
STATUTORY INSTRUMENTS

2015 No. 742

The Pension Schemes Act 2015 (Transitional Provisions and Appropriate Independent Advice) Regulations 2015

Form of confirmation of appropriate independent advice

7. Confirmation from the member or survivor that appropriate independent advice has been received must be in the form of a statement in writing from the authorised independent adviser providing the advice confirming—

- (a) that advice has been provided which is specific to the type of transaction proposed by the member or survivor;
- (b) that the adviser has permission under Part 4A of the Financial Services and Markets Act 2000^{M1}, or resulting from any other provision of that Act, to carry on the regulated activity in article 53E of the Regulated Activities Order;
- (c) the firm reference number of the company or business in which the adviser works for the purposes of authorisation from the FCA to carry on the regulated activity in article 53E of the Regulated Activities Order; and
- (d) the member's or survivor's name, and the name of the scheme in which the member or survivor has subsisting rights in respect of safeguarded benefits to which the advice given applies.

Marginal Citations

M1 2000 c.8. Part 4A was inserted by section 11 of the [Financial Services Act 2012 \(c.21\)](#).

Changes to legislation:

There are currently no known outstanding effects for the The Pension Schemes Act 2015 (Transitional Provisions and Appropriate Independent Advice) Regulations 2015, Section 7.