

SCHEDULE

PART 1

EU Regulations for which the FCA is the appropriate regulator

Credit rating agencies regulation

2. Commission Delegated Regulation (EU) 447/2012 of 21 March 2012 supplementing Regulation (EC) 1060/2009 of the European Parliament and of the Council on credit rating agencies by laying down regulatory technical standards for the assessment of compliance of credit rating methodologies⁽¹⁾.

3. Commission Delegated Regulation (EU) 449/2012 of 21 March 2012 supplementing Regulation (EC) 1060/2009 of the European Parliament and of the Council with regard to regulatory technical standards on information for registration and certification of credit rating agencies⁽²⁾.

4. Commission Delegated Regulation (EU) 2015/1 of 30 September 2014 supplementing Regulation (EC) 1060/2009 of the European Parliament and of the Council with regard to regulatory technical standards for the periodic reporting on fees charged by credit rating agencies for the purpose of ongoing supervision by the European Securities and Markets Authority⁽³⁾.

5. Commission Delegated Regulation (EU) 2015/2 of 30 September 2014 supplementing Regulation (EC) 1060/2009 of the European Parliament and of the Council with regard to regulatory technical standards for the presentation of the information that credit rating agencies make available to the European Securities and Markets Authority⁽⁴⁾.

6. Commission Delegated Regulation (EU) 2015/3 of 30 September 2014 supplementing Regulation (EC) 1060/2009 of the European Parliament and of the Council with regard to regulatory technical standards on disclosure requirements for structured finance instruments⁽⁵⁾.

(1) OJ L140, 30.5.2012, p.14.

(2) OJ L140, 30.5.2012, p.32.

(3) OJ L2, 6.1.2015, p.1.

(4) OJ L2, 6.1.2015, p.24.

(5) OJ L2, 6.1.2015, p.57.