
STATUTORY INSTRUMENTS

2021 No. 1376

The Financial Services Act 2021 (Prudential Regulation of
Credit Institutions and Investment Firms) (Consequential
Amendments and Miscellaneous Provisions) Regulations 2021

PART 7

Exclusion of certain investment firms from Banking Act 2009 provisions

Description of institution not included within the meaning of “investment firm”

33. An institution which is an “FCA Investment Firm” within the meaning of section 143A (FCA investment firms) of the Financial Services and Markets Act 2000⁽¹⁾ is specified for the purpose of section 258A(2)(b) (“investment firm”) of the Banking Act 2009⁽²⁾.

⁽¹⁾ 2000 c. 8. Section 143A was inserted by Schedule 2 to the Financial Services Act 2021 (c. 22).

⁽²⁾ 2009 c. 1. Section 258A was inserted by section 21 of the Financial Services Act 2012 (c. 21). Section 258A has been amended by S.I. 2013/3115 and 2018/1394.