
STATUTORY INSTRUMENTS

2016 No. 392

**The Financial Services and Markets Act 2000
(Regulated Activities) (Amendment) Order 2016**

PART 4

**Amendment of the Small and Medium Sized
Business (Finance Platforms) Regulations 2015**

Amendment of the Small and Medium Sized Business (Finance Platforms) Regulations 2015

- 9.** In the Small and Medium Sized Business (Finance Platforms) Regulations 2015 ^{M1}—
- (a) in regulation 2(1) (interpretation), after the definition of “the 2000 Act” insert—
““broker” means a person who, as part of that person's usual business activities, effects introductions of persons seeking finance to finance providers;”; and
 - (b) in regulation 3(3) (designated banks to provide information to designated finance providers)—
 - (i) at the end of sub-paragraph (c) omit “or”; and
 - (ii) after sub-paragraph (d) insert—
“; or
 - (e) the application was made by a broker on behalf of the business.”.

Marginal Citations

M1 [S.I. 2015/1946](#).

Status:

Point in time view as at 17/03/2016.

Changes to legislation:

The Financial Services and Markets Act 2000 (Regulated Activities) (Amendment) Order 2016, Section 9 is up to date with all changes known to be in force on or before 20 July 2024. There are changes that may be brought into force at a future date. Changes that have been made appear in the content and are referenced with annotations.