officer of the Territory responsible for criminal prosecutions.

(4) Nothing in paragraph (3) prevents—

(a) the arrest, or the issue or execution of a warrant for the arrest, of any person in respect of an offence, or

(b) the remand in custody or on bail of any person charged with an offence.

(5) A reference in this article to an offence is to an offence under this Order.