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STATUTORY INSTRUMENTS

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**2016 No. 339**

**COMPANIES**

**The Register of People with  
Significant Control Regulations 2016**

*Made - - - - 15th March 2016  
Coming into force in accordance with  
regulation 1(2)*

**THE REGISTER OF PEOPLE WITH  
SIGNIFICANT CONTROL REGULATIONS 2016**

PART 1

GENERAL INTRODUCTORY PROVISIONS

1. Citation and commencement
2. Interpretation

PART 2

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3. Companies to which Part 21A of the Act does not apply
4. Legal entities which are subject to their own disclosure requirements
5. Modification for persons covered by section 790C(12) of the Act
6. Fee for a copy of a company's PSC register

PART 3

NATURE OF CONTROL AND FOREIGN LIMITED PARTNERS

7. Particulars required as to nature of control
8. Characteristics of a foreign limited partner

PART 4

ADDITIONAL MATTERS

9. Additional matters to be noted in a PSC register
10. Additional matters where there is no registrable person or registrable relevant legal entity

*Changes to legislation: There are currently no known outstanding effects for the The Register of People with Significant Control Regulations 2016. (See end of Document for details)*

11. Additional matters where there is an unidentified registrable person
12. Additional matters where an identified registrable person's particulars are not confirmed
13. Additional matters where a company's investigations are ongoing
14. Additional matters where there is a failure to comply with a notice given under section 790D of the Act
15. Additional matters where there is a failure to comply with a notice given under section 790E of the Act
16. Additional matters where a notice given under section 790D or section 790E of the Act is complied with after the time specified in the notice
17. Additional matters where a company has issued a restrictions notice

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### WARNING AND RESTRICTIONS NOTICES

18. Content of a warning notice
19. Content of a restrictions notice
20. Failure to comply with a section 790D or 790E notice: valid reason
21. Withdrawal of a restrictions notice

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### THE PROTECTION OF USUAL RESIDENTIAL ADDRESS INFORMATION

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23. Permitted disclosure of usual residential address information by the registrar to credit reference agencies
24. Circumstances where the registrar must refrain from disclosure of usual residential address information
25. Application by an individual requiring the registrar to refrain from disclosing that individual's usual residential address information to a credit reference agency
26. Application by a company requiring the registrar to refrain from disclosing an individual's usual residential address information to a credit reference agency
27. Application by a subscriber to a memorandum of association requiring the registrar to refrain from disclosing an individual's usual residential address information to a credit reference agency
28. Matters relating to an application made under regulation 25, 26 or 27
29. Withdrawal of an application made under regulation 25, 26 or 27
30. Appealing against a determination made under regulation 25, 26 or 27
31. Duration of a determination made under regulation 25, 26 or 27
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### THE PROTECTION OF SECURED INFORMATION

33. Circumstances where the registrar must omit secured information from material on the register available for public inspection
34. Circumstances where the registrar must not use or disclose secured information
35. Fee payable for the disclosure by the registrar of secured information

- 35A Fee payable for the disclosure by the registrar of information to a credit institution or a financial institution
36. Application by an individual requiring the registrar to refrain from using or disclosing that individual's secured information
37. Application by a company requiring the registrar to refrain from using or disclosing an individual's secured information
38. Application by a subscriber to a memorandum of association requiring the registrar to refrain from using or disclosing an individual's secured information
39. Matters relating to an application made under regulation 36, 37 or 38
40. Withdrawal of an application made under regulation 36, 37 or 38
41. Appealing against an unsuccessful application made under regulation 36, 37 or 38
42. Unsuccessful determination made under regulation 36, 37 or 38
43. Duration of a determination under regulation 36, 37 or 38
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46. Transitional provision regarding the protection of secured information
47. Transitional provision for the purpose of section 790K
48. Amendments to the 2009 Regulations
49. Review  
Signature

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#### SCHEDULE 1 — LIST OF MARKETS

— In Israel— — Tel Aviv Stock Exchange — In...

#### SCHEDULE 2 — PARTICULARS REQUIRED AS TO NATURE OF CONTROL

##### PART 1 — First Condition

1. A statement that the person holds, directly or indirectly, more...
2. A statement that the person holds, directly or indirectly, more...
3. A statement that the person holds, directly or indirectly, 75%...

##### PART 2 — Second Condition

4. A statement that the person holds, directly or indirectly, more...
5. A statement that the person holds, directly or indirectly, more...
6. A statement that the person holds, directly or indirectly, 75%...

##### PART 3 — Third Condition

7. A statement that the person holds the right, directly or...

##### PART 4 — Fourth Condition

8. A statement that the person has the right to exercise,...

##### PART 5 — Fifth Condition and Trusts

9. A statement that— (a) the person has the right to...
10. A statement that— (a) the person has the right to...
11. A statement that— (a) the person has the right to...
12. A statement that— (a) the person has the right to...
13. A statement that— (a) the person has the right to...
14. A statement that— (a) the person has the right to...

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- 15. A statement that— (a) the person has the right to...
- 16. A statement that— (a) the person has the right to...  
PART 6 — Fifth Condition and Firms
- 17. A statement that— (a) the person has the right to...
- 18. A statement that— (a) the person has the right to...
- 19. A statement that— (a) the person has the right to...
- 20. A statement that— (a) the person has the right to...
- 21. A statement that— (a) the person has the right to...
- 22. A statement that— (a) the person has the right to...
- 23. A statement that— (a) the person has the right to...
- 24. A statement that— (a) the person has the right to...  
PART 7 — Interpretation of Schedule 2
- 25. In relation to a company that does not have a...

SCHEDULE 3 — SPECIFIED PUBLIC AUTHORITIES  
— The Bank of England (including the Bank in its...

SCHEDULE 4 — CONDITIONS FOR PERMITTED DISCLOSURE  
PART 1 — Disclosure to Specified Public Authorities

- 1. ....
- 2. ....
- 3. ....
- 4. ....
- 5. ....  
PART 2 — Disclosure to a Credit Reference Agency
- 6. The credit reference agency— (a) is carrying on in the...
- 7. The credit reference agency has delivered to the registrar a...
- 8. The credit reference agency has delivered to the registrar a...
- 9. The credit reference agency has delivered to the registrar a...
- 10. The credit reference agency has delivered to the registrar a...
- 11. The credit reference agency has delivered any information or evidence...
- 12. The credit reference agency has complied with any requirement by...
- 12ZA The information within section 790ZF(2) of the Act is not...  
PART 2A — Disclosure to a Credit Institution or a Financial Institution
- 12A The credit institution or financial institution maintains appropriate procedures—
- 12B The credit institution or financial institution has delivered to the...
- 12C The credit institution or financial institution has delivered to the...
- 12D The credit institution or financial institution has delivered to the...
- 12E The credit institution or financial institution has delivered to the...
- 12F The credit institution or financial institution has delivered to the...
- 12G The credit institution or financial institution has delivered any information...
- 12H The credit institution or financial institution has complied with any...  
PART 3 — Interpretation of this Schedule
- 13. In this Schedule— (a) “processor” means any person who provides...
- 14. In this Schedule, “ data protection obligations ”, in relation...

SCHEDULE 5 — AMENDMENTS TO THE 2009 REGULATIONS

- 1. The 2009 Regulations are amended as follows.
- 2. In regulation 1(2) (citation, commencement and interpretation), insert the following...
- 3. (1) Regulation 5 (application under section 243 by an individual)...

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4. For regulations 6 and 7 substitute— Application under section 243...
5. (1) Regulation 8 (matters relating to a section 243 application)...
6. (1) Regulation 9 (application under section 1088 to make an...
7. (1) Regulation 14 (appeals) is amended as follows.
8. In Schedule 1 (Specified Public Authorities)— (a) after “the Treasury;” insert...
9. In paragraph 4 of Part 1 of Schedule 2 (Conditions...

Explanatory Note

**Changes to legislation:**

There are currently no known outstanding effects for the The Register of People with Significant Control Regulations 2016.