

SCHEDULE

Regulation 18(5)

List of the elements referred to in regulation 18(5)

1. Proof of eligibility pursuant to regulation 16(1) or (2).

**Commencement Information**

**I1** Sch. para. 1 in force at 22.4.2021, see [reg. 1\(2\)](#)

2. The applicant's name, address, telephone and electronic mail address.

**Commencement Information**

**I2** Sch. para. 2 in force at 22.4.2021, see [reg. 1\(2\)](#)

3. The account identifier of the applicant's nominated holding account.

**Commencement Information**

**I3** Sch. para. 3 in force at 22.4.2021, see [reg. 1\(2\)](#)

4. Full details of the applicant's nominated bank account.

**Commencement Information**

**I4** Sch. para. 4 in force at 22.4.2021, see [reg. 1\(2\)](#)

5. The name, address, telephone and the electronic mail address of one or more representatives of the bidder as defined in regulation 5(5).

**Commencement Information**

**I5** Sch. para. 5 in force at 22.4.2021, see [reg. 1\(2\)](#)

6. For legal persons, proof of:
- (a) their incorporation stating—
    - (i) the legal form of the applicant;
    - (ii) the law by which it is governed;
    - (iii) whether or not the applicant is a publicly listed company of one or more recognised stock exchanges;
  - (b) if applicable, the registration number of the applicant in the relevant register where the applicant is registered, failing which the applicant must provide the memorandum, statutes or other document attesting to its incorporation.

**Commencement Information**

**I6** Sch. para. 6 in force at 22.4.2021, see [reg. 1\(2\)](#)

**Changes to legislation:** There are currently no known outstanding effects for the The Greenhouse Gas Emissions Trading Scheme Auctioning Regulations 2021. (See end of Document for details)

7. For legal persons or unincorporated associations or other legal arrangements, such information as is required to identify the beneficial owner and understand the ownership and control structure of the legal person or arrangement in question.

**Commencement Information**

**I7** Sch. para. 7 in force at 22.4.2021, see [reg. 1\(2\)](#)

8. For natural persons, proof of their identity, by means of an identity card, driving licence, passport or similar government issued document containing the full name, photograph, date of birth and [<sup>F1</sup>residential address] of the applicant concerned, which may be supported by other appropriate corroborating documents wherever necessary.

**Textual Amendments**

**F1** Words in [Sch. para. 8](#) substituted (19.5.2021) by [The Greenhouse Gas Emissions Trading Scheme Auctioning \(Amendment\) Regulations 2021 \(S.I. 2021/561\)](#), regs. 1(2), [2\(6\)\(a\)](#)

**Commencement Information**

**I8** Sch. para. 8 in force at 22.4.2021, see [reg. 1\(2\)](#)

9. For operators, their permit (within the meaning of article 4 of the Trading Scheme Order).

**Commencement Information**

**I9** Sch. para. 9 in force at 22.4.2021, see [reg. 1\(2\)](#)

10. For aircraft operators, the emissions monitoring plan issued under article 29 of the Trading Scheme Order.

**Commencement Information**

**I10** Sch. para. 10 in force at 22.4.2021, see [reg. 1\(2\)](#)

11. Such information as is required to carry out the customer due diligence measures referred to in regulation 17(2)(e).

**Commencement Information**

**I11** Sch. para. 11 in force at 22.4.2021, see [reg. 1\(2\)](#)

12. The applicant's latest audited annual report and accounts including the profit and loss account and balance sheet, if any; if none, a [<sup>F2</sup>United Kingdom VAT return, if any], or such further information as required to be satisfied as to the applicant's solvency and creditworthiness.

**Textual Amendments**

**F2** Words in [Sch. para. 12](#) substituted (19.5.2021) by [The Greenhouse Gas Emissions Trading Scheme Auctioning \(Amendment\) Regulations 2021 \(S.I. 2021/561\)](#), regs. 1(2), [2\(6\)\(b\)](#)

**Commencement Information**

**I12** Sch. para. 12 in force at 22.4.2021, see reg. 1(2)

13. The [<sup>F3</sup>United Kingdom VAT registration] number, if any<sup>F4</sup>....

**Textual Amendments**

**F3** Words in Sch. para. 13 substituted (19.5.2021) by The Greenhouse Gas Emissions Trading Scheme Auctioning (Amendment) Regulations 2021 (S.I. 2021/561), regs. 1(2), 2(6)(c)(i)

**F4** Words in Sch. para. 13 omitted (19.5.2021) by virtue of The Greenhouse Gas Emissions Trading Scheme Auctioning (Amendment) Regulations 2021 (S.I. 2021/561), regs. 1(2), 2(6)(c)(ii)

**Commencement Information**

**I13** Sch. para. 13 in force at 22.4.2021, see reg. 1(2)

14. A statement that, to the best of the applicant's knowledge, the applicant satisfies the requirements of regulation 17(2)(f).

**Commencement Information**

**I14** Sch. para. 14 in force at 22.4.2021, see [reg. 1\(2\)](#)

15. Proof of compliance with the requirements of regulation 17(2)(g).

**Commencement Information**

**I15** Sch. para. 15 in force at 22.4.2021, see [reg. 1\(2\)](#)

16. Proof that the applicant satisfies the requirements of regulation 17(3).

**Commencement Information**

**I16** Sch. para. 16 in force at 22.4.2021, see [reg. 1\(2\)](#)

17. A statement that the applicant has the necessary legal capacity and authority to bid on its own account or on behalf of others in an auction.

**Commencement Information**

**I17** Sch. para. 17 in force at 22.4.2021, see [reg. 1\(2\)](#)

18. A statement that to the best of the applicant's knowledge there is no legal, regulatory, contractual or any other impediment preventing it from performing its obligations under these Regulations.

**Commencement Information**

**I18** Sch. para. 18 in force at 22.4.2021, see [reg. 1\(2\)](#)

**Changes to legislation:**

There are currently no known outstanding effects for the The Greenhouse Gas Emissions Trading Scheme Auctioning Regulations 2021.