
STATUTORY INSTRUMENTS

2019 No. 926

The Proxy Advisors (Shareholders' Rights) Regulations 2019

PART 5

Application of the Financial Services and Markets Act 2000 (Disclosure of Confidential Information) Regulations 2001

Permitted disclosure of confidential information

29.—(1) The following provisions of the Financial Services and Markets Act 2000 (Disclosure of Confidential Information) Regulations 2001 ^{M1} (“the 2001 Regulations”) apply with respect to information received under these Regulations or under the Act as applied by these Regulations—

- (a) regulation 2 (interpretation) so far as necessary for the interpretation of the other provisions applied by this paragraph ^{M2};
- (b) Part 2 (disclosure of confidential information generally);
- (c) Part 4 (disclosure of confidential information not subject to single market restrictions);
- (d) Schedule 1 (disclosure of confidential information whether or not subject to single market restrictions) so far as it has effect for the purposes of Part 4;
- (e) Schedule 2 (disclosure of confidential information not subject to single market restrictions) so far as it has effect for the purposes of Part 4; and
- (f) Schedule 3 (prescribed disciplinary proceedings).

(2) For the purposes of paragraph (1), Part 1 of Schedule 1 to the 2001 Regulations has effect with the following modifications—

- (a) the entry for the FCA is to be read as if the reference in the second column to the functions conferred on it by or under the Act included a reference to the functions conferred on it by these Regulations or by or under the Act as applied by these Regulations; and
- (b) the entry for the Comptroller and Auditor General is to be read as if the reference in the second column to the FCA's functions included a reference to its functions under these Regulations or under the Act as applied by these Regulations.

Commencement Information

- II** Reg. 29 in force at 10.6.2019, see [reg. 1](#)
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Marginal Citations

- M1** [S.I. 2001/2188](#), as amended by [S.I. 2013/472](#). There are other amendments, but they are not relevant.
- M2** See definitions of “the Act”, “disciplinary proceedings authority”, “prescribed disciplinary proceedings” and “regulators”.

Changes to legislation:

The Proxy Advisors (Shareholders' Rights) Regulations 2019, PART 5 is up to date with all changes known to be in force on or before 27 July 2024. There are changes that may be brought into force at a future date. Changes that have been made appear in the content and are referenced with annotations.

[View outstanding changes](#)

Changes and effects yet to be applied to :

- Regulations revoked by [2023 c. 29 Sch. 1 Pt. 2](#)