STATUTORY INSTRUMENTS

2015 No. 1879

The National Health Service (Personal Medical Services Agreements) Regulations 2015

PART 5

Agreements: required terms

[F1Disclosure of information about NHS earnings: contractors and sub-contractors

- **21A.**—(1) An agreement which is with a person falling within section 93(1)(b) to (e) of the Act must include the term specified in paragraph (2).
- (2) The term is a term which requires the contractor to comply with the disclosure obligation for each relevant financial year in which—
 - (a) they are a contractor, and
 - (b) their NHS earnings exceed the relevant threshold.
 - (3) For the purposes of this regulation—
 - (a) the disclosure obligation, in relation to a relevant financial year, is the requirement for an individual ("I") to submit the following information for publication to [F2NHS England] by the disclosure date—
 - (i) I's name,
 - (ii) I's job title,
 - (iii) the details of each organisation from which I has derived NHS earnings in that financial year, and
 - (iv) the amount of I's NHS earnings for that financial year;
 - (b) F3... "relevant financial year" means a financial year ending—
 - (i) on or after 31st March [F42022], but
 - (ii) on or before 31st March 2024;

(c) "relevant threshold" means—
^{F6} (i) · · · · · · · · · · · · · · · · · · ·
^{F7} (ii)

- (iii) for the financial year ending on 31st March 2022, £156,000;
- (iv) for the financial year ending on 31st March 2023, £159,000;
- (v) for the financial year ending on 31st March 2024, £163,000.

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- [^{F8}(4) For the purposes of paragraph (3)(a) "the disclosure date", in relation to a relevant financial year, is 30th April in the financial year which begins immediately after the end of the next financial year.]
- (5) For the purposes of paragraph (4) "the next financial year", in relation to a financial year ("FY1"), is the financial year which begins immediately after the end of FY1 F9....
- (6) An agreement must also include a term which prevents the contractor from sub-contracting any of its obligations to provide clinical services under the agreement unless—
 - (a) where the sub-contractor is an individual, the sub-contract entered into by the contractor requires the individual to comply with the disclosure obligation for each relevant financial year in which the individual's NHS earnings exceed the relevant threshold;
 - (b) where the sub-contractor is a partnership, the sub-contract entered into by the contractor requires each sub-contractor partnership member of that partnership to comply with the disclosure obligation for each relevant financial year in which the sub-contractor partnership member's NHS earnings exceed the relevant financial threshold;
 - (c) in all cases, the sub-contract prohibits the sub-contractor ("S") from sub-contracting, where permitted by paragraph 43(4A) of Schedule 2, any of the clinical services S has agreed with the contractor to provide under the sub-contract unless—
 - (i) where the sub-contractor is an individual ("I"), the sub-contract entered into by S requires I to comply with the disclosure obligation in relation to each financial year in which I's NHS earnings exceed the relevant threshold;
 - (ii) where the sub-contractor is a partnership, the sub-contract entered into by S requires each sub-contractor partnership member in that partnership to comply with the disclosure obligation in relation to each relevant financial year in which the sub-contractor partnership member's NHS earnings exceed the relevant threshold.
- (7) An agreement must also include a term requiring the contractor to use reasonable endeavours to ensure that any relevant sub-contract is amended to contain the terms specified in paragraph (9).
 - (8) For the purposes of paragraph (7) "relevant sub-contract" means a sub-contract—
 - (a) for the provision of any of the clinical services which the contractor is required to provide under the agreement by any other person, and
 - (b) which is in force at the time when [F10]the term in paragraph (7) is incorporated into the contract].
 - (9) The terms are—
 - (a) a term which requires—
 - (i) the subcontractor ("S"), where S is an individual, or
 - (ii) each sub-contractor partnership member, where S is a partnership,
 - to comply with the disclosure obligation for each relevant financial year in which the individual's, or as the case may be, sub-contractor partnership member's NHS earnings exceed the relevant threshold, ^{F11}...
 - (b) a term which prevents S from sub-contracting obligations to provide clinical services under the contract, where permitted by paragraph 43(4A) of Schedule 2, unless—
 - (i) where the sub-contractor is an individual ("I"), the sub-contract entered into by S requires I to comply with the disclosure obligation in relation to each financial year in which I's [F12NHS] earnings exceed the relevant threshold;
 - (ii) where the sub-contractor is a partnership, the sub-contract entered into by S requires each sub-contractor partnership member to comply with the disclosure obligation

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in relation to each relevant financial year in which the sub-contractor partnership member's NHS earnings exceed the relevant threshold [F13, and

- (c) a term which requires S to use reasonable endeavours to ensure that any sub-contract entered into before the term in sub-paragraph (b) was incorporated into that sub-contract is amended to—
 - (i) include the term in paragraph (i) of sub-paragraph (b) in a sub-contract between S and I, and
 - (ii) include the term in paragraph (ii) of sub-paragraph (b) in a sub-contract between S and a partnership.]
- (10) Nothing in paragraph (6), (7) or (9) requires any individual to comply with the disclosure obligation for any relevant financial year which—
 - (a) ends before the individual or partnership (as the case may be) enters into a sub-contract with the contractor or a sub-contractor;
 - (b) begins after the individual's or, as the case may be, partnership's sub-contract with the contractor or sub-contractor has terminated.
 - (11) In this regulation—

"locum practitioner" has the meaning given in Schedule 15 to the National Health Service Pension Scheme Regulations 2015;

"NHS earnings" has the meaning given in regulation 27B;

"sub-contractor" means a person to whom any rights or duties under the contract in relation to clinical matters are, or have been, sub-contracted under paragraph 44(1) of Schedule 3, and includes an individual who is a locum practitioner;

"sub-contractor partnership member", in relation to a sub-contractor who is a partnership, means an individual who is a partner in that partnership.]

Textual Amendments

- F1 Regs. 21A, 21B inserted (1.10.2021) by The National Health Service (General Medical Services Contracts and Personal Medical Services Agreements) (Amendment) (No. 2) Regulations 2021 (S.I. 2021/995), reg. 1(2), Sch. 2 para. 1
- Words in reg. 21A(3)(a) substituted (1.2.2023) by The Health and Social Care Information Centre (Transfer of Functions, Abolition and Transitional Provisions) Regulations 2023 (S.I. 2023/98), reg. 1(2), Sch. para. 53(3) (with reg. 3)
- **F3** Words in reg. 21A(3)(b) omitted (1.10.2022) by virtue of The National Health Service (General Medical Services Contracts and Personal Medical Services Agreements) (Amendment) (No. 3) Regulations 2022 (S.I. 2022/935), reg. 1(b), **Sch. 2 para. 9(1)(i)**
- **F4** Word in reg. 21A(3)(b)(i) substituted (1.10.2022) by The National Health Service (General Medical Services Contracts and Personal Medical Services Agreements) (Amendment) (No. 3) Regulations 2022 (S.I. 2022/935), reg. 1(b), **Sch. 2 para. 9(1)(ii)**
- F5 Reg. 21A(3)(ba) omitted (1.10.2022) by virtue of The National Health Service (General Medical Services Contracts and Personal Medical Services Agreements) (Amendment) (No. 3) Regulations 2022 (S.I. 2022/935), reg. 1(b), Sch. 2 para. 9(1)(iii)
- **F6** Reg. 21A(3)(c)(i) omitted (1.10.2022) by virtue of The National Health Service (General Medical Services Contracts and Personal Medical Services Agreements) (Amendment) (No. 3) Regulations 2022 (S.I. 2022/935), reg. 1(b), **Sch. 2 para. 9(1)(iv)**
- F7 Reg. 21A(3)(c)(ii) omitted (27.4.2022) by virtue of The National Health Service (General Medical Services Contracts and Personal Medical Services Agreements) (Amendment) Regulations 2022 (S.I. 2022/404), regs. 1(2), 3(2)(a)(iii)

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- F8 Reg. 21A(4) substituted (1.10.2022) by The National Health Service (General Medical Services Contracts and Personal Medical Services Agreements) (Amendment) (No. 3) Regulations 2022 (S.I. 2022/935), reg. 1(b), Sch. 2 para. 9(2)
- **F9** Words in reg. 21A(5) omitted (27.4.2022) by virtue of The National Health Service (General Medical Services Contracts and Personal Medical Services Agreements) (Amendment) Regulations 2022 (S.I. 2022/404), regs. 1(2), 3(2)(c)
- F10 Words in reg. 21A(8)(b) substituted (1.10.2022) by The National Health Service (General Medical Services Contracts and Personal Medical Services Agreements) (Amendment) (No. 3) Regulations 2022 (S.I. 2022/935), reg. 1(b), Sch. 2 para. 9(3)
- F11 Word in reg. 21A(9)(a) omitted (1.10.2022) by virtue of The National Health Service (General Medical Services Contracts and Personal Medical Services Agreements) (Amendment) (No. 3) Regulations 2022 (S.I. 2022/935), reg. 1(b), Sch. 2 para. 9(4)
- F12 Word in reg. 21A(9)(b)(i) inserted (1.10.2022) by The National Health Service (General Medical Services Contracts and Personal Medical Services Agreements) (Amendment) (No. 3) Regulations 2022 (S.I. 2022/935), reg. 1(b), Sch. 2 para. 9(5)
- F13 Reg. 21A(9)(c) and word inserted (1.10.2022) by The National Health Service (General Medical Services Contracts and Personal Medical Services Agreements) (Amendment) (No. 3) Regulations 2022 (S.I. 2022/935), reg. 1(b), Sch. 2 para. 9(6)

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Changes and effects yet to be applied to the whole Instrument associated Parts and Chapters:

Whole provisions yet to be inserted into this Instrument (including any effects on those provisions):

- Sch. 2 para. 3(3)(4) inserted by S.I. 2024/575 Sch. 2 para. 7(a)(ii)
- Sch. 2 para. 5(4)(c) inserted by S.I. 2024/575 Sch. 2 para. 8(b)(iii)
- Sch. 2 para. 10B and cross-heading inserted by S.I. 2024/575 Sch. 2 para. 9
- Sch. 2 para. 17(3)(3A) substituted for Sch. 2 para. 17(3) by S.I. 2024/575 Sch. 2 para. 10
- reg. 21AA(10)(a)(b) words in reg. 21AA(10) renumbered as reg. 21AA(10)(a)(b) by S.I. 2023/436 Sch. 2 para. 3(2) (This amendment not applied to Legislation.gov.uk
 S.I. 2023/436 revoked by S.I. 2023/449, reg. 4 immediately before coming into force.)
- reg. 21AA(11)(a)(i)(ii) words in reg. 21AA(11)(a) renumbered as reg. 21AA(11)
 (a)(i)(ii) by S.I. 2023/436 Sch. 2 para. 3(3)(a) (This amendment not applied to Legislation.gov.uk S.I. 2023/436 revoked by S.I. 2023/449, reg. 4 immediately before coming into force.)
- reg. 59C(1A) inserted by S.I. 2024/575 Sch. 2 para. 3(b)