

---

STATUTORY INSTRUMENTS

---

**2019 No. 632**

The Financial Services and Markets Act 2000  
(Amendment) (EU Exit) Regulations 2019

**PART 2**

Amendments to the Act

CHAPTER 23

Schedule 17A to the Act: further provisions in relation  
to exercise of Part 18 functions by Bank of England

**Paragraph 11 (information gathering and investigations)**

**116.** In paragraph 11<sup>M1</sup> (information gathering and investigations)—

(a) in sub-paragraph (1)—

(i) for paragraph (ab) substitute—

“(ab) a third country CSD, in relation to any services referred to in the Annex to the CSD regulation which the third country CSD provides in the United Kingdom;”;

(ii) in paragraph (b), for “, a recognised CSD or an EEA CSD” substitute “ or a recognised CSD ”;

(b) in sub-paragraph (2), for paragraph (d) substitute—

“(d) information or documents reasonably required in connection with the exercise by the Bank of its functions—

(i) under—

(aa) the EMIR regulation,

(bb) the CSD regulation,

(cc) any EU regulation originally made under the CSD Regulation which is retained direct EU legislation, or

(dd) any subordinate legislation made under the CSD Regulation on or after [<sup>F1</sup>IP completion day];

(ii) in connection with Article 4 or 15 of the SFT regulation; or

(iii) under any subordinate legislation made under the SFT regulation on or after [<sup>F1</sup>IP completion day].”.

**Status:** Point in time view as at 31/12/2020.

**Changes to legislation:** The Financial Services and Markets Act 2000 (Amendment) (EU Exit) Regulations 2019, Section 116 is up to date with all changes known to be in force on or before 14 June 2024. There are changes that may be brought into force at a future date. Changes that have been made appear in the content and are referenced with annotations. (See end of Document for details)

#### Textual Amendments

- F1** Words in reg. 116(b) substituted (30.12.2020) by [The Financial Services and Economic and Monetary Policy \(Consequential Amendments\) \(EU Exit\) Regulations 2020 \(S.I. 2020/1301\)](#), regs. 1, 3, **Sch. para. 33(j)**

#### Commencement Information

- I1** Reg. 116 in force at 31.12.2020 on IP completion day (in accordance with [2020 c. 1, Sch. 5 para. 1\(1\)](#)), see reg. 1(3)

#### Marginal Citations

- M1** Sub-paragraph (1)(ab) was inserted by [S.I. 2017/1064](#); sub-paragraph (2)(d) was inserted by [S.I. 2013/504](#) and amended by [S.I. 2014/2879](#) and 2016/715.

**Status:**

Point in time view as at 31/12/2020.

**Changes to legislation:**

The Financial Services and Markets Act 2000 (Amendment) (EU Exit) Regulations 2019, Section 116 is up to date with all changes known to be in force on or before 14 June 2024. There are changes that may be brought into force at a future date. Changes that have been made appear in the content and are referenced with annotations.