
STATUTORY INSTRUMENTS

2018 No. 1030

**The Occupational Pension Schemes
(Master Trusts) Regulations 2018**

Supervisory return: contents

13. For the purposes of section 15(2) of the Act, the Regulator may require the following information to be included in a supervisory return, to the extent that it has not already been provided to the Regulator—

- (a) details of how trustees' competence is being maintained, with particular reference to their compliance with the knowledge and understanding requirements in sections 247, 248 and 249 of the 2004 Act⁽¹⁾;
- (b) details of the scheme strategist's professional development;
- (c) where the scheme is an ear-marked scheme as defined in regulation 1(2) of the Occupational Pension Schemes (Requirement to obtain Audited Accounts and a Statement from the Auditor) Regulations 1996⁽²⁾—
 - (i) the most recent accounts published in relation to each insurance company with which the trustees or managers of the scheme hold policies of insurance or annuity contracts falling within the description in that definition, and
 - (ii) where any such insurance company is part of a group in respect of which there is a duty to prepare group accounts under section 399 of the Companies Act⁽³⁾, the most recent such accounts which have been published;
- (d) the scheme's most recent business plan;
- (e) details of the scheme's current position in relation to its objectives in the business plan;
- (f) any other information that is relevant to the authorisation criteria listed in section 5(3) of the Act (decision on application).

Commencement Information

II Reg. 13 in force at 1.10.2018, see [reg. 1\(2\)](#)

⁽¹⁾ Section 248(8) was amended by [S.I. 2009/1941](#).

⁽²⁾ [S.I. 1996/1975](#); the definition of "ear-marked scheme" was amended by [S.I. 1997/786](#).

⁽³⁾ Section 399 was amended by [S.I. 2015/980](#) and [2016/1245](#).

Changes to legislation:

There are currently no known outstanding effects for the The Occupational Pension Schemes (Master Trusts) Regulations 2018, Section 13.