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STATUTORY INSTRUMENTS

2016 No. 1152

WEIGHTS AND MEASURES

The Non-automatic Weighing Instruments Regulations 2016

Made - - - - 29th November 2016
Laid before Parliament 6th December 2016
Coming into force 28th December 2016

THE NON-AUTOMATIC WEIGHING INSTRUMENTS REGULATIONS 2016

PART 1

INTRODUCTORY

- 1. Citation commencement and extent
- 2. Interpretation
- 2A Designated standard
- 3. Application of these Regulations
- 4. Revocations and transitional and consequential provisions
- 4A Transitional provision in relation to EU Exit

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REGULATED NON-AUTOMATIC WEIGHING INSTRUMENTS – OBLIGATIONS OF ECONOMIC OPERATORS

CHAPTER 1

OBLIGATIONS OF MANUFACTURERS AND PERSONS TO BE TREATED AS MANUFACTURERS

- 5. Introductory
- 6. Manufacturers' responsibilities design, conformity assessment and marking of regulated non-automatic weighing instruments
- 7. Manufacturers' obligations in respect of records
- 8. Manufacturers' obligations to ensure continuing conformity with the essential requirements
- 9. Manufacturers' obligations in relation to the marking of regulated non-automatic weighing instruments with serial numbers etc.

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- 10. Manufacturers to mark contact details on regulated non-automatic weighing instruments
- 11. Documentation to accompany regulated non-automatic weighing instruments
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- 15. Introductory
- 16. Ensuring compliance of regulated non-automatic weighing instruments
- 17. Importers duty to notify manufacturer and market surveillance authorities of non-compliant regulated non-automatic weighing instruments that present a risk
- 18. Requirements to mark importers' details on regulated non-automatic weighing instruments
- 19. Importers' duty to ensure that regulated non-automatic weighing instruments are accompanied by relevant documentation.
- 20. Duty of importers to ensure proper conditions of storage and transport
- 21. Duties of importers with regard to monitoring etc.
- 22. Action to be taken by importers where regulated non-automatic weighing instruments placed on the market by them are not in conformity with essential requirements
- 23. Requirement for importer to keep copy of EU declaration of conformity
- 24. Provision of information to a competent authority

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OBLIGATIONS OF DISTRIBUTORS

- 25. Introductory
- 26. Distributors duty to act with due care
- 27. Distributors verification obligations
- 28. Distributors not to make non-conforming non-automatic weighing instruments available on the market etc.
- 29. Duty of distributors to ensure proper conditions of storage and transport
- 30. Action to be taken by distributors where regulated non-automatic weighing instruments placed on the market by them are not in conformity with essential requirements
- 31. Provision of information to the competent authority

CHAPTER 4

IDENTIFICATION OF ECONOMIC OPERATORS

- 32. (1) Economic operators must, on request, identify to the market...
- 32A Obligations which are met by complying with obligations in the Directive
- 32B Conformity assessment procedure obligations that are met by complying with the Directive

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- 32C Expiry of regulations 32A and 32B
- 32D Qualifying Northern Ireland Goods

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- 33. Introductory
- 34. Methods of establishing conformity with the essential requirements
- 35. Presumptions of conformity of regulated non-automatic weighing instruments
- 36. Conformity assessment procedures
- 37. Subsidiaries and contractors
- 38. Fees

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- 39. Application of Chapter
- 40. Form and contents of ... declaration of conformity etc.
- 41. Regulated instruments that require more than one declaration of conformity
- 42. Responsibility of manufacturer that draws up declaration of conformity

CHAPTER 3

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- 43. Conformity with ... requirements to be indicated by the UK marking
- 44. Prohibition on improper use of UK marking and the M marking
- 45. Rules and conditions for affixing the UK marking and the M marking etc.
- 45A UK(NI) indication
- 45B Register of notified bodies established in the United Kingdom

PART 4

REQUIREMENTS FOR NON-REGULATED NON-AUTOMATIC WEIGHING INSTRUMENTS

46. (1) This regulation applies to a non-automatic weighing instrument which...

PART 5

APPROVAL OF CONFORMITY ASSESSMENT BODIES

- 47. Approved bodies
- 48. Approval of conformity assessment bodies
- 49. Presumption of conformity of approved bodies
- 50. Monitoring
- 51. Restriction, suspension or withdrawal of approval
- 52. Subsidiaries and contractors
- 53. Register of approved bodies

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54. UK national accreditation body

PART 6

PUTTING INTO SERVICE OF REGULATED NON-AUTOMATIC WEIGHING INSTRUMENTS FOR THE PURPOSES LISTED IN REGULATION 3(2)

55. No person shall put into service a regulated non-automatic weighing...

PART 7

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56. Schedule 4 applies to the use for trade of regulated...

PART 8

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CHAPTER 1

MARKET SURVEILLANCE

- 57. The market surveillance authority
- 58. Regulated non-automatic weighing instruments presenting a risk
- 59. EU safeguard procedure
- 60. Compliant regulated non-automatic weighing instruments which present a
- 61. Provisions as to directions under regulations 58 and 60

CHAPTER 2

ENFORCEMENT PROCEDURES

- 62. Competent authorities and enforcement proceedings
- 63. Compliance notice procedure
- 64. Enforcement notice procedure
- 65. Review of decisions of a competent authorities
- 66. Offence of failing to comply with an enforcement notice
- 67. Disqualification
- 68. Re-qualification
- 69. Testing of regulated non-automatic weighing instruments
- 70. Unsuitable use of regulated non-automatic weighing instruments

PART 9

OFFENCES

- 71. Unauthorised application of authorised marks
- 72. Offences by economic operators etc.
- 73. Penalties for offences
- 74. Defence of due diligence
- 75. Liability of persons other than the principal offender

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PART 10

MISCELLANEOUS AND SUPPLEMENTAL

- 76. Service of documents etc.
- 77. Review Signature

SCHEDULE 1 — INFORMATION TO BE MARKED ON REGULATED NON-AUTOMATIC WEIGHING INSTRUMENTS

- 1. The number of the EU-type examination certificate, where appropriate.
- 2. The manufacturer's name, registered trade name or registered trade mark....
- 3. The accuracy class, enclosed in an oval or in two...
- 4. Maximum capacity, in the form "Max".
- 5. Minimum capacity, in the form "Min".
- 6. Verification scale interval in the form "e ="
- 7. Type, batch and serial number
- 8. When applicable the following: (a) for instruments consisting of separate...
- 9. The requirements of points 1.2 to 1.5 of Schedule 8...

SCHEDULE 2 — OPERATIONAL OBLIGATIONS OF APPROVED BODIES

- 1. Conformity assessment must be carried out in a proportionate manner,...
- 2. Conformity assessment bodies must perform their activities taking due account...
- 3. Where an approved body finds that the essential requirements have...
- 4. Where in the course of the monitoring of conformity following...
- 5. Where corrective measured are not taken or do not have...
- 6. Where a person is aggrieved at a decision taken by...
- 7. Approved bodies must inform the Secretary of State of the...
- 8. Approved bodies must provide other bodies approved under these Regulations...
- 9. Notified bodies must—(a) when requested by the Secretary of...

SCHEDULE 3 — APPROVED BODY REQUIREMENTS

- 1. A conformity assessment body must be established in the United...
- 2. A conformity assessment body must be a third party body...
- 3. A body belonging to a business association or professional federation...
- 4. A conformity assessment body, its top level management and the...
- 5. A conformity assessment body, its top level management and the...
- 6. Conformity assessment bodies must ensure that the activities of their...
- 7. Conformity assessment bodies and their personnel must carry out the...
- 8. A conformity assessment body must be capable of carrying out...
- 9. At all times and for each conformity assessment procedure and...
- 10. A conformity assessment body must have the means necessary to...
- 11. The personnel responsible for carrying out conformity assessment tasks
- 12. Conformity assessment bodies, their top-level management and the personal responsible...
- 13. The remuneration of the top-level management and personnel responsible for...
- 14. A conformity assessment body must satisfy the Secretary of State...

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- 15. The personnel of a conformity assessment body must observe professional...
- 16. Conformity assessment bodies must participate in, or ensure that their...

SCHEDULE 4 — USE FOR TRADE OF REGULATED NON-AUTOMATIC WEIGHING INSTRUMENTS IN GREAT BRITAIN

- 1. Restrictions on use of instruments for trade
- 2. Manner of erection of regulated non-automatic weighing instruments
- 3. Regulated non-automatic weighing instruments marked with temperature range
- 4. Regulated non-automatic weighing instruments marked with manner of use
- 5. Regulated non-automatic weighing instruments fitted with printing devices
- 6. Load receptors
- 7. Operation of regulated non-automatic weighing instrument
- 8. Regulated non-automatic weighing instruments to be set to zero or to be balanced before use

SCHEDULE 5 — MONETARY PENALTIES

- 1. Introduction
- 2. Procedure
- 3. Appeals
- 4. Interest and recovery

SCHEDULE 6 — (Annex I to the Directive)

— ESSENTIAL REQUIREMENTS

The terminology used is that of the International Organisation of Legal Metrology

Preliminary observation

Metrological requirements

- 1. Units of mass The units of mass used shall be...
- 2. Accuracy classes
- 2.1 The following accuracy classes have been defined—
- 2.2 Scale intervals
- 2.2.1 The actual scale interval (d) and the verification scale interval...
- 2.2.2 For all instruments other than those with auxiliary indicating devices—...
- 2.2.3 For instruments with auxiliary indicating devices the following conditions apply—...
 - 3. Classification
 - 3.1 Instruments with one weighing range Instruments equipped with an auxiliary...
 - 3.2 Instruments with multiple weighing ranges Multiple weighing ranges are permitted,...
 - 3.3 Multi-interval instruments
- 3.3.1 Instruments with one weighing range may have several partial weighing...
- 3.3.2 Each partial weighing range i of multi-interval instruments is defined...
- 3.3.3 The partial weighing ranges are classified according to Table 2....
 - 4. Accuracy
 - 4.1 On implementation of the procedures laid down in regulation 36,...

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- 4.2 The maximum permissible errors in service are twice the maximum...
- 5. Weighing results of an instrument shall be repeatable, and shall...
- 6. The instrument shall react to small variations in the load....
- 7. Influence quantities and time
- 7.1 Instruments of classes II, III and IIII, liable to be...
- 7.2 The instruments shall meet the metrological requirements within the temperature...
- 7.3 Instruments operated from a mains power supply shall meet the...
- 7.4 Electronic instruments, except those in class I and in class...
- 7.5 Loading an instrument in class II, III or IIII for...
- 7.6 Under other conditions the instruments shall either continue to function...

Design and construction

- 8. General requirements
- 8.1 Design and construction of the instruments shall be such that...
- 8.2 When exposed to disturbances, electronic instruments shall not display the
- 8.3 The requirements of points 8.1 and 8.2 shall be met...
- 8.4 When external equipment is connected to an electronic instrument through...
- 8.5 The instruments shall have no characteristics likely to facilitate fraudulent...
- 8.6 Instruments shall be designed to permit ready execution of the...
- 9. Indication of weighing results and other weight values The indication...
- 10. Printing of weighing results and other weight values Printed results...
- 11. Levelling When appropriate, instruments shall be fitted with a levelling...
- 12. Zeroing Instruments may be equipped with zeroing devices. The operation...
- 13. Tare devices and preset tare devices The instruments may have...
- 14. Instruments for direct sales to the public, with a maximum...
- 15. Price labelling instruments Price labelling instruments shall meet the requirements...

SCHEDULE 7 — (Annex II to the Directive)

— CONFORMITY ASSESSMENT PROCEDURES

- 1. Module B: type examination
- 1.1 type examination is the part of a conformity assessment procedure...
- 1.2 type examination may be carried out in any of the...
- 1.3 The manufacturer shall lodge an application for type examination with...
- 1.4 The approved body shall— For the instrument—
- 1.4.1 examine the technical documentation and supporting evidence to assess the
- 1.4.2 verify that the specimen(s) have been manufactured in conformity with...
- 1.4.3 carry out appropriate examinations and tests, or have them carried...
- 1.4.4 carry out appropriate examinations and tests, or have them carried...
- 1.4.5 agree with the manufacturer on a location where the examinations...
 - 1.5 The approved body shall draw up an evaluation report that...
 - 1.6 Where the type meets the requirements of these Regulations, that...
 - 1.7 The approved body shall keep itself apprised of any changes...
 - 1.8 Each approved body shall inform the Secretary of State concerning...
 - 1.9 The manufacturer shall keep a copy of the type examination...

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- 1.10 The manufacturer's authorised representative may lodge the application referred to...
 - 2. Module D: Conformity to type based on quality assurance of...
- 2.1 Conformity to type based on quality assurance of the production...
- 2.2 Manufacturing The manufacturer shall operate an approved quality system for...
- 2.3 Quality system
- 2.3.1 The manufacturer shall lodge an application for assessment of his...
- 2.3.2 The quality system shall ensure that the instruments are in...
- 2.3.3 The approved body shall assess the quality system to determine...
- 2.3.4 The manufacturer shall undertake to fulfil the obligations arising out...
- 2.3.5 The manufacturer shall keep the approved body that has approved...
 - 2.4 Surveillance under the responsibility of the approved body
- 2.4.1 The purpose of surveillance is to make sure that the...
- 2.4.2 The manufacturer shall, for assessment purposes, allow the approved body...
- 2.4.3 The approved body shall carry out periodic audits to make...
- 2.4.4 In addition, the approved body may pay unexpected visits to...
- 2.5 Conformity marking and declaration of conformity
- 2.5.1 The manufacturer shall affix the UK marking and the M...
- 2.5.2 The manufacturer shall draw up a written declaration of conformity...
 - 2.6 The manufacturer shall, for a period ending 10 years after...
 - 2.7 Each approved body shall inform the Secretary of State of...
 - 2.8 Authorised representative The manufacturer's obligations set out in points 2.3.1....
 - 3. Module D1: Quality assurance of the production process
 - 3.1 Quality assurance of the production process is the conformity assessment...
 - 3.2 Technical documentation The manufacturer shall establish the technical documentation. The...
 - 3.3 The manufacturer shall keep the technical documentation at the disposal...
 - 3.4 Manufacturing The manufacturer shall operate an approved quality system for
 - 3.5 Quality system
- 3.5.1 The manufacturer shall lodge an application for assessment of his...
- 3.5.2 The quality system shall ensure compliance of the instruments with...
- 3.5.3 The approved body shall assess the quality system to determine...
- 3.5.4 The manufacturer shall undertake to fulfil the obligations arising out...
- 3.5.5 The manufacturer shall keep the approved body that has approved...
 - 3.6 Surveillance under the responsibility of the approved body
- 3.6.1 The purpose of surveillance is to make sure that the...
- 3.6.2 The manufacturer shall, for assessment purposes, allow the approved body...
- 3.6.3 The approved body shall carry out periodic audits to make...
- 3.6.4 In addition, the approved body may pay unexpected visits to...
 - 3.7 Conformity marking and declaration of conformity
- 3.7.1 The manufacturer shall affix the UK marking and the M...
- 3.7.2 The manufacturer shall draw up a written declaration of conformity...
 - 3.8 The manufacturer shall, for a period ending 10 years after...
 - 3.9 Each approved body shall inform the Secretary of State of...
- 3.10 Authorised representative The manufacturer's obligations set out in points 3.3,...
 - 4. Module F: Conformity to type based on product verification
- 4.1 Conformity to type based on product verification is the part...

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- 4.2 Manufacturing The manufacturer shall take all measures necessary so that...
- 4.3 Verification An approved body chosen by the manufacturer shall carry...
- 4.4 Verification of conformity by examination and testing of every instrument...
- 4.4.1 All instruments shall be individually examined and appropriate tests set...
- 4.4.2 The approved body shall issue a certificate of conformity in...
 - 4.5 Conformity marking and declaration of conformity
- 4.5.1 The manufacturer shall affix the UK marking and the M...
- 4.5.2 The manufacturer shall draw up a written declaration of conformity...
 - 4.6 If the approved body agrees and under its responsibility, the...
 - 4.7 Authorised representative The manufacturer's obligations may be fulfilled by his...
 - 5. Module F1: Conformity based on product verification
 - 5.1 Conformity based on product verification is the conformity assessment procedure...
- 5.2 Technical documentation
- 5.2.1 The manufacturer shall establish the technical documentation. The documentation shall...
- 5.2.2 The manufacturer shall keep the technical documentation at the disposal...
- 5.3 Manufacturing The manufacturer shall take all measures necessary so that...
- 5.4 Verification An approved body chosen by the manufacturer shall carry...
- 5.5 Verification of conformity by examination and testing of every instrument...
- 5.5.1 All instruments shall be individually examined and appropriate tests, set...
- 5.5.2 The approved body shall issue a certificate of conformity in...
 - 5.6 Conformity marking and declaration of conformity
- 5.6.1 The manufacturer shall affix the UK marking and the M...
- 5.6.2 The manufacturer shall draw up a written declaration of conformity...
 - 5.7 If the approved body agrees and under its responsibility, the...
 - 5.8 Authorised representative The manufacturer's obligations may be fulfilled by his...
 - 6. Module G: Conformity based on unit verification
 - 6.1 Conformity based on unit verification is the conformity assessment procedure...
 - 6.2 Technical documentation
- 6.2.1 The manufacturer shall establish the technical documentation and make it...
- 6.2.2 The manufacturer shall keep the technical documentation at the disposal...
 - 6.3 Manufacturing The manufacturer shall take all measures necessary so that...
 - 6.4 Verification An approved body chosen by the manufacturer shall carry...
 - 6.5 Conformity marking and declaration of conformity
- 6.5.1 The manufacturer shall affix the UK marking and the M...
- 6.5.2 The manufacturer shall draw up a written declaration of conformity...
- 6.6 Authorised representative The manufacturer's obligations set out in points 6.2.2...
- 7. Common provisions
- 7.1 The conformity assessment according to Module D, D1, F, F1...
- 7.2 If the instrument's performance is sensitive to gravity variations the...
- 7.2.1 Where a manufacturer has opted for execution in two stages...
- 7.2.2 The party which has carried out the first stage of...
- 7.2.3 A manufacturer who has opted for Module D or D1...
- 7.2.4 The UK marking and the M metrology marking shall be...

SCHEDULE 8 — (Annex III to the Directive) — INSCRIPTIONS

1. Instruments intended to be used for the applications listed in...

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- 1.1 Those instruments shall bear visibly, legibly and indelibly the following...
- 1.2 Those instruments shall have adequate facilities for the affixing of...
- 1.3 Where a data plate is used it shall be possible...
- 1.4 The inscriptions Max, Min, e, and d, shall also be...
- 1.5 Each load measuring device which is connected or can be...
- 2. Instruments not intended to be used for the applications listed...
- 3. Restrictive use symbol referred to in regulation 9(3). The restrictive...

SCHEDULE 9 — DECLARATION OF CONFORMITY (No XXXX)

- 1. Instrument model/Instrument (product, type, batch or serial number):
- 2. Name and address of the manufacturer and, where applicable, his...
- 3. This declaration of conformity is issued under the sole responsibility...
- 4. Object of the declaration (identification of instrument allowing traceability; it...
- 5. The object of the declaration described above is in conformity...
- 6. References to the relevant designated standards used or references to...
- 7. The approved body ... (name, number) performed ... (description of...
- 8. Additional information: Signed for and on behalf of: —...

Explanatory Note

Status:

Point in time view as at 31/12/2020.

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