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STATUTORY INSTRUMENTS

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**2020 No. 707**

**The Iraq (Sanctions) (EU Exit) Regulations 2020**

**PART 9**

**Supplementary and final provision**

**Notices**

**65.**—(1) This regulation applies in relation to a notice required by regulation 37 (licences: general provisions) to be given to a person.

(2) The notice may be given to an individual—

- (a) by delivering it to the individual,
- (b) by sending it to the individual by post addressed to the individual at his or her usual or last-known place of residence or business, or
- (c) by leaving it for the individual at that place.

(3) The notice may be given to a person other than an individual—

- (a) by sending it by post to the proper officer of the body at its principal office, or
- (b) by addressing it to the proper officer of the body and leaving it at that office.

(4) The notice may be given to the person by other means, including by electronic means, with the person's consent.

(5) In this regulation, the reference in paragraph (3) to a "principal office"—

- (a) in relation to a registered company, is to be read as a reference to the company's registered office;
- (b) in relation to a body incorporated or constituted under the law of a country other than the United Kingdom, includes a reference to the body's principal office in the United Kingdom (if any).

(6) In this regulation—

"proper officer"—

- (a) in relation to a body other than a partnership, means the secretary or other executive officer charged with the conduct of the body's general affairs, and
- (b) in relation to a partnership, means a partner or a person who has the control or management of the partnership business;

"registered company" means a company registered under the enactments relating to companies for the time being in force in the United Kingdom.

**Article 20 of the Export Control Order 2008**

**66.** Article 20 of the Export Control Order 2008 (embargoed destinations) is not to be taken to prohibit anything prohibited by Part 4 (Trade).

**Trade: overlapping offences**

67. A person is not to be taken to commit an offence under the Export Control Order 2008 if the person would, in the absence of this regulation, commit an offence under both—

- (a) article 34, 37 or 38 of that Order(1), and
- (b) any provision of Part 4 (Trade) or regulation 39 (trade: licensing offences), 46(6) or 47(5) (information offences in connection with general trade licences).

**Revocations**

68.—(1) Council Regulation (EC) No 1210/2003 of 7 July 2003, concerning certain specific restrictions on economic and financial relations with Iraq and repealing Regulation (EC) No 2465/96(2) is revoked.

- (2) The Iraq (Asset-Freezing) Regulations 2012(3) are revoked.
- (3) The Iraq (United Nations Sanctions) Order 2003(4) is revoked.
- (4) The Iraq (United Nations Sanctions) (Amendment) Order 2011(5) is revoked.

**Amendment to the United Nations and European Union Financial Sanctions (Linking) Regulations 2017**

69. In the Schedule to the United Nations and European Union Financial Sanctions (Linking) Regulations 2017(6), omit the following row from the table—

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| “United Nations Security Council Resolution 1483 (2003) | Council Regulation (EC) No. 1210/2003 of 7th July 2003 concerning certain specific restrictions on economic and financial relations with Iraq and repealing Regulation (EC) No. 2465/96.”. |
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**Transitional provision: Treasury licences**

70.—(1) Paragraphs (2) to (4) apply to a licence which—

- (a) was granted, or deemed to be granted, by the Treasury under—
  - (i) article 5 of the 2000 Order, or
  - (ii) regulation 9 of the 2012 Regulations(7),
- (b) was in effect immediately before the relevant date, and
- (c) authorises conduct which would (on and after the relevant date, and in the absence of paragraphs (2) to (4)) be prohibited under Part 3 (Finance),

and such a licence is referred to in this regulation as “an existing financial sanctions licence”.

(1) Articles 37 and 38 are prospectively amended by S.I. 2019/137. Article 37 has been amended by S.I. 2012/1910. Article 38 has also been amended by S.I. 2017/85.

(2) As prospectively amended by S.I. 2019/380.

(3) S.I. 2012/1489, as amended by S.I. 2013/472; S.I. 2013/534; S.I. 2017/560; S.I. 2017/754; S.I. 2018/1149 and it is prospectively amended by S.I. 2019/380.

(4) S.I. 2003/1519, as amended by S.I. 2004/1498; S.I. 2004/1779; S.I. 2005/3389; S.I. 2011/2437; S.I. 2012/362 and S.I. 2012/1489.

(5) S.I. 2011/2437.

(6) S.I. 2017/478, to which there are amendments not relevant to these Regulations.

(7) Regulation 19 of the 2012 Regulations treated licences granted under article 5 of the 2000 Order as if they had been granted under regulation 9 of the 2012 Regulations.

(2) An existing financial sanctions licence which authorises an act which would otherwise be prohibited has effect on and after the relevant date as if it had been issued by the Treasury under regulation 35 (Treasury licences).

(3) Any reference in an existing financial sanctions licence to the EU Iraq Regulation, the 2000 Order or the 2012 Regulations is to be treated on and after the relevant date as a reference to these Regulations.

(4) Any reference in an existing financial sanctions licence to a prohibition in—

- (a) the 2000 Order,
- (b) the 2012 Regulations,
- (c) the EU Iraq Regulation,

is to be treated on and after the relevant date as a reference to the corresponding prohibition in Part 3.

(5) Paragraph (6) applies where—

- (a) an application for a licence, or for the variation of a licence, under the 2000 Order or the 2012 Regulations was made before the relevant date,
- (b) the application is for the authorisation of conduct which would (on and after the relevant date) be prohibited under Part 3, and
- (c) a decision to grant or refuse the application has not been made before that date.

(6) The application is to be treated on and after the relevant date as an application for a licence, or for the variation of a licence (as the case may be), under regulation 35(1).

(7) In this regulation—

“the 2000 Order” means the Iraq (United Nations Sanctions) Order 2000(8);

“the 2012 Regulations” means the Iraq (Asset-Freezing) Regulations 2012;

“the relevant date” means—

- (a) where regulations under section 56 of the Act provide that Part 3 comes into force at a specified time on a day, that time on that day;
- (b) otherwise, the date on which Part 3 comes into force.

### **Transitional provision: trade licences**

71.—(1) Paragraph (2) applies in relation to each licence or authorisation granted by the Secretary of State which—

- (a) was in effect immediately before the relevant date, and
- (b) authorises an act—
  - (i) which would otherwise be prohibited by any provision of the Export Control Order 2008 except article 20 of that Order (embargoed destinations), and
  - (ii) which would (on and after the relevant date, and in the absence of paragraph (2)) be prohibited by Part 4 (Trade),

and such a licence or authorisation is referred to in this regulation as an “existing trade licence”.

(2) A licence is deemed to have been issued by the Secretary of State at the beginning of the relevant date under regulation 36 (trade licences)—

- (a) disapplying every provision of Part 4 which would, in the absence of this paragraph, prohibit any act authorised by the existing trade licence, and

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(8) [S.I. 2000/3241](#), revoked by [S.I. 2012/1489](#) but with a savings provision in regulation 19 in respect of licences granted under the 2000 Order.

(b) otherwise in the same terms as the existing trade licence.

(3) Paragraphs (4) to (6) apply to a licence or authorisation granted by the Secretary of State which—

- (a) was in effect immediately before the relevant date,
- (b) is not an existing trade licence, and
- (c) authorises an act—
  - (i) which would otherwise be prohibited by either—
    - (aa) the EU Iraq Regulation, or
    - (bb) the Iraq (United Nations Sanctions) Order 2003, and
  - (ii) which would (on and after the relevant date, and in the absence of paragraphs (4) to (6)) be prohibited by Part 4,

and such a licence or authorisation is referred to in this regulation as an “existing trade sanctions licence”.

(4) An existing trade sanctions licence has effect on and after the relevant date as if it were a licence which had been issued by the Secretary of State under regulation 36.

(5) Any reference in an existing trade sanctions licence to a provision of the Iraq (United Nations Sanctions) Order 2003 or the Export Control Order 2008 is to be treated on and after the relevant date as a reference to the corresponding provision of these Regulations (if any).

(6) Any reference in an existing trade sanctions licence to a prohibition in the EU Iraq Regulation is to be treated on and after the relevant date as a reference to the corresponding prohibition in Part 4 (Trade).

(7) In this regulation, “the relevant date” means—

- (a) where regulations under section 56 of the Act provide that Part 4 comes into force at a specified time on a day, that time on that day;
- (b) otherwise, the date on which Part 4 comes into force.

### **Transitional provision: pending applications for trade licences**

72.—(1) Paragraph (2) applies where—

- (a) an application was made before the relevant date for a licence or authorisation under or pursuant to the Export Control Order 2008,
- (b) the application is for authorisation of an act prohibited by Part 4 (Trade), and
- (c) a decision to grant or refuse the application has not been made before the relevant date.

(2) The application is to be treated on and after the relevant date as including an application for a licence under regulation 36 (trade licences).

(3) Paragraph (4) applies where—

- (a) an application was made before the relevant date for a licence or authorisation under the Iraq (United Nations Sanctions) Order 2003 or the EU Iraq Regulation,
- (b) the application is for authorisation of an act prohibited by Part 4 (Trade), and
- (c) a decision to grant or refuse the application has not been made before the relevant date.

(4) The application is to be treated on and after the relevant date as an application for a licence under regulation 36.

(5) In this regulation, “the relevant date” means—

- (a) where regulations under section 56 of the Act provide that Part 4 comes into force at a specified time on a day, that time on that day;
- (b) otherwise, the date on which Part 4 comes into force.

**Transitional provision: prior obligations**

**73.—(1) Where—**

- (a) a person was named in Annex IV of the EU Iraq Regulation immediately before the relevant date, and
- (b) the person is a designated person immediately before the relevant date,

any reference in regulation 30(5) (finance: exceptions from prohibitions) to the date on which a person became a designated person is to be read as a reference to the date on which the person was so named.

(2) Where, immediately before the relevant date, a person was named by the Security Council or the Committee for the purposes of paragraph 23(b) of resolution 1483, any reference in regulation 30(5) to the date on which a person became a designated person is to be read as a reference to the date on which the person was so named.

**(3) In this regulation—**

“designated person” has the same meaning as it has in regulation 6(2);

“the relevant date” means—

- (a) where regulations under section 56 of the Act provide that Part 3 comes into force at a specified time on a day, that time on that day;
- (b) otherwise, the date on which Part 3 comes into force.