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STATUTORY INSTRUMENTS

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**2015 No. 1567**

**EDUCATION, ENGLAND**

**The Education (Student Information)  
(England) Regulations 2015**

<i>Made</i>	- - - -	<i>22nd July 2015</i>
<i>Laid before Parliament</i>		<i>23rd July 2015</i>
<i>Coming into force</i>	- -	<i>1st September 2015</i>

The Secretary of State makes these Regulations in exercise of the powers conferred by sections 253A(1) and 262(3) of the Apprenticeships, Skills, Children and Learning Act 2009(1).

**Citation, commencement and application**

1.—(1) These Regulations may be cited as the Education (Student Information) (England) Regulations 2015 and come into force on 1st September 2015.

(2) These Regulations apply in relation to student information which may be provided by a person in England only.

**Interpretation**

2.—(1) In these Regulations—

“the 1996 Act” means the Education Act 1996(2);

“the 2000 Act” means the Learning and Skills Act 2000(3);

“the 2009 Act” means the Apprenticeships, Skills, Children and Learning Act 2009;

“the 2014 Act” means the Children and Families Act 2014(4);

“the information year” means the period for an educational institution or learning provider which starts with the first term to begin after July and ends before the first such term to begin after the following July, except in the case of a work-based learning provider where it means the period from 1st September to the next 31st August;

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(1) 2009 c. 22. Section 253A was inserted by section 79 of the Small Business, Enterprise and Employment Act 2015 (c. 26). For the definitions of ‘prescribed’ and ‘regulations’ see section 264(1) of the Apprenticeships, Skills, Children and Learning Act 2009 (c. 22).

(2) 1996 c. 56.

(3) 2000 c. 21.

(4) 2014 c. 6.

“learning provider” means a provider registered with the UK Register of Learning Providers<sup>(5)</sup>;

“prescribed person” means a person prescribed in Regulation 5;

“prescribed category of person” means a category of person prescribed in Regulation 5;

“the relevant school” means the school at which the student or former student to whom student information relates is or was registered and, where the school at which such a student or former student was registered is converted into an Academy (as provided for by section 4(3) of the Academies Act 2010<sup>(6)</sup>), includes that Academy;

“the reporting information year” means the information year immediately preceding the information year in which information provided to the Secretary of State is to be published by the Secretary of State or, as the case may be, by the relevant local authority;

“16 to 19 Study Programme” means a programme of study developed and funded by the Secretary of State to meet the needs of an individual student, which may include activity leading to a qualification and other activity including work experience; and

“work-based learning provider” means any employer who provides learning in the workplace to students as part of a course at an educational institution.

(2) For the purposes of these Regulations, each of the following is a relevant local authority in relation to the provision of any student information—

- (a) the local authority which maintains the school at which the student is or was enrolled or registered;
- (b) the local authority in which the educational institution, other than a school, at which the student is or was enrolled or registered, is situated;
- (c) the local authority in which the learning provider or work-based learning provider, at which the student is or was undertaking work, is situated;
- (d) the local authority which is responsible for the student (within the meaning of section 24 of the 2014 Act);
- (e) where the student is a child looked after by a local authority (within the meaning of section 22(1) of the Children Act 1989), that local authority;
- (f) where the information relates to a student or former student of a school which is not maintained by a local authority, the local authority for the area in which the school is situated.

### **Prescribed circumstances**

3.—(1) The following circumstances are prescribed for the purposes of section 253A(1) of the 2009 Act—

- (a) the student is or was—
  - (i) in the fourth key stage<sup>(7)</sup>; or
  - (ii) undertaking a 16 to 19 Study Programme; and
- (b) where the person (“P”) provides student information to a person or category of person prescribed in Regulation 5, the student to whom such information relates is or was—

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(5) “The UK Register of Learning Providers” is a registry of learning providers that enables registered users of it to access the details of a range of providers in the UK. It is owned by the Skills Funding Agency (an executive agency, sponsored by the Department for Business, Innovation and Skills) and can be accessed at the following weblink: <https://www.ukrlp.co.uk/>.

(6) 2010 c. 32. Section 4(3) was amended by section 57(1) and (3) of, and paragraphs 1 and 2 of Schedule 13 to, the Education Act 2011 (c. 21).

(7) “the fourth key stage” is defined in section 82(1)(d) of the Education Act 2002 (c. 32).

- (i) enrolled or registered with P; or
  - (ii) undertaking work with P; or
  - (c) where P provides student information to the Secretary of State or to an information collator<sup>(8)</sup>, such provision follows a request by the Secretary of State or an information collator to P for the information.
- (2) The following persons are not subject to the restriction in paragraph (1)(b)—
- (a) the Secretary of State;
  - (b) an information collator;
  - (c) the Joint Council for Qualifications;
  - (d) the Office for Standards in Education, Children’s Services and Skills<sup>(9)</sup>;
  - (e) a relevant local authority;
  - (f) any body which is recognised by the Office of Qualifications and Examinations Regulation<sup>(10)</sup> under section 132 of the 2009 Act in respect of the award or authentication of a specified qualification or description of qualification to which Part 7 of that Act applies;
  - (g) the Office of Qualifications and Examinations Regulation;
  - (h) the Further Education Commissioner; and
  - (i) the Sixth-Form Commissioner.

#### **Prescribed student information**

4. The following description of student information is prescribed for the purposes of section 253A(1) of the 2009 Act—
- (a) in the case of a student who is or was in the fourth key stage, the information listed in Part 1 of Schedule 1;
  - (b) in the case of a student who is or was undertaking a 16 to 19 Study Programme, the information listed in Part 2 of Schedule 1; and
  - (c) in the case of any student, the information listed in Part 3 of Schedule 1.

#### **Prescribed Persons and prescribed categories of person**

5. The following persons and categories of person are prescribed for the purposes of section 253A(1) of the 2009 Act—
- (a) if P is the Secretary of State or an information collator, then student information may be provided to the persons and categories of person listed in Part 1 of Schedule 2; and
  - (b) if P is any person other than the Secretary of State or an information collator, then student information may be provided to the persons and categories of person listed in Part 2 of Schedule 2.

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(8) See section 253A(6) of the Apprenticeships, Skills, Children and Learning Act 2009 (c. 22) for the meaning of “information collator”.

(9) The Office for Standards in Education, Children’s Services and Skills is a Non-Ministerial Department established under section 112 of the Education and Inspections Act 2006 (c. 40).

(10) The Office of Qualifications and Examinations Regulation is a Non-Ministerial Department established under section 127 of the Apprenticeships, Skills, Children and Learning Act 2009 (c. 22).

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22nd July 2015

*Nick Gibb*  
Minister of State  
Department for Education

## SCHEDULE 1

Regulation 4

Student information: Fourth key stage, 16 to 19 Study Programmes and general information

### PART 1

#### Student information: Fourth key stage

- 1.—(1) In the case of each student, the following information—
- (a) the student’s gender, date of birth, and surname followed by first name or, if there are more than one, each forename;
  - (b) the date of the student’s admission to the institution;
  - (c) the student’s ethnic group;
  - (d) the student’s first language;
  - (e) the postcode of the home where the student normally resides;
  - (f) whether the student has as a pupil applied for and been found eligible for free school meals, pursuant to sections 512(3) and 512ZB of the 1996 Act;
  - (g) whether the student has special educational needs and, where applicable—
    - (i) the type of special educational needs that student has;
    - (ii) the primary and secondary need of those types if there is more than one type;
    - (iii) if the student has a statement of special educational needs<sup>(11)</sup> or an Education, Health and Care plan<sup>(12)</sup>; and
    - (iv) if special educational provision is being made through SEN support<sup>(13)</sup>.
  - (h) whether the student is or has been at any time whilst registered at the educational institution, to the knowledge of the governing body or, where appropriate, the proprietor, a child looked after by a local authority.
- (2) Whether the student was entered for a relevant qualification<sup>(14)</sup> approved for use by pupils of compulsory school age under section 98 of the 2000 Act for the purposes of section 96 of that Act and, where applicable, the following—
- (a) the relevant qualification taken;
  - (b) the name of the awarding body for the relevant qualification;
  - (c) the information year in which the student was entered for the relevant qualification; and
  - (d) the grade or level achieved.

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(11) Section 324(1) of the Education Act 1996 (c. 56) provides for the making and maintaining by a local authority of a statement of special educational needs in respect of a child. Section 324(1) was repealed in relation to England but remains in force by virtue of Article 11 Children and Families Act 2014 (Transitional and Saving Provisions) (No.2) Order 2014 (S.I. 2014/2270).

(12) See section 37(2) of the Children and Families Act 2014 (c. 6) for the meaning of “Education, Health and Care plan”. Sub-section (2) was amended by the Care Act 2014 (c. 23) and Children and Families Act 2014 (Consequential Amendments) Order 2015 (S.I. 2015/914).

(13) As described in the ‘Special educational needs and disability code of practice: 0 to 25 years’, issued by the Secretary of State under section 77 of the Children and Families Act 2014 (c. 6).

(14) “a relevant qualification” is defined in section 96(5) of the Learning and Skills Act 2000 (c. 21). Sub-section (5) was substituted, for sub-sections (5)–(7) as originally enacted, by sections 174 and 192 of, and paragraphs 26 and 27(1) and (4) of Schedule 12 to, the Apprenticeships, Skills, Children and Learning Act 2009 (c. 22).

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## PART 2

### Student information: 16 to 19 Study Programmes

1.—(1) The number of registered students aged 16, 17, 18 or 19 who, during the reporting information year or any of the information years preceding that year, were entered for a relevant entry level or level 1, 2, 3 qualification<sup>(15)</sup> approved under section 98 of the 2000 Act for the purposes of section 96 of that Act.

(2) In the case of each registered student aged 16, 17, 18 or 19, the following information—

- (a) the student’s gender, date of birth and surname followed by first name or, if more than one, each first name;
- (b) the relevant entry level or level 1, 2, 3 qualification and subject taken and the grade or, where applicable, the level achieved;
- (c) the information year in which the student was entered for the relevant entry level or level 1, 2, 3 qualification and the awarding body for that qualification;
- (d) each approved relevant qualification taken by the student at the fourth key stage and the grade or, where applicable, the level achieved by the student; and
- (e) each course of study taken by the student leading to a qualification to which Part 7 of the Apprenticeships, Skills, Children and Learning Act 2009 applies which is awarded or authenticated by a body which is recognised by the Office of Qualifications and Examinations Regulation under section 132 of that Act in respect of the qualification, including—
  - (i) the qualification identification number;
  - (ii) the date on which each course of study started;
  - (iii) the planned and actual end date of each course of study;
  - (iv) information on which course of study is identified as the core course of study;
  - (v) information on whether each course of study is or was eligible for funding by the Secretary of State;
  - (vi) the name of the educational institution, work-based learning provider or learning provider recording the course of study; and
  - (vii) the outcome of the course of study.

(3) In sub-paragraph 1(2)(d), the reference to an approved relevant qualification is to any qualification which has been approved for use by pupils of compulsory school age under section 98 of the 2000 Act for the purposes of section 96 of that Act.

## PART 3

### Student information: general

1. The name, address and telephone number of the educational institution, work-based learning provider or the learning provider attended by the student.

2. The number of students—

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(15) “Entry level”, “level 1, 2 and 3” qualifications are provided for by Annex E of the Regulatory Arrangements for the Qualifications and Credit Framework, published in August 2008, and available at the following link: [https://www.gov.uk/government/uploads/system/uploads/attachment\\_data/file/371294/2008-08-15-regulatory-arrangements-qcf-august08.pdf](https://www.gov.uk/government/uploads/system/uploads/attachment_data/file/371294/2008-08-15-regulatory-arrangements-qcf-august08.pdf)

- (a) enrolled or registered with the educational institution or learning provider at the start of the spring term of the reporting information year; or
  - (b) undertaking work with the work-based learning provider at the start of the spring term of the reporting information year.
3. The age range of the students enrolled or registered at the educational institution or learning provider or undertaking work with the work-based learning provider in the reporting information year.
4. The number of students enrolled or registered at the educational institution or learning provider or undertaking work with the work-based learning provider aged 16, 17, 18 or 19 in the reporting information year.
5. The classification of the provider as a—
- (a) further education institution;
  - (b) 16 to 19 Academy;
  - (c) work-based learning provider; or
  - (d) learning provider.
6. The numbers of male and female students enrolled or registered with the educational institution or learning provider or undertaking work with the work-based learning provider in the reporting information year.

## SCHEDULE 2

Regulation 5

### Prescribed persons and prescribed categories of person

## PART 1

### Information provided by the Secretary of State or an information collator

#### CHAPTER 1

#### Prescribed Persons

- 1.—(1) the Joint Council for Qualifications;
- (2) the Office for Standards in Education, Children’s Services and Skills;
  - (3) Her Majesty’s Chief Inspector of Education and Training in Wales(16);
  - (4) the Higher Education Funding Council for England(17);
  - (5) the Higher Education Funding Council for Wales(18);
  - (6) a relevant local authority;
  - (7) the governing body or proprietor of the relevant school;

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(16) Her Majesty’s Chief Inspector of Education and Training in Wales is appointed by Order in Council under section 19 of the Education Act 2005 (c.18).

(17) The Higher Education Funding Council for England is a Non-Ministerial Department established under section 62 of, and Schedule 1 to, the Further and Higher Education Act 1992 (c. 13).

(18) The Higher Education Funding Council for Wales is a Non-Ministerial Department established under section 62 of, and Schedule 1 to, the Further and Higher Education Act 1992 (c. 13).

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- (8) where a school is designated under section 69(3) of the School Standards and Framework Act 1998 as having a religious character—
- (a) in the case of a school designated as Church of England or Roman Catholic, the appropriate diocesan authority;
  - (b) in the case of a school designated as Jewish, the Jewish Studies Education Inspection Service;
  - (c) in the case of a school designated as Methodist, the Education Secretary to the Methodist Church;
  - (d) in the case of a school designated as Muslim, the Association of Muslim Schools;
  - (e) in the case of a school designated as Sikh, the Network of Sikh Organisations; or
  - (f) in the case of a school designated as Seventh Day Adventist, the Education Department of the British Union Conference of the Seventh Day Adventists;
- (9) the States of Guernsey Education Department;
- (10) the States of Jersey Education Department;
- (11) the Isle of Man Department of Education;
- (12) the Welsh Ministers;
- (13) the Student Loans Company Limited<sup>(19)</sup>;
- (14) the University and Colleges Admissions Service<sup>(20)</sup>;
- (15) the Higher Education Statistics Agency<sup>(21)</sup>;
- (16) any body which is recognised by the Office of Qualifications and Examinations Regulation under section 132 of the 2009 Act in respect of the award or authentication of a specified qualification or description of qualification to which Part 7 of that Act applies;
- (17) the Office of Qualifications and Examinations Regulation;
- (18) the Further Education Commissioner; and
- (19) the Sixth-Form Commissioner.

## CHAPTER 2

### Prescribed categories of person

- 2.—(1) institutions within the further education sector or 16 to 19 Academies;
- (2) work-based learning providers;
  - (3) learning providers; and
  - (4) persons who, for the purpose of promoting the education or well-being of students in England are—
    - (a) conducting research or analysis,
    - (b) producing statistics, or
    - (c) providing information, advice or guidance,
- and who require student information for that purpose.

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<sup>(19)</sup> The Student Loans Company Limited is a company limited by shares registered in England No. 2401034.

<sup>(20)</sup> The University and Colleges Admissions Service is a company limited by guarantee registered in England No. 2839815.

<sup>(21)</sup> The Higher Education Statistics Agency is a company limited by guarantee registered in England No. 2766993.

## PART 2

### Information provided by any person other than the Secretary of State or an information collator

#### CHAPTER 1

##### Prescribed Persons

- 1.—(1) the Joint Council for Qualifications;
- (2) the Office for Standards in Education, Children’s Services and Skills;
- (3) a relevant local authority;
- (4) the governing body or proprietor of the relevant school;
- (5) where a school is designated under section 69(3) of the School Standards and Framework Act 1998 as having a religious character—
  - (a) in the case of a school designated as Church of England or Roman Catholic, the appropriate diocesan authority;
  - (b) in the case of a school designated as Jewish, the Jewish Studies Education Inspection Service;
  - (c) in the case of a school designated as Methodist, the Education Secretary to the Methodist Church;
  - (d) in the case of a school designated as Muslim, the Association of Muslim Schools;
  - (e) in the case of a school designated as Sikh, the Network of Sikh Organisations; or
  - (f) in the case of a school designated as Seventh Day Adventist, the Education Department of the British Union Conference of the Seventh Day Adventists;
- (6) the Welsh Ministers;
- (7) any body which is recognised by the Office of Qualifications and Examinations Regulation under section 132 of the 2009 Act in respect of the award or authentication of a specified qualification or description of qualification to which Part 7 of that Act applies;
- (8) the Office of Qualifications and Examinations Regulation;
- (9) the Further Education Commissioner; and
- (10) the Sixth-Form Commissioner.

#### CHAPTER 2

##### Prescribed categories of person

- 2.—(1) institutions within the further education sector or 16 to 19 Academies;
- (2) work-based learning providers; and
- (3) learning providers.

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## EXPLANATORY NOTE

*(This note is not part of the Regulations)*

For the purposes of section 253A of the Apprenticeships, Skills, Children and Learning Act 2009, these Regulations prescribe the persons and categories of person to whom a person in England may provide student information, in addition to the Secretary of State and an information collator. These Regulations also prescribe the types of student information which a person in England may provide. Schedule 1 contains three lists of such information: in relation to the fourth key stage (Part 1); 16 to 19 Study Programmes (Part 2); and general information (Part 3).

Part 1 of Schedule 2 contains a list of prescribed persons and prescribed categories of person to whom the Secretary of State or an information collator may provide student information and Part 2 of Schedule 2 contains a list of prescribed persons and prescribed categories of person to whom any person (other than the Secretary of State or an information collator) may provide student information.

The Regulations further prescribe the circumstances in which a person in England may provide student information to a prescribed person or prescribed category of person, in addition to the Secretary of State or an information collator.

An impact assessment has not been produced for this instrument as no, or no significant, impact on the private, voluntary or public sectors is foreseen.