
STATUTORY INSTRUMENTS

2019 No. 1043

The Financial Services and Markets Act
2000 (Prospectus) Regulations 2019

PART 2

Amendments of the Financial Services and Markets Act 2000

Misleading FCA or PRA: residual cases

34.—(1) Section 398 (misleading FCA or PRA: residual cases)^{M1} is amended as follows.

(2) In subsection (1A)^{M2}—

- (a) at the end of paragraph (h), omit the “or”,
- (b) in paragraph (i), at the end insert “;or”, and
- (c) after paragraph (i) insert—
“(j) the prospectus regulation (as defined by section 103).”.

Commencement Information

I1 Reg. 34 in force at 21.7.2019, see [reg. 1\(1\)](#)

Marginal Citations

M1 [Section 398](#) (including the heading) is amended by paragraph 36 of Schedule 9 to the Financial Services Act 2012.

M2 Paragraph (1A) was inserted by [S.I. 2013/1773](#), and amended by [S.I. 2015/1882](#), [S.I. 2016/680](#), [S.I. 2017/701](#), [S.I. 2018/135](#) and [S.I. 2018/698](#).

Changes to legislation:

There are currently no known outstanding effects for the The Financial Services and Markets Act 2000 (Prospectus) Regulations 2019, Section 34.