

SCHEDULE

Article 2

Modifications to be made in the extension of the Global Anti-Corruption Sanctions Regulations 2021 to the Isle of Man

1. In regulation 1 (citation and commencement)—
 - (a) in the heading, omit “and commencement”;
 - (b) omit paragraph (2).
2. In regulation 2 (interpretation)—
 - (a) the existing text becomes paragraph (1);
 - (b) in that paragraph, in the appropriate place, insert—

““Treasury” has the meaning given in the Interpretation Act 2015 (of Tynwald);”
 - (c) omit the definition of “United Kingdom person”;
 - (d) after that paragraph insert—

“(2) In these Regulations, all references to Manx legislation (within the meaning of section 9 of the Interpretation Act 2015 (of Tynwald)) are to be construed as references to that legislation as amended from time to time.”
3. In regulation 3 (application of prohibitions and requirements outside the United Kingdom)—
 - (a) in the heading, for “United Kingdom” substitute “Isle of Man”;
 - (b) in paragraphs (1) and (4), for “A United Kingdom person” substitute “An Island person”;
 - (c) in paragraphs (1), (4) and (7), for “United Kingdom” substitute “Isle of Man”;
 - (d) in paragraphs (2) and (5), after “in the territorial sea” insert “of the Isle of Man”;
 - (e) at the end, insert—

“(8) In this regulation—
“Island person” means a person who is—

 - (a) an individual ordinarily resident in the Isle of Man who is—
 - (i) a British citizen, a British overseas territories citizen, a British National (Overseas) or a British Overseas citizen,
 - (ii) a person who under the British Nationality Act 1981(1) is a British subject, or
 - (iii) a British protected person within the meaning of that Act, or
 - (b) a body incorporated or constituted under the law of the Isle of Man;

“territorial sea of the Isle of Man” means the territorial sea adjacent to the Isle of Man.”
4. Omit regulation 5 (power to designate persons) (including the heading).
5. Omit regulation 6 (designation criteria) (including the heading).
6. For regulation 8 (notification and publicity where designation power used), substitute—

“Requirement to publish a list of designated persons

8.—(1) Subject to paragraph (2), the Treasury must—

(1) 1981 c.61. Part IV has been amended by the British Overseas Territories Act 2002 (c.8), section 1(1)(b); and the Nationality, Immigration and Asylum Act 2002 (c.41), Schedule 2, paragraph 1(i).

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- (a) publish a list of designated persons, and
- (b) keep the list up to date.

(2) Where, in accordance with regulation 8 (notification and publicity where designation power used) (as it has effect in the United Kingdom) the Secretary of State is not required to publicise generally a designation, variation or revocation, the Treasury must not include in the list under paragraph (1) any details of that designation, variation or revocation.

(3) The Treasury may publish the list under paragraph (1) in any form the Treasury considers appropriate, including by means of a website.

(4) For the purpose of this regulation, “designated person” means any person for the time being designated by the Secretary of State under regulation 5 (power to designate persons) (as it has effect in the United Kingdom).”

7. In regulation 9 (confidential information in certain cases where designation power used)—
- (a) in the heading, omit “where designation power used”;
 - (b) omit paragraph (1);
 - (c) in paragraph (2)(a), for “that is to be treated as confidential in accordance with paragraph (1)” substitute “which the Secretary of State has specified is to be treated as confidential under regulation 9(1) (confidential information in certain cases where designation power used) (as it has effect in the United Kingdom)”;
 - (d) in paragraph (4)(c), for “enactment” substitute “Manx legislation (within the meaning of section 9 of the Interpretation Act 2015 (of Tynwald))”;
 - (e) in paragraph (7)—
 - (i) for “The High Court (in Scotland, the Court of Session)” substitute “The High Court of Justice of the Isle of Man”;
 - (ii) at the end of sub-paragraph (a), omit “or”;
 - (iii) after sub-paragraph (a) insert—

“(aa) the Treasury, or”;
 - (iv) omit “in Scotland, an interdict”.
 - (f) omit paragraph (8).

8. In regulation 10 (meaning of “designated person” in Part 3), for “under regulation 5 (power to designate persons) for the purposes of regulations 11 to 15 (asset-freeze etc.)” substitute “from time to time by the Secretary of State under regulation 5 (power to designate persons) (as it has effect in the United Kingdom) for the purposes of regulations 11 to 15 (asset-freeze etc.) (as they have effect in the United Kingdom)”.

9. For regulation 17 (immigration) substitute—

“17. A person who is designated from time to time by the Secretary of State under regulation 5 (as it has effect in the United Kingdom) for the purposes of regulation 17 (as it has effect in the United Kingdom) is an excluded person for the purposes of section 8B of the Immigration Act 1971(2) (as it has effect in the Isle of Man).”

10. In regulation 18 (finance: exceptions from prohibitions)—

- (a) omit paragraph (6);
- (b) in paragraph (7), for the definition of “relevant institution” substitute—

(2) 1971 c.77. Section 8B was inserted by the Immigration and Asylum Act 1999 (c.33), section 8 and amended by the Immigration Act 2016 (c.19), section 76; and the Sanctions and Anti-Money Laundering Act 2018, section 59 and Schedule 3, Part 1. The Act was extended to the Isle of Man by S.I. 2008/680 (as amended).

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““relevant institution” means—

- (a) a person who is licensed under the Financial Services Act 2008 (of Tynwald)(3) to carry on a regulated activity within the meaning of section 3 of that Act,
- (b) a person who is authorised or registered under the Insurance Act 2008 (of Tynwald)(4) or who holds a permit under that Act,
- (c) a person who is registered under the Moneylenders Act 1991 (of Tynwald)(5) to carry on the business of lending money, or
- (d) a person who is acting as a trustee or an administrator of a retirement benefits scheme within the meaning of the Retirement Benefits Schemes Act 2000 (of Tynwald)(6).”

(c) omit paragraph (8).

11. For regulation 19 (finance: exception for authorised conduct in a relevant country) substitute—

“Finance: exceptions for authorised conduct outside the Isle of Man

19.—(1) Where a person’s conduct outside the Isle of Man would, in the absence of this paragraph, contravene a prohibition in any of regulations 11 to 15 (asset-freeze etc.), the prohibition is not contravened if the conduct is authorised by a licence issued under regulation 21 (Treasury licences) (as it has effect in the United Kingdom).

(2) Where a person’s conduct in a relevant country would, in the absence of this paragraph, contravene a prohibition in any of regulations 11 to 15 (asset-freeze etc.) (“the relevant prohibition”), the relevant prohibition is not contravened if the conduct is authorised by a licence or other authorisation which is issued—

- (a) under the law of the relevant country, and
- (b) for the purpose of disapplying a prohibition in that jurisdiction which corresponds to the relevant prohibition.

(3) In this regulation, “relevant country” means—

- (a) any of the Channel Islands;
- (b) any British overseas territory.”

12. For regulation 20 (exception for acts done for purposes of national security or prevention of serious crime), substitute—

“20.—(1) Where an act would, in the absence of this paragraph, be prohibited by the prohibition in regulation 9(2) (confidentiality) or any prohibition in Part 3 (Finance), that prohibition does not apply to the act if the act is one which—

- (a) a UK responsible officer has determined would be in the interests of—
 - (i) national security, or
 - (ii) the prevention or detection of serious crime in the United Kingdom or elsewhere, or
- (b) an Island responsible officer has determined would be in the interests of the prevention or detection of serious crime in the Isle of Man or elsewhere.

(3) AT 8 of 2008.
(4) AT 16 of 2008.
(5) AT 6 of 1991.
(6) AT 14 of 2008.

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(2) Where, in the absence of this paragraph, a thing would be required to be done under or by virtue of a provision of Part 6 (Information and records), that requirement does not apply if—

- (a) a UK responsible officer has determined that not doing the thing in question would be in the interests of—
 - (i) national security, or
 - (ii) the prevention or detection of serious crime in the United Kingdom or elsewhere, or
- (b) an Island responsible officer has determined that not doing the thing in question would be in the interests of the prevention or detection of serious crime in the Isle of Man or elsewhere.

(3) In this regulation—

“Department of Home Affairs” means the Department of Home Affairs of the Isle of Man established under section 1 of the Government Departments Act 1987 (of Tynwald)(7);

“Island responsible officer” means a person—

- (a) in the service of the Crown or holding office under the Crown in the Isle of Man,
 - (b) appointed by the Public Services Commission, or
 - (c) appointed as a constable by the Department of Home Affairs,
- acting in the course of that person’s duty;

“Public Services Commission” means the Public Services Commission established by the Public Services Commission Act 2015 (of Tynwald)(8);

“UK responsible officer” means a person in the service of the Crown or holding office under the Crown in the United Kingdom, acting in the course of that person’s duty.”

13. In regulation 21 (Treasury licences)—

- (a) in paragraph (3), for “consider” substitute “considers”;
- (b) in paragraph (4), for “issue” substitute “issues”;
- (c) in paragraph (5), for “issue, vary, revoke or suspend” substitute “issues, varies, revokes or suspends”;
- (d) in paragraph (6)—
 - (i) for “issue, vary, revoke or suspend” substitute “issues, varies, revokes or suspends”;
 - (ii) for “consider” substitute “considers”.

14. For regulation 23 (section 8B(1) to (3) of the Immigration Act 1971: directions) substitute—

“**23.**—(1) Any direction of the Secretary of State from time to time under regulation 23 (section 8B(1) to (3) of the Immigration Act 1971: directions) (as it has effect in the United Kingdom) that section 8B(1) and (2) of the Immigration Act 1971 (as it has effect in the United Kingdom), or section 8B(3) of that Act (as it has effect in the United Kingdom), have effect subject to specified exceptions in relation to any person whose name is specified or who is of a specified description, has the corresponding effect in the Isle of Man and references to section 8B(1), (2) or (3) of the Immigration Act 1971 shall be construed as references to those subsections as they have effect in the Isle of Man.

(7) AT 13 of 1987.

(8) AT 1 of 2015.

(2) In this regulation, “specified” means specified in the direction.”

15. In regulation 24 (finance: reporting obligations)—

(a) for paragraph (5) substitute—

“(5) A relevant institution must inform the Treasury without delay if that institution credits a frozen account in accordance with regulation 18(4) (finance: exceptions from prohibitions).”

(b) in paragraph (7), for the definition of “relevant firm” substitute—

““relevant firm” means—

(a) a business in the regulated sector within the meaning of Schedule 4 to the Proceeds of Crime Act 2008 (of Tynwald)(9) (see in particular paragraph 2 of that Schedule);

(b) a person engaged in the business of making, supplying, selling (including selling by auction) or exchanging—

(i) articles made from gold, silver, platinum or palladium, or

(ii) precious stones or pearls;”;

(c) at the end, insert—

“(8) For the purposes of paragraph (a) of the definition of “relevant firm” in paragraph (7), the definition of “estate agent” in Schedule 4 to the Proceeds of Crime Act 2008 (of Tynwald) is to be read as if references to the sale or proposed sale of land in section 15 of the Estate Agents Act 1975 (of Tynwald)(10) included references to the sale or proposed sale of land outside the Isle of Man.”

16. Omit regulation 25 (“relevant firm”) (including the heading).

17. In regulation 26 (finance: powers to request information), in paragraphs (4) and (6), in each place it occurs, for “believe” substitute “believes”.

18. In regulation 27 (finance: production of documents), in paragraphs (2) and (3), in each place it occurs, for “the Treasury request” substitute “the Treasury requests”.

19. In regulation 28 (finance: information offences), in paragraph (1)(d), for “their” substitute “its”.

20. In regulation 29 (disclosure of information)—

(a) in paragraph (1), omit “Secretary of State or the”;

(b) in paragraph (2)—

(i) after sub-paragraph (c) insert—

“(ca) taking any action with a view to instituting, or otherwise for the purposes of, any proceedings in the Isle of Man for an offence under any provisions of these Regulations;”;

(ii) in sub-paragraph (d)(i), after “Regulations” insert “(as they have effect in the United Kingdom)”;

(iii) in sub-paragraph (e), omit “, the Isle of Man,”;

(iv) in sub-paragraph (g), for “United Kingdom” substitute “Isle of Man”;

(c) in paragraph (3)—

(i) in sub-paragraph (f), for “United Kingdom” substitute “Isle of Man”;

(9) AT 13 of 2008. Schedule 4 was substituted by SD 2019/0204 (of Tynwald).

(10) AT 6 of 1975.

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- (ii) in sub-paragraph (j), for “Secretary of State or the Treasury (as the case may be) consider” substitute “Treasury considers”.

21. In regulation 30 (Part 6: supplementary)—

- (a) for paragraph (2) substitute—

“(2) But nothing in that regulation authorises a disclosure—

- (a) that contravenes the data protection legislation, or
- (b) of intercepted material that is not otherwise permitted under the safeguards arrangements relating to a warrant issued under the Interception of Communications Act 1988 (of Tynwald)(**11**).”

- (b) in paragraph (3), for “counsel or solicitor” substitute “advocate or lawyer”;

- (c) in paragraph (6)—

- (i) for the definition of “the data protection legislation” substitute—

““the data protection legislation” has the meaning given in regulation 5(1) of the GDPR and LED Implementing Regulations 2018 (of Tynwald)(**12**);”

- (ii) in the definition of “privileged information”, omit “(in Scotland, to confidentiality of communications)”.

22. For regulation 31 (penalties for offences) substitute—

“**31.**—(1) A person guilty of an offence under any provision of Part 3 (Finance) or regulation 22 (finance: licensing offences) is liable—

- (a) on summary conviction, to custody for a term not exceeding 12 months or to a fine not exceeding level 5 on the standard scale, or to both;
- (b) on conviction on information, to custody for a term not exceeding 7 years or to a fine, or to both.

- (2) A person guilty of an offence under regulation 9(6) (confidentiality) is liable—

- (a) on summary conviction, to custody for a term not exceeding 12 months or to a fine not exceeding level 5 on the standard scale, or to both;
- (b) on conviction on information, to custody for a term not exceeding 2 years or to a fine, or to both.

(3) A person guilty of an offence under regulation 24(6) or 28 (information offences in connection with Part 3) is liable on summary conviction to custody for a term not exceeding 6 months or to a fine not exceeding level 5 on the standard scale, or to both.

(4) In this regulation, “the standard scale” means the standard scale contained in section 55 of the Interpretation Act 2015 (of Tynwald).”

23. For regulation 33 (jurisdiction to try offences) substitute—

“**33.** Where an offence under these Regulations is committed outside the Isle of Man—

- (a) proceedings for the offence may be taken in the Isle of Man, and
- (b) the offence may for all incidental purposes be treated as having been committed in the Isle of Man.”

24. In regulation 34 (procedure for offences by unincorporated bodies)—

- (a) in paragraph (2), for “England and Wales or Northern Ireland” substitute “the Isle of Man”;

(11) AT 18 of 1988.

(12) SD 2018/0145 (of Tynwald).

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- (b) for paragraph (3)(b) substitute—
 - “(b) section 32 of the Summary Jurisdiction Act 1989 (of Tynwald)(**13**) applies as it applies in relation to a body corporate.”
- 25.** In regulation 35 (time limit for proceedings for summary offences)—
 - (a) in paragraphs (1) and (3), for “prosecutor” and “prosecutor’s”, in each place that they occur, substitute “Attorney General” and “Attorney General’s”;
 - (b) omit paragraph (4);
 - (c) at the end, insert—
 - “(5) In this regulation, “Attorney General” has the meaning given in the Interpretation Act 2015 (of Tynwald).”
- 26.** Omit regulation 36 (application of Chapter 1 of Part 2 of the Serious Organised Crime and Police Act 2005(**14**)) (including the heading).
- 27.** In regulation 37 (notices)—
 - (a) in paragraph (5)(b), for “United Kingdom”, in both places it occurs, substitute “Isle of Man”;
 - (b) in paragraph (6), in the definition of “registered company”, for “in force in the United Kingdom” substitute “in operation in the Isle of Man”.
- 28.** Omit regulation 38 (revocations) (including the heading).
- 29.** In Schedule 2 (Treasury licences: purposes)—
 - (a) in the definition of “frozen funds or economic resources” in paragraph 1, for “the designation of that person for the purpose of that regulation” substitute “that person being a designated person for the purposes of Part 3 (Finance) as defined in regulation 10 (meaning of “designated person” in Part 3)”;
 - (b) in paragraph 6(b)(ii) (pre-existing judicial decisions etc.), for “United Kingdom” substitute “Isle of Man”.

(13) AT 15 of 1989.

(14) 2005 c.15. Chapter 1 of Part 2 has been amended by the Terrorism Act 2006 (c.11), section 33(3) and (4); the Northern Ireland (Miscellaneous Provisions) Act 2006 (c.33), sections 26(2) and 30(2) and Schedules 3 and 5; the Bribery Act 2010 (c.23), section 17(2) and Schedule 1; the Criminal Justice and Licensing (Scotland) Act 2010 (asp.13), section 203 and Schedule 7, paragraph 77; the Crime and Courts Act 2013 (c.22), section 15 and Schedule 8, paragraphs 157 and 159; the Criminal Finances Act 2017 (c.22), section 51(1); the Sanctions and Anti-Money Laundering Act 2018, section 59(4) and Schedule 3, paragraph 4; and S.I. 2014/834.