

---

STATUTORY INSTRUMENTS

---

**2015 No. 1452**

**The Occupational Pension Schemes (Schemes  
that were Contracted-out) Regulations 2015**

**PART 1**

**GENERAL**

**Citation, commencement and extent**

<sup>F1</sup>1. ....

**Textual Amendments**

**F1** [Instrument](#) revoked in part (31.10.2015) by [The Occupational Pension Schemes \(Schemes that were Contracted-out\) \(No.2\) Regulations 2015 \(S.I. 2015/1677\)](#), regs. 1(2)(a), **45**

**Interpretation**

<sup>F1</sup>2. ....

**Textual Amendments**

**F1** [Instrument](#) revoked in part (31.10.2015) by [The Occupational Pension Schemes \(Schemes that were Contracted-out\) \(No.2\) Regulations 2015 \(S.I. 2015/1677\)](#), regs. 1(2)(a), **45**

**PART 2**

**GENERAL PROVISIONS RELATING TO SCHEMES THAT  
WERE SALARY RELATED CONTRACTED-OUT SCHEMES**

**Alteration of scheme rules**

<sup>F1</sup>3. ....

**Textual Amendments**

**F1** [Instrument](#) revoked in part (31.10.2015) by [The Occupational Pension Schemes \(Schemes that were Contracted-out\) \(No.2\) Regulations 2015 \(S.I. 2015/1677\)](#), regs. 1(2)(a), **45**

**Determination that an earner was in contracted-out employment**

<sup>F14</sup>. . . . .

.....  
**Textual Amendments**  
**F1** [Instrument](#) revoked in part (31.10.2015) by [The Occupational Pension Schemes \(Schemes that were Contracted-out\) \(No.2\) Regulations 2015 \(S.I. 2015/1677\)](#), regs. 1(2)(a), **45**

**Provision of information**

<sup>F15</sup>. . . . .

.....  
**Textual Amendments**  
**F1** [Instrument](#) revoked in part (31.10.2015) by [The Occupational Pension Schemes \(Schemes that were Contracted-out\) \(No.2\) Regulations 2015 \(S.I. 2015/1677\)](#), regs. 1(2)(a), **45**

**Overseas schemes**

<sup>F16</sup>. . . . .

.....  
**Textual Amendments**  
**F1** [Instrument](#) revoked in part (31.10.2015) by [The Occupational Pension Schemes \(Schemes that were Contracted-out\) \(No.2\) Regulations 2015 \(S.I. 2015/1677\)](#), regs. 1(2)(a), **45**

**Restoration of state scheme rights**

<sup>F17</sup>. . . . .

.....  
**Textual Amendments**  
**F1** [Instrument](#) revoked in part (31.10.2015) by [The Occupational Pension Schemes \(Schemes that were Contracted-out\) \(No.2\) Regulations 2015 \(S.I. 2015/1677\)](#), regs. 1(2)(a), **45**

**Contributions equivalent premiums: prescribed person**

<sup>F18</sup>. . . . .

.....  
**Textual Amendments**  
**F1** [Instrument](#) revoked in part (31.10.2015) by [The Occupational Pension Schemes \(Schemes that were Contracted-out\) \(No.2\) Regulations 2015 \(S.I. 2015/1677\)](#), regs. 1(2)(a), **45**

**Notification of requirement to pay a contributions equivalent premium**

<sup>F19</sup>. . . . .

**Textual Amendments**

**F1** Instrument revoked in part (31.10.2015) by The Occupational Pension Schemes (Schemes that were Contracted-out) (No.2) Regulations 2015 (S.I. 2015/1677), regs. 1(2)(a), **45**

**Cases where payment of a contributions equivalent premium is not required**

**F1**10. ....

**Textual Amendments**

**F1** Instrument revoked in part (31.10.2015) by The Occupational Pension Schemes (Schemes that were Contracted-out) (No.2) Regulations 2015 (S.I. 2015/1677), regs. 1(2)(a), **45**

**Elections to pay contributions equivalent premiums**

**F1**11. ....

**Textual Amendments**

**F1** Instrument revoked in part (31.10.2015) by The Occupational Pension Schemes (Schemes that were Contracted-out) (No.2) Regulations 2015 (S.I. 2015/1677), regs. 1(2)(a), **45**

**Payment of a contributions equivalent premium**

**F1**12. ....

**Textual Amendments**

**F1** Instrument revoked in part (31.10.2015) by The Occupational Pension Schemes (Schemes that were Contracted-out) (No.2) Regulations 2015 (S.I. 2015/1677), regs. 1(2)(a), **45**

**Payment in lieu of benefit and delay in refund for the purposes of the employer's right of recovery**

**F1**13. ....

**Textual Amendments**

**F1** Instrument revoked in part (31.10.2015) by The Occupational Pension Schemes (Schemes that were Contracted-out) (No.2) Regulations 2015 (S.I. 2015/1677), regs. 1(2)(a), **45**

**Refund of a contributions equivalent premium**

**F1**14. ....

*Changes to legislation: There are currently no known outstanding effects for the The Occupational Pension Schemes (Schemes that were Contracted-out) Regulations 2015. (See end of Document for details)*

**Textual Amendments**

**F1** Instrument revoked in part (31.10.2015) by The Occupational Pension Schemes (Schemes that were Contracted-out) (No.2) Regulations 2015 (S.I. 2015/1677), regs. 1(2)(a), **45**

**Continuation of transitional arrangements for schemes that began winding up before 6th April 1997**

**F1**15. ....

**Textual Amendments**

**F1** Instrument revoked in part (31.10.2015) by The Occupational Pension Schemes (Schemes that were Contracted-out) (No.2) Regulations 2015 (S.I. 2015/1677), regs. 1(2)(a), **45**

**Continuation of savings in respect of state scheme premiums**

**F1**16. ....

**Textual Amendments**

**F1** Instrument revoked in part (31.10.2015) by The Occupational Pension Schemes (Schemes that were Contracted-out) (No.2) Regulations 2015 (S.I. 2015/1677), regs. 1(2)(a), **45**

**PART 3**

**ADDITIONAL REQUIREMENTS IN RELATION TO RIGHTS ATTRIBUTABLE TO CONTRACTED-OUT EMPLOYMENT ON AND AFTER 6TH APRIL 1997**

**Alteration of scheme rules**

**F1**17. ....

**Textual Amendments**

**F1** Instrument revoked in part (31.10.2015) by The Occupational Pension Schemes (Schemes that were Contracted-out) (No.2) Regulations 2015 (S.I. 2015/1677), regs. 1(2)(a), **45**

**Payment of a lump sum instead of a pension**

**F1**18. ....

**Textual Amendments**

**F1** Instrument revoked in part (31.10.2015) by The Occupational Pension Schemes (Schemes that were Contracted-out) (No.2) Regulations 2015 (S.I. 2015/1677), regs. 1(2)(a), **45**

**Forfeiture of accrued rights**

<sup>F1</sup>19. ....

**Textual Amendments**

**F1** Instrument revoked in part (31.10.2015) by The Occupational Pension Schemes (Schemes that were Contracted-out) (No.2) Regulations 2015 (S.I. 2015/1677), regs. 1(2)(a), 45

**PART 4**

**ADDITIONAL REQUIREMENTS IN RELATION  
TO GUARANTEED MINIMUM PENSIONS**

**Alteration of scheme rules**

<sup>F1</sup>20. ....

**Textual Amendments**

**F1** Instrument revoked in part (31.10.2015) by The Occupational Pension Schemes (Schemes that were Contracted-out) (No.2) Regulations 2015 (S.I. 2015/1677), regs. 1(2)(a), 45

**Circumstances for the purposes of section 17(6) of the 1993 Act in which widower's, widow's or surviving civil partner's guaranteed minimum pension is payable**

<sup>F1</sup>21. ....

**Textual Amendments**

**F1** Instrument revoked in part (31.10.2015) by The Occupational Pension Schemes (Schemes that were Contracted-out) (No.2) Regulations 2015 (S.I. 2015/1677), regs. 1(2)(a), 45

**Period for the purposes of section 17(6) of the 1993 Act for which widower's, widow's or surviving civil partner's guaranteed minimum pension is payable**

<sup>F1</sup>22. ....

**Textual Amendments**

**F1** Instrument revoked in part (31.10.2015) by The Occupational Pension Schemes (Schemes that were Contracted-out) (No.2) Regulations 2015 (S.I. 2015/1677), regs. 1(2)(a), 45

**Fixed rate revaluation of guaranteed minimum pensions secured by insurance policies or annuity contracts**

<sup>F1</sup>23. ....

**Textual Amendments**

**F1** Instrument revoked in part (31.10.2015) by The Occupational Pension Schemes (Schemes that were Contracted-out) (No.2) Regulations 2015 (S.I. 2015/1677), regs. 1(2)(a), **45**

**Fixed rate revaluation of guaranteed minimum pensions for early leavers**

**F1**24. ....

**Textual Amendments**

**F1** Instrument revoked in part (31.10.2015) by The Occupational Pension Schemes (Schemes that were Contracted-out) (No.2) Regulations 2015 (S.I. 2015/1677), regs. 1(2)(a), **45**

**Payment of a lump sum instead of a pension**

**F1**25. ....

**Textual Amendments**

**F1** Instrument revoked in part (31.10.2015) by The Occupational Pension Schemes (Schemes that were Contracted-out) (No.2) Regulations 2015 (S.I. 2015/1677), regs. 1(2)(a), **45**

**Suspension and forfeiture of guaranteed minimum pension**

**F1**26. ....

**Textual Amendments**

**F1** Instrument revoked in part (31.10.2015) by The Occupational Pension Schemes (Schemes that were Contracted-out) (No.2) Regulations 2015 (S.I. 2015/1677), regs. 1(2)(a), **45**

**Conversion into other benefits: actuarial equivalence**

**F1**27. ....

**Textual Amendments**

**F1** Instrument revoked in part (31.10.2015) by The Occupational Pension Schemes (Schemes that were Contracted-out) (No.2) Regulations 2015 (S.I. 2015/1677), regs. 1(2)(a), **45**

**Conversion into other benefits: survivors' benefits**

**F2**28. ....

**Textual Amendments**

- F2** [Reg. 28](#) revoked (15.3.2016) by [The State Pension and Occupational Pension Schemes \(Miscellaneous Amendments\) Regulations 2016 \(S.I. 2016/199\)](#), regs. 1(2), **6(2)(a)**

## PART 5

### AMENDMENT OF THE OCCUPATIONAL PENSION SCHEMES (CONTRACTING-OUT) REGULATIONS 1996

#### Amendments relating to certification of employments for a period before the second abolition date

**F129.** .....

**Textual Amendments**

- F1** [Instrument](#) revoked in part (31.10.2015) by [The Occupational Pension Schemes \(Schemes that were Contracted-out\) \(No.2\) Regulations 2015 \(S.I. 2015/1677\)](#), regs. 1(2)(a), **45**

#### Amendments relating to cessation of contracting-out before the second abolition date

**F130.** .....

**Textual Amendments**

- F1** [Instrument](#) revoked in part (31.10.2015) by [The Occupational Pension Schemes \(Schemes that were Contracted-out\) \(No.2\) Regulations 2015 \(S.I. 2015/1677\)](#), regs. 1(2)(a), **45**

## PART 6

### AMENDMENT OF THE CONTRACTING-OUT (TRANSFER AND TRANSFER PAYMENT) REGULATIONS 1996

#### Revaluation after transfer from another scheme

**F131.** .....

**Textual Amendments**

- F1** [Instrument](#) revoked in part (31.10.2015) by [The Occupational Pension Schemes \(Schemes that were Contracted-out\) \(No.2\) Regulations 2015 \(S.I. 2015/1677\)](#), regs. 1(2)(a), **45**

## PART 7

### REVOCATION AND SAVINGS OF THE OCCUPATIONAL PENSION SCHEMES (CONTRACTING-OUT) REGULATIONS 1996

#### Revocations taking effect on the second abolition date

- 32.** The following provisions of the 1996 Regulations are revoked—
- (a) regulation 11;
  - (b) regulations 16 to 21;
  - (c) regulations 41 to 43;
  - (d) regulation 48(5) to (7);
  - (e) regulations 49 to 61;
  - (f) regulation 62 (but see regulation 33);
  - (g) regulation 63;
  - (h) regulations 65 and 66 (but see regulation 33);
  - (i) [<sup>F3</sup>regulations 67 to 74;]
  - (j) regulations 76A and 76B;
  - (k) regulation 77.

#### Textual Amendments

- F3** Reg. 32 revoked in part (15.3.2016) by The State Pension and Occupational Pension Schemes (Miscellaneous Amendments) Regulations 2016 (S.I. 2016/199), regs. 1(2), **6(2)(b)**

#### Saving of provisions relating to the revaluation of Guaranteed Minimum Pensions

<sup>F1</sup>**33.** .....

#### Textual Amendments

- F1** Instrument revoked in part (31.10.2015) by The Occupational Pension Schemes (Schemes that were Contracted-out) (No.2) Regulations 2015 (S.I. 2015/1677), regs. 1(2)(a), **45**

#### Revocations taking effect on 6th April 2019

<sup>F1</sup>**34.** .....

#### Textual Amendments

- F1** Instrument revoked in part (31.10.2015) by The Occupational Pension Schemes (Schemes that were Contracted-out) (No.2) Regulations 2015 (S.I. 2015/1677), regs. 1(2)(a), **45**



## PART 8

### PROVISION FOR NORTHERN IRELAND

#### Interpretation of Part 8

<sup>F1</sup>35. ....

##### Textual Amendments

**F1** Instrument revoked in part (31.10.2015) by The Occupational Pension Schemes (Schemes that were Contracted-out) (No.2) Regulations 2015 (S.I. 2015/1677), regs. 1(2)(a), 45

#### Restoration of state scheme rights: Northern Ireland

<sup>F1</sup>36. ....

##### Textual Amendments

**F1** Instrument revoked in part (31.10.2015) by The Occupational Pension Schemes (Schemes that were Contracted-out) (No.2) Regulations 2015 (S.I. 2015/1677), regs. 1(2)(a), 45

#### Contributions equivalent premiums: prescribed person in Northern Ireland

<sup>F1</sup>37. ....

##### Textual Amendments

**F1** Instrument revoked in part (31.10.2015) by The Occupational Pension Schemes (Schemes that were Contracted-out) (No.2) Regulations 2015 (S.I. 2015/1677), regs. 1(2)(a), 45

#### Notification of requirement to pay a contributions equivalent premium: Northern Ireland

<sup>F1</sup>38. ....

##### Textual Amendments

**F1** Instrument revoked in part (31.10.2015) by The Occupational Pension Schemes (Schemes that were Contracted-out) (No.2) Regulations 2015 (S.I. 2015/1677), regs. 1(2)(a), 45

#### Cases where payment of a contributions equivalent premium is not required: Northern Ireland

<sup>F1</sup>39. ....

##### Textual Amendments

**F1** Instrument revoked in part (31.10.2015) by The Occupational Pension Schemes (Schemes that were Contracted-out) (No.2) Regulations 2015 (S.I. 2015/1677), regs. 1(2)(a), 45

**Elections to pay contributions equivalent premiums: Northern Ireland**

<sup>F1</sup>40. ....

.....  
**Textual Amendments**  
**F1** [Instrument](#) revoked in part (31.10.2015) by [The Occupational Pension Schemes \(Schemes that were Contracted-out\) \(No.2\) Regulations 2015 \(S.I. 2015/1677\)](#), regs. 1(2)(a), **45**

**Payment of a contributions equivalent premium: Northern Ireland**

<sup>F1</sup>41. ....

.....  
**Textual Amendments**  
**F1** [Instrument](#) revoked in part (31.10.2015) by [The Occupational Pension Schemes \(Schemes that were Contracted-out\) \(No.2\) Regulations 2015 \(S.I. 2015/1677\)](#), regs. 1(2)(a), **45**

**Payment in lieu of benefit and delay in refund for the purposes of the employer’s right of recovery: Northern Ireland**

<sup>F1</sup>42. ....

.....  
**Textual Amendments**  
**F1** [Instrument](#) revoked in part (31.10.2015) by [The Occupational Pension Schemes \(Schemes that were Contracted-out\) \(No.2\) Regulations 2015 \(S.I. 2015/1677\)](#), regs. 1(2)(a), **45**

**Refund of a contributions equivalent premium: Northern Ireland**

<sup>F1</sup>43. ....

.....  
**Textual Amendments**  
**F1** [Instrument](#) revoked in part (31.10.2015) by [The Occupational Pension Schemes \(Schemes that were Contracted-out\) \(No.2\) Regulations 2015 \(S.I. 2015/1677\)](#), regs. 1(2)(a), **45**

**Continuation of savings in respect of state scheme premiums: Northern Ireland**

<sup>F1</sup>44. ....

.....  
**Textual Amendments**  
**F1** [Instrument](#) revoked in part (31.10.2015) by [The Occupational Pension Schemes \(Schemes that were Contracted-out\) \(No.2\) Regulations 2015 \(S.I. 2015/1677\)](#), regs. 1(2)(a), **45**

**Revocations of the Occupational Pension Schemes (Contracting-Out) Regulations (Northern Ireland) 1996 taking effect on the second abolition date**

<sup>F1</sup>45. ....

**Textual Amendments**

**F1** Instrument revoked in part (31.10.2015) by The Occupational Pension Schemes (Schemes that were Contracted-out) (No.2) Regulations 2015 (S.I. 2015/1677), regs. 1(2)(a), **45**

Signed by authority of the Secretary of State for Work and Pensions

*Altmann*  
Minister of State,  
Department for Work and Pensions

**Changes to legislation:**

There are currently no known outstanding effects for the The Occupational Pension Schemes (Schemes that were Contracted-out) Regulations 2015.