
STATUTORY INSTRUMENTS

2015 No. 1946

The Small and Medium Sized Business
(Finance Platforms) Regulations 2015

PART 5

Monitoring of compliance and enforcement

CHAPTER 8

Referral to the Tribunal

Referral to Tribunal

42. If the FCA decides to—

- (a) publish a statement under regulation 25 (public censure);
- (b) impose a penalty under regulation 26 (financial penalties);
- (c) impose a limitation on a permission under regulation 27 (limitations on permission to carry on regulated activities); or
- (d) exercise the power in regulation 29(2) (Power of FCA to require restitution) ,

the person concerned may refer the matter to the Upper Tribunal.