

## STATUTORY INSTRUMENTS

# 2016 No. 1093

## The Lifts Regulations 2016

### PART 3 U.K.

#### Conformity assessment

##### Presumption of conformity E+W+S

**46.**—(1) A lift or a safety component for lifts which is in conformity with a [<sup>F1</sup>designated] standard (or part of such a standard) <sup>F2</sup>... is to be presumed to be in conformity with the essential health and safety requirements covered by that standard (or that part of that standard).

(2) The presumption in paragraph (1) is rebuttable.

##### Extent Information

**E1** This version of this provision extends to England and Wales and Scotland only; a separate version has been created for Northern Ireland only

##### Textual Amendments

- F1** Word in [reg. 46\(1\)](#) substituted (E.W.S.) (31.12.2020) by [The Product Safety and Metrology etc. \(Amendment etc.\) \(EU Exit\) Regulations 2019 \(S.I. 2019/696\)](#), [reg. 1](#), [Sch. 22 para. 26\(a\)](#) (with [Sch. 22 para. 37](#)) (as amended by [S.I. 2020/676](#), [regs. 1\(1\), 2](#)); 2020 c. 1, [Sch. 5 para. 1\(1\)](#)
- F2** Words in [reg. 46\(1\)](#) omitted (E.W.S.) (31.12.2020) by virtue of [The Product Safety and Metrology etc. \(Amendment etc.\) \(EU Exit\) Regulations 2019 \(S.I. 2019/696\)](#), [reg. 1](#), [Sch. 22 para. 26\(b\)](#) (with [Sch. 22 para. 37](#)) (as amended by [S.I. 2020/676](#), [regs. 1\(1\), 2](#)); 2020 c. 1, [Sch. 5 para. 1\(1\)](#)

##### Presumption of conformity N.I.

**46.**—(1) A lift or a safety component for lifts which is in conformity with a harmonised standard (or part of such a standard) the reference to which has been published in the Official Journal is to be presumed to be in conformity with the essential health and safety requirements covered by that standard (or that part of that standard).

(2) The presumption in paragraph (1) is rebuttable.

##### Extent Information

**E5** This version of this provision extends to Northern Ireland only; a separate version has been created for England and Wales and Scotland only

## Conformity assessment procedures for lifts **E+W+S**

47.—(1) For the assessment of conformity of a lift, the installer must carry out one of the following procedures—

- (a) if the lift is designed and manufactured in accordance with a model lift that has undergone an [F3Type] examination set out in Part B of [F4Schedule 11]—
  - (i) final inspection for lifts set out in [F5Schedule 12];
  - (ii) conformity to type based on product quality assurance for lifts set out in [F6Schedule 17];
  - (iii) conformity to type based on production quality assurance for lifts set out in [F7Schedule 19];
- (b) if the lift is designed and manufactured under a quality assurance system approved in accordance with [F8Schedule 18]—
  - (i) final inspection for lifts set out in [F9Schedule 12];
  - (ii) conformity to type based on product quality assurance for lifts set out in [F10Schedule 17];
  - (iii) conformity to type based on production quality assurance for lifts set out in [F11Schedule 19];
- (c) conformity based on unit verification for lifts set out in [F12Schedule 15];
- (d) conformity based on full quality assurance plus design examination for lifts set out in [F13Schedule 18].

(2) Where one of the procedures in paragraph (1)(a) or (1)(b) is carried out and the person responsible for the design and manufacture of the lift and the person responsible for the installation and testing of the lift are not the same person, the former must supply to the latter all the necessary documents and information to enable the latter to ensure the correct and safe installation and testing of the lift.

(3) Where one of the procedures in paragraph (1)(a) is carried out, the installer must ensure that all permitted variations between the model lift and the lifts derived from the model lift are clearly specified (with maximum and minimum values) in the technical documentation referred to in regulation 7(b).

(4) When using the [F14any of the procedures in paragraph (1)], in order to demonstrate the conformity of a lift with the essential health and safety requirements, the installer may demonstrate the similarity of a range of equipment—

- (a) by calculation;
- (b) on the basis of design plans; or
- (c) using both of the methods specified in sub-paragraphs (a) and (b).

### Extent Information

- E2** This version of this provision extends to England and Wales and Scotland only; a separate version has been created for Northern Ireland only

### Textual Amendments

- F3** Word in [reg. 47\(1\)\(a\)](#) substituted (E.W.S.) (31.12.2020) by [The Product Safety and Metrology etc. \(Amendment etc.\) \(EU Exit\) Regulations 2019 \(S.I. 2019/696\)](#), [reg. 1](#), [Sch. 22 para. 27\(2\)\(a\)](#) (with [Sch. 22 para. 37](#)) (as amended by [S.I. 2020/676](#), [regs. 1\(1\), 2](#); 2020 c. 1, [Sch. 5 para. 1\(1\)](#))

- F4** Words in reg. 47(1)(a) substituted (E.W.S.) (31.12.2020) by The Product Safety and Metrology etc. (Amendment etc.) (EU Exit) Regulations 2019 (S.I. 2019/696), reg. 1, **Sch. 22 para. 27(2)(b)** (with Sch. 22 para. 37) (as amended by S.I. 2020/676, regs. 1(1), 2); 2020 c. 1, Sch. 5 para. 1(1)
- F5** Words in reg. 47(1)(a)(i) substituted (E.W.S.) (31.12.2020) by The Product Safety and Metrology etc. (Amendment etc.) (EU Exit) Regulations 2019 (S.I. 2019/696), reg. 1, **Sch. 22 para. 27(2)(c)** (with Sch. 22 para. 37) (as amended by S.I. 2020/676, regs. 1(1), 2); 2020 c. 1, Sch. 5 para. 1(1)
- F6** Words in reg. 47(1)(a)(ii) substituted (E.W.S.) (31.12.2020) by The Product Safety and Metrology etc. (Amendment etc.) (EU Exit) Regulations 2019 (S.I. 2019/696), reg. 1, **Sch. 22 para. 27(2)(d)** (with Sch. 22 para. 37) (as amended by S.I. 2020/676, regs. 1(1), 2); 2020 c. 1, Sch. 5 para. 1(1)
- F7** Words in reg. 47(1)(a)(iii) substituted (E.W.S.) (31.12.2020) by The Product Safety and Metrology etc. (Amendment etc.) (EU Exit) Regulations 2019 (S.I. 2019/696), reg. 1, **Sch. 22 para. 27(2)(e)** (with Sch. 22 para. 37) (as amended by S.I. 2020/676, regs. 1(1), 2); 2020 c. 1, Sch. 5 para. 1(1)
- F8** Words in reg. 47(1)(b) substituted (E.W.S.) (31.12.2020) by The Product Safety and Metrology etc. (Amendment etc.) (EU Exit) Regulations 2019 (S.I. 2019/696), reg. 1, **Sch. 22 para. 27(3)(a)** (with Sch. 22 para. 37) (as amended by S.I. 2020/676, regs. 1(1), 2); 2020 c. 1, Sch. 5 para. 1(1)
- F9** Words in reg. 47(1)(b)(i) substituted (E.W.S.) (31.12.2020) by The Product Safety and Metrology etc. (Amendment etc.) (EU Exit) Regulations 2019 (S.I. 2019/696), reg. 1, **Sch. 22 para. 27(3)(b)** (with Sch. 22 para. 37) (as amended by S.I. 2020/676, regs. 1(1), 2); 2020 c. 1, Sch. 5 para. 1(1)
- F10** Words in reg. 47(1)(b)(ii) substituted (E.W.S.) (31.12.2020) by The Product Safety and Metrology etc. (Amendment etc.) (EU Exit) Regulations 2019 (S.I. 2019/696), reg. 1, **Sch. 22 para. 27(3)(c)** (with Sch. 22 para. 37) (as amended by S.I. 2020/676, regs. 1(1), 2); 2020 c. 1, Sch. 5 para. 1(1)
- F11** Words in reg. 47(1)(b)(iii) substituted (E.W.S.) (31.12.2020) by The Product Safety and Metrology etc. (Amendment etc.) (EU Exit) Regulations 2019 (S.I. 2019/696), reg. 1, **Sch. 22 para. 27(3)(d)** (with Sch. 22 para. 37) (as amended by S.I. 2020/676, regs. 1(1), 2); 2020 c. 1, Sch. 5 para. 1(1)
- F12** Words in reg. 47(1)(c) substituted (E.W.S.) (31.12.2020) by The Product Safety and Metrology etc. (Amendment etc.) (EU Exit) Regulations 2019 (S.I. 2019/696), reg. 1, **Sch. 22 para. 27(4)** (with Sch. 22 para. 37) (as amended by S.I. 2020/676, regs. 1(1), 2); 2020 c. 1, Sch. 5 para. 1(1)
- F13** Words in reg. 47(1)(d) substituted (E.W.S.) (31.12.2020) by The Product Safety and Metrology etc. (Amendment etc.) (EU Exit) Regulations 2019 (S.I. 2019/696), reg. 1, **Sch. 22 para. 27(5)** (with Sch. 22 para. 37) (as amended by S.I. 2020/676, regs. 1(1), 2); 2020 c. 1, Sch. 5 para. 1(1)
- F14** Words in reg. 47(4) substituted (21.4.2018) by The Gas Appliances (Enforcement) and Miscellaneous Amendments Regulations 2018 (S.I. 2018/389), reg. 1(1), **Sch. 6 para. 7** (with reg. 2)

## Conformity assessment procedures for lifts **N.I.**

47.—(1) For the assessment of conformity of a lift, the installer must carry out one of the following procedures—

- (a) if the lift is designed and manufactured in accordance with a model lift that has undergone an EU-type examination set out in Part B of Annex IV to the Directive (as amended from time to time)—
  - (i) final inspection for lifts set out in Annex V to the Directive (as amended from time to time);
  - (ii) conformity to type based on product quality assurance for lifts set out in Annex X to the Directive (as amended from time to time);
  - (iii) conformity to type based on production quality assurance for lifts set out in Annex XII to the Directive (as amended from time to time);
- (b) if the lift is designed and manufactured under a quality assurance system approved in accordance with Annex XI to the Directive (as amended from time to time)—
  - (i) final inspection for lifts set out in Annex V to the Directive (as amended from time to time);

- (ii) conformity to type based on product quality assurance for lifts set out in Annex X to the Directive (as amended from time to time);
  - (iii) conformity to type based on production quality assurance for lifts set out in Annex XII to the Directive (as amended from time to time);
  - (c) conformity based on unit verification for lifts set out in Annex VIII to the Directive (as amended from time to time);
  - (d) conformity based on full quality assurance plus design examination for lifts set out in Annex XI to the Directive (as amended from time to time).
- (2) Where one of the procedures in paragraph (1)(a) or (1)(b) is carried out and the person responsible for the design and manufacture of the lift and the person responsible for the installation and testing of the lift are not the same person, the former must supply to the latter all the necessary documents and information to enable the latter to ensure the correct and safe installation and testing of the lift.
- (3) Where one of the procedures in paragraph (1)(a) is carried out, the installer must ensure that all permitted variations between the model lift and the lifts derived from the model lift are clearly specified (with maximum and minimum values) in the technical documentation referred to in regulation 7(b).
- (4) When using the <sup>F42</sup>any of the procedures in paragraph (1)], in order to demonstrate the conformity of a lift with the essential health and safety requirements, the installer may demonstrate the similarity of a range of equipment—
- (a) by calculation;
  - (b) on the basis of design plans; or
  - (c) using both of the methods specified in sub-paragraphs (a) and (b).

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**Extent Information**

**E6** This version of this provision extends to Northern Ireland only; a separate version has been created for England and Wales and Scotland only

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**Textual Amendments**

**F42** Words in reg. 47(4) substituted (21.4.2018) by [The Gas Appliances \(Enforcement\) and Miscellaneous Amendments Regulations 2018 \(S.I. 2018/389\)](#), reg. 1(1), **Sch. 6 para. 7** (with reg. 2)

**Conformity assessment procedures for safety components for lifts** **E+W+S**

**48.** For the assessment of conformity of a safety component for lifts, the manufacturer must carry out one of the following procedures—

- (a) the model of the safety component for lifts must be submitted for <sup>F15</sup>Type] examination set out in Part A of <sup>F16</sup>Schedule 11] and the conformity to type must be ensured with random checking of the safety component for lifts set out in <sup>F17</sup>Schedule 16];
- (b) the model of the safety component for lifts must be submitted for <sup>F15</sup>Type] examination set out in Part A of <sup>F18</sup>Schedule 11] and be subject to conformity to type based on product quality assurance in accordance with <sup>F19</sup>Schedule 13];
- (c) conformity based on full quality assurance set out in <sup>F20</sup>Schedule 14].

**Extent Information**

- E3** This version of this provision extends to England and Wales and Scotland only; a separate version has been created for Northern Ireland only

**Textual Amendments**

- F15** Word in [reg. 48](#) substituted (E.W.S.) (31.12.2020) by [The Product Safety and Metrology etc. \(Amendment etc.\) \(EU Exit\) Regulations 2019](#) (S.I. 2019/696), [reg. 1](#), [Sch. 22 para. 28\(a\)](#) (with [Sch. 22 para. 37](#)) (as amended by [S.I. 2020/676](#), [regs. 1\(1\), 2](#)); 2020 c. 1, [Sch. 5 para. 1\(1\)](#)
- F16** Words in [reg. 48\(a\)](#) substituted (E.W.S.) (31.12.2020) by [The Product Safety and Metrology etc. \(Amendment etc.\) \(EU Exit\) Regulations 2019](#) (S.I. 2019/696), [reg. 1](#), [Sch. 22 para. 28\(b\)\(i\)](#) (with [Sch. 22 para. 37](#)) (as amended by [S.I. 2020/676](#), [regs. 1\(1\), 2](#)); 2020 c. 1, [Sch. 5 para. 1\(1\)](#)
- F17** Words in [reg. 48\(a\)](#) substituted (E.W.S.) (31.12.2020) by [The Product Safety and Metrology etc. \(Amendment etc.\) \(EU Exit\) Regulations 2019](#) (S.I. 2019/696), [reg. 1](#), [Sch. 22 para. 28\(b\)\(ii\)](#) (with [Sch. 22 para. 37](#)) (as amended by [S.I. 2020/676](#), [regs. 1\(1\), 2](#)); 2020 c. 1, [Sch. 5 para. 1\(1\)](#)
- F18** Words in [reg. 48\(b\)](#) substituted (E.W.S.) (31.12.2020) by [The Product Safety and Metrology etc. \(Amendment etc.\) \(EU Exit\) Regulations 2019](#) (S.I. 2019/696), [reg. 1](#), [Sch. 22 para. 28\(c\)\(i\)](#) (with [Sch. 22 para. 37](#)) (as amended by [S.I. 2020/676](#), [regs. 1\(1\), 2](#)); 2020 c. 1, [Sch. 5 para. 1\(1\)](#)
- F19** Words in [reg. 48\(b\)](#) substituted (E.W.S.) (31.12.2020) by [The Product Safety and Metrology etc. \(Amendment etc.\) \(EU Exit\) Regulations 2019](#) (S.I. 2019/696), [reg. 1](#), [Sch. 22 para. 28\(c\)\(ii\)](#) (with [Sch. 22 para. 37](#)) (as amended by [S.I. 2020/676](#), [regs. 1\(1\), 2](#)); 2020 c. 1, [Sch. 5 para. 1\(1\)](#)
- F20** Words in [reg. 48\(c\)](#) substituted (E.W.S.) (31.12.2020) by [The Product Safety and Metrology etc. \(Amendment etc.\) \(EU Exit\) Regulations 2019](#) (S.I. 2019/696), [reg. 1](#), [Sch. 22 para. 28\(d\)](#) (with [Sch. 22 para. 37](#)) (as amended by [S.I. 2020/676](#), [regs. 1\(1\), 2](#)); 2020 c. 1, [Sch. 5 para. 1\(1\)](#)

**Conformity assessment procedures for safety components for lifts** **N.I.**

**48.** For the assessment of conformity of a safety component for lifts, the manufacturer must carry out one of the following procedures—

- (a) the model of the safety component for lifts must be submitted for EU type examination set out in Part A of Annex IV to the Directive (as amended from time to time) and the conformity to type must be ensured with random checking of the safety component for lifts set out in Annex IX to the Directive (as amended from time to time);
- (b) the model of the safety component for lifts must be submitted for EU type examination set out in Part A of Annex IV to the Directive (as amended from time to time) and be subject to conformity to type based on product quality assurance in accordance with Annex VI to the Directive (as amended from time to time);
- (c) conformity based on full quality assurance set out in Annex VII to the Directive (as amended from time to time).

**Extent Information**

- E7** This version of this provision extends to Northern Ireland only; a separate version has been created for England and Wales and Scotland only

**[<sup>F21</sup>Declaration] of conformity** **E+W+S**

**49.** The <sup>F22</sup>...declaration of conformity for a lift or a safety component for lifts must—

- (a) state that the fulfilment of the essential health and safety requirements has been demonstrated in respect of the lift or the safety component for lifts;
- (b) contain the elements specified in [F23Schedules 12 to 19] for the relevant conformity assessment procedure carried out in respect of the lift or the safety component for lifts;
- (c) have the model structure set out—
  - (i) in relation to lifts, in Part 1 of Schedule 5 (F22... declaration of conformity);
  - (ii) in relation to safety components for lifts, in Part 2 of Schedule 5.

#### Extent Information

- E4** This version of this provision extends to England and Wales and Scotland only; a separate version has been created for Northern Ireland only

#### Textual Amendments

- F21** Word in reg. 49 heading substituted (E.W.S.) (31.12.2020) by [The Product Safety and Metrology etc. \(Amendment etc.\) \(EU Exit\) Regulations 2019 \(S.I. 2019/696\)](#), reg. 1, **Sch. 22 para. 29(a)** (with Sch. 22 para. 37) (as amended by S.I. 2020/676, regs. 1(1), 2); 2020 c. 1, Sch. 5 para. 1(1)
- F22** Word in reg. 49 omitted (E.W.S.) (31.12.2020) by virtue of [The Product Safety and Metrology etc. \(Amendment etc.\) \(EU Exit\) Regulations 2019 \(S.I. 2019/696\)](#), reg. 1, **Sch. 22 para. 29(b)** (with Sch. 22 para. 37) (as amended by S.I. 2020/676, regs. 1(1), 2); 2020 c. 1, Sch. 5 para. 1(1)
- F23** Words in reg. 49(b) substituted (E.W.S.) (31.12.2020) by [The Product Safety and Metrology etc. \(Amendment etc.\) \(EU Exit\) Regulations 2019 \(S.I. 2019/696\)](#), reg. 1, **Sch. 22 para. 29(c)** (with Sch. 22 para. 37) (as amended by S.I. 2020/676, regs. 1(1), 2); 2020 c. 1, Sch. 5 para. 1(1)

#### EU declaration of conformity **N.I.**

- 49.** The EU declaration of conformity for a lift or a safety component for lifts must—
- (a) state that the fulfilment of the essential health and safety requirements has been demonstrated in respect of the lift or the safety component for lifts;
  - (b) contain the elements specified in Annexes V to XII to the Directive (as amended from time to time) for the relevant conformity assessment procedure carried out in respect of the lift or the safety component for lifts;
  - (c) have the model structure set out—
    - (i) in relation to lifts, in Part 1 of Schedule 5 (EU declaration of conformity);
    - (ii) in relation to safety components for lifts, in Part 2 of Schedule 5.

#### Extent Information

- E8** This version of this provision extends to Northern Ireland only; a separate version has been created for England and Wales and Scotland only

#### [F24UK] marking **E+W+S**

- 50.**—[F25(1) The UK marking must be affixed visibly, legibly, and indelibly—
- (a) to the lift carrier;
  - (b) to the safety component for lifts; or
  - (c) where paragraph (1A) applies, to—

- (i) a label affixed to the lift carrier or the safety component; or
- (ii) to a document accompanying the lift or the safety component.]

[<sup>F26</sup>(1A) For a period of [<sup>F27</sup>seven years] beginning with IP completion day, the UK marking may be affixed to—

- (a) a label affixed to the lift carrier or the safety component; or
- (b) to a document accompanying the lift or the safety component.]

(2) Where [<sup>F28</sup> paragraph (1A) does not apply and] it is not possible, on account of the nature of a safety component for lifts, to affix the [<sup>F29</sup>UK ] marking in accordance with paragraph (1), the [<sup>F29</sup>UK ] marking must be affixed to a label inseparably attached to the safety component for lifts.

(3) The [<sup>F30</sup>UK] marking [<sup>F31</sup> in respect of a ] lift must be followed by the identification number of the [<sup>F32</sup>approved ] body involved in any of the following conformity assessment procedures—

- (a) the final inspection referred to in [<sup>F33</sup>Schedule 12];
- (b) unit verification referred to in [<sup>F34</sup> Schedule 15];
- (c) quality assurance referred to in [<sup>F35</sup>Schedules 17, 18 or 19 ].

(4) The [<sup>F36</sup> UK ] marking [<sup>F37</sup>in respect of a safety] component for lifts must be followed by the identification number of the [<sup>F32</sup>approved ] body involved in any of the following conformity assessment procedures—

- (a) product quality assurance referred to in [<sup>F38</sup>Schedule 13];
- (b) full quality assurance referred to in [<sup>F39</sup>Schedule 14] ;
- (c) conformity to type with random checking for safety components for lifts referred to in [<sup>F40</sup>Schedule 16 ] .

(5) The identification number of the [<sup>F32</sup>approved ] body must be affixed—

- (a) by the notified body itself; or
- (b) under the instructions of the [<sup>F32</sup>approved ] body, by the installer or the installer's authorised representative or by the manufacturer or the manufacturer's authorised representative.

### Textual Amendments

- F24** Word in [reg. 50 heading](#) substituted (E.W.S.) (31.12.2020) by [The Product Safety and Metrology etc. \(Amendment etc.\) \(EU Exit\) Regulations 2019 \(S.I. 2019/696\)](#), [reg. 1, Sch. 22 para. 30\(2\)](#) (with [Sch. 22 para. 37](#)) (as amended by [S.I. 2020/676](#), [regs. 1\(1\), 2](#)); 2020 c. 1, [Sch. 5 para. 1\(1\)](#)
- F25** [Reg. 50\(1\)](#) substituted (E.W.S.) (31.12.2020) by [The Product Safety and Metrology etc. \(Amendment etc.\) \(EU Exit\) Regulations 2019 \(S.I. 2019/696\)](#), [reg. 1, Sch. 22 para. 30\(3\)](#) (as substituted by [S.I. 2020/1460](#), [reg. 1\(4\), Sch. 3 para. 14\(4\)\(a\)](#)); 2020 c. 1, [Sch. 5 para. 1\(1\)](#)
- F26** [Reg. 50\(1A\)](#) inserted (E.W.S.) (31.12.2020) by [The Product Safety and Metrology etc. \(Amendment etc.\) \(EU Exit\) Regulations 2019 \(S.I. 2019/696\)](#), [reg. 1, Sch. 22 para. 30\(3A\)](#) (as inserted by [S.I. 2020/1460](#), [reg. 1\(4\), Sch. 3 para. 14\(4\)\(b\)](#)); 2020 c. 1, [Sch. 5 para. 1\(1\)](#)
- F27** Words in [reg. 50\(1A\)](#) substituted (E.W.S.) (31.12.2022) by [The Product Safety and Metrology \(Amendment and Transitional Provisions\) Regulations 2022 \(S.I. 2022/1393\)](#), [regs. 1\(1\), 3, Sch. 2 \(k\)](#)
- F28** Words in [reg. 50\(2\)](#) inserted (E.W.S.) (31.12.2020) by [The Product Safety and Metrology etc. \(Amendment etc.\) \(EU Exit\) Regulations 2019 \(S.I. 2019/696\)](#), [reg. 1, Sch. 22 para. 30\(3C\)](#) (as inserted by [S.I. 2020/1460](#), [reg. 1\(4\), Sch. 3 para. 14\(4\)\(b\)](#)); 2020 c. 1, [Sch. 5 para. 1\(1\)](#)
- F29** Word in [reg. 50\(2\)](#) substituted (E.W.S.) (31.12.2020) by [The Product Safety and Metrology etc. \(Amendment etc.\) \(EU Exit\) Regulations 2019 \(S.I. 2019/696\)](#), [reg. 1, Sch. 22 para. 30\(3B\)\(a\)](#) (as inserted by [S.I. 2020/1460](#), [reg. 1\(4\), Sch. 3 para. 14\(4\)\(b\)](#)); 2020 c. 1, [Sch. 5 para. 1\(1\)](#)

- F30** Word in reg. 50(3) substituted (E.W.S.) (31.12.2020) by The Product Safety and Metrology etc. (Amendment etc.) (EU Exit) Regulations 2019 (S.I. 2019/696), reg. 1, **Sch. 22 para. 30(3B)(b)** (as inserted by S.I. 2020/1460, reg. 1(4), **Sch. 3 para. 14(4)(b)**); 2020 c. 1, **Sch. 5 para. 1(1)**
- F31** Words in reg. 50(3) substituted (E.W.S.) (31.12.2020) by The Product Safety and Metrology etc. (Amendment etc.) (EU Exit) Regulations 2019 (S.I. 2019/696), reg. 1, **Sch. 22 para. 30(3D)** (as inserted by S.I. 2020/1460, reg. 1(4), **Sch. 3 para. 14(4)(b)**); 2020 c. 1, **Sch. 5 para. 1(1)**
- F32** Word in reg. 50 substituted (E.W.S.) (31.12.2020) by The Product Safety and Metrology etc. (Amendment etc.) (EU Exit) Regulations 2019 (S.I. 2019/696), reg. 1, **Sch. 22 para. 30(4)** (with Sch. 22 para. 37) (as amended by S.I. 2020/676, regs. 1(1), 2); 2020 c. 1, Sch. 5 para. 1(1)
- F33** Words in reg. 50(3)(a) substituted (E.W.S.) (31.12.2020) by The Product Safety and Metrology etc. (Amendment etc.) (EU Exit) Regulations 2019 (S.I. 2019/696), reg. 1, **Sch. 22 para. 30(5)(a)** (with Sch. 22 para. 37) (as amended by S.I. 2020/676, regs. 1(1), 2); 2020 c. 1, Sch. 5 para. 1(1)
- F34** Words in reg. 50(3)(b) substituted (E.W.S.) (31.12.2020) by The Product Safety and Metrology etc. (Amendment etc.) (EU Exit) Regulations 2019 (S.I. 2019/696), reg. 1, **Sch. 22 para. 30(5)(b)** (with Sch. 22 para. 37) (as amended by S.I. 2020/676, regs. 1(1), 2); 2020 c. 1, Sch. 5 para. 1(1)
- F35** Words in reg. 50(3)(c) substituted (E.W.S.) (31.12.2020) by The Product Safety and Metrology etc. (Amendment etc.) (EU Exit) Regulations 2019 (S.I. 2019/696), reg. 1, **Sch. 22 para. 30(5)(c)** (with Sch. 22 para. 37) (as amended by S.I. 2020/676, regs. 1(1), 2); 2020 c. 1, Sch. 5 para. 1(1)
- F36** Word in reg. 50(4) substituted (E.W.S.) (31.12.2020) by The Product Safety and Metrology etc. (Amendment etc.) (EU Exit) Regulations 2019 (S.I. 2019/696), reg. 1, **Sch. 22 para. 30(3B)(c)** (as inserted by S.I. 2020/1460, reg. 1(4), **Sch. 3 para. 14(4)(b)**); 2020 c. 1, **Sch. 5 para. 1(1)**
- F37** Words in reg. 50(4) substituted (E.W.S.) (31.12.2020) by The Product Safety and Metrology etc. (Amendment etc.) (EU Exit) Regulations 2019 (S.I. 2019/696), reg. 1, **Sch. 22 para. 30(6)(ia)** (as inserted by S.I. 2020/1460, reg. 1(4), **Sch. 3 para. 14(4)(c)**); 2020 c. 1, **Sch. 5 para. 1(1)**
- F38** Words in reg. 50(4)(a) substituted (E.W.S.) (31.12.2020) by The Product Safety and Metrology etc. (Amendment etc.) (EU Exit) Regulations 2019 (S.I. 2019/696), reg. 1, **Sch. 22 para. 30(6)(a)** (with Sch. 22 para. 37) (as amended by S.I. 2020/676, regs. 1(1), 2); 2020 c. 1, Sch. 5 para. 1(1)
- F39** Words in reg. 50(4)(b) substituted (E.W.S.) (31.12.2020) by The Product Safety and Metrology etc. (Amendment etc.) (EU Exit) Regulations 2019 (S.I. 2019/696), reg. 1, **Sch. 22 para. 30(6)(b)** (with Sch. 22 para. 37) (as amended by S.I. 2020/676, regs. 1(1), 2); 2020 c. 1, Sch. 5 para. 1(1)
- F40** Words in reg. 50(4)(c) substituted (E.W.S.) (31.12.2020) by The Product Safety and Metrology etc. (Amendment etc.) (EU Exit) Regulations 2019 (S.I. 2019/696), reg. 1, **Sch. 22 para. 30(6)(c)** (with Sch. 22 para. 37) (as amended by S.I. 2020/676, regs. 1(1), 2); 2020 c. 1, Sch. 5 para. 1(1)

## CE marking **N.I.**

**50.**—(1) The CE marking must be affixed visibly, legibly and indelibly to the lift carrier or the safety component for lifts.

(2) Where it is not possible, on account of the nature of a safety component for lifts, to affix the CE marking in accordance with paragraph (1), the CE marking must be affixed to a label inseparably attached to the safety component for lifts.

(3) The CE marking on a lift must be followed by the identification number of the notified body involved in any of the following conformity assessment procedures—

- (a) the final inspection referred to in Annex V to the Directive (as amended from time to time);
- (b) unit verification referred to in Annex VIII to the Directive (as amended from time to time);
- (c) quality assurance referred to in Annexes X, XI or XII to the Directive (as amended from time to time).

(4) The CE marking on a safety component for lifts must be followed by the identification number of the notified body involved in any of the following conformity assessment procedures—

- (a) product quality assurance referred to in Annex VI to the Directive (as amended from time to time);
  - (b) full quality assurance referred to in Annex VII to the Directive (as amended from time to time);
  - (c) conformity to type with random checking for safety components for lifts referred to in Annex IX to the Directive (as amended from time to time).
- (5) The identification number of the notified body must be affixed—
- (a) by the notified body itself; or
  - (b) under the instructions of the notified body, by the installer or the installer's authorised representative or by the manufacturer or the manufacturer's authorised representative.

#### Extent Information

- E9** This version of this provision extends to Northern Ireland only; a separate version has been created for England and Wales and Scotland only

#### [<sup>F41</sup>UK(NI) indication **N.I.**

**50A.**—(1) Where the CE marking is affixed on the basis of an assessment or a certificate issued by a notified body established in the United Kingdom, a UK(NI) indication must be affixed in relation to the lift or safety component, in accordance with this regulation.

- (2) The UK(NI) indication must be affixed—
- (a) visibly, legibly and indelibly; and
  - (b) before a lift or safety component is placed on the market in Northern Ireland.
- (3) The UK(NI) indication must accompany the CE marking, wherever that is affixed in accordance with regulation 50.
- (4) The UK(NI) indication must be affixed by—
- (a) the manufacturer; or
  - (b) the manufacturer's authorised representative.
- (5) When placing a lift or safety component on the market in Northern Ireland, an importer must ensure that the manufacturer has complied with their obligations under this regulation.

#### Textual Amendments

- F41** Regs. 50A, 50B inserted (N.I.) (31.12.2020) by [The Product Safety and Metrology etc. \(Amendment etc.\) \(UK\(NI\) Indication\) \(EU Exit\) Regulations 2020 \(S.I. 2020/1460\)](#), reg. 1(2), **Sch. 2 para. 9(3)**

#### Register of notified bodies established in the United Kingdom **N.I.**

- 50B.**—(1) The Secretary of State must ensure that—
- (a) each notified body established in the United Kingdom is assigned an identification number; and
  - (b) there is a register of—
    - (i) notified bodies established in the United Kingdom;
    - (ii) their notified body identification number;

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**Changes to legislation:** There are currently no known outstanding effects for the The Lifts Regulations 2016, PART 3. (See end of Document for details)

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- (iii) the activities for which they have been notified;
- (iv) any restrictions on those activities.

(2) The Secretary of State must ensure that the register referred to in paragraph (1) is maintained and made publicly available.

(3) The Secretary of State may authorise the United Kingdom Accreditation Service to compile and maintain the register in accordance with paragraph (1)(b).]

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**Textual Amendments**

**F41** Regs. 50A, 50B inserted (N.I.) (31.12.2020) by [The Product Safety and Metrology etc. \(Amendment etc.\) \(UK\(NI\) Indication\) \(EU Exit\) Regulations 2020 \(S.I. 2020/1460\)](#), reg. 1(2), **Sch. 2 para. 9(3)**

**Changes to legislation:**

There are currently no known outstanding effects for the The Lifts Regulations 2016, PART 3.