
STATUTORY INSTRUMENTS

2015 No. 1452

The Occupational Pension Schemes (Schemes
that were Contracted-out) Regulations 2015

PART 1

GENERAL

Citation, commencement and extent

^{F1}1.

Textual Amendments

F1 Instrument revoked in part (31.10.2015) by [The Occupational Pension Schemes \(Schemes that were Contracted-out\) \(No.2\) Regulations 2015 \(S.I. 2015/1677\)](#), regs. 1(2)(a), **45**

Interpretation

^{F1}2.

Textual Amendments

F1 Instrument revoked in part (31.10.2015) by [The Occupational Pension Schemes \(Schemes that were Contracted-out\) \(No.2\) Regulations 2015 \(S.I. 2015/1677\)](#), regs. 1(2)(a), **45**

PART 2

GENERAL PROVISIONS RELATING TO SCHEMES THAT
WERE SALARY RELATED CONTRACTED-OUT SCHEMES

Alteration of scheme rules

^{F1}3.

Textual Amendments

F1 Instrument revoked in part (31.10.2015) by [The Occupational Pension Schemes \(Schemes that were Contracted-out\) \(No.2\) Regulations 2015 \(S.I. 2015/1677\)](#), regs. 1(2)(a), **45**

Status: Point in time view as at 15/03/2016.

Changes to legislation: There are currently no known outstanding effects for the The Occupational Pension Schemes (Schemes that were Contracted-out) Regulations 2015. (See end of Document for details)

Determination that an earner was in contracted-out employment

^{F1}4.

Textual Amendments

F1 [Instrument](#) revoked in part (31.10.2015) by [The Occupational Pension Schemes \(Schemes that were Contracted-out\) \(No.2\) Regulations 2015 \(S.I. 2015/1677\)](#), regs. 1(2)(a), **45**

Provision of information

^{F1}5.

Textual Amendments

F1 [Instrument](#) revoked in part (31.10.2015) by [The Occupational Pension Schemes \(Schemes that were Contracted-out\) \(No.2\) Regulations 2015 \(S.I. 2015/1677\)](#), regs. 1(2)(a), **45**

Overseas schemes

^{F1}6.

Textual Amendments

F1 [Instrument](#) revoked in part (31.10.2015) by [The Occupational Pension Schemes \(Schemes that were Contracted-out\) \(No.2\) Regulations 2015 \(S.I. 2015/1677\)](#), regs. 1(2)(a), **45**

Restoration of state scheme rights

^{F1}7.

Textual Amendments

F1 [Instrument](#) revoked in part (31.10.2015) by [The Occupational Pension Schemes \(Schemes that were Contracted-out\) \(No.2\) Regulations 2015 \(S.I. 2015/1677\)](#), regs. 1(2)(a), **45**

Contributions equivalent premiums: prescribed person

^{F1}8.

Textual Amendments

F1 [Instrument](#) revoked in part (31.10.2015) by [The Occupational Pension Schemes \(Schemes that were Contracted-out\) \(No.2\) Regulations 2015 \(S.I. 2015/1677\)](#), regs. 1(2)(a), **45**

Notification of requirement to pay a contributions equivalent premium

^{F1}9.

Textual Amendments

F1 Instrument revoked in part (31.10.2015) by The Occupational Pension Schemes (Schemes that were Contracted-out) (No.2) Regulations 2015 (S.I. 2015/1677), regs. 1(2)(a), **45**

Cases where payment of a contributions equivalent premium is not required

F110.

Textual Amendments

F1 Instrument revoked in part (31.10.2015) by The Occupational Pension Schemes (Schemes that were Contracted-out) (No.2) Regulations 2015 (S.I. 2015/1677), regs. 1(2)(a), **45**

Elections to pay contributions equivalent premiums

F111.

Textual Amendments

F1 Instrument revoked in part (31.10.2015) by The Occupational Pension Schemes (Schemes that were Contracted-out) (No.2) Regulations 2015 (S.I. 2015/1677), regs. 1(2)(a), **45**

Payment of a contributions equivalent premium

F112.

Textual Amendments

F1 Instrument revoked in part (31.10.2015) by The Occupational Pension Schemes (Schemes that were Contracted-out) (No.2) Regulations 2015 (S.I. 2015/1677), regs. 1(2)(a), **45**

Payment in lieu of benefit and delay in refund for the purposes of the employer's right of recovery

F113.

Textual Amendments

F1 Instrument revoked in part (31.10.2015) by The Occupational Pension Schemes (Schemes that were Contracted-out) (No.2) Regulations 2015 (S.I. 2015/1677), regs. 1(2)(a), **45**

Refund of a contributions equivalent premium

F114.

Status: Point in time view as at 15/03/2016.

Changes to legislation: There are currently no known outstanding effects for the The Occupational Pension Schemes (Schemes that were Contracted-out) Regulations 2015. (See end of Document for details)

Textual Amendments

F1 [Instrument](#) revoked in part (31.10.2015) by [The Occupational Pension Schemes \(Schemes that were Contracted-out\) \(No.2\) Regulations 2015 \(S.I. 2015/1677\)](#), regs. 1(2)(a), **45**

Continuation of transitional arrangements for schemes that began winding up before 6th April 1997

^{F1}**15.**

Textual Amendments

F1 [Instrument](#) revoked in part (31.10.2015) by [The Occupational Pension Schemes \(Schemes that were Contracted-out\) \(No.2\) Regulations 2015 \(S.I. 2015/1677\)](#), regs. 1(2)(a), **45**

Continuation of savings in respect of state scheme premiums

^{F1}**16.**

Textual Amendments

F1 [Instrument](#) revoked in part (31.10.2015) by [The Occupational Pension Schemes \(Schemes that were Contracted-out\) \(No.2\) Regulations 2015 \(S.I. 2015/1677\)](#), regs. 1(2)(a), **45**

PART 3

ADDITIONAL REQUIREMENTS IN RELATION TO RIGHTS ATTRIBUTABLE TO CONTRACTED-OUT EMPLOYMENT ON AND AFTER 6TH APRIL 1997

Alteration of scheme rules

^{F1}**17.**

Textual Amendments

F1 [Instrument](#) revoked in part (31.10.2015) by [The Occupational Pension Schemes \(Schemes that were Contracted-out\) \(No.2\) Regulations 2015 \(S.I. 2015/1677\)](#), regs. 1(2)(a), **45**

Payment of a lump sum instead of a pension

^{F1}**18.**

Textual Amendments

F1 [Instrument](#) revoked in part (31.10.2015) by [The Occupational Pension Schemes \(Schemes that were Contracted-out\) \(No.2\) Regulations 2015 \(S.I. 2015/1677\)](#), regs. 1(2)(a), **45**

Forfeiture of accrued rights

^{F1}19.

Textual Amendments

F1 Instrument revoked in part (31.10.2015) by The Occupational Pension Schemes (Schemes that were Contracted-out) (No.2) Regulations 2015 (S.I. 2015/1677), regs. 1(2)(a), 45

PART 4

**ADDITIONAL REQUIREMENTS IN RELATION
TO GUARANTEED MINIMUM PENSIONS**

Alteration of scheme rules

^{F1}20.

Textual Amendments

F1 Instrument revoked in part (31.10.2015) by The Occupational Pension Schemes (Schemes that were Contracted-out) (No.2) Regulations 2015 (S.I. 2015/1677), regs. 1(2)(a), 45

Circumstances for the purposes of section 17(6) of the 1993 Act in which widower's, widow's or surviving civil partner's guaranteed minimum pension is payable

^{F1}21.

Textual Amendments

F1 Instrument revoked in part (31.10.2015) by The Occupational Pension Schemes (Schemes that were Contracted-out) (No.2) Regulations 2015 (S.I. 2015/1677), regs. 1(2)(a), 45

Period for the purposes of section 17(6) of the 1993 Act for which widower's, widow's or surviving civil partner's guaranteed minimum pension is payable

^{F1}22.

Textual Amendments

F1 Instrument revoked in part (31.10.2015) by The Occupational Pension Schemes (Schemes that were Contracted-out) (No.2) Regulations 2015 (S.I. 2015/1677), regs. 1(2)(a), 45

Fixed rate revaluation of guaranteed minimum pensions secured by insurance policies or annuity contracts

^{F1}23.

Status: Point in time view as at 15/03/2016.

Changes to legislation: There are currently no known outstanding effects for the The Occupational Pension Schemes (Schemes that were Contracted-out) Regulations 2015. (See end of Document for details)

Textual Amendments

F1 Instrument revoked in part (31.10.2015) by The Occupational Pension Schemes (Schemes that were Contracted-out) (No.2) Regulations 2015 (S.I. 2015/1677), regs. 1(2)(a), 45

Fixed rate revaluation of guaranteed minimum pensions for early leavers

F124.

Textual Amendments

F1 Instrument revoked in part (31.10.2015) by The Occupational Pension Schemes (Schemes that were Contracted-out) (No.2) Regulations 2015 (S.I. 2015/1677), regs. 1(2)(a), 45

Payment of a lump sum instead of a pension

F125.

Textual Amendments

F1 Instrument revoked in part (31.10.2015) by The Occupational Pension Schemes (Schemes that were Contracted-out) (No.2) Regulations 2015 (S.I. 2015/1677), regs. 1(2)(a), 45

Suspension and forfeiture of guaranteed minimum pension

F126.

Textual Amendments

F1 Instrument revoked in part (31.10.2015) by The Occupational Pension Schemes (Schemes that were Contracted-out) (No.2) Regulations 2015 (S.I. 2015/1677), regs. 1(2)(a), 45

Conversion into other benefits: actuarial equivalence

F127.

Textual Amendments

F1 Instrument revoked in part (31.10.2015) by The Occupational Pension Schemes (Schemes that were Contracted-out) (No.2) Regulations 2015 (S.I. 2015/1677), regs. 1(2)(a), 45

Conversion into other benefits: survivors' benefits

F228.

Textual Amendments

- F2** [Reg. 28](#) revoked (15.3.2016) by [The State Pension and Occupational Pension Schemes \(Miscellaneous Amendments\) Regulations 2016 \(S.I. 2016/199\)](#), regs. 1(2), **6(2)(a)**

PART 5

AMENDMENT OF THE OCCUPATIONAL PENSION SCHEMES (CONTRACTING-OUT) REGULATIONS 1996

Amendments relating to certification of employments for a period before the second abolition date

^{F1}29.

Textual Amendments

- F1** [Instrument](#) revoked in part (31.10.2015) by [The Occupational Pension Schemes \(Schemes that were Contracted-out\) \(No.2\) Regulations 2015 \(S.I. 2015/1677\)](#), regs. 1(2)(a), **45**

Amendments relating to cessation of contracting-out before the second abolition date

^{F1}30.

Textual Amendments

- F1** [Instrument](#) revoked in part (31.10.2015) by [The Occupational Pension Schemes \(Schemes that were Contracted-out\) \(No.2\) Regulations 2015 \(S.I. 2015/1677\)](#), regs. 1(2)(a), **45**

PART 6

AMENDMENT OF THE CONTRACTING-OUT (TRANSFER AND TRANSFER PAYMENT) REGULATIONS 1996

Revaluation after transfer from another scheme

^{F1}31.

Textual Amendments

- F1** [Instrument](#) revoked in part (31.10.2015) by [The Occupational Pension Schemes \(Schemes that were Contracted-out\) \(No.2\) Regulations 2015 \(S.I. 2015/1677\)](#), regs. 1(2)(a), **45**

Status: Point in time view as at 15/03/2016.

Changes to legislation: There are currently no known outstanding effects for the The Occupational Pension Schemes (Schemes that were Contracted-out) Regulations 2015. (See end of Document for details)

PART 7

REVOCATION AND SAVINGS OF THE OCCUPATIONAL PENSION SCHEMES (CONTRACTING-OUT) REGULATIONS 1996

Revocations taking effect on the second abolition date

- 32.** The following provisions of the 1996 Regulations are revoked—
- (a) regulation 11;
 - (b) regulations 16 to 21;
 - (c) regulations 41 to 43;
 - (d) regulation 48(5) to (7);
 - (e) regulations 49 to 61;
 - (f) regulation 62 (but see regulation 33);
 - (g) regulation 63;
 - (h) regulations 65 and 66 (but see regulation 33);
 - (i) [^{F3}regulations 67 to 74;]
 - (j) regulations 76A and 76B;
 - (k) regulation 77.

Textual Amendments

- F3** [Reg. 32](#) revoked in part (15.3.2016) by [The State Pension and Occupational Pension Schemes \(Miscellaneous Amendments\) Regulations 2016 \(S.I. 2016/199\)](#), regs. 1(2), **6(2)(b)**

Saving of provisions relating to the revaluation of Guaranteed Minimum Pensions

- ^{F1}**33.**

Textual Amendments

- F1** [Instrument](#) revoked in part (31.10.2015) by [The Occupational Pension Schemes \(Schemes that were Contracted-out\) \(No.2\) Regulations 2015 \(S.I. 2015/1677\)](#), regs. 1(2)(a), **45**

Revocations taking effect on 6th April 2019

- ^{F1}**34.**

Textual Amendments

- F1** [Instrument](#) revoked in part (31.10.2015) by [The Occupational Pension Schemes \(Schemes that were Contracted-out\) \(No.2\) Regulations 2015 \(S.I. 2015/1677\)](#), regs. 1(2)(a), **45**

PART 8

PROVISION FOR NORTHERN IRELAND

Interpretation of Part 8

^{F1}35.

Textual Amendments

F1 Instrument revoked in part (31.10.2015) by The Occupational Pension Schemes (Schemes that were Contracted-out) (No.2) Regulations 2015 (S.I. 2015/1677), regs. 1(2)(a), **45**

Restoration of state scheme rights: Northern Ireland

^{F1}36.

Textual Amendments

F1 Instrument revoked in part (31.10.2015) by The Occupational Pension Schemes (Schemes that were Contracted-out) (No.2) Regulations 2015 (S.I. 2015/1677), regs. 1(2)(a), **45**

Contributions equivalent premiums: prescribed person in Northern Ireland

^{F1}37.

Textual Amendments

F1 Instrument revoked in part (31.10.2015) by The Occupational Pension Schemes (Schemes that were Contracted-out) (No.2) Regulations 2015 (S.I. 2015/1677), regs. 1(2)(a), **45**

Notification of requirement to pay a contributions equivalent premium: Northern Ireland

^{F1}38.

Textual Amendments

F1 Instrument revoked in part (31.10.2015) by The Occupational Pension Schemes (Schemes that were Contracted-out) (No.2) Regulations 2015 (S.I. 2015/1677), regs. 1(2)(a), **45**

Cases where payment of a contributions equivalent premium is not required: Northern Ireland

^{F1}39.

Textual Amendments

F1 Instrument revoked in part (31.10.2015) by The Occupational Pension Schemes (Schemes that were Contracted-out) (No.2) Regulations 2015 (S.I. 2015/1677), regs. 1(2)(a), **45**

Status: Point in time view as at 15/03/2016.

Changes to legislation: There are currently no known outstanding effects for the The Occupational Pension Schemes (Schemes that were Contracted-out) Regulations 2015. (See end of Document for details)

Elections to pay contributions equivalent premiums: Northern Ireland

^{F1}40.

Textual Amendments
F1 [Instrument](#) revoked in part (31.10.2015) by [The Occupational Pension Schemes \(Schemes that were Contracted-out\) \(No.2\) Regulations 2015 \(S.I. 2015/1677\)](#), regs. 1(2)(a), 45

Payment of a contributions equivalent premium: Northern Ireland

^{F1}41.

Textual Amendments
F1 [Instrument](#) revoked in part (31.10.2015) by [The Occupational Pension Schemes \(Schemes that were Contracted-out\) \(No.2\) Regulations 2015 \(S.I. 2015/1677\)](#), regs. 1(2)(a), 45

Payment in lieu of benefit and delay in refund for the purposes of the employer’s right of recovery: Northern Ireland

^{F1}42.

Textual Amendments
F1 [Instrument](#) revoked in part (31.10.2015) by [The Occupational Pension Schemes \(Schemes that were Contracted-out\) \(No.2\) Regulations 2015 \(S.I. 2015/1677\)](#), regs. 1(2)(a), 45

Refund of a contributions equivalent premium: Northern Ireland

^{F1}43.

Textual Amendments
F1 [Instrument](#) revoked in part (31.10.2015) by [The Occupational Pension Schemes \(Schemes that were Contracted-out\) \(No.2\) Regulations 2015 \(S.I. 2015/1677\)](#), regs. 1(2)(a), 45

Continuation of savings in respect of state scheme premiums: Northern Ireland

^{F1}44.

Textual Amendments
F1 [Instrument](#) revoked in part (31.10.2015) by [The Occupational Pension Schemes \(Schemes that were Contracted-out\) \(No.2\) Regulations 2015 \(S.I. 2015/1677\)](#), regs. 1(2)(a), 45

Revocations of the Occupational Pension Schemes (Contracting-Out) Regulations (Northern Ireland) 1996 taking effect on the second abolition date

^{F1}45.

Textual Amendments

F1 Instrument revoked in part (31.10.2015) by The Occupational Pension Schemes (Schemes that were Contracted-out) (No.2) Regulations 2015 (S.I. 2015/1677), regs. 1(2)(a), **45**

Signed by authority of the Secretary of State for Work and Pensions

Altmann
Minister of State,
Department for Work and Pensions

Status:

Point in time view as at 15/03/2016.

Changes to legislation:

There are currently no known outstanding effects for the The Occupational Pension Schemes (Schemes that were Contracted-out) Regulations 2015.