
STATUTORY INSTRUMENTS

2021 No. 1272

NATIONAL SECURITY

**The National Security and Investment Act 2021
(Prescribed Form and Content of Notices and
Validation Applications) Regulations 2021**

Made - - - - *15th November 2021*
Laid before Parliament *16th November 2021*
Coming into force *4th January 2022*

**THE NATIONAL SECURITY AND INVESTMENT ACT
2021 (PRESCRIBED FORM AND CONTENT OF NOTICES
AND VALIDATION APPLICATIONS) REGULATIONS 2021**

1. Citation, commencement and extent
 2. Interpretation
 3. Prescribed form and content of a mandatory notice
 4. Prescribed form and content of a voluntary notice
 5. Prescribed form and content of a validation application
- Signature

SCHEDULE 1 —

PART 1 — Information required in a mandatory notice

1. Notifying party name and contact details
2. The acquirer's address.
3. Where the acquirer is an entity, the full name of...
4. Where the acquirer has authorised a representative to submit the...
5. Additional acquirers
6. Related notifications
7. Acquisition details
8. The control thresholds set out in subsections (2), (5) and...
9. The expected date of completion of the acquisition being notified....
10. Regulatory approvals
11. Details of the qualifying entity
12. The qualifying entity's address.

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13. If applicable, the qualifying entity's website address.
 14. If known, the full name of an individual who holds...
 15. If the qualifying entity is registered in the United Kingdom,...
 16. If the qualifying entity is formed or recognised under the...
 17. A description of the activities which the qualifying entity carries...
 18. If known, a statement as to whether the qualifying entity...
 19. A statement as to whether the qualifying entity requires any...
 20. If known, a statement as to whether the qualifying entity...
 21. A statement as to whether the qualifying entity currently supplies,...
 22. If the qualifying entity supplies, or has supplied, goods or...
 23. A statement as to whether the qualifying entity currently, or...
 24. If the qualifying entity undertakes, or has undertaken, a research...
 25. A statement as to whether, if known, the qualifying entity...
 26. Ownership and structure of the qualifying entity
 27. The following details of the expected post-acquisition structure of the...
 28. A statement as to whether any non-United Kingdom government has...
 29. Details of the acquirer
 30. The acquirer's nationality (for individuals) or country of incorporation or...
 31. Where the acquirer is an entity, the following information—
 32. A description of the activities that the acquirer carries out...
 33. A statement as to whether any non-United Kingdom government or...
 34. A statement of whether any non-United Kingdom government has a...
 35. A statement as to whether there will be any contractual...
 36. If applicable, the following information about the structure of the...
 37. Where the acquirer will be acquiring indirect control over the...
 38. A statement of whether the acquirer has a board of...
- PART 2 — Declarations
39. Form of Declaration A
 40. Form of Declaration B

SCHEDULE 2 —

PART 1 — Information required in a voluntary notice

1. Notifying party name and contact details
2. The notifying party's full name.
3. The notifying party's address.
4. Where the notifying party is an entity, the full name...
5. Where the notifying party has authorised a representative to submit...
6. Acquirer's name and contact details
7. If the notifying party is not the acquirer, the following...
8. Where the acquirer is an entity, the full name, if...
9. The control thresholds set out in subsections (2), (5) and...
10. Where the acquirer has authorised a representative to accept service...
11. Where there is more than one acquirer involved in this...
12. Related notifications
13. Acquisition details
14. If applicable, the following information about any approvals from a...
15. Details of the qualifying entity being acquired (to be provided in relation to an entity acquisition only)
16. The qualifying entity's address.
17. If applicable, the qualifying entity's website address.
18. If known, the full name of an individual who holds...
19. If the qualifying entity is registered in the United Kingdom,...
20. If the qualifying entity is formed or recognised under the...

21. A description of the activities or services the qualifying entity...
 22. If known, a statement as to whether the qualifying entity...
 23. If known, a statement as to whether the qualifying entity...
 24. If known, a statement as to whether the qualifying entity...
 25. If known, a statement as to whether the qualifying entity...
 26. If the entity does supply, or has supplied, goods or...
 27. If known, a statement as to whether the qualifying entity...
 28. If the qualifying entity undertakes, or has undertaken a research...
 29. If known, a statement as to whether, the qualifying entity...
 30. Ownership and structure of the qualifying entity
 31. The following details of the expected post-acquisition structure of the...
 32. If known, a statement as to whether any non-United Kingdom...
 33. Details of the qualifying asset being acquired (to be provided in relation to an asset acquisition only)
 34. The location of the qualifying asset.
 35. A description of the qualifying asset.
 36. If known, a statement as to whether the qualifying asset...
 37. If a licence is required to operate the qualifying asset...
 38. If known, a statement as to whether the qualifying asset...
 39. If known, a statement as to whether the qualifying asset...
 40. If known, a statement as to whether the qualifying asset...
 41. If known, in case of a qualifying asset which is...
 42. Specify the following information on the structure of the qualifying...
 43. If known, a statement as to whether any non-United Kingdom...
 44. If known, a statement as to whether there will be...
 45. Details of the acquirer
 46. A statement as to whether the acquirer is an individual...
 47. The country of incorporation or constitution (for entities) or the...
 48. If the acquirer is a qualifying entity registered in the...
 49. If the acquirer is formed or recognised under the law...
 50. A description of the acquirer's main activities, products or services...
 51. If known, a statement as to whether any non-United Kingdom...
 52. If known, a statement as to whether any non-United Kingdom...
 53. If known, a statement as to whether there will be...
 54. If applicable, the following information about the structure of the...
 55. A statement as to whether any individual or entity is...
 56. A statement as to whether the acquirer has a board...
 57. Other
- PART 2 — Declarations
58. Form of Declaration A
 59. Form of Declaration B

SCHEDULE 3 —

PART 1 — Information required in a validation application

1. Notifying party name and contact details
2. Details of how the notifying party has been materially affected...
3. A statement as to whether the information is provided by...
4. The notifying party's full name.
5. The notifying party's address.
6. If applicable, where the notifying party is an entity, the...
7. Where the notifying party has authorised a representative to submit...
8. Acquirer's name and contact details
9. If the notifying party was not the acquirer, the following...

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10. Where the acquirer is an entity, the full name, if...
 11. Details of which of the control thresholds set out in...
 12. If the notifying party was not the acquirer, and the...
 13. Where there was more than one acquirer involved in the...
 14. Related notifications
 15. Acquisition details
 16. The areas of the economy as set out in the...
 17. The control thresholds set out in subsections (2), (5) and...
 18. The date that the notifiable acquisition completed.
 19. Regulatory approvals
 20. Details of the qualifying entity
 21. The qualifying entity's address.
 22. If known, the full name of an individual who holds...
 23. If the qualifying entity is registered in the United Kingdom,...
 24. If the qualifying entity is formed or recognised under the...
 25. A description of the activities which the qualifying entity carries...
 26. If known, a statement of whether the qualifying entity is...
 27. If known, a statement as to whether the qualifying entity...
 28. If known, a statement as to whether the qualifying entity...
 29. If known, a statement as to whether the qualifying entity...
 30. If the entity does supply, or has supplied, goods or...
 31. If known, a statement as to whether the qualifying entity...
 32. If the qualifying entity undertakes, or has undertaken, a research...
 33. If known, a statement as to whether the qualifying entity...
 34. Ownership and structure of the qualifying entity
 35. The following details of the post-acquisition structure of the qualifying...
 36. If known, a statement as to whether any non-United Kingdom...
 37. Details of the acquirer
 38. The acquirer's nationality (for individuals) or country of incorporation or...
 39. Where the acquirer is an entity, the following information—
 40. A description of the activities that the acquirer carries out...
 41. If known, a statement as to whether any non-United Kingdom...
 42. If known, statement whether any non-United Kingdom government has a...
 43. A statement as to whether there are any contractual arrangements...
 44. The following information about the structure of the acquirer which...
 45. Where the acquirer acquired indirect control over the qualifying entity,...
 46. A statement as to whether the acquirer has a board...
 47. Other
- PART 2 — Declarations
48. Form of Declaration A
 49. Form of Declaration B

Explanatory Note