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STATUTORY INSTRUMENTS

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**2019 No. 855**

**The Russia (Sanctions) (EU Exit) Regulations 2019**

**PART 5**

Trade

[<sup>F1</sup>Chapter 4H

[<sup>F2</sup>G7 dependency and further goods]

**Textual Amendments**

- F1** Pt. 5 Chs. 4H-4K inserted (21.7.2022) by [The Russia \(Sanctions\) \(EU Exit\) \(Amendment\) \(No. 14\) Regulations 2022 \(S.I. 2022/850\)](#), regs. 1(2), **12**
- F2** Pt. 5 Ch. 4H heading substituted (29.10.2022) by [The Russia \(Sanctions\) \(EU Exit\) \(Amendment\) \(No. 15\) Regulations 2022 \(S.I. 2022/1110\)](#), reg. 1(2)(b), **Sch. 3 para. 10(1)(2)(a)**

[<sup>F3</sup>G7 dependency and further goods]

**46Y.**—(1) The export of [<sup>F4</sup>G7 dependency and further goods] to, or for use in, Russia is prohibited.

(2) A person must not directly or indirectly—

- (a) supply or deliver [<sup>F4</sup>G7 dependency and further goods] from a third country to a place in Russia;
- (b) make [<sup>F4</sup>G7 dependency and further goods] available to a person connected with Russia;
- (c) make [<sup>F4</sup>G7 dependency and further goods] available for use in Russia.

(3) Paragraphs (1) and (2) are subject to Part 7 (exceptions and licences).

(4) A person who contravenes a prohibition in paragraph (2) commits an offence, but—

- (a) it is a defence for a person charged with the offence of contravening paragraph (2)(a) to show that the person did not know and had no reasonable cause to suspect that the goods were destined (or ultimately destined) for Russia;
- (b) it is a defence for a person charged with the offence of contravening paragraph (2)(b) (“P”) to show that P did not know and had no reasonable cause to suspect that the person was connected with Russia;
- (c) it is a defence for a person charged with the offence of contravening paragraph (2)(c) to show that the person did not know and had no reasonable cause to suspect that the goods were for use in Russia.

(5) In this regulation “third country” means a country that is not the United Kingdom, the Isle of Man or Russia.

**Status:** Point in time view as at 29/10/2022.

**Changes to legislation:** There are currently no known outstanding effects for the The Russia (Sanctions) (EU Exit) Regulations 2019, Chapter 4H. (See end of Document for details)

#### Textual Amendments

- F3** Words in [reg. 46Y](#) heading substituted (29.10.2022) by [The Russia \(Sanctions\) \(EU Exit\) \(Amendment\) \(No. 15\) Regulations 2022 \(S.I. 2022/1110\)](#), [reg. 1\(2\)\(b\)](#), [Sch. 3 para. 10\(1\)\(2\)\(b\)](#)
- F4** Words in [reg. 46Y](#) substituted (29.10.2022) by [The Russia \(Sanctions\) \(EU Exit\) \(Amendment\) \(No. 15\) Regulations 2022 \(S.I. 2022/1110\)](#), [reg. 1\(2\)\(b\)](#), [Sch. 3 para. 10\(1\)\(2\)\(b\)](#)

#### Technical assistance relating to [<sup>F5</sup>G7 dependency and further goods]

**46Z.**—(1) A person must not directly or indirectly provide technical assistance relating to [<sup>F6</sup>G7 dependency and further goods] —

- (a) to a person connected with Russia; or
  - (b) for use in Russia.
- (2) Paragraph (1) is subject to Part 7 (Exceptions and licences).
- (3) A person who contravenes a prohibition in paragraph (1) commits an offence, but—
- (a) it is a defence for a person charged with an offence of contravening paragraph (1)(a) (“P”) to show that P did not know and had no reasonable cause to suspect that the person was connected with Russia;
  - (b) it is a defence for a person charged with an offence of contravening paragraph (1)(b) to show that the person did not know and had no reasonable cause to suspect that the goods were for use in Russia.

#### Textual Amendments

- F5** Words in [reg. 46Z](#) heading substituted (29.10.2022) by [The Russia \(Sanctions\) \(EU Exit\) \(Amendment\) \(No. 15\) Regulations 2022 \(S.I. 2022/1110\)](#), [reg. 1\(2\)\(b\)](#), [Sch. 3 para. 10\(1\)\(2\)\(c\)](#)
- F6** Words in [reg. 46Z\(1\)](#) substituted (29.10.2022) by [The Russia \(Sanctions\) \(EU Exit\) \(Amendment\) \(No. 15\) Regulations 2022 \(S.I. 2022/1110\)](#), [reg. 1\(2\)\(b\)](#), [Sch. 3 para. 10\(1\)\(2\)\(c\)](#)

#### Financial services and funds relating to [<sup>F7</sup>G7 dependency and further goods]

**46Z1.**—(1) A person must not directly or indirectly provide, to a person connected with Russia, financial services in pursuance of or in connection with an arrangement whose object or effect is—

- (a) the export of [<sup>F8</sup>G7 dependency and further goods];
  - (b) the direct or indirect supply or delivery of [<sup>F8</sup>G7 dependency and further goods];
  - (c) directly or indirectly making [<sup>F8</sup>G7 dependency and further goods] available to a person; or
  - (d) the direct or indirect provision of technical assistance relating to [<sup>F8</sup>G7 dependency and further goods].
- (2) A person must not directly or indirectly make funds available to a person connected with Russia in pursuance of or in connection with an arrangement mentioned in paragraph (1).
- (3) A person must not directly or indirectly provide financial services or funds in pursuance of or in connection with an arrangement whose object or effect is—
- (a) the export of [<sup>F8</sup>G7 dependency and further goods] to, or for use in, Russia;
  - (b) the direct or indirect supply or delivery of [<sup>F8</sup>G7 dependency and further goods] to a place in Russia;

- (c) directly or indirectly making [<sup>F8</sup>G7 dependency and further goods] available—
  - (i) to a person connected with Russia, or
  - (ii) for use in Russia; or
- (d) the direct or indirect provision of technical assistance relating to [<sup>F8</sup>G7 dependency and further goods] —
  - (i) to a person connected with Russia, or
  - (ii) for use in Russia.
- (4) Paragraphs (1) to (3) are subject to Part 7 (Exceptions and licences).
- (5) A person who contravenes a prohibition in any of paragraphs (1) to (3) commits an offence, but—
  - (a) it is a defence for a person charged with an offence of contravening paragraph (1) or (2) (“P”) to show that P did not know and had no reasonable cause to suspect that the person was connected with Russia;
  - (b) it is a defence for a person charged with the offence of contravening paragraph (3) to show that the person did not know and had no reasonable cause to suspect that the financial services or funds (as the case may be) were provided in pursuance of or in connection with an arrangement mentioned in that paragraph.

#### Textual Amendments

- F7** Words in reg. 46Z1 heading substituted (29.10.2022) by The Russia (Sanctions) (EU Exit) (Amendment) (No. 15) Regulations 2022 (S.I. 2022/1110), reg. 1(2)(b), **Sch. 3 para. 10(1)(2)(d)**
- F8** Words in reg. 46Z1 substituted (29.10.2022) by The Russia (Sanctions) (EU Exit) (Amendment) (No. 15) Regulations 2022 (S.I. 2022/1110), reg. 1(2)(b), **Sch. 3 para. 10(1)(2)(d)**

#### Brokering services relating to [<sup>F9</sup>G7 dependency and further goods]

**46Z2.**—(1) A person must not directly or indirectly provide brokering services to a person connected with Russia in pursuance of or in connection with an arrangement (“arrangement A”) whose object or effect is—

- (a) the export of [<sup>F10</sup>G7 dependency and further goods];
- (b) the direct or indirect supply or delivery of [<sup>F10</sup>G7 dependency and further goods];
- (c) directly or indirectly making [<sup>F10</sup>G7 dependency and further goods] available to a person;
- (d) the direct or indirect provision of technical assistance relating to [<sup>F10</sup>G7 dependency and further goods];
- (e) the direct or indirect provision, in a non-UK country, of financial services—
  - (i) to a person connected with Russia, where arrangement A, or any other arrangement in connection with which arrangement A is entered into, is an arrangement mentioned in regulation 46Z1(1), or
  - (ii) where arrangement A, or any other arrangement in connection with which arrangement A is entered into, is an arrangement mentioned in regulation 46Z1(3);
- (f) directly or indirectly making funds available, in a non-UK country, to a person connected with Russia, where arrangement A, or any other arrangement in connection with which arrangement A is entered into, is an arrangement mentioned in regulation 46Z1(1); or

**Status:** Point in time view as at 29/10/2022.

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(g) the direct or indirect provision of funds from a non-UK country, where arrangement A, or any other arrangement in connection with which arrangement A is entered into, is an arrangement mentioned in regulation 46Z1(3).

(2) Paragraph (1) is subject to Part 7 (Exceptions and licences).

(3) A person who contravenes a prohibition in paragraph (1) commits an offence, but it is a defence for a person charged with that offence to show that the person did not know and had no reasonable cause to suspect that the brokering services were provided in relation to an arrangement mentioned in that paragraph.

[  
F11(4) In this regulation, “non-UK country” means a country which is not the United Kingdom.]]

#### Textual Amendments

- F9** Words in reg. 46Z2 heading substituted (29.10.2022) by The Russia (Sanctions) (EU Exit) (Amendment) (No. 15) Regulations 2022 (S.I. 2022/1110), reg. 1(2)(b), **Sch. 3 para. 10(1)(2)(e)**
- F10** Words in reg. 46Z2 substituted (29.10.2022) by The Russia (Sanctions) (EU Exit) (Amendment) (No. 15) Regulations 2022 (S.I. 2022/1110), reg. 1(2)(b), **Sch. 3 para. 10(1)(2)(e)**
- F11** Reg. 46Z2(4) inserted (29.10.2022) by The Russia (Sanctions) (EU Exit) (Amendment) (No. 15) Regulations 2022 (S.I. 2022/1110), reg. 1(2)(b), **Sch. 3 para. 2**

**Status:**

Point in time view as at 29/10/2022.

**Changes to legislation:**

There are currently no known outstanding effects for the The Russia (Sanctions) (EU Exit) Regulations 2019, Chapter 4H.