
STATUTORY INSTRUMENTS

2023 No. 1056

The Windsor Framework (Enforcement etc.) Regulations 2023

PART 1

Introductory

Citation, commencement and extent

1.—(1) These Regulations may be cited as the Windsor Framework (Enforcement etc.) Regulations 2023.

- (2) These Regulations come into force on 1st October 2023.
- (3) Part 1 extends to England and Wales, Scotland and Northern Ireland.
- (4) An amendment made by Part 2 has the same extent as the provision amended.
- (5) Part 3 and the Schedule extend to Northern Ireland.

Interpretation

2.—(1) In these Regulations, “the SPS Regulation” means the Regulation of the European Parliament and of the Council on specific rules relating to the entry into Northern Ireland from other parts of the United Kingdom of certain consignments of retail goods, plants for planting, seed potatoes, machinery and certain vehicles operated for agricultural or forestry purposes, as well as non-commercial movements of certain pet animals into Northern Ireland⁽¹⁾.

(2) Reference to the legislation listed in the Schedule is a reference to that legislation as amended from time to time.

PART 2

Plants

The Official Controls (Plant Health and Genetically Modified Organisms) (England) Regulations 2019

3.—(1) The Official Controls (Plant Health and Genetically Modified Organisms) (England) Regulations 2019⁽²⁾ are amended as follows.

- (2) In regulation 2 (Interpretation: general), after the definition of “ISPM 15” insert—
““Northern Ireland plant health label” has the meaning given in regulation 2 of the Windsor Framework (Plant Health) Regulations 2023⁽³⁾”.
- (3) In regulation 28 (Powers of entry), in paragraph (1)—

⁽¹⁾ OJ No L 165,29.6.23. p. 103.

⁽²⁾ S.I. 2019/1517, amended by S.I. 2020/1482, 2021/79; there are other amending instruments but none are relevant.

⁽³⁾ S.I. 2023/957

- (a) in sub-paragraph (a)—
 - (i) omit “or” at the end of paragraph (iii);
 - (ii) insert “or” at the end of paragraph (iv);
 - (iii) after paragraph (iv) insert—
 - “(v) an authorised operator, professional operator or registered operator is complying with the Windsor Framework (Plant Health) Regulations 2023;”;
- (b) in sub-paragraph (b), after “Plant Health Regulation”, insert “, the Windsor Framework (Plant Health) Regulations 2023”;
- (c) in sub-paragraph (c), after “Plant Health Regulation”, insert “, the Windsor Framework (Plant Health) Regulations 2023”.
- (4) In regulation 30 (Information notices), in paragraph (3), after “plant passport,”, insert “Northern Ireland plant health label”.
- (5) In regulation 35 (Disclosure of information to other competent authorities), in paragraph (1)—
 - (a) in sub-paragraph (a), after “Plant Health Regulation,”, insert “the Windsor Framework (Plant Health) Regulations 2023;”;
 - (b) in sub-paragraph (b), after “Plant Health Regulation”, insert “, the Windsor Framework (Plant Health) Regulations 2023”.
- (6) In regulation 38 (General), in paragraph (1), after sub-paragraph (f) insert—
 - “(fa) a provision of the Windsor Framework (Plant Health) Regulations 2023 specified in Schedule 3A;”.
- (7) In regulation 41 (Provision of false or misleading information), after “plant passport” insert “, a Northern Ireland plant health label”.
- (8) In regulation 42 (Improper use of plant passports or certificates)—
 - (a) in the heading, after “plant passports” insert “, Northern Ireland plant health labels”;
 - (b) in paragraph (1), after each place “plant passport” occurs, insert “, a Northern Ireland plant health label”.
- (9) After Schedule 3 insert—

“SCHEDULE 3A

Regulation 38

Offences: relevant offences in the Windsor Framework (Plant Health) Regulations 2023

<i>Provision of the Windsor Framework (Plant Health) Regulations 2023</i>	<i>Subject matter</i>
Regulation 9(3)	Imposes requirements on authorised operators for the issuance of a Northern Ireland plant health label.
Regulation 11(1) and (2)	Requires authorised operators: <ul style="list-style-type: none"> (a) to identify and monitor the points in their production process and the points concerning the movement of the relevant goods which are critical as regards compliance with regulation 12 and regulation 13 of the Windsor Framework (Plant Health) Regulations 2023, where they intend to issue a Northern Ireland plant health label; and

<i>Provision of the Windsor Framework (Plant Health) Regulations 2023</i>	<i>Subject matter</i>
	(b) to keep records concerning the identification and monitoring of those points for at least three years.
Regulation 11(3)	Requires authorised operators to ensure that appropriate training is provided to its personnel involved in examinations.
Regulation 12	Prohibits issuance of a Northern Ireland plant health label unless specified conditions are fulfilled, but breach of regulation 12 is only an offence where that label is issued by an authorised operator.
Regulation 14(1)	Imposes requirements on authorised operators in relation to attaching a Northern Ireland plant health label.
Regulation 14(2)	Imposes requirements on professional operators in relation to attaching a Northern Ireland plant health label.
Regulation 18(1) to 18(4)	Imposes requirements on authorised operators and professional operators in relation to record keeping.
Regulation 18(5)	Imposes requirements on professional operators to communicate information to the competent authority, on request.
Regulation 19(4)	Imposes conditions in relation to issuance of a replacement Northern Ireland plant health label, but breach of regulation 19(4) is only an offence where that label is issued by an authorised operator.
Regulation 19(6) and (7)	Imposes requirements on authorised operators in relation to retention of a replaced Northern Ireland plant health label or its content.
Regulation 20(2), (3), (5) and (7)	Imposes requirements on professional operators in relation to invalidation and removal of a Northern Ireland plant health label.
Regulation 21	Prohibits the despatch of consignments with a Northern Ireland plant health label to Northern Ireland by persons other than authorised operators and registered operators, and by authorised operators and registered operators unless specified conditions are fulfilled.”.

The Plant Health (Official Controls and Miscellaneous Provisions) (Scotland) Regulations 2019

4.—(1) The Plant Health (Official Controls and Miscellaneous Provisions) (Scotland) Regulations 2019(4) are amended as follows.

(2) In regulation 2 (Interpretation: general), after the definition of “ISPM 15” insert—

(4) [S.S.I. 2019/421](#), amended by [S.S.I. 2020/176](#), [2020/466](#), [2021/159](#); there are other amending instruments but none are relevant.

- ““Northern Ireland plant health label” has the meaning given in regulation 2 of the Windsor Framework (Plant Health) Regulations 2023;”.
- (3) In regulation 6, (Disclosure of information), in paragraph (1), after “Plant Health Regulation”, insert “, the Windsor Framework (Plant Health) Regulations 2023”.
- (4) In regulation 29 (Powers of entry), in paragraph (1)—
- (a) in sub-paragraph (a)—
 - (i) omit “or” at the end of paragraph (iii);
 - (ii) insert “or” at the end of paragraph (iv);
 - (iii) after paragraph (iv) insert—
 - “(v) an authorised operator, professional operator or registered operator is complying with the Windsor Framework (Plant Health) Regulations 2023;”;
 - (b) in sub-paragraph (b), after “Plant Health Regulation”, insert “, the Windsor Framework (Plant Health) Regulations 2023”;
 - (c) in sub-paragraph (c), after “Plant Health Regulation”, insert “, the Windsor Framework (Plant Health) Regulations 2023”.
- (5) In regulation 31 (Information notices), in paragraph (3), after “plant passport,”, insert “Northern Ireland plant health label,”.
- (6) In regulation 37 (General), in paragraph (1), after sub-paragraph (g) insert—
- “(ga) a provision of the Windsor Framework (Plant Health) Regulations 2023 specified in Schedule 3A”.
- (7) In regulation 40 (Provision of false or misleading information), in paragraph (1) after “plant passport” insert “, a Northern Ireland plant health label”.
- (8) In regulation 41 (Improper use of plant passports or certificates)—
- (a) in the heading, after “plant passports” insert “, Northern Ireland plant health labels”;
 - (b) in paragraph (1), after each place “plant passport” occurs, insert “, a Northern Ireland plant health label”.
- (9) After Schedule 3 insert—

“SCHEDULE 3A

Regulation 37

Offences: relevant offences in the Windsor Framework (Plant Health) Regulations 2023

<i>Provision of the Windsor Framework (Plant Health) Regulations 2023</i>	<i>Subject matter</i>
Regulation 9(3)	Imposes requirements on authorised operators for the issuance of a Northern Ireland plant health label
Regulation 11(1) and (2)	Requires authorised operators: <ol style="list-style-type: none"> (a) to identify and monitor the points in their production process and the points concerning the movement of the relevant goods which are critical as regards compliance with regulation 12 and regulation 13 of the Windsor Framework (Plant Health) Regulations 2023, where they intend to issue a Northern Ireland plant health label; and

<i>Provision of the Windsor Framework (Plant Health) Regulations 2023</i>	<i>Subject matter</i>
	(b) to keep records concerning the identification and monitoring of those points for at least three years.
Regulation 11(3)	Requires authorised operators to ensure that appropriate training is provided to its personnel involved in examinations.
Regulation 12	Prohibits issuance of a Northern Ireland plant health label unless specified conditions are fulfilled, but breach of regulation 12 is only an offence where that label is issued by an authorised operator.
Regulation 14(1)	Imposes requirements on authorised operators in relation to attaching a Northern Ireland plant health label.
Regulation 14(2)	Imposes requirements on professional operators in relation to attaching a Northern Ireland plant health label.
Regulation 18(1) to 18(4)	Imposes requirements on authorised operators and professional operators in relation to record keeping.
Regulation 18(5)	Imposes requirements on professional operators to communicate information to the competent authority, on request.
Regulation 19(4)	Imposes conditions in relation to issuance of a replacement Northern Ireland plant health label, but breach of regulation 19(4) is only an offence where that label is issued by an authorised operator.
Regulation 19(6) and (7)	Imposes requirements on authorised operators in relation to retention of a replaced Northern Ireland plant health label or its content.
Regulation 20(2), (3), (5) and (7)	Imposes requirements on professional operators in relation to invalidation and removal of a Northern Ireland plant health label.
Regulation 21	Prohibits the despatch of consignments with a Northern Ireland plant health label to Northern Ireland by persons other than authorised operators and registered operators, and by authorised operators and registered operators unless specified conditions are fulfilled.”.

The Official Controls (Plant Health and Genetically Modified Organisms) (Wales) Regulations 2020 (English language text)

5.—(1) The English language text of the Official Controls (Plant Health and Genetically Modified Organisms) (Wales) Regulations 2020(5) is amended as follows.

(5) S.I. 2020/206 (W. 48), amended by S.I. 2020/1628 (W. 342), 2021/239 (W. 62); there are other amending instruments but none are relevant.

- (2) In regulation 2 (Interpretation: general), after the definition of “ISPM 15” insert—
 - ““Northern Ireland plant health label” has the meaning given in regulation 2 of the Windsor Framework (Plant Health) Regulations 2023”.
- (3) In regulation 28 (Powers of entry), in paragraph (1)—
 - (a) in sub-paragraph (a)—
 - (i) omit “or” at the end of paragraph (iii);
 - (ii) insert “or” at the end of paragraph (iv);
 - (iii) after paragraph (iv) insert—
 - “(v) an authorised operator, professional operator or registered operator is complying with the Windsor Framework (Plant Health) Regulations 2023”;
 - (b) in sub-paragraph (b), after “Plant Health Regulation”, insert “, the Windsor Framework (Plant Health) Regulations 2023”;
 - (c) in sub-paragraph (c), after “Plant Health Regulation”, insert “, the Windsor Framework (Plant Health) Regulations 2023”.
- (4) In regulation 30 (Information notices), in paragraph (3), after “plant passport,”, insert “Northern Ireland plant health label,”.
- (5) In regulation 35 (Disclosure of information to other competent authorities), in paragraph (1), after “Plant Health Regulation”, insert “, the Windsor Framework (Plant Health) Regulations 2023”.
- (6) In regulation 38 (General), in paragraph (1), after sub-paragraph (f) insert—
 - “(fa) a provision of the Windsor Framework (Plant Health) Regulations 2023 specified in Schedule 3A”.
- (7) In regulation 41 (Provision of false or misleading information), after “plant passport” insert “, a Northern Ireland plant health label”.
- (8) In regulation 42 (Improper use of plant passports or certificates)—
 - (a) in the heading, after “plant passports” insert “, Northern Ireland plant health labels”;
 - (b) in paragraph (1), after each place “plant passport” occurs, insert “, a Northern Ireland plant health label”.
- (9) After Schedule 3 insert—

“SCHEDULE 3A

Regulation 38

Offences: relevant offences in the Windsor Framework (Plant Health) Regulations 2023

<i>Provision of the Windsor Framework (Plant Health) Regulations 2023</i>	<i>Subject matter</i>
Regulation 9(3)	Imposes requirements on authorised operators for the issuance of a Northern Ireland plant health label.
Regulation 11(1) and (2)	Requires authorised operators: <ul style="list-style-type: none"> (a) to identify and monitor the points in their production process and the points concerning the movement of the relevant goods which are critical as regards compliance with regulation 12 and regulation 13 of the Windsor Framework (Plant Health) Regulations 2023, where they intend to issue a Northern Ireland plant health label; and

<i>Provision of the Windsor Framework (Plant Health) Regulations 2023</i>	<i>Subject matter</i>
	(b) to keep records concerning the identification and monitoring of those points for at least three years.
Regulation 11(3)	Requires authorised operators to ensure that appropriate training is provided to its personnel involved in examinations.
Regulation 12	Prohibits issuance of a Northern Ireland plant health label unless specified conditions are fulfilled, but breach of regulation 12 is only an offence where that label is issued by an authorised operator.
Regulation 14(1)	Imposes requirements on authorised operators in relation to attaching a Northern Ireland plant health label.
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Regulation 18(1) to 18(4)	Imposes requirements on authorised operators and professional operators in relation to record keeping.
Regulation 18(5)	Imposes requirements on professional operators to communicate information to the competent authority, on request.
Regulation 19(4)	Imposes conditions in relation to issuance of a replacement Northern Ireland plant health label, but breach of regulation 19(4) is only an offence where that label is issued by an authorised operator.
Regulation 19(6) and (7)	Imposes requirements on authorised operators in relation to retention of a replaced Northern Ireland plant health label or its content.
Regulation 20(2), (3), (5) and (7)	Imposes requirements on professional operators in relation to invalidation and removal of a Northern Ireland plant health label.
Regulation 21	Prohibits the despatch of consignments with a Northern Ireland plant health label to Northern Ireland by persons other than authorised operators and registered operators, and by authorised operators and registered operators unless specified conditions are fulfilled.”

The Official Controls (Plant Health and Genetically Modified Organisms) (Wales) Regulations 2020 (Welsh language text)

6.—(1) The Welsh language text of the Official Controls (Plant Health and Genetically Modified Organisms) (Wales) Regulations 2020 (Rheoliadau Rheolaethau Swyddogol (Iechyd Planhigion ac Organeddau a Addaswyd yn Enetig) (Cymru) 2020⁽⁶⁾ is amended as follows.

(6) [S.I. 2020/206 \(W. 48\)](#), amended by [S.I. 2020/1628 \(W. 342\)](#), [2021/239 \(W. 62\)](#); there are other amending instruments but none are relevant.

- (2) In regulation 2 (Dehongli: cyffredinol) (Interpretation: general), after the definition of “Gorchymyn 2018” (“the 2018 Order”) insert—
- “mae i “label iechyd planhigion Gogledd Iwerddon” yr ystyr a roddir i “Northern Ireland plant health label” yn rheoliad 2 o Reoliadau Fframwaith Windsor (Iechyd Planhigion) 2023”.
- (3) In regulation 28 (Pwerau mynediad) (Powers of entry), in paragraph (1)—
- (a) in sub-paragraph (a)—
- (i) omit “neu” at the end of paragraph (iii);
- (ii) insert “neu” at the end of paragraph (iv);
- (iii) after paragraph (iv) insert—
- “(v) bod gweithredwr awdurdodedig, gweithredwr proffesiynol neu weithredwr cofrestredig yn cydymffurfio â Rheoliadau Fframwaith Windsor (Iechyd Planhigion) 2023”;
- (b) in sub-paragraph (b), after “y Rheoliad Iechyd Planhigion”, insert “, Rheoliadau Fframwaith Windsor (Iechyd Planhigion) 2023”;
- (c) in sub-paragraph (c), after “y Rheoliad Iechyd Planhigion”, insert “, Rheoliadau Fframwaith Windsor (Iechyd Planhigion) 2023”.
- (4) In regulation 30 (Hysbysiadau gwybodaeth) (Information notices), in paragraph (3), after “pasbort planhigion,”, insert “label iechyd planhigion Gogledd Iwerddon.”.
- (5) In regulation 35 (Datgelu gwybodaeth i awdurdodau cymwys eraill) (Disclosure of information to other competent authorities), in paragraph (1), after “y Rheoliad Iechyd Planhigion”, insert “, Rheoliadau Fframwaith Windsor (Iechyd Planhigion) 2023”.
- (6) In regulation 38 (Cyffredinol) (General), in paragraph (1), after sub-paragraph (f) insert—
- “(fa) darpariaeth yn Rheoliadau Fframwaith Windsor (Iechyd Planhigion) 2023 a bennir yn Atodlen 3A”.
- (7) In regulation 41 (Darparu gwybodaeth ffug neu gamarweiniol) (Provision of false or misleading information), after “pasbort planhigion” insert “, label iechyd planhigion Gogledd Iwerddon”.
- (8) In regulation 42 (Defnyddio pasbortau planhigion neu dystysgrifau yn amhriodol) (Improper use of plant passports or certificates)—
- (a) in the heading, after “pasbortau planhigion” insert “, labeli iechyd planhigion Gogledd Iwerddon”;
- (b) in paragraph (1), after each place “pasbort planhigion” occurs, insert “, label iechyd planhigion Gogledd Iwerddon”.
- (9) After Schedule 3 insert—

“ATODLEN 3A

Rheoliad 38

Troseddau: troseddau perthnasol yn Rheoliadau Fframwaith Windsor (Iechyd Planhigion) 2023

*Y ddarpariaeth yn Rheoliadau Fframwaith Windsor Y pwnc
(Iechyd Planhigion) 2023*

Rheoliad 9(3)	Yn gosod gofynion ar weithredwyr awdurdodedig ynglŷn a dyroddi label iechyd planhigion Gogledd Iwerddon.
Rheoliad 11(1) a (2)	Yn ei gwneud yn ofynnol i weithredwyr awdurdodedig:

*Y ddarpariaeth yn Rheoliadau Fframwaith Windsor Y pwnc
(Iechyd Planhigion) 2023*

	<p>(a) nodi a monitro'r pwyntiau yn eu proses gynhyrchu a'r pwyntiau sy'n ymwneud â symud y nwyddau perthnasol sy'n allweddol o ran cydymffurfio â rheoliad 12 a rheoliad 13 yn y rheoliadau hynny, pan fônt yn bwriadu dyroddi label iechyd planhigion Gogledd Iwerddon; a</p> <p>(b) cadw cofnodion ynghylch nodi a monitro'r pwyntiau hynny am o leiaf dair blynedd.</p>
Rheoliad 11(3)	Yn ei gwneud yn ofynnol i weithredwyr awdurdodedig sicrhau bod hyfforddiant priodol yn cael ei ddarparu i'w personél sy'n ymwneud ag archwiliadau.
Rheoliad 12	Yn gwahardd dyroddi label iechyd planhigion Gogledd Iwerddon oni bai bod amodau penodol wedi eu cyflawni, ond dim ond pan ddyroddir y label gan weithredwr awdurdodedig y mae torri rheoliad 12 yn drosedd.
Rheoliad 14(1)	Yn gosod gofynion ar weithredwyr awdurdodedig mewn perthynas â gosod label iechyd planhigion Gogledd Iwerddon.
Rheoliad 14(2)	Yn gosod gofynion ar weithredwyr proffesiynol mewn perthynas â gosod label iechyd planhigion Gogledd Iwerddon.
Rheoliad 18(1) i 18(4)	Yn gosod gofynion ar weithredwyr awdurdodedig a gweithredwyr proffesiynol mewn perthynas â chadw cofnodion.
Rheoliad 18(5)	Yn gosod gofynion ar weithredwyr proffesiynol i gyfleu gwybodaeth i'r awdurdod cymwys, ar gais.
Rheoliad 19(4)	Yn gosod amodau mewn perthynas â dyroddi label iechyd planhigion Gogledd Iwerddon amnewid, ond dim ond pan ddyroddir y label gan weithredwr awdurdodedig y mae torri rheoliad 19(4) yn drosedd.
Rheoliad 19(6) a (7)	Yn gosod gofynion ar weithredwyr awdurdodedig mewn perthynas â chadw label iechyd planhigion Gogledd Iwerddon a amnewidiwyd neu ei gynnwys.
Rheoliad 20(2), (3), (5) a (7)	Yn gosod gofynion ar weithredwyr proffesiynol mewn perthynas ag annilysu a thynnu label iechyd planhigion Gogledd Iwerddon.
Rheoliad 21	Yn gwahardd anfon llwythi â label iechyd planhigion Gogledd Iwerddon i Ogledd Iwerddon gan bersonau heblaw gweithredwyr awdurdodedig a gweithredwyr cofrestredig, a chan gweithredwyr awdurdodedig a gweithredwyr cofrestredig oni bai bod amodau penodol wedi eu cyflawni.”

The Plant Health (Official Controls and Miscellaneous Provisions) Regulations (Northern Ireland) 2020

7.—(1) The Plant Health (Official Controls and Miscellaneous Provisions) Regulations (Northern Ireland) 2020⁽⁷⁾ are amended as follows.

(2) In regulation 44 (Improper use of plant passports or certificates)—

- (a) in the heading, after “plant passports”, insert “, Northern Ireland plant health labels”;
- (b) in paragraph (1)—
 - (i) in sub-paragraph (a), after “plant passport”, insert “, Northern Ireland plant health label”;
 - (ii) in sub-paragraph (b), after “plant passport”, insert “, Northern Ireland plant health label”;
 - (iii) in sub-paragraph (c), after “plant passport”, insert “, Northern Ireland plant health label”;
- (c) after paragraph (2), insert—

“(3) In paragraph (1) “Northern Ireland plant health label” has the meaning given in regulation 2 of the Windsor Framework (Plant Health) Regulations 2023.”.

PART 3

Enforcement of public health, marketing and organic product standards in Northern Ireland

Interpretation

8.—(1) In this Part—

“the Standards Regulations” means the Windsor Framework (Retail Movement Scheme: Public Health, Marketing and Organic Product Standards and Miscellaneous Provisions) Regulations 2023⁽⁸⁾;

“consignment” and “retail goods” have the meaning given in the Standards Regulations;

the “Retail Movement Scheme” means the scheme established by the Windsor Framework (Retail Movement Scheme) Regulations 2023⁽⁹⁾.

(2) In this Part—

- (a) a “listed instrument” means an EU instrument listed in Column 1 of the table in Schedule 1, Schedule 2 or Schedule 3 to the Standards Regulations;
- (b) a “corresponding GB instrument”, in relation to a listed instrument, means an instrument or part of an instrument listed in column 2 of the entry in that table for the listed instrument, with—
 - (i) any modifications specified in column 3 of that entry; and
 - (ii) any further necessary modifications which apply to the corresponding GB instrument by virtue of regulation 4, 5 or 6 of the Standards Regulations;
- (c) a “relevant Northern Ireland enforcement instrument” means an instrument listed in the Schedule to these Regulations.

⁽⁷⁾ S.R. 2020 No. 293.

⁽⁸⁾ S.I. 2023/959

⁽⁹⁾ S.I. 2023/896.

Enforcement of public health, marketing and organic product standards in Northern Ireland

9.—(1) Paragraph (2) applies in relation to a consignment of retail goods entering into Northern Ireland from another part of the United Kingdom in accordance with the Retail Movement Scheme where—

- (a) the Standards Regulations apply in relation to the consignment;
- (b) a listed instrument would, but for Article 1(2) and Annex I to the SPS Regulation, apply in relation to the consignment; and
- (c) if that listed instrument applied, a provision of a relevant Northern Ireland enforcement instrument would apply in relation to the enforcement of a standard, requirement or prohibition in the listed instrument.

(2) Where this paragraph applies, the relevant Northern Ireland enforcement instrument applies in relation to the enforcement of any standard, requirement or prohibition in a corresponding GB instrument which, by virtue of the Standards Regulations, is treated as applying in relation to the consignment.

(3) Where a relevant Northern Ireland enforcement instrument applies by virtue of paragraph (2), it applies with any necessary modifications.

Mark Spencer
Minister of State
Department for Environment, Food and Rural
Affairs

27th September 2023