

SCHEDULE 1

Regulations 26, 27, 29

Information the safety case must contain

General information

1. A description of the proposed spaceflight activities including the proposed flight trajectory, any planned orbital parameters for the launch vehicle, any planned orbital parameters for any payload and details of any planned re-entry from orbit by the launch vehicle.

Commencement Information

I1 Sch. 1 para. 1 in force at 29.7.2021, see [reg. 1\(1\)](#)

2. General descriptions of—

- (a) the applicant's organisation and management structure;
- (b) the launch vehicle to be used including descriptions and, where appropriate, diagrams of—
 - (i) its concept of operations,
 - (ii) any payload or class of payload, and
 - (iii) the layout of systems that are part of it;
- (c) any carrier aircraft to be used including descriptions and, where appropriate, diagrams of—
 - (i) its concept of operations,
 - (ii) any payload or class of payload, and
 - (iii) the layout of systems that are part of it;
- (d) the facilities and major items of equipment that the applicant will need to carry out the proposed spaceflight activities, and which, if any, of these will be provided by a proposed spaceport licensee or by a proposed range control service provider;
- (e) the areas which could be affected by a major accident during the proposed spaceflight activities, including—
 - (i) their geography,
 - (ii) any structures in them built by humans or built for human use or benefit, and
 - (iii) the existing and expected locations of humans and areas of habitation within those areas.

Commencement Information

I2 Sch. 1 para. 2 in force at 29.7.2021, see [reg. 1\(1\)](#)

3. For launch operator licence applicants, identification of the spaceport or other place from which the launch is to take place and the proposed spaceport licensee.

Commencement Information

I3 Sch. 1 para. 3 in force at 29.7.2021, see [reg. 1\(1\)](#)

4. Identification of—

- (a) any range control services needed;

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- (b) any proposed range control service providers;
- (c) any site or facility other than a spaceport that has been or is to be used by the applicant in the design, manufacture, testing or operation of the applicant’s launch vehicle or any carrier aircraft.

Commencement Information

I4 Sch. 1 para. 4 in force at 29.7.2021, see [reg. 1\(1\)](#)

5.—(1) For launch operator licence applicants—

- (a) a schedule of the preparatory events mentioned in the ground safety analysis required by regulation 27 setting out how long before the launch each preparatory event is intended to take place;
- (b) the review processes the applicant will use to check—
 - (i) that launch preparations are progressing safely, and
 - (ii) whether the applicant and any other licensees involved in the launch are ready to commence the launch;
- (c) a schedule of any safety-critical actions the proposed range control service provider and the proposed spaceport licensee will carry out in preparation for the launch from the time when the launch vehicle or its components arrive at the spaceport or other place from which the launch is to take place.

(2) In this paragraph, “safety-critical action” means any action which is essential to preventing the proposed spaceflight activities from causing a major accident.

Commencement Information

I5 Sch. 1 para. 5 in force at 29.7.2021, see [reg. 1\(1\)](#)

6. Evidence that the applicant will, if granted the licence, be able to meet the requirements of regulations 84 to 104 of Part 8 (safety of operator’s spaceflight activities).

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I6 Sch. 1 para. 6 in force at 29.7.2021, see [reg. 1\(1\)](#)

7. Details of the applicant’s safety management system for the proposed spaceflight activities.

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I7 Sch. 1 para. 7 in force at 29.7.2021, see [reg. 1\(1\)](#)

8. Particulars of any licence, permit or approval that any country other than the United Kingdom has granted to the applicant in relation to the proposed spaceflight activities or a launch vehicle that the applicant plans to use for those activities.

Commencement Information

I8 Sch. 1 para. 8 in force at 29.7.2021, see [reg. 1\(1\)](#)

9. Information about what applications, if any, the applicant has previously made for a licence or approval to carry out spaceflight activities similar to the proposed spaceflight activities, and what the outcome was of each of those applications.

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I9 Sch. 1 para. 9 in force at 29.7.2021, see [reg. 1\(1\)](#)

10. Information about the applicant's experience, if any, in the design, development or operation of launch vehicles, payloads or any other space-related hardware or software.

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I10 Sch. 1 para. 10 in force at 29.7.2021, see [reg. 1\(1\)](#)

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Commencement Information

- I1** Sch. 1 para. 1 in force at 29.7.2021, see [reg. 1\(1\)](#)
- I2** Sch. 1 para. 2 in force at 29.7.2021, see [reg. 1\(1\)](#)
- I3** Sch. 1 para. 3 in force at 29.7.2021, see [reg. 1\(1\)](#)
- I4** Sch. 1 para. 4 in force at 29.7.2021, see [reg. 1\(1\)](#)
- I5** Sch. 1 para. 5 in force at 29.7.2021, see [reg. 1\(1\)](#)
- I6** Sch. 1 para. 6 in force at 29.7.2021, see [reg. 1\(1\)](#)
- I7** Sch. 1 para. 7 in force at 29.7.2021, see [reg. 1\(1\)](#)
- I8** Sch. 1 para. 8 in force at 29.7.2021, see [reg. 1\(1\)](#)
- I9** Sch. 1 para. 9 in force at 29.7.2021, see [reg. 1\(1\)](#)
- I10** Sch. 1 para. 10 in force at 29.7.2021, see [reg. 1\(1\)](#)

Technical particulars

11. Descriptions of the technical requirements which apply to the launch vehicle, which must be either—

- (a) the requirements described under the headings of technical requirements types contained in Chapter 6 of the Space Engineering Technical Requirements Specification produced by the European Cooperation for Space Standardisation and dated 6th March 2009(1), or
- (b) requirements of substantially like effect to the requirements referred to in subparagraph (a).

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Commencement Information

I11 Sch. 1 para. 11 in force at 29.7.2021, see [reg. 1\(1\)](#)

12.—(1) For each safety-critical system used in the proposed spaceflight activities—

- (a) a description, drawing and schematic diagram of the system;
- (b) a statement of the system's purpose;

(1) ECSS-E-ST-10-06C (<https://ecss.nl/standard/ecss-e-st-10-06c-technical-requirements-specification>). The specification is annexed to the guidance for launch operator and return operator applicants and licensees, available on the CAA's website (www.caa.co.uk). A paper copy of that guidance may be requested by writing to the CAA at Aviation House, Beehive Ringroad, Crawley, West Sussex RH6 0YR.

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- (c) documentation justifying the choice of design for that system;
- (d) a description of each way that system could fail;
- (e) predicted probabilities of failure and, where known, failure frequencies;
- (f) predicted consequences of failure;
- (g) a description of any method used to check that the applicant has correctly identified the environment within which the system is expected to operate;
- (h) a description of the methods used to—
 - (i) design, test and qualify the system;
 - (ii) accept the system hardware and any software for use;
 - (iii) determine the service life of the system and the major phases of its lifecycle;
- (i) the criteria and procedures for disposal or refurbishment of the system or its major components;
- (j) a description of any standards used in paragraphs (a) to (i).

(2) In this paragraph, “safety-critical system” means any system, including hardware and software, the performance of which is essential to preventing a major accident as a result of the proposed spaceflight activities.

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I12 Sch. 1 para. 12 in force at 29.7.2021, see [reg. 1\(1\)](#)

13. A description of the engineering practices used in the design, manufacture, assembly and operation of the launch vehicle including of—

- (a) the design and analysis tools used;
- (b) any national or international design, engineering or safety standards followed;
- (c) test, validation and verification procedures undertaken or to be undertaken as required by regulation 94.

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I13 Sch. 1 para. 13 in force at 29.7.2021, see [reg. 1\(1\)](#)

14. A description of the engineering practices and design and operational measures that will be used to prevent or mitigate the creation of space debris during the proposed spaceflight activities, including identification of methods for verifying and validating those practices and measures.

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I14 Sch. 1 para. 14 in force at 29.7.2021, see [reg. 1\(1\)](#)

15. Descriptions of any hazardous material that is part of the launch vehicle or payload or is to be carried on board the launch vehicle during the proposed spaceflight activities.

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I15 Sch. 1 para. 15 in force at 29.7.2021, see [reg. 1\(1\)](#)

16. For any payload that the launch vehicle will carry, technical particulars relevant to the risk of a major accident, including—

- (a) descriptions of any systems on board the payload that are required for the basic operation of the payload or necessary to carry out its intended mission;
- (b) information about any hazardous material or any equipment or device carried on board the payload that could give rise to a major accident hazard;
- (c) a description of any ground support equipment needed for the payload or its integration with the launch vehicle;
- (d) information about any essential interface between the payload and specific equipment at the place of launch.

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I16 Sch. 1 para. 16 in force at 29.7.2021, see [reg. 1\(1\)](#)

17. Technical particulars of and performance data for any carrier aircraft intended to be used, including any existing aircraft certification or permit.

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I17 Sch. 1 para. 17 in force at 29.7.2021, see [reg. 1\(1\)](#)

Commencement Information

- I11** Sch. 1 para. 11 in force at 29.7.2021, see [reg. 1\(1\)](#)
- I12** Sch. 1 para. 12 in force at 29.7.2021, see [reg. 1\(1\)](#)
- I13** Sch. 1 para. 13 in force at 29.7.2021, see [reg. 1\(1\)](#)
- I14** Sch. 1 para. 14 in force at 29.7.2021, see [reg. 1\(1\)](#)
- I15** Sch. 1 para. 15 in force at 29.7.2021, see [reg. 1\(1\)](#)
- I16** Sch. 1 para. 16 in force at 29.7.2021, see [reg. 1\(1\)](#)
- I17** Sch. 1 para. 17 in force at 29.7.2021, see [reg. 1\(1\)](#)

Flight safety analysis

18.—(1) The hazards mentioned in regulation [26\(2\)](#) which the applicant must consider in carrying out the flight safety analysis are—

- (a) blast overpressure;
- (b) fragmentation debris;
- (c) thermal radiation;
- (d) toxic release;
- (e) major accident hazards arising from—
 - (i) any discarded part of the launch vehicle and any object, including any payload, released or separated from the launch vehicle;
 - (ii) collision with a space object;
 - (iii) meteorological or environmental conditions;
 - (iv) the use of a carrier aircraft, if applicable;

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- (v) re-entry of the launch vehicle, or any part of it, from orbit, if applicable.
- (2) The matters mentioned in regulation 26(3) which the applicant must take into account in carrying out the flight safety analysis are—
 - (a) the locations of individuals who could be harmed by any of the identified hazards;
 - (b) the applicant’s own and each proposed range control service provider’s capabilities in—
 - (i) tracking;
 - (ii) telemetry;
 - (iii) communications;
 - (c) how any flight safety system will be activated if its activation is necessary;
 - (d) how the applicant will coordinate and communicate with air traffic control service providers, meteorological information providers and emergency services;
 - (e) any legal requirements relevant to the applicant’s proposed use of airspace;
 - (f) information available about any known space object with which there is a risk of the launch vehicle colliding.

Commencement Information

I18 Sch. 1 para. 18 in force at 29.7.2021, see [reg. 1\(1\)](#)

Ground safety analysis

- 19.** The hazards mentioned in regulation 27(5) which the applicant must consider in carrying out the ground safety analysis are—
- (a) blast overpressure;
 - (b) fragmentation debris;
 - (c) thermal radiation;
 - (d) toxic release;
 - (e) major accident hazards arising from—
 - (i) hazardous material;
 - (ii) contamination of hazardous material intended for use in the launch vehicle;
 - (iii) impact damage and mechanical damage;
 - (iv) meteorological or environmental conditions;
 - (v) sources of electrical discharge.

Commencement Information

I19 Sch. 1 para. 19 in force at 29.7.2021, see [reg. 1\(1\)](#)

Changes to legislation:

There are currently no known outstanding effects for the The Space Industry Regulations 2021, SCHEDULE 1.