

SCHEDULE 1

Regulation 3

PART 1

Information required in a mandatory notice

Notifying party name and contact details

1. The acquirer's(1) full name.

.....
Commencement Information

I1 Sch. 1 para. 1 in force at 4.1.2022, see **reg. 1(1)**

2. The acquirer's address.

.....
Commencement Information

I2 Sch. 1 para. 2 in force at 4.1.2022, see **reg. 1(1)**

3. Where the acquirer is an entity, the full name of an individual who holds or occupies a position in relation to the entity and who can be contacted in relation to the notice and the following information in relation to that individual—

- (a) the position held in the entity,
- (b) email address, and
- (c) telephone number.

.....
Commencement Information

I3 Sch. 1 para. 3 in force at 4.1.2022, see **reg. 1(1)**

4. Where the acquirer has authorised a representative to submit the form and to accept service under the Act, the following information in relation to the representative—

- (a) full name,
- (b) business name,
- (c) address,
- (d) email address,
- (e) telephone number, and
- (f) details of the relationship between the representative and the acquirer.

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Commencement Information

I4 Sch. 1 para. 4 in force at 4.1.2022, see **reg. 1(1)**

(1) See section 5(2) of the Act for the meaning of “acquirer”.

Changes to legislation: There are currently no known outstanding effects for the The National Security and Investment Act 2021 (Prescribed Form and Content of Notices and Validation Applications) Regulations 2021. (See end of Document for details)

Commencement Information

- I1** Sch. 1 para. 1 in force at 4.1.2022, see **reg. 1(1)**
- I2** Sch. 1 para. 2 in force at 4.1.2022, see **reg. 1(1)**
- I3** Sch. 1 para. 3 in force at 4.1.2022, see **reg. 1(1)**
- I4** Sch. 1 para. 4 in force at 4.1.2022, see **reg. 1(1)**

Additional acquirers

5.—(1) Where there is more than one acquirer involved in the acquisition, the information set out in subparagraphs (2) to (4), if known.

(2) The following information about each additional acquirer—

- (a) name,
- (b) address, and
- (c) telephone number.

(3) Where an additional acquirer is an entity, the full name, of an individual who holds or occupies a position in relation to the entity and who can be contacted in relation to the notice, and the following information in relation to that individual—

- (a) the position held in the entity,
- (b) email address, and
- (c) telephone number.

(4) The control thresholds set out in subsections (2), (5) and (6) of section 8 of the Act which are expected to be met by the additional acquirer and a description of the shares or voting rights expected to be acquired by the additional acquirer.

Commencement Information

- I5** Sch. 1 para. 5 in force at 4.1.2022, see **reg. 1(1)**

Related notifications

6. A statement of whether the acquirer (or in a case where there is more than one acquirer any of the acquirers) has submitted a notification to any overseas investment screening regimes within the last 12 months and specifying, if applicable, the following information about the notification—

- (a) the name of the investment screening regime,
- (b) the country, and
- (c) any applicable case numbers or reference numbers.

Commencement Information

- I6** Sch. 1 para. 6 in force at 4.1.2022, see **reg. 1(1)**

Acquisition details

7. The areas of the economy as set out in the headings to the Schedules to the National Security and Investment Act 2021 (Notifiable Acquisition) (Specification of Qualifying Entities) Regulations 2021(2) in which the qualifying entity carries on activities and a description of those activities.

Commencement Information

I7 Sch. 1 para. 7 in force at 4.1.2022, see **reg. 1(1)**

8. The control thresholds set out in subsections (2), (5) and (6) of section 8 of the Act which are expected to be met and provide a description of the shares or voting rights expected to be acquired by the acquirer.

Commencement Information

I8 Sch. 1 para. 8 in force at 4.1.2022, see **reg. 1(1)**

9. The expected date of completion of the acquisition being notified.

Commencement Information

I9 Sch. 1 para. 9 in force at 4.1.2022, see **reg. 1(1)**

Commencement Information

I7 Sch. 1 para. 7 in force at 4.1.2022, see **reg. 1(1)**

I8 Sch. 1 para. 8 in force at 4.1.2022, see **reg. 1(1)**

I9 Sch. 1 para. 9 in force at 4.1.2022, see **reg. 1(1)**

Regulatory approvals

10. If applicable, the following information about any approvals given by a regulatory authority in the United Kingdom (including any approvals already given) required prior to completion of the acquisition—

- (a) the name of the regulatory authority,
- (b) details of the nature of the approval, and
- (c) any key dates associated with those approvals.

Commencement Information

I10 Sch. 1 para. 10 in force at 4.1.2022, see **reg. 1(1)**

Details of the qualifying entity

11. The qualifying entity's full name.

(2) S.I. 2021/1264.

Changes to legislation: There are currently no known outstanding effects for the The National Security and Investment Act 2021 (Prescribed Form and Content of Notices and Validation Applications) Regulations 2021. (See end of Document for details)

Commencement Information

I11 Sch. 1 para. 11 in force at 4.1.2022, see **reg. 1(1)**

12. The qualifying entity's address.

Commencement Information

I12 Sch. 1 para. 12 in force at 4.1.2022, see **reg. 1(1)**

13. If applicable, the qualifying entity's website address.

Commencement Information

I13 Sch. 1 para. 13 in force at 4.1.2022, see **reg. 1(1)**

14. If known, the full name of an individual who holds or occupies a position in relation to the qualifying entity and who can be contacted in relation to the notice and the following information about that person—

- (a) the position held in the qualifying entity,
- (b) email address, and
- (c) telephone number.

Commencement Information

I14 Sch. 1 para. 14 in force at 4.1.2022, see **reg. 1(1)**

15. If the qualifying entity is registered in the United Kingdom, the following information—

- (a) the Companies House registration number, and
- (b) the Standard Industrial Classification code⁽³⁾.

Commencement Information

I15 Sch. 1 para. 15 in force at 4.1.2022, see **reg. 1(1)**

16. If the qualifying entity is formed or recognised under the law of a country or territory outside the United Kingdom, the following information in relation to the qualifying entity –

- (a) the country of incorporation, and
- (b) the full registration details within the country of incorporation.

Commencement Information

I16 Sch. 1 para. 16 in force at 4.1.2022, see **reg. 1(1)**

17. A description of the activities which the qualifying entity carries out.

(3) The Standard Industrial Classification code provided on the filing of the qualifying entity to Companies House. The codes are available on <https://www.gov.uk/government/publications/standard-industrial-classification-of-economic-activities-sic>.

Commencement Information

I17 Sch. 1 para. 17 in force at 4.1.2022, see **reg. 1(1)**

18. If known, a statement as to whether the qualifying entity is authorised to receive and/or store information which has been given a United Kingdom government security classification⁽⁴⁾ and, if it is, the following information—

- (a) the classification level,
- (b) the government department, agency or public body from which the information came, and
- (c) a description of the information held by the qualifying entity under the authorisation.

Commencement Information

I18 Sch. 1 para. 18 in force at 4.1.2022, see **reg. 1(1)**

19. A statement as to whether the qualifying entity requires any licences to operate within the areas of the economy as set out in the headings to the Schedules to the National Security and Investment Act 2021 (Notifiable Acquisition) (Specification of Qualifying Entities) Regulations 2021 in the United Kingdom and, if it does, the following information in relation to each licence—

- (a) the licence name,
- (b) the name of the issuer of the licence, and
- (c) the date when the licence was issued.

Commencement Information

I19 Sch. 1 para. 19 in force at 4.1.2022, see **reg. 1(1)**

20. If known, a statement as to whether the qualifying entity owns or holds any dual-use items and, if it does, the name of each item and a description.

Commencement Information

I20 Sch. 1 para. 20 in force at 4.1.2022, see **reg. 1(1)**

21. A statement as to whether the qualifying entity currently supplies, or in the past five years, has supplied, goods or services to a United Kingdom government department, agency or public body that relates to any one or more of the following areas—

- (a) defence,
- (b) national security responsibilities,
- (c) law enforcement,
- (d) national infrastructure [^{F1}sector].

⁽⁴⁾ Government Security Classifications, Version 1.1 May 2018, downloadable from www.gov.uk.

Changes to legislation: There are currently no known outstanding effects for the The National Security and Investment Act 2021 (Prescribed Form and Content of Notices and Validation Applications) Regulations 2021. (See end of Document for details)

Textual Amendments

F1 Word in Sch. 1 para. 21(d) inserted (20.4.2022) by The National Security and Investment Act 2021 (Prescribed Form and Content of Notices and Validation Applications) (Amendment) Regulations 2022 (S.I. 2022/398), regs. 1(1), 2(a)

Commencement Information

I21 Sch. 1 para. 21 in force at 4.1.2022, see reg. 1(1)

22. If the qualifying entity supplies, or has supplied, goods or services for the purposes of paragraph 21, the following information—

- (a) the name of the government department, agency or public body,
- (b) a description of the supply relationship, and
- (c) a statement of which of the areas referred to in paragraph 21 the supply relationship relates to.

Commencement Information

I22 Sch. 1 para. 22 in force at 4.1.2022, see reg. 1(1)

23. A statement as to whether the qualifying entity currently, or in the past five years, has undertaken any research and development project that has been partly or wholly funded by any United Kingdom government department, agency or public body that relates to any one or more of the areas referred to in paragraph 21.

Commencement Information

I23 Sch. 1 para. 23 in force at 4.1.2022, see reg. 1(1)

24. If the qualifying entity undertakes, or has undertaken, a research and development project as described in paragraph 23, the following information—

- (a) the name of the research and development project,
- (b) a description of the research and development undertaken,
- (c) a statement of which area in paragraph 21 it relates to, and
- (d) the name of the United Kingdom government department, agency or public body that provided the funding.

Commencement Information

I24 Sch. 1 para. 24 in force at 4.1.2022, see reg. 1(1)

25. A statement as to whether, if known, the qualifying entity is party to any contracts which require personnel of the qualifying entity to hold national security vetting security clearance and, if so, the following information, if known—

- (a) the level of clearances held, and
- (b) the number of personnel holding that level of clearance.

Commencement Information

I25 Sch. 1 para. 25 in force at 4.1.2022, see [reg. 1\(1\)](#)

Textual Amendments

F1 Word in Sch. 1 para. 21(d) inserted (20.4.2022) by The National Security and Investment Act 2021 (Prescribed Form and Content of Notices and Validation Applications) (Amendment) Regulations 2022 (S.I. 2022/398), regs. 1(1), [2\(a\)](#)

Commencement Information

I11 Sch. 1 para. 11 in force at 4.1.2022, see [reg. 1\(1\)](#)

I12 Sch. 1 para. 12 in force at 4.1.2022, see [reg. 1\(1\)](#)

I13 Sch. 1 para. 13 in force at 4.1.2022, see [reg. 1\(1\)](#)

I14 Sch. 1 para. 14 in force at 4.1.2022, see [reg. 1\(1\)](#)

I15 Sch. 1 para. 15 in force at 4.1.2022, see [reg. 1\(1\)](#)

I16 Sch. 1 para. 16 in force at 4.1.2022, see [reg. 1\(1\)](#)

I17 Sch. 1 para. 17 in force at 4.1.2022, see [reg. 1\(1\)](#)

I18 Sch. 1 para. 18 in force at 4.1.2022, see [reg. 1\(1\)](#)

I19 Sch. 1 para. 19 in force at 4.1.2022, see [reg. 1\(1\)](#)

I20 Sch. 1 para. 20 in force at 4.1.2022, see [reg. 1\(1\)](#)

I21 Sch. 1 para. 21 in force at 4.1.2022, see [reg. 1\(1\)](#)

I22 Sch. 1 para. 22 in force at 4.1.2022, see [reg. 1\(1\)](#)

I23 Sch. 1 para. 23 in force at 4.1.2022, see [reg. 1\(1\)](#)

I24 Sch. 1 para. 24 in force at 4.1.2022, see [reg. 1\(1\)](#)

I25 Sch. 1 para. 25 in force at 4.1.2022, see [reg. 1\(1\)](#)

Ownership and structure of the qualifying entity

26. The following information about the pre-acquisition structure of the qualifying entity which must be provided in a chart attached to the notice—

- (a) the full names of shareholders with share ownership or voting rights of 5% or more specifying the percentage held by each, and
- (b) the nationality (for individuals) or country of incorporation or constitution (for entities) of any shareholder listed in subparagraph (a).

Commencement Information

I26 Sch. 1 para. 26 in force at 4.1.2022, see [reg. 1\(1\)](#)

27. The following details of the expected post-acquisition structure of the qualifying entity which must be provided in a chart attached to the notice—

- (a) the full names of shareholders with share ownership or voting rights of 5% or more specifying the percentage held by each, and
- (b) the nationality (for individuals) or country of incorporation or constitution (for entities) of any shareholders listed in subparagraph (a).

Changes to legislation: There are currently no known outstanding effects for the The National Security and Investment Act 2021 (Prescribed Form and Content of Notices and Validation Applications) Regulations 2021. (See end of Document for details)

Commencement Information

I27 Sch. 1 para. 27 in force at 4.1.2022, see **reg. 1(1)**

28. A statement as to whether any non-United Kingdom government has a direct or indirect role in the operation or decision making of the qualifying entity and, if it has, the following information in relation to each non-United Kingdom government—

- (a) the name of the non-United Kingdom government or representative, and
- (b) a description of the non-United Kingdom government’s role and interests.

Commencement Information

I28 Sch. 1 para. 28 in force at 4.1.2022, see **reg. 1(1)**

Commencement Information

I26 Sch. 1 para. 26 in force at 4.1.2022, see **reg. 1(1)**

I27 Sch. 1 para. 27 in force at 4.1.2022, see **reg. 1(1)**

I28 Sch. 1 para. 28 in force at 4.1.2022, see **reg. 1(1)**

Details of the acquirer

29. A statement as to whether the acquirer is an individual or an entity.

Commencement Information

I29 Sch. 1 para. 29 in force at 4.1.2022, see **reg. 1(1)**

30. The acquirer’s nationality (for individuals) or country of incorporation or constitution (for entities).

Commencement Information

I30 Sch. 1 para. 30 in force at 4.1.2022, see **reg. 1(1)**

31. Where the acquirer is an entity, the following information—

- (a) if the acquirer is registered in the United Kingdom, the following information—
 - (i) the Companies House registration number, and
 - (ii) the Standard Industrial Classification code.
- (b) if the acquirer is formed or recognised under the law of a country or territory outside the United Kingdom, the following information—
 - (i) the country of incorporation, and
 - (ii) the full registration details within the country of incorporation.

Commencement Information

I31 Sch. 1 para. 31 in force at 4.1.2022, see **reg. 1(1)**

32. A description of the activities that the acquirer carries out.

Commencement Information

I32 Sch. 1 para. 32 in force at 4.1.2022, see **reg. 1(1)**

33. A statement as to whether any non-United Kingdom government or any person acting on behalf of a non-United Kingdom government has any share ownership or voting rights in the acquirer and, if it has, the following information—

- (a) the name of the non-United Kingdom government or the full name of the person acting on behalf of the non-United Kingdom government, and
- (b) information on the amount of share ownership or voting rights held in the acquirer.

Commencement Information

I33 Sch. 1 para. 33 in force at 4.1.2022, see **reg. 1(1)**

34. A statement of whether any non-United Kingdom government has a direct or indirect role in the operation or decision-making of the acquirer and, if it has, the following information in relation to each non-United Kingdom government—

- (a) the name of the non-United Kingdom government or the full name of the person acting on behalf of the non-United Kingdom government, and
- (b) a description of the government's role and interests.

Commencement Information

I34 Sch. 1 para. 34 in force at 4.1.2022, see **reg. 1(1)**

35. A statement as to whether there will be any contractual arrangements in place regarding share ownership or voting rights between the acquirer and any other party in relation to the qualifying entity once the proposed acquisition has been completed and a description of any such contractual arrangements.

Commencement Information

I35 Sch. 1 para. 35 in force at 4.1.2022, see **reg. 1(1)**

36. If applicable, the following information about the structure of the acquirer which must be provided in a chart attached to the notice—

- (a) the full names of shareholders with share ownership or voting rights of 5% or more specifying the percentage held by each, and
- (b) the country of nationality (for individuals) or country of incorporation or constitution (for entities) of any shareholders listed in subparagraph (a).

Changes to legislation: There are currently no known outstanding effects for the The National Security and Investment Act 2021 (Prescribed Form and Content of Notices and Validation Applications) Regulations 2021. (See end of Document for details)

Commencement Information

I36 Sch. 1 para. 36 in force at 4.1.2022, see **reg. 1(1)**

- 37.** Where the acquirer will be acquiring indirect control over the qualifying entity, a statement—
- (a) confirming that indirect control is to be acquired, and
 - (b) specifying the chain of entities which holds an interest or right in the acquirer, up to the last entity which holds the interest or right.

Commencement Information

I37 Sch. 1 para. 37 in force at 4.1.2022, see **reg. 1(1)**

38. A statement of whether the acquirer has a board of directors and if applicable, the following information about each of the members of the board of directors or equivalent within the acquirer at the time of submitting the notification—

- (a) the individual’s full name,
- (b) the individual’s date of birth,
- (c) the position held within the acquirer, and
- (d) whether the person is classified as a politically exposed person (PEP) within the meaning given in regulation 35(12)(a) and (14) of the Money Laundering, Terrorist Financing and Transfer of Funds (Information on the Payer) Regulations 2017/692(5).

Commencement Information

I38 Sch. 1 para. 38 in force at 4.1.2022, see **reg. 1(1)**

Commencement Information

- I29** Sch. 1 para. 29 in force at 4.1.2022, see **reg. 1(1)**
- I30** Sch. 1 para. 30 in force at 4.1.2022, see **reg. 1(1)**
- I31** Sch. 1 para. 31 in force at 4.1.2022, see **reg. 1(1)**
- I32** Sch. 1 para. 32 in force at 4.1.2022, see **reg. 1(1)**
- I33** Sch. 1 para. 33 in force at 4.1.2022, see **reg. 1(1)**
- I34** Sch. 1 para. 34 in force at 4.1.2022, see **reg. 1(1)**
- I35** Sch. 1 para. 35 in force at 4.1.2022, see **reg. 1(1)**
- I36** Sch. 1 para. 36 in force at 4.1.2022, see **reg. 1(1)**
- I37** Sch. 1 para. 37 in force at 4.1.2022, see **reg. 1(1)**
- I38** Sch. 1 para. 38 in force at 4.1.2022, see **reg. 1(1)**

(5) S.I. 2017/692, to which there are amendments not relevant to these Regulations.

PART 2

Declarations

Form of Declaration A

39. The form of Declaration A is—

“Declaration A

I declare that, to the best of my knowledge and belief, the information given in response to the questions in this Notice is true, correct, and complete in all material respects.

I understand that:

It is a criminal offence under section 34 of the National Security and Investment Act 2021 for a person recklessly or knowingly to supply to the Secretary of State information which is false or misleading in any material respect. This includes supplying such information to another person knowing that the information is to be used for the purpose of supplying information to the Secretary of State.

Signed:

Name: (block letters)

Position: (block letters)

Date:”

Commencement Information

I39 Sch. 1 para. 39 in force at 4.1.2022, see [reg. 1\(1\)](#)

Form of Declaration B

40. The form of Declaration B is—

“Declaration B

I confirm that [full name of the representative] is authorised for the purpose of the acquisition described in this mandatory notice to submit this notice I hereby specify [the [email] address of the representative named in the notification] as an [email] address at which [name of the notifying party] will accept service of documents of any kind in connection with the National Security and Investment Act 2021 in relation to this Notice.

Signed:

Name: (block letters)

Position: (block letters)

Date:”

Commencement Information

I40 Sch. 1 para. 40 in force at 4.1.2022, see [reg. 1\(1\)](#)

SCHEDULE 2

Regulation 4

PART 1

Information required in a voluntary notice

Notifying party name and contact details

1. A statement setting out whether the notifying party is the acquirer, seller or qualifying entity.

Commencement Information

I41 Sch. 2 para. 1 in force at 4.1.2022, see **reg. 1(1)**

2. The notifying party's full name.

Commencement Information

I42 Sch. 2 para. 2 in force at 4.1.2022, see **reg. 1(1)**

3. The notifying party's address.

Commencement Information

I43 Sch. 2 para. 3 in force at 4.1.2022, see **reg. 1(1)**

4. Where the notifying party is an entity, the full name of an individual who holds or occupies a position in relation to the entity and who can be contacted in relation to the notice and the following information in relation to that individual—

- (a) the position held in the notifying party,
- (b) email address, and
- (c) telephone number.

Commencement Information

I44 Sch. 2 para. 4 in force at 4.1.2022, see **reg. 1(1)**

5. Where the notifying party has authorised a representative to submit the form and accept service, the following information in relation to the representative—

- (a) full name,
- (b) business name,
- (c) address,
- (d) email address,
- (e) telephone number, and
- (f) the relationship between the representative and the notifying party.

Commencement Information

I45 Sch. 2 para. 5 in force at 4.1.2022, see **reg. 1(1)**

Commencement Information

I41 Sch. 2 para. 1 in force at 4.1.2022, see **reg. 1(1)**

I42 Sch. 2 para. 2 in force at 4.1.2022, see **reg. 1(1)**

I43 Sch. 2 para. 3 in force at 4.1.2022, see **reg. 1(1)**

I44 Sch. 2 para. 4 in force at 4.1.2022, see **reg. 1(1)**

I45 Sch. 2 para. 5 in force at 4.1.2022, see **reg. 1(1)**

Acquirer's name and contact details

6. A statement as to whether there is more than one acquirer.

Commencement Information

I46 Sch. 2 para. 6 in force at 4.1.2022, see **reg. 1(1)**

7. If the notifying party is not the acquirer, the following information in relation to the acquirer—

- (a) full name, and
- (b) address.

Commencement Information

I47 Sch. 2 para. 7 in force at 4.1.2022, see **reg. 1(1)**

8. Where the acquirer is an entity, the full name, if known, of an individual who holds or occupies a position in relation to the entity and who can be contacted in relation to the notice, and the following information in relation to that individual—

- (a) the position held in the entity,
- (b) email address, and
- (c) telephone number.

Commencement Information

I48 Sch. 2 para. 8 in force at 4.1.2022, see **reg. 1(1)**

9. The control thresholds set out in subsections (2), (5) and (6) of section 8 or section 9 of the Act which are or are expected to be met by the acquirer and a description of the shares or voting rights being acquired by the acquirer.

Commencement Information

I49 Sch. 2 para. 9 in force at 4.1.2022, see **reg. 1(1)**

Changes to legislation: There are currently no known outstanding effects for the The National Security and Investment Act 2021 (Prescribed Form and Content of Notices and Validation Applications) Regulations 2021. (See end of Document for details)

10. Where the acquirer has authorised a representative to accept service under the Act on behalf of the acquirer, the following information in relation to the representative—

- (a) full name,
- (b) business name,
- (c) address,
- (d) email address,
- (e) telephone number, and
- (f) details of the relationship between the representative and the acquirer.

Commencement Information

I50 Sch. 2 para. 10 in force at 4.1.2022, see reg. 1(1)

11. Where there is more than one acquirer involved in this acquisition, the information specified in paragraphs 7 to 10 must be provided in relation to each additional acquirer.

Commencement Information

I51 Sch. 2 para. 11 in force at 4.1.2022, see reg. 1(1)

Commencement Information

I46 Sch. 2 para. 6 in force at 4.1.2022, see reg. 1(1)

I47 Sch. 2 para. 7 in force at 4.1.2022, see reg. 1(1)

I48 Sch. 2 para. 8 in force at 4.1.2022, see reg. 1(1)

I49 Sch. 2 para. 9 in force at 4.1.2022, see reg. 1(1)

I50 Sch. 2 para. 10 in force at 4.1.2022, see reg. 1(1)

I51 Sch. 2 para. 11 in force at 4.1.2022, see reg. 1(1)

Related notifications

12. If known, a statement of whether the acquirer (or in a case where there is more than one acquirer, any of the acquirers) has submitted a notification to any overseas investment screening regimes within the last 12 months and specifying, if applicable, the following information about the notification—

- (a) the name of the investment screening regime,
- (b) the country, and
- (c) any case numbers or reference numbers given.

Commencement Information

I52 Sch. 2 para. 12 in force at 4.1.2022, see reg. 1(1)

Acquisition details

13. A statement specifying—

- (a) whether the acquisition is in contemplation, in progress or has taken place,

- (b) whether the notice is related to the acquisition of a qualifying asset or a qualifying entity, and
- (c) if known, the date or the expected date of completion of the acquisition being notified.

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Commencement Information

I53 Sch. 2 para. 13 in force at 4.1.2022, see **reg. 1(1)**

14. If applicable, the following information about any approvals from a regulatory authority in the United Kingdom (including any approvals already given) required prior to completion of the acquisition—

- (a) the name of the regulatory authority, and
- (b) details of the nature of the approval, and
- (c) any key dates associated with those approvals.

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Commencement Information

I54 Sch. 2 para. 14 in force at 4.1.2022, see **reg. 1(1)**

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Commencement Information

I53 Sch. 2 para. 13 in force at 4.1.2022, see **reg. 1(1)**

I54 Sch. 2 para. 14 in force at 4.1.2022, see **reg. 1(1)**

Details of the qualifying entity being acquired (to be provided in relation to an entity acquisition only)

15. The qualifying entity's full name.

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Commencement Information

I55 Sch. 2 para. 15 in force at 4.1.2022, see **reg. 1(1)**

16. The qualifying entity's address.

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Commencement Information

I56 Sch. 2 para. 16 in force at 4.1.2022, see **reg. 1(1)**

17. If applicable, the qualifying entity's website address.

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Commencement Information

I57 Sch. 2 para. 17 in force at 4.1.2022, see **reg. 1(1)**

18. If known, the full name of an individual who holds or occupies a position in relation to the qualifying entity and who can be contacted in relation to the notice and the following information about that person—

Changes to legislation: There are currently no known outstanding effects for the The National Security and Investment Act 2021 (Prescribed Form and Content of Notices and Validation Applications) Regulations 2021. (See end of Document for details)

- (a) the position held in the qualifying entity,
- (b) email address, and
- (c) telephone number.

Commencement Information

I58 Sch. 2 para. 18 in force at 4.1.2022, see [reg. 1\(1\)](#)

19. If the qualifying entity is registered in the United Kingdom, the following information—

- (a) the Companies House registration number, and
- (b) the Standard Industrial Classification code.

Commencement Information

I59 Sch. 2 para. 19 in force at 4.1.2022, see [reg. 1\(1\)](#)

20. If the qualifying entity is formed or recognised under the law of a country or territory outside the United Kingdom, the following information in relation to the qualifying entity—

- (a) the country of incorporation, and
- (b) the full registration details within the country of incorporation.

Commencement Information

I60 Sch. 2 para. 20 in force at 4.1.2022, see [reg. 1\(1\)](#)

21. A description of the activities or services the qualifying entity provides or carries out.

Commencement Information

I61 Sch. 2 para. 21 in force at 4.1.2022, see [reg. 1\(1\)](#)

22. If known, a statement as to whether the qualifying entity is authorised to receive and/or store information which has been given a United Kingdom government security classification and, if it is, the following information—

- (a) the classification level,
- (b) the government department, agency or public body from which the information came, and
- (c) a description of the information held by the qualifying entity under the authorisation.

Commencement Information

I62 Sch. 2 para. 22 in force at 4.1.2022, see [reg. 1\(1\)](#)

23. If known, a statement as to whether the qualifying entity holds any licences to operate in the United Kingdom and, if it does, the following information—

- (a) the licence name,
- (b) the name of the issuer of the licence, and
- (c) the date when the licence was issued.

Commencement Information

I63 Sch. 2 para. 23 in force at 4.1.2022, see **reg. 1(1)**

24. If known, a statement as to whether the qualifying entity owns or holds any dual-use items and, if it does, provide the name of each item and a description.

Commencement Information

I64 Sch. 2 para. 24 in force at 4.1.2022, see **reg. 1(1)**

25. If known, a statement as to whether the qualifying entity currently supplies, or in the past five years, has supplied goods or services to a United Kingdom government department, agency or public body that relates to any one or more of the following areas—

- (a) defence,
- (b) national security responsibilities,
- (c) law enforcement, or
- (d) national infrastructure [^{F2}sector].

Textual Amendments

F2 Word in Sch. 2 para. 25(d) inserted (20.4.2022) by The National Security and Investment Act 2021 (Prescribed Form and Content of Notices and Validation Applications) (Amendment) Regulations 2022 (S.I. 2022/398), regs. 1(1), **2(b)**

Commencement Information

I65 Sch. 2 para. 25 in force at 4.1.2022, see **reg. 1(1)**

26. If the entity does supply, or has supplied, goods or services for the purposes of paragraph 25, the following information—

- (a) the name of the department, agency or public body,
- (b) a description of the supply relationship, and
- (c) a statement of which of the areas referred to in paragraph 25 the supply relationship relates to.

Commencement Information

I66 Sch. 2 para. 26 in force at 4.1.2022, see **reg. 1(1)**

27. If known, a statement as to whether the qualifying entity currently, or in the past five years, has undertaken any research and development project that has been partly or wholly funded by any United Kingdom government department, agency or public body that relates to any one or more of the areas referred to in paragraph 25.

Commencement Information

I67 Sch. 2 para. 27 in force at 4.1.2022, see **reg. 1(1)**

Changes to legislation: There are currently no known outstanding effects for the The National Security and Investment Act 2021 (Prescribed Form and Content of Notices and Validation Applications) Regulations 2021. (See end of Document for details)

28. If the qualifying entity undertakes, or has undertaken a research and development project for the purposes of paragraph 27, the following information—

- (a) the name of the research and development project,
- (b) a description of the research and development undertaken,
- (c) a statement of which area in paragraph 25 it relates to, and
- (d) the name of the United Kingdom government department, agency or public body that provided the funding.

Commencement Information

I68 Sch. 2 para. 28 in force at 4.1.2022, see [reg. 1\(1\)](#)

29. If known, a statement as to whether, the qualifying entity is party to any contracts which require personnel of the qualifying entity to hold national security vetting security clearance and, if so, the following information—

- (a) the level of clearances held; and
- (b) the number of personnel holding that level of clearance.

Commencement Information

I69 Sch. 2 para. 29 in force at 4.1.2022, see [reg. 1\(1\)](#)

Textual Amendments

F2 Word in Sch. 2 para. 25(d) inserted (20.4.2022) by The National Security and Investment Act 2021 (Prescribed Form and Content of Notices and Validation Applications) (Amendment) Regulations 2022 (S.I. 2022/398), regs. 1(1), **2(b)**

Commencement Information

I55 Sch. 2 para. 15 in force at 4.1.2022, see [reg. 1\(1\)](#)
I56 Sch. 2 para. 16 in force at 4.1.2022, see [reg. 1\(1\)](#)
I57 Sch. 2 para. 17 in force at 4.1.2022, see [reg. 1\(1\)](#)
I58 Sch. 2 para. 18 in force at 4.1.2022, see [reg. 1\(1\)](#)
I59 Sch. 2 para. 19 in force at 4.1.2022, see [reg. 1\(1\)](#)
I60 Sch. 2 para. 20 in force at 4.1.2022, see [reg. 1\(1\)](#)
I61 Sch. 2 para. 21 in force at 4.1.2022, see [reg. 1\(1\)](#)
I62 Sch. 2 para. 22 in force at 4.1.2022, see [reg. 1\(1\)](#)
I63 Sch. 2 para. 23 in force at 4.1.2022, see [reg. 1\(1\)](#)
I64 Sch. 2 para. 24 in force at 4.1.2022, see [reg. 1\(1\)](#)
I65 Sch. 2 para. 25 in force at 4.1.2022, see [reg. 1\(1\)](#)
I66 Sch. 2 para. 26 in force at 4.1.2022, see [reg. 1\(1\)](#)
I67 Sch. 2 para. 27 in force at 4.1.2022, see [reg. 1\(1\)](#)
I68 Sch. 2 para. 28 in force at 4.1.2022, see [reg. 1\(1\)](#)
I69 Sch. 2 para. 29 in force at 4.1.2022, see [reg. 1\(1\)](#)

Ownership and structure of the qualifying entity

30. The following information about the pre-acquisition structure of the qualifying entity which must be provided in a chart attached to the notice—

- (a) the full names of shareholders with share ownership or voting rights of 5% or more specifying the percentage held by each, and
- (b) the nationality (for individuals) or country of incorporation or constitution (for entities) of any shareholder listed in subparagraph (a).

.....
Commencement Information

I70 Sch. 2 para. 30 in force at 4.1.2022, see **reg. 1(1)**

31. The following details of the expected post-acquisition structure of the qualifying entity which must be provided in a chart attached to the notice—

- (a) the full names of shareholders with share ownership or voting rights of 5% or more specifying the percentage held by each, and
- (b) the nationality (for individuals) or country of incorporation or constitution (for entities) of any shareholders listed in subparagraph (a).

.....
Commencement Information

I71 Sch. 2 para. 31 in force at 4.1.2022, see **reg. 1(1)**

32. If known, a statement as to whether any non-United Kingdom government has a direct or indirect role in the operation or decision making of the qualifying entity and, if it has, the following information in relation to each non-United Kingdom government—

- (a) the name of the non-United Kingdom government or representative, and
- (b) a description of the non-United Kingdom government’s role and interests.

.....
Commencement Information

I72 Sch. 2 para. 32 in force at 4.1.2022, see **reg. 1(1)**

.....
Commencement Information

I70 Sch. 2 para. 30 in force at 4.1.2022, see **reg. 1(1)**

I71 Sch. 2 para. 31 in force at 4.1.2022, see **reg. 1(1)**

I72 Sch. 2 para. 32 in force at 4.1.2022, see **reg. 1(1)**

Details of the qualifying asset being acquired (to be provided in relation to an asset acquisition only)

33. The category of a qualifying asset set out in subsection (4) of section 7 of the Act that the asset belongs to.

.....
Commencement Information

I73 Sch. 2 para. 33 in force at 4.1.2022, see **reg. 1(1)**

34. The location of the qualifying asset.

Changes to legislation: There are currently no known outstanding effects for the The National Security and Investment Act 2021 (Prescribed Form and Content of Notices and Validation Applications) Regulations 2021. (See end of Document for details)

Commencement Information

I74 Sch. 2 para. 34 in force at 4.1.2022, see **reg. 1(1)**

35. A description of the qualifying asset.

Commencement Information

I75 Sch. 2 para. 35 in force at 4.1.2022, see **reg. 1(1)**

36. If known, a statement as to whether the qualifying asset has been given a United Kingdom government security classification and if it has, the following information—

- (a) the classification level,
- (b) the government department, agency or public body from which the classification came, and
- (c) the reasons why the qualifying asset has a United Kingdom government security classification.

Commencement Information

I76 Sch. 2 para. 36 in force at 4.1.2022, see **reg. 1(1)**

37. If a licence is required to operate the qualifying asset in the United Kingdom, details of—

- (a) the licence name,
- (b) the name of the issuer of the licence, and
- (c) the date when the licence was issued.

Commencement Information

I77 Sch. 2 para. 37 in force at 4.1.2022, see **reg. 1(1)**

38. If known, a statement as to whether the qualifying asset is considered a dual-use item and if it is, provide the name of the item and a description.

Commencement Information

I78 Sch. 2 para. 38 in force at 4.1.2022, see **reg. 1(1)**

39. If known, a statement as to whether the qualifying asset is used to gather and/or hold data on United Kingdom citizens and, if it does, provide a description of the data gathered or held.

Commencement Information

I79 Sch. 2 para. 39 in force at 4.1.2022, see **reg. 1(1)**

40. If known, a statement as to whether the qualifying asset holds any United Kingdom or internationally recognised standards, accreditations or certification.

Commencement Information

I80 Sch. 2 para. 40 in force at 4.1.2022, see **reg. 1(1)**

41. If known, in case of a qualifying asset which is land or tangible (or, in Scotland, corporeal) moveable property, the full names of any person who has previously gained control over the qualifying asset before the acquisition takes place, which must be provided in a chart attached to the notice.

Commencement Information

I81 Sch. 2 para. 41 in force at 4.1.2022, see **reg. 1(1)**

42. Specify the following information on the structure of the qualifying asset after the acquisition, in a chart attached to the notification—

- (a) full names of those with rights or interests in the qualifying asset before the acquisition (if known), and
- (b) specify the changes in rights or interests held in the qualifying asset as a result of the acquisition.

Commencement Information

I82 Sch. 2 para. 42 in force at 4.1.2022, see **reg. 1(1)**

43. If known, a statement as to whether any non-United Kingdom government has a direct or indirect role in the control of the asset and, if it has, provide the name of the non-United Kingdom government and describe their role and interests.

Commencement Information

I83 Sch. 2 para. 43 in force at 4.1.2022, see **reg. 1(1)**

44. If known, a statement as to whether there will be any contractual arrangements in place regarding share ownership or voting rights between the acquirer and any other party once the acquisition will have been completed.

Commencement Information

I84 Sch. 2 para. 44 in force at 4.1.2022, see **reg. 1(1)**

Commencement Information

I73 Sch. 2 para. 33 in force at 4.1.2022, see **reg. 1(1)**

I74 Sch. 2 para. 34 in force at 4.1.2022, see **reg. 1(1)**

I75 Sch. 2 para. 35 in force at 4.1.2022, see **reg. 1(1)**

I76 Sch. 2 para. 36 in force at 4.1.2022, see **reg. 1(1)**

I77 Sch. 2 para. 37 in force at 4.1.2022, see **reg. 1(1)**

I78 Sch. 2 para. 38 in force at 4.1.2022, see **reg. 1(1)**

I79 Sch. 2 para. 39 in force at 4.1.2022, see **reg. 1(1)**

Changes to legislation: There are currently no known outstanding effects for the The National Security and Investment Act 2021 (Prescribed Form and Content of Notices and Validation Applications) Regulations 2021. (See end of Document for details)

- 180** Sch. 2 para. 40 in force at 4.1.2022, see [reg. 1\(1\)](#)
- 181** Sch. 2 para. 41 in force at 4.1.2022, see [reg. 1\(1\)](#)
- 182** Sch. 2 para. 42 in force at 4.1.2022, see [reg. 1\(1\)](#)
- 183** Sch. 2 para. 43 in force at 4.1.2022, see [reg. 1\(1\)](#)
- 184** Sch. 2 para. 44 in force at 4.1.2022, see [reg. 1\(1\)](#)

Details of the acquirer

45. The acquirer's full name.

.....
Commencement Information

- 185** Sch. 2 para. 45 in force at 4.1.2022, see [reg. 1\(1\)](#)

46. A statement as to whether the acquirer is an individual or an entity.

.....
Commencement Information

- 186** Sch. 2 para. 46 in force at 4.1.2022, see [reg. 1\(1\)](#)

47. The country of incorporation or constitution (for entities) or the country of nationality (for individuals) of the acquirer.

.....
Commencement Information

- 187** Sch. 2 para. 47 in force at 4.1.2022, see [reg. 1\(1\)](#)

48. If the acquirer is a qualifying entity registered in the United Kingdom, the following information—

- (a) the Companies House registration number, and
- (b) the Standard Industrial Classification code.

.....
Commencement Information

- 188** Sch. 2 para. 48 in force at 4.1.2022, see [reg. 1\(1\)](#)

49. If the acquirer is formed or recognised under the law of a country or territory outside the United Kingdom, the following information—

- (a) the country of incorporation, and
- (b) the full registration details within the country of incorporation.

.....
Commencement Information

- 189** Sch. 2 para. 49 in force at 4.1.2022, see [reg. 1\(1\)](#)

50. A description of the acquirer's main activities, products or services.

Commencement Information

190 Sch. 2 para. 50 in force at 4.1.2022, see **reg. 1(1)**

51. If known, a statement as to whether any non-United Kingdom government or any person acting on behalf of a non-United Kingdom government has any share ownership or voting rights in the acquirer and, if it has, the following information—

- (a) the name of the non-United Kingdom government or the full name of the person acting on behalf of the non-United Kingdom government, and
- (b) information on share ownership or voting rights held in the acquirer.

Commencement Information

191 Sch. 2 para. 51 in force at 4.1.2022, see **reg. 1(1)**

52. If known, a statement as to whether any non-United Kingdom government has a direct or indirect role in the operation or decision making of the acquirer and, if it has, the following information in relation to each non-United Kingdom government—

- (a) the name of the non-United Kingdom government or the full name of the person acting on behalf of the non-United Kingdom government, and
- (b) a description of the non-United Kingdom government's role and interests.

Commencement Information

192 Sch. 2 para. 52 in force at 4.1.2022, see **reg. 1(1)**

53. If known, a statement as to whether there will be any contractual arrangements in place regarding share ownership or voting rights between the acquirer and any other party in relation to the qualifying entity once the proposed acquisition has been completed and a description of any such contractual arrangements.

Commencement Information

193 Sch. 2 para. 53 in force at 4.1.2022, see **reg. 1(1)**

54. If applicable, the following information about the structure of the acquirer which must be provided in a chart attached to the notice—

- (a) the full names of shareholders with share ownership or voting rights of 5% or more specifying the percentage held by each, and
- (b) the country of nationality (for individuals) or country of incorporation or constitution (for entities) of any shareholders listed in subparagraph (a).

Commencement Information

194 Sch. 2 para. 54 in force at 4.1.2022, see **reg. 1(1)**

55. A statement as to whether any individual or entity is acquiring indirect control over the qualifying entity and if this is the case, provide details of the chain of individuals or entities that hold an interest or right.

Changes to legislation: There are currently no known outstanding effects for the The National Security and Investment Act 2021 (Prescribed Form and Content of Notices and Validation Applications) Regulations 2021. (See end of Document for details)

Commencement Information

I95 Sch. 2 para. 55 in force at 4.1.2022, see **reg. 1(1)**

56. A statement as to whether the acquirer has a board of directors and, if applicable, the following information about each of the members of the board of directors or equivalent within the acquirer at the time of submitting the notification—

- (a) the individual’s full name,
- (b) the individual’s date of birth,
- (c) the position held within the acquirer, and
- (d) whether the person is classified as a politically exposed person within the meaning given in regulation 35(12)(1)(a) and (14) of the Money Laundering, Terrorist Financing and Transfer of Funds (Information on the Payer) Regulations 2017/692.

Commencement Information

I96 Sch. 2 para. 56 in force at 4.1.2022, see **reg. 1(1)**

Commencement Information

- I85** Sch. 2 para. 45 in force at 4.1.2022, see **reg. 1(1)**
- I86** Sch. 2 para. 46 in force at 4.1.2022, see **reg. 1(1)**
- I87** Sch. 2 para. 47 in force at 4.1.2022, see **reg. 1(1)**
- I88** Sch. 2 para. 48 in force at 4.1.2022, see **reg. 1(1)**
- I89** Sch. 2 para. 49 in force at 4.1.2022, see **reg. 1(1)**
- I90** Sch. 2 para. 50 in force at 4.1.2022, see **reg. 1(1)**
- I91** Sch. 2 para. 51 in force at 4.1.2022, see **reg. 1(1)**
- I92** Sch. 2 para. 52 in force at 4.1.2022, see **reg. 1(1)**
- I93** Sch. 2 para. 53 in force at 4.1.2022, see **reg. 1(1)**
- I94** Sch. 2 para. 54 in force at 4.1.2022, see **reg. 1(1)**
- I95** Sch. 2 para. 55 in force at 4.1.2022, see **reg. 1(1)**
- I96** Sch. 2 para. 56 in force at 4.1.2022, see **reg. 1(1)**

Other

57. Any additional information the notifying party considers relevant to the notification.

Commencement Information

I97 Sch. 2 para. 57 in force at 4.1.2022, see **reg. 1(1)**

PART 2

Declarations

Form of Declaration A

58. The form of Declaration A is—

“Declaration A

I declare that, to the best of my knowledge and belief, the information given in response to the questions in this Notice is true, correct, and complete in all material respects.

I understand that:

It is a criminal offence under section 34 of the National Security and Investment Act 2021 for a person recklessly or knowingly to supply to the Secretary of State information which is false or misleading in any material respect. This includes supplying such information to another person knowing that the information is to be used for the purpose of supplying information to the Secretary of State.

Signed:

Name: (block letters)

Position: (block letters)

Date:”

Commencement Information

I98 Sch. 2 para. 58 in force at 4.1.2022, see [reg. 1\(1\)](#)

Form of Declaration B

59. The form of Declaration B is—

“Declaration B

I confirm that [full name of the representative (if any)] is authorised for the purpose of the acquisition described in this form to act on behalf of the notifying party and to submit this form. I hereby specify [the [email] address of the representative named in the notification] as an [email] address at which [name of the notifying party] will accept correspondence and accept service of documents in accordance with the National Security and Investment Act 2021 (Procedure for Service) Regulations 2021.

Signed:

Name: (block letters)

Position: (block letters)

Date:”

Commencement Information

I99 Sch. 2 para. 59 in force at 4.1.2022, see [reg. 1\(1\)](#)

SCHEDULE 3

Regulation 5

PART 1

Information required in a validation application

Notifying party name and contact details

1. A statement setting out whether the notifying party is the acquirer, seller, the qualifying entity or otherwise materially affected by the fact that the notifiable acquisition to which the application relates is void.

Commencement Information

I100 Sch. 3 para. 1 in force at 4.1.2022, see **reg. 1(1)**

2. Details of how the notifying party has been materially affected by the fact that the notifiable acquisition to which the application relates is void.

Commencement Information

I101 Sch. 3 para. 2 in force at 4.1.2022, see **reg. 1(1)**

3. A statement as to whether the information is provided by the notifying party or a representative.

Commencement Information

I102 Sch. 3 para. 3 in force at 4.1.2022, see **reg. 1(1)**

4. The notifying party's full name.

Commencement Information

I103 Sch. 3 para. 4 in force at 4.1.2022, see **reg. 1(1)**

5. The notifying party's address.

Commencement Information

I104 Sch. 3 para. 5 in force at 4.1.2022, see **reg. 1(1)**

6. If applicable, where the notifying party is an entity, the full name of an individual who holds or occupies a position in relation to the entity and who can be contacted in relation to the notice and the following information in relation to that individual—

- (a) the position held in the notifying party,
- (b) email address, and
- (c) telephone number.

Commencement Information

I105 Sch. 3 para. 6 in force at 4.1.2022, see **reg. 1(1)**

7. Where the notifying party has authorised a representative to submit the application and to accept service under the Act, the following information in relation to the representative—

- (a) full name,
- (b) business name,
- (c) address,
- (d) email address,
- (e) telephone number, and
- (f) details of the relationship between the representative and the notifying party.

Commencement Information

I106 Sch. 3 para. 7 in force at 4.1.2022, see **reg. 1(1)**

Commencement Information

I100 Sch. 3 para. 1 in force at 4.1.2022, see **reg. 1(1)**

I101 Sch. 3 para. 2 in force at 4.1.2022, see **reg. 1(1)**

I102 Sch. 3 para. 3 in force at 4.1.2022, see **reg. 1(1)**

I103 Sch. 3 para. 4 in force at 4.1.2022, see **reg. 1(1)**

I104 Sch. 3 para. 5 in force at 4.1.2022, see **reg. 1(1)**

I105 Sch. 3 para. 6 in force at 4.1.2022, see **reg. 1(1)**

I106 Sch. 3 para. 7 in force at 4.1.2022, see **reg. 1(1)**

Acquirer's name and contact details

8. A statement as to whether there was more than one acquirer.

Commencement Information

I107 Sch. 3 para. 8 in force at 4.1.2022, see **reg. 1(1)**

9. If the notifying party was not the acquirer, the following information in relation to the acquirer—

- (a) name, and
- (b) address,

Commencement Information

I108 Sch. 3 para. 9 in force at 4.1.2022, see **reg. 1(1)**

10. Where the acquirer is an entity, the full name, if known, of an individual who holds or occupies a position in relation to the entity and who can be contacted in relation to the notice, and the following information in relation to that individual—

Changes to legislation: There are currently no known outstanding effects for the The National Security and Investment Act 2021 (Prescribed Form and Content of Notices and Validation Applications) Regulations 2021. (See end of Document for details)

- (a) the position held in the body,
- (b) email address, and
- (c) telephone number.

Commencement Information

I109 Sch. 3 para. 10 in force at 4.1.2022, see **reg. 1(1)**

11. Details of which of the control thresholds set out in subsections (2), (5) and (6) of section 8 or section 9 of the Act that were met by the acquirer and a description of the shares or voting rights acquired by the acquirer.

Commencement Information

I110 Sch. 3 para. 11 in force at 4.1.2022, see **reg. 1(1)**

12. If the notifying party was not the acquirer, and the acquirer has authorised a representative to accept service under the Act, the following information in relation to the acquirer's representative—

- (a) full name,
- (b) business name,
- (c) registered address,
- (d) email address,
- (e) telephone number, and
- (f) the relationship between the representative and the acquirer.

Commencement Information

I111 Sch. 3 para. 12 in force at 4.1.2022, see **reg. 1(1)**

13. Where there was more than one acquirer involved in the acquisition, the information specified in paragraphs 9 to 12 in relation to each additional acquirer, if known.

Commencement Information

I112 Sch. 3 para. 13 in force at 4.1.2022, see **reg. 1(1)**

Commencement Information

I107 Sch. 3 para. 8 in force at 4.1.2022, see **reg. 1(1)**

I108 Sch. 3 para. 9 in force at 4.1.2022, see **reg. 1(1)**

I109 Sch. 3 para. 10 in force at 4.1.2022, see **reg. 1(1)**

I110 Sch. 3 para. 11 in force at 4.1.2022, see **reg. 1(1)**

I111 Sch. 3 para. 12 in force at 4.1.2022, see **reg. 1(1)**

I112 Sch. 3 para. 13 in force at 4.1.2022, see **reg. 1(1)**

Related notifications

14. A statement of whether the acquirer (or in a case where there is more than one acquirer any of the acquirers) has submitted a notification to any other overseas investment screening regimes within the last 12 months and specifying, if applicable the following information about the notification—

- (a) the name of the investment screening regime,
- (b) the country, and
- (c) any case numbers or reference numbers given.

Commencement Information

I113 Sch. 3 para. 14 in force at 4.1.2022, see [reg. 1\(1\)](#)

Acquisition details

15. If known, the reasons why the notifiable acquisition was not notified to the Secretary of State as required by section 14(1) of the Act.

Commencement Information

I114 Sch. 3 para. 15 in force at 4.1.2022, see [reg. 1\(1\)](#)

16. The areas of the economy as set out in the headings to the Schedules in the National Security and Investment Act 2021 (Notifiable Acquisition) (Specification of Entities) Regulations 2021 in which the qualifying entity carries on activities and provide a description of those activities.

Commencement Information

I115 Sch. 3 para. 16 in force at 4.1.2022, see [reg. 1\(1\)](#)

17. The control thresholds set out in subsections (2), (5) and (6) of section 8 of the Act 2021 that would have been met and provide a description of the shares or voting rights that would have been acquired by the acquirer had the notifiable acquisition not been void

Commencement Information

I116 Sch. 3 para. 17 in force at 4.1.2022, see [reg. 1\(1\)](#)

18. The date that the notifiable acquisition completed.

Commencement Information

I117 Sch. 3 para. 18 in force at 4.1.2022, see [reg. 1\(1\)](#)

Commencement Information

I114 Sch. 3 para. 15 in force at 4.1.2022, see [reg. 1\(1\)](#)

I115 Sch. 3 para. 16 in force at 4.1.2022, see [reg. 1\(1\)](#)

I116 Sch. 3 para. 17 in force at 4.1.2022, see [reg. 1\(1\)](#)

Changes to legislation: There are currently no known outstanding effects for the The National Security and Investment Act 2021 (Prescribed Form and Content of Notices and Validation Applications) Regulations 2021. (See end of Document for details)

I117 [Sch. 3 para. 18](#) in force at 4.1.2022, see [reg. 1\(1\)](#)

Regulatory approvals

19. If applicable, the following information about any approvals given by a regulatory authority in the United Kingdom (including any approvals already given) that were required prior to completion of the acquisition—

- (a) the name of the United Kingdom regulator,
- (b) details of the nature of the approval, and
- (c) any key dates associated with those approvals.

Commencement Information

I118 [Sch. 3 para. 19](#) in force at 4.1.2022, see [reg. 1\(1\)](#)

Details of the qualifying entity

20. The qualifying entity’s full name.

Commencement Information

I119 [Sch. 3 para. 20](#) in force at 4.1.2022, see [reg. 1\(1\)](#)

21. The qualifying entity’s address.

Commencement Information

I120 [Sch. 3 para. 21](#) in force at 4.1.2022, see [reg. 1\(1\)](#)

22. If known, the full name of an individual who holds or occupies a position in relation to the qualifying entity and the following information about that individual—

- (a) the position held in the qualifying entity,
- (b) email address, and
- (c) telephone number.

Commencement Information

I121 [Sch. 3 para. 22](#) in force at 4.1.2022, see [reg. 1\(1\)](#)

23. If the qualifying entity is registered in the United Kingdom, the following information—

- (a) the Companies House registration number, and
- (b) the Standard Industrial Classification code.

Commencement Information

I122 [Sch. 3 para. 23](#) in force at 4.1.2022, see [reg. 1\(1\)](#)

24. If the qualifying entity is formed or recognised under the law of a country or territory outside the United Kingdom, the following information—

- (a) the country of incorporation, and
- (b) the full registration details within the country of incorporation.

Commencement Information

I123 Sch. 3 para. 24 in force at 4.1.2022, see [reg. 1\(1\)](#)

25. A description of the activities which the qualifying entity carries out.

Commencement Information

I124 Sch. 3 para. 25 in force at 4.1.2022, see [reg. 1\(1\)](#)

26. If known, a statement of whether the qualifying entity is authorised to receive and/or store information which has been given a United Kingdom government security classification and, if it is, the following information—

- (a) the classification level,
- (b) the government department, agency or public body from which the information came, and
- (c) a description of the information held by the qualifying entity under the authorisation.

Commencement Information

I125 Sch. 3 para. 26 in force at 4.1.2022, see [reg. 1\(1\)](#)

27. If known, a statement as to whether the qualifying entity requires any licences to operate within the areas of the economy as set out in the headings to the Schedules to the National Security and Investment Act 2021 (Notifiable Acquisition) (Specification of Qualifying Entities) Regulations 2021 in the United Kingdom and, if it does, the following information in relation to each licence—

- (a) the licence name,
- (b) the name of the issuer of the licence, and
- (c) the date when the licence was issued.

Commencement Information

I126 Sch. 3 para. 27 in force at 4.1.2022, see [reg. 1\(1\)](#)

28. If known, a statement as to whether the qualifying entity owns or holds any dual-use items and, if it does, the name of each item and a description.

Commencement Information

I127 Sch. 3 para. 28 in force at 4.1.2022, see [reg. 1\(1\)](#)

29. If known, a statement as to whether the qualifying entity currently supplies, or in the past five years, has supplied, goods or services to a United Kingdom government department, agency or public body that relates to any one or more of the following areas—

Changes to legislation: There are currently no known outstanding effects for the The National Security and Investment Act 2021 (Prescribed Form and Content of Notices and Validation Applications) Regulations 2021. (See end of Document for details)

- (a) defence,
- (b) national security responsibilities,
- (c) law enforcement,
- (d) [^{F3}national infrastructure sector].

Textual Amendments

F3 Words in Sch. 3 para. 29(d) substituted (20.4.2022) by The National Security and Investment Act 2021 (Prescribed Form and Content of Notices and Validation Applications) (Amendment) Regulations 2022 (S.I. 2022/398), regs. 1(1), 2(c)

Commencement Information

I128 Sch. 3 para. 29 in force at 4.1.2022, see reg. 1(1)

30. If the entity does supply, or has supplied, goods or services for the purposes of paragraph 29, the following information—

- (a) the name of the government department, agency or public body,
- (b) a description of the supply relationship, and
- (c) a statement of which of the areas referred to in paragraph 29 the supply relationship relates to.

Commencement Information

I129 Sch. 3 para. 30 in force at 4.1.2022, see reg. 1(1)

31. If known, a statement as to whether the qualifying entity currently, or in the past five years, has undertaken any research and development project that has been partly or wholly funded by any United Kingdom government department, agency or public body that relates to any one or more of the areas referred to in paragraph 29.

Commencement Information

I130 Sch. 3 para. 31 in force at 4.1.2022, see reg. 1(1)

32. If the qualifying entity undertakes, or has undertaken, a research and development project for the purposes of paragraph 31, the following information—

- (a) the name of the research and development project,
- (b) a description of the research and development undertaken,
- (c) a statement of which area in paragraph 29 it relates to, and
- (d) the name of the United Kingdom government department, agency or public body that provided the funding.

Commencement Information

I131 Sch. 3 para. 32 in force at 4.1.2022, see reg. 1(1)

33. If known, a statement as to whether the qualifying entity is party to any contracts which require personnel of the qualifying entity to hold national security vetting security clearance and, if so, the following information, if known—

- (a) the level of clearances held; and
- (b) the number of personnel holding that level of clearance.

Commencement Information

I132 Sch. 3 para. 33 in force at 4.1.2022, see **reg. 1(1)**

Textual Amendments

F3 Words in Sch. 3 para. 29(d) substituted (20.4.2022) by The National Security and Investment Act 2021 (Prescribed Form and Content of Notices and Validation Applications) (Amendment) Regulations 2022 (S.I. 2022/398), regs. 1(1), **2(c)**

Commencement Information

- I119** Sch. 3 para. 20 in force at 4.1.2022, see **reg. 1(1)**
- I120** Sch. 3 para. 21 in force at 4.1.2022, see **reg. 1(1)**
- I121** Sch. 3 para. 22 in force at 4.1.2022, see **reg. 1(1)**
- I122** Sch. 3 para. 23 in force at 4.1.2022, see **reg. 1(1)**
- I123** Sch. 3 para. 24 in force at 4.1.2022, see **reg. 1(1)**
- I124** Sch. 3 para. 25 in force at 4.1.2022, see **reg. 1(1)**
- I125** Sch. 3 para. 26 in force at 4.1.2022, see **reg. 1(1)**
- I126** Sch. 3 para. 27 in force at 4.1.2022, see **reg. 1(1)**
- I127** Sch. 3 para. 28 in force at 4.1.2022, see **reg. 1(1)**
- I128** Sch. 3 para. 29 in force at 4.1.2022, see **reg. 1(1)**
- I129** Sch. 3 para. 30 in force at 4.1.2022, see **reg. 1(1)**
- I130** Sch. 3 para. 31 in force at 4.1.2022, see **reg. 1(1)**
- I131** Sch. 3 para. 32 in force at 4.1.2022, see **reg. 1(1)**
- I132** Sch. 3 para. 33 in force at 4.1.2022, see **reg. 1(1)**

Ownership and structure of the qualifying entity

34. The following information about the pre-acquisition structure of the qualifying entity which must be provided in a chart attached to the notice—

- (a) the full names of shareholders with share ownership or voting rights of 5% or more specifying the percentage held by each, and
- (b) the nationality (for individuals) or country of incorporation or constitution (for entities) of any shareholder listed in subparagraph (a).

Commencement Information

I133 Sch. 3 para. 34 in force at 4.1.2022, see **reg. 1(1)**

35. The following details of the post-acquisition structure of the qualifying entity which must be provided in a chart attached to the notice—

- (a) the full names of shareholders with share ownership or voting rights of 5% or more specifying the percentage held by each, and

Changes to legislation: There are currently no known outstanding effects for the The National Security and Investment Act 2021 (Prescribed Form and Content of Notices and Validation Applications) Regulations 2021. (See end of Document for details)

- (b) the nationality (for individuals) or country of incorporation or constitution (for entities) of any shareholders listed in subparagraph (a).

Commencement Information

I134 Sch. 3 para. 35 in force at 4.1.2022, see **reg. 1(1)**

36. If known, a statement as to whether any non-United Kingdom government has a direct or indirect role in the operation or decision making of the qualifying entity and, if it has, the following information in relation to each non-United Kingdom government—

- (a) the name of the non-United Kingdom government or representative, and
(b) a description of the non-United Kingdom government’s role and interests.

Commencement Information

I135 Sch. 3 para. 36 in force at 4.1.2022, see **reg. 1(1)**

Commencement Information

I133 Sch. 3 para. 34 in force at 4.1.2022, see **reg. 1(1)**

I134 Sch. 3 para. 35 in force at 4.1.2022, see **reg. 1(1)**

I135 Sch. 3 para. 36 in force at 4.1.2022, see **reg. 1(1)**

Details of the acquirer

37. A statement as to whether the acquirer is an individual or an entity.

Commencement Information

I136 Sch. 3 para. 37 in force at 4.1.2022, see **reg. 1(1)**

38. The acquirer’s nationality (for individuals) or country of incorporation or constitution (for entities).

Commencement Information

I137 Sch. 3 para. 38 in force at 4.1.2022, see **reg. 1(1)**

39. Where the acquirer is an entity, the following information—

- (a) if the acquirer is registered in the United Kingdom—
(i) the Companies House registration number, and
(ii) the Standard Industrial Classification code.
(b) if the acquirer is formed or recognised under the law of a country or territory outside the United Kingdom—
(i) the country of incorporation, and
(ii) the full registration details within the country of incorporation.

Commencement Information

I138 Sch. 3 para. 39 in force at 4.1.2022, see **reg. 1(1)**

40. A description of the activities that the acquirer carries out.

Commencement Information

I139 Sch. 3 para. 40 in force at 4.1.2022, see **reg. 1(1)**

41. If known, a statement as to whether any non-United Kingdom government or any person acting on behalf of a non-United Kingdom government has any share ownership or voting rights in the acquirer and, if it has, the following information—

- (a) the name of the non-United Kingdom government or the full name of the person acting on behalf of the non-United Kingdom government, and
- (b) information on the amount of share ownership or voting rights held in the acquirer.

Commencement Information

I140 Sch. 3 para. 41 in force at 4.1.2022, see **reg. 1(1)**

42. If known, statement whether any non-United Kingdom government has a direct or indirect role in the operation or decision making of the acquirer and, if it has, the following information in relation to each non-United Kingdom government—

- (a) the name of the non-United Kingdom government or the full name of the person acting on behalf of the non-United Kingdom government, and
- (b) a description of the non-United Kingdom government's role and interests.

Commencement Information

I141 Sch. 3 para. 42 in force at 4.1.2022, see **reg. 1(1)**

43. A statement as to whether there are any contractual arrangements in place regarding share ownership or voting rights between the acquirer and any other party in relation to the qualifying entity and a description of any such contractual arrangements.

Commencement Information

I142 Sch. 3 para. 43 in force at 4.1.2022, see **reg. 1(1)**

44. The following information about the structure of the acquirer which must be provided in a chart attached to the application—

- (a) the full names of shareholders with share ownership or voting rights of 5% or more specifying the percentage held by each, and
- (b) the country of nationality (for individuals) or country of incorporation or constitution (for entities) of any shareholders listed in subparagraph (a).

Changes to legislation: There are currently no known outstanding effects for the The National Security and Investment Act 2021 (Prescribed Form and Content of Notices and Validation Applications) Regulations 2021. (See end of Document for details)

Commencement Information

I143 Sch. 3 para. 44 in force at 4.1.2022, see **reg. 1(1)**

- 45.** Where the acquirer acquired indirect control over the qualifying entity, a statement—
- (a) confirming that indirect control was acquired, and
 - (b) specifying the chain of entities which hold an interest or right in the acquirer, up to the last entity which holds the interest or right.

Commencement Information

I144 Sch. 3 para. 45 in force at 4.1.2022, see **reg. 1(1)**

46. A statement as to whether the acquirer has a board of directors and, if applicable, the following information about each of the members of the board of directors or equivalent within the acquirer at the time of submitting this application—

- (a) the individual’s full name,
- (b) the individual’s date of birth,
- (c) the position held within the acquirer, and
- (d) whether the individual is classified as a politically exposed person within the meaning given in regulation 35(12)(a) and (14) of the Money Laundering, Terrorist Financing and Transfer of Funds (Information on the Payer) Regulations 2017.

Commencement Information

I145 Sch. 3 para. 46 in force at 4.1.2022, see **reg. 1(1)**

Commencement Information

- I136** Sch. 3 para. 37 in force at 4.1.2022, see **reg. 1(1)**
- I137** Sch. 3 para. 38 in force at 4.1.2022, see **reg. 1(1)**
- I138** Sch. 3 para. 39 in force at 4.1.2022, see **reg. 1(1)**
- I139** Sch. 3 para. 40 in force at 4.1.2022, see **reg. 1(1)**
- I140** Sch. 3 para. 41 in force at 4.1.2022, see **reg. 1(1)**
- I141** Sch. 3 para. 42 in force at 4.1.2022, see **reg. 1(1)**
- I142** Sch. 3 para. 43 in force at 4.1.2022, see **reg. 1(1)**
- I143** Sch. 3 para. 44 in force at 4.1.2022, see **reg. 1(1)**
- I144** Sch. 3 para. 45 in force at 4.1.2022, see **reg. 1(1)**
- I145** Sch. 3 para. 46 in force at 4.1.2022, see **reg. 1(1)**

Other

- 47.** Any additional information the notifying party considers relevant to the application.

Commencement Information

I146 Sch. 3 para. 47 in force at 4.1.2022, see **reg. 1(1)**

PART 2

Declarations

Form of Declaration A

48. The content of Declaration A is—

“Declaration A

I declare that, to the best of my knowledge and belief, the information given in response to the questions in this Application is true, correct, and complete in all material respects.

I understand that:

It is a criminal offence under section 34 of the National Security and Investment Act 2021 for a person recklessly or knowingly to supply to the Secretary of State information which is false or misleading in any material respect. This includes supplying such information to another person knowing that the information is to be used for the purpose of supplying information to the Secretary of State.

Signed:

Name: (block letters)

Position: (block letters)

Date:”

Commencement Information

I147 Sch. 3 para. 48 in force at 4.1.2022, see [reg. 1\(1\)](#)

Form of Declaration B

49. The content of Declaration B is—

“Declaration B

I confirm that [full name of the representative] is authorised for the purpose of the acquisition described in this form to act on behalf of the notifying party and to submit this form. I hereby specify [the [email] address of the representative named in the application] as an [email] address at which [name of the notifying party] will accept correspondence and accept service of documents in accordance with the National Security and Investment Act 2021 (Procedure for Service) Regulations 2021.

Signed:

Name: (block letters)

Position: (block letters)

Date:”

Commencement Information

I148 Sch. 3 para. 49 in force at 4.1.2022, see [reg. 1\(1\)](#)

Changes to legislation: *There are currently no known outstanding effects for the The National Security and Investment Act 2021 (Prescribed Form and Content of Notices and Validation Applications) Regulations 2021. (See end of Document for details)*

Changes to legislation:

There are currently no known outstanding effects for the The National Security and Investment Act 2021 (Prescribed Form and Content of Notices and Validation Applications) Regulations 2021.