

---

STATUTORY INSTRUMENTS

---

**2016 No. 311**

**The Occupational and Personal Pension Schemes (Automatic Enrolment) (Miscellaneous Amendments) Regulations 2016**

**Amendment of the Employers' Duties (Registration and Compliance) Regulations 2010**

**3.—**(1) The Employers' Duties (Registration and Compliance) Regulations 2010(1) are amended as follows.

(2) In paragraph (1) of regulation 2 (registration: general)(2)—

- (a) at the beginning of sub-paragraph (a), insert “on or”;
- (b) at the end of sub-paragraph (b), for “or” substitute “and”; and
- (c) for sub-paragraph (c) substitute—

“(c) on or after an automatic re-enrolment date for that employer.”.

(3) After paragraph (3) of regulation 2, insert—

“(4) In this Part, “automatic re-enrolment date” means an automatic re-enrolment date occurring by virtue of section 6(1)(b) of the Act (timing of automatic re-enrolment).”.

(4) For paragraph (1) of regulation 4 (registration: re-registration)(3) substitute—

“(1) Where paragraph (1)(c) of regulation 2 applies, an employer must provide the information specified in paragraph (3) of this regulation in relation to each of its PAYE schemes—

- (a) where it is the employer's first automatic re-enrolment date, within the period of 5 months beginning with the third anniversary of the employer's staging date; and
- (b) in any other case, within the period of 5 months beginning with the third anniversary of the employer's previous automatic re-enrolment date,

and the time at which an employer provides that information is referred to in this regulation as the “point of re-registration”.

(5) Omit paragraph (2) of regulation 4.

(6) In paragraph (3) of regulation 4—

- (a) omit head (iii) in sub-paragraph (c) and the preceding “and”;
- (b) after sub-paragraph (c) insert—

“(ca) the automatic re-enrolment date;” and

(c) for paragraph (e) substitute—

“(e) the number of workers in the employer's PAYE scheme who, immediately before the point of re-registration, were active members of a qualifying scheme or, if the employer uses more than one qualifying scheme to comply with the employers' duties, the number of workers in each of those schemes;”.

---

(1) [S.I. 2010/5](#).

(2) Regulation 2 was amended by [S.I. 2012/215](#).

(3) Regulation 4 was amended by [S.I. 2012/215](#) and [2013/2556](#).

---

**Status:** This is the original version (as it was originally made). This item of legislation is currently only available in its original format.

---