

SCHEDULE

FEE DETERMINATION

Complaints fee payable for the period beginning 28th January 2015 and ending 31st March 2015: authorisations granted before 28th January 2015

1.—(1) This paragraph specifies the complaints fee payable for the period beginning with 28th January 2015 and ending with 31st March 2015 by an authorised person who has been granted an authorisation under the 2006 Regulations before 28th January 2015.

(2) If the annual turnover of an authorised person for the purposes of calculating the annual regulation fee in accordance with the Regulator's Fees Determination 2014-2015 is £163,636.00 or less, that authorised person must pay the sum set out in column 2 of Table 1 which corresponds to the annual turnover in column 1.

Table 1

<i>Column 1</i>	<i>Column 2</i>
<i>Annual Turnover (£)</i>	<i>Complaints Fee Payable (£)</i>
0 – 4,999.99	13.00
5,000 – 14,999.99	25.00
15,000 – 24,999.99	42.00
25,000 – 74,999.99	57.00
75,000 – 163,636.00	90.00

(3) Subject to sub-paragraph (4), if the annual turnover of an authorised person for the purposes of calculating the annual regulation fee in accordance with the Regulator's Fees Determination 2014-2015 is more than £163,636.00, that authorised person shall pay the total of—

- (a) 0.055% of annual turnover for any annual turnover up to £1 million; and
- (b) 0.037% of annual turnover for any annual turnover between £1 million and £5 million; and
- (c) 0.030% of annual turnover for any annual turnover above £5 million.

(4) Under this paragraph, an authorised person is not required to pay a fee in excess of £6,667.00.