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STATUTORY INSTRUMENTS

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**2017 No. 1301**

**The Oversight of Professional Body Anti-Money Laundering  
and Counter Terrorist Financing Supervision Regulations 2017**

**PART 1**

**Introduction**

**Interpretation**

**2. In these Regulations—**

“The European Supervisory Authorities” means—

- (a) the European Securities and Markets Authority;
- (b) the European Banking Authority; and
- (c) the European Insurance and Occupational Pensions Authority;

“the FCA” means the Financial Conduct Authority;

“fourth money laundering directive” means [Directive 2015/849/EU](#) of the European Parliament and of the Council of 20th May 2015 on the prevention of the use of the financial system for the purposes of money laundering or terrorist financing<sup>(1)</sup>;

“FSMA” means the Financial Services and Markets Act 2000<sup>(2)</sup>;

“the MLR” means the Money Laundering, Terrorist Financing and Transfer of Funds (Information on the Payer) Regulations 2017<sup>(3)</sup>;

“self-regulatory organisation” means one of the professional bodies listed in Schedule 1 to the MLR;

“supervision requirement” means any requirement imposed by the MLR on a self-regulatory organisation (whether imposed on a self-regulatory organisation in terms or in its capacity as a supervisory authority within the meaning given by regulation 3 of the MLR).

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<sup>(1)</sup> OJ L 141, 05.06.15, p. 73.

<sup>(2)</sup> 2000 c.8.

<sup>(3)</sup> S.I. 2017/692.