

SCHEDULE

Regulation 3

Retained EU law relating to financial services and markets revoked on 1st January 2024

PART 1

Retained direct principal EU legislation

[Regulation \(EU\) No513/2011](#) of the European Parliament and of the Council of 11 May 2011 amending [Regulation \(EC\) No 1060/2009](#) on credit rating agencies

[Regulation \(EU\) No462/2013](#) of the European Parliament and of the Council of 21 May 2013 amending [Regulation \(EC\) No 1060/2009](#) on credit rating agencies

[Regulation \(EU\) No248/2014](#) of the European Parliament and of the Council of 26 February 2014 amending [Regulation \(EU\) No260/2012](#) as regards the migration to Union-wide credit transfers and direct debits

[Regulation \(EU\) 2019/630](#) of the European Parliament and of the Council of 17 April 2019 amending [Regulation \(EU\) No575/2013](#) as regards minimum loss coverage for non-performing exposures

[Regulation \(EU\) 2019/876](#) of the European Parliament and of the Council of 20 May 2019 amending [Regulation \(EU\) No575/2013](#) as regards the leverage ratio, the net stable funding ratio, requirements for own funds and eligible liabilities, counterparty credit risk, market risk, exposures to central counterparties, exposures to collective investment undertakings, large exposures, reporting and disclosure requirements, and [Regulation \(EU\) No648/2012](#)

[Regulation \(EU\) 2019/2155](#) of the European Central Bank of 5 December 2019 amending [Regulation \(EU\) No1163/2014](#) on supervisory fees (ECB/2019/37)

[Regulation \(EU\) 2019/2175](#) of the European Parliament and of the Council of 18 December 2019 amending [Regulation \(EU\) No 1093/2010](#) establishing a European Supervisory Authority (European Banking Authority), [Regulation \(EU\) No 1094/2010](#) establishing a European Supervisory Authority (European Insurance and Occupational Pensions Authority), [Regulation \(EU\) No 1095/2010](#) establishing a European Supervisory Authority (European Securities and Markets Authority), [Regulation \(EU\) No 600/2014](#) on markets in financial instruments, [Regulation \(EU\) 2016/1011](#) on indices used as benchmarks in financial instruments and financial contracts or to measure the performance of investment funds, and [Regulation \(EU\) 2015/847](#) on information accompanying transfers of funds

[Regulation \(EU\) 2020/605](#) of the European Central Bank of 9 April 2020 amending [Regulation \(EU\) 2015/534](#) on reporting of supervisory financial information (ECB/2020/22)

PART 2

Subordinate legislation

Financial Services and Markets Act 2000 (Qualifying EU Provisions) (Amendment) Order 2016 ([S.I. 2016/936](#))

Financial Services and Economic and Monetary Policy (Consequential Amendments) (EU Exit) Regulations 2020 ([S.I. 2020/1301](#))

Financial Services and Markets Act 2000 (Qualifying Provisions) (Amendment) Order 2022 ([S.I. 2022/1252](#))

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PART 3

EU tertiary legislation

Commission Delegated [Regulation \(EU\) No310/2012](#) of 21 December 2011 amending [Regulation \(EC\) No 1569/2007](#) establishing a mechanism for the determination of equivalence of accounting standards applied by third country issuers of securities pursuant to Directives [2003/71/EC](#) and [2004/109/EC](#) of the European Parliament and of the Council, so far as made under [Directive 2004/109/EC\(1\)](#)

Commission Implementing Decision of 11 April 2012 amending [Decision 2008/961/EC](#) on the use by third countries' issuers of securities of certain third country's national accounting standards and International Financial Reporting Standards to prepare their consolidated financial statements (notified under document C(2012) 2256) (2012/194/EU)

Commission Delegated [Regulation \(EU\) No1002/2013](#) of 12 July 2013 amending [Regulation \(EU\) No648/2012](#) of the European Parliament and of the Council on OTC derivatives, central counterparties and trade repositories with regard to the list of exempted entities

Commission Delegated [Regulation \(EU\) 2015/62](#) of 10 October 2014 amending [Regulation \(EU\) No575/2013](#) of the European Parliament and of the Council with regard to the leverage ratio

Commission Delegated [Regulation \(EU\) 2015/488](#) of 4 September 2014 amending Delegated [Regulation \(EU\) No241/2014](#) as regards own funds requirements for firms based on fixed overheads

Commission Delegated [Regulation \(EU\) 2015/1515](#) of 5 June 2015 amending [Regulation \(EU\) No648/2012](#) of the European Parliament and of the Council as regards the extension of the transitional periods related to pension scheme arrangements

Commission Delegated [Regulation \(EU\) 2015/1605](#) of 12 June 2015 amending [Regulation \(EC\) No 1569/2007](#) establishing a mechanism for the determination of equivalence of accounting standards applied by third country issuers of securities pursuant to Directives [2003/71/EC](#) and [2004/109/EC](#) of the European Parliament and of the Council, so far as made under [Directive 2004/109/EC\(2\)](#)

Commission Implementing Decision (EU) 2015/1612 of 23 September 2015 amending [Decision 2008/961/EC](#) on the use by third countries' issuers of securities of certain third country's national accounting standards and International Financial Reporting Standards to prepare their consolidated financial statements (notified under document C(2015) 6369)

Commission Implementing Decision (EU) 2016/230 of 17 February 2016 amending Implementing [Decision 2014/908/EU](#) as regards the lists of third countries and territories whose supervisory and regulatory requirements are considered equivalent for the purposes of the treatment of exposures according to [Regulation \(EU\) No575/2013](#) of the European Parliament and of the Council

Commission Delegated [Regulation \(EU\) 2016/467](#) of 30 September 2015 amending Commission Delegated [Regulation \(EU\) 2015/35](#) concerning the calculation of regulatory capital requirements for several categories of assets held by insurance and reinsurance undertakings

Commission Delegated [Regulation \(EU\) 2016/822](#) of 21 April 2016 amending Delegated [Regulation \(EU\) No153/2013](#) as regards the time horizons for the liquidation period to be considered for the different classes of financial instruments

Commission Implementing Decision (EU) 2016/2358 of 20 December 2016 amending Implementing [Decision 2014/908/EU](#) as regards the lists of third countries and territories whose supervisory and regulatory requirements are considered equivalent for the purposes of the treatment of exposures according to [Regulation \(EU\) No575/2013](#) of the European Parliament and of the Council

(1) For the commencement of the revocation of this instrument so far as made under [Directive 2003/71/EC](#), see Part 4 of the Schedule.

(2) For the commencement of the revocation of this instrument so far as made under [Directive 2003/71/EC](#), see Part 4 of the Schedule.

Commission Delegated [Regulation \(EU\) 2017/610](#) of 20 December 2016 amending [Regulation \(EU\) No648/2012](#) of the European Parliament and of the Council as regards the extension of the transitional periods related to pension scheme arrangements

Commission Delegated [Regulation \(EU\) 2017/751](#) of 16 March 2017 amending Delegated Regulations (EU) 2015/2205, (EU) 2016/592 and (EU) 2016/1178 as regards the deadline for compliance with clearing obligations for certain counterparties dealing with OTC derivatives

Commission Delegated [Regulation \(EU\) 2017/979](#) of 2 March 2017 amending [Regulation \(EU\) No648/2012](#) of the European Parliament of the Council on OTC derivatives, central counterparties and trade repositories and with regard to the list of exempted entities

Commission Implementing [Regulation \(EU\) 2017/1147](#) of 28 June 2017 amending Implementing [Regulation \(EU\) 2016/1368](#) establishing a list of critical benchmarks used in financial markets pursuant to [Regulation \(EU\) 2016/1011](#) of the European Parliament and of the Council

Commission Delegated [Regulation \(EU\) 2017/1542](#) of 8 June 2017 amending Delegated [Regulation \(EU\) 2015/35](#) concerning the calculation of regulatory capital requirements for certain categories of assets held by insurance and reinsurance undertakings (infrastructure corporates)

Commission Delegated [Regulation \(EU\) 2017/1800](#) of 29 June 2017 amending Delegated [Regulation \(EU\) No151/2013](#) supplementing [Regulation \(EU\) No648/2012](#) of the European Parliament and of the Council

Commission Delegated [Regulation \(EU\) 2017/2188](#) of 11 August 2017 amending [Regulation \(EU\) No575/2013](#) of the European Parliament and of the Council as regards the waiver on own funds requirements for certain covered bonds

Commission Delegated [Regulation \(EU\) 2017/2294](#) of 28 August 2017 amending Delegated [Regulation \(EU\) 2017/565](#) as regards the specification of the definition of systematic internalisers for the purposes of [Directive 2014/65/EU](#)

Commission Implementing [Regulation \(EU\) 2017/2446](#) of 19 December 2017 amending Implementing [Regulation \(EU\) 2016/1368](#) establishing a list of critical benchmarks used in financial markets pursuant to [Regulation \(EU\) 2016/1011](#) of the European Parliament and of the Council

Commission Delegated [Regulation \(EU\) 2018/63](#) of 26 September 2017 amending Delegated [Regulation \(EU\) 2017/571](#) supplementing [Directive 2014/65/EU](#) of the European Parliament and of the Council with regard to regulatory technical standards on the authorisation, organisational requirements and the publication of transactions for data reporting services providers

Commission Delegated [Regulation \(EU\) 2018/405](#) of 21 November 2017 correcting certain language versions of [Regulation \(EU\) No575/2013](#) of the European Parliament and of the Council on prudential requirements for credit institutions and investment firms and amending [Regulation \(EU\) No648/2012](#)

Commission Delegated [Regulation \(EU\) 2018/541](#) of 20 December 2017 amending Delegated [Regulation \(EU\) 2017/2358](#) and Delegated [Regulation \(EU\) 2017/2359](#) as regards their dates of application

Commission Implementing [Regulation \(EU\) 2018/634](#) of 24 April 2018 amending Implementing [Regulation \(EU\) 2016/1799](#) as regards the mapping tables specifying the correspondence between the credit risk assessments of external credit assessment institutions and the credit quality steps set out in [Regulation \(EU\) No575/2013](#) of the European Parliament and of the Council

Commission Delegated [Regulation \(EU\) 2018/1221](#) of 1 June 2018 amending Delegated [Regulation \(EU\) 2015/35](#) as regards the calculation of regulatory capital requirements for securitisations and simple, transparent and standardised securitisations held by insurance and reinsurance undertakings

Commission Implementing [Regulation \(EU\) 2018/1557](#) of 17 October 2018 amending Implementing [Regulation \(EU\) 2016/1368](#) establishing a list of critical benchmarks used in financial markets pursuant to [Regulation \(EU\) 2016/1011](#) of the European Parliament and of the Council

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Commission Delegated [Regulation \(EU\) 2018/1618](#) of 12 July 2018 amending Delegated [Regulation \(EU\) No231/2013](#) as regards safe-keeping duties of depositaries

Commission Delegated [Regulation \(EU\) 2018/1620](#) of 13 July 2018 amending Delegated [Regulation \(EU\) 2015/61](#) to supplement [Regulation \(EU\) No575/2013](#) of the European Parliament and the Council with regard to liquidity coverage requirement for credit institutions

Commission Delegated [Regulation \(EU\) 2019/361](#) of 13 December 2018 amending Delegated [Regulation \(EU\) No151/2013](#) with regard to access to the data held in trade repositories

Commission Implementing [Regulation \(EU\) 2019/439](#) of 15 February 2019 amending Implementing [Regulation \(EU\) 2016/2070](#) as regards benchmark portfolios, reporting templates and reporting instructions to be applied in the Union for the reporting referred to in Article 78(2) of [Directive 2013/36/EU](#) of the European Parliament and of the Council

Commission Delegated [Regulation \(EU\) 2019/442](#) of 12 December 2018 amending and correcting Delegated [Regulation \(EU\) 2017/587](#) to specify the requirement for prices to reflect prevailing market conditions and to update and correct certain provisions

Commission Delegated [Regulation \(EU\) 2019/443](#) of 13 February 2019 amending Delegated [Regulation \(EU\) 2017/588](#) as regards the possibility to adjust the average daily number of transactions for a share where the trading venue with the highest turnover of that share is located outside the Union

Commission Implementing [Regulation \(EU\) 2019/482](#) of 22 March 2019 amending Commission Implementing [Regulation \(EU\) 2016/1368](#) establishing a list of critical benchmarks used in financial markets pursuant to [Regulation \(EU\) 2016/1011](#) of the European Parliament and of the Council

Commission Implementing Decision (EU) 2019/536 of 29 March 2019 amending Implementing [Decision 2014/908/EU](#) as regards the lists of third countries and territories whose supervisory and regulatory requirements are considered equivalent for the purposes of the treatment of exposures in accordance with [Regulation \(EU\) No575/2013](#) of the European Parliament and of the Council

Commission Delegated [Regulation \(EU\) 2019/667](#) of 19 December 2018 amending Delegated Regulations (EU) 2015/2205, (EU) 2016/592 and (EU) 2016/1178 to extend the dates of deferred application of the clearing obligation for certain OTC derivative contracts

Commission Delegated [Regulation \(EU\) 2019/981](#) of 8 March 2019 amending Delegated [Regulation \(EU\) 2015/35](#) supplementing [Directive 2009/138/EC](#) of the European Parliament and of the Council on the taking-up and pursuit of the business of Insurance and Reinsurance (Solvency II)

Commission Delegated [Regulation \(EU\) 2019/1000](#) of 14 March 2019 amending Delegated [Regulation \(EU\) 2017/1799](#) as regards the exemption of the People's Bank of China from the pre- and post-trade transparency requirements in [Regulation \(EU\) No600/2014](#) of the European Parliament and of the Council

Commission Delegated [Regulation \(EU\) 2019/1011](#) of 13 December 2018 amending Commission Delegated [Regulation \(EU\) 2017/565](#) as regards certain registration conditions to promote the use of SME growth markets for the purposes of [Directive 2014/65/EU](#) of the European Parliament and of the Council

Commission Implementing Decision (EU) 2019/1276 of 29 July 2019 repealing Commission Implementing [Decision 2012/627/EU](#) on the recognition of the legal and supervisory framework of Australia as equivalent to the requirements of [Regulation \(EC\) No 1060/2009](#) of the European Parliament and of the Council on credit rating agencies

Commission Implementing Decision (EU) 2019/1277 of 29 July 2019 repealing Implementing [Decision 2012/630/EU](#) on the recognition of the legal and supervisory framework of Canada as equivalent to the requirements of [Regulation \(EC\) No 1060/2009](#) of the European Parliament and of the Council on credit rating agencies

Commission Implementing Decision (EU) 2019/1278 of 29 July 2019 repealing Implementing [Decision 2014/248/EU](#) on the recognition of the legal and supervisory framework of Singapore as equivalent to the requirements of [Regulation \(EC\) No 1060/2009](#) of the European Parliament and of the Council on credit rating agencies

Commission Implementing Decision (EU) 2019/1281 of 29 July 2019 repealing Implementing [Decision 2014/245/EU](#) on the recognition of the legal and supervisory framework of Brazil as equivalent to the requirements of [Regulation \(EC\) No 1060/2009](#) of the European Parliament and of the Council on credit rating agencies

Commission Implementing Decision (EU) 2019/1282 of 29 July 2019 repealing Implementing [Decision 2014/246/EU](#) on the recognition of the legal and supervisory framework of Argentina as equivalent to the requirements of [Regulation \(EC\) No 1060/2009](#) of the European Parliament and of the Council on credit rating agencies

Commission Delegated [Regulation \(EU\) 2019/1865](#) of 6 June 2019 correcting the Romanian language version of Delegated [Regulation \(EU\) 2015/35](#) supplementing [Directive 2009/138/EC](#) of the European Parliament and of the Council on the taking-up and pursuit of the business of Insurance and Reinsurance (Solvency II)

Commission Delegated [Regulation \(EU\) 2019/1866](#) of 3 July 2019 amending Delegated [Regulation \(EU\) 2017/653](#) to align the transitional arrangement for PRIIP manufacturers offering units of funds referred to in Article 32 of [Regulation \(EU\) No 1286/2014](#) of the European Parliament and of the Council as underlying investment options with the prolonged exemption period under that Article

Commission Implementing [Regulation \(EU\) 2019/2028](#) of 29 November 2019 amending Implementing [Regulation \(EU\) 2016/1799](#) as regards the mapping tables specifying the correspondence between the credit risk assessments of external credit assessment institutions and the credit quality steps set out in [Regulation \(EU\) No 575/2013](#) of the European Parliament and of the Council

Commission Implementing [Regulation \(EU\) 2019/2091](#) of 28 November 2019 amending Implementing [Regulation \(EU\) 2015/2197](#) with regard to closely correlated currencies in accordance with [Regulation \(EU\) No 575/2013](#) of the European Parliament and of the Council

Commission Delegated [Regulation \(EU\) 2019/2100](#) of 30 September 2019 amending Delegated [Regulation \(EU\) 2019/815](#) with regard to updates of the taxonomy to be used for the single electronic reporting format

Commission Implementing [Regulation \(EU\) 2019/2102](#) of 27 November 2019 amending Implementing [Regulation \(EU\) 2015/2452](#) with regard to the disclosure of information used in the calculation of the adjustment for the loss-absorbing capacity of deferred taxes

Commission Implementing Decision (EU) 2019/2166 of 16 December 2019 amending Implementing [Decision 2014/908/EU](#) as regards the inclusion of Serbia and South Korea in the lists of third countries and territories whose supervisory and regulatory requirements are considered equivalent for the purposes of the treatment of exposures in accordance with [Regulation \(EU\) No 575/2013](#) of the European Parliament and of the Council

Commission Delegated [Regulation \(EU\) 2020/448](#) of 17 December 2019 amending Delegated [Regulation \(EU\) 2016/2251](#) as regards the specification of the treatment of OTC derivatives in connection with certain simple, transparent and standardised securitisations for hedging purposes

Commission Delegated [Regulation \(EU\) 2020/988](#) of 12 March 2020 correcting certain language versions of Delegated [Regulation \(EU\) 2015/35](#) supplementing [Directive 2009/138/EC](#) of the European Parliament and of the Council on the taking-up and pursuit of the business of Insurance and Reinsurance (Solvency II)

Commission Delegated [Regulation \(EU\) 2020/1273](#) of 4 June 2020 amending and correcting Delegated [Regulation \(EU\) 2019/980](#) supplementing [Regulation \(EU\) 2017/1129](#) of the European

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Parliament and of the Council as regards the format, content, scrutiny and approval of the prospectus to be published when securities are offered to the public or admitted to trading on a regulated market
Commission Implementing Decision (EU) 2020/2127 of 16 December 2020 amending Commission Implementing Decision (EU) 2019/541 on the equivalence of the legal and supervisory framework applicable to approved exchanges and recognised market operators in Singapore in accordance with [Regulation \(EU\) No600/2014](#) of the European Parliament and of the Council

Commission Delegated [Regulation \(EU\) 2020/2145](#) of 1 September 2020 amending Delegated [Regulation \(EU\) No876/2013](#) supplementing [Regulation \(EU\) No648/2012](#) of the European Parliament and of the Council as regards changes to the composition, functioning and management of colleges for central counterparties

Commission Delegated [Regulation \(EU\) 2020/2176](#) of 12 November 2020 amending Delegated [Regulation \(EU\) No241/2014](#) as regards the deduction of software assets from Common Equity Tier 1 Items

PART 4

Other EU-derived legislation

Building Societies Act 1986 (Modifications) Order 1991 ([S.I. 1991/1729](#))

Friendly Societies (Amendment) Regulations 1993 ([S.I. 1993/2519](#))

Banking Coordination (Second Council Directive) (Amendment) Regulations 1993 ([S.I. 1993/3225](#))

Banking Coordination (Second Council Directive) (Amendment) Regulations 1995 ([S.I. 1995/1217](#))

Electronic Money (Miscellaneous Amendments) Regulations 2002 ([S.I. 2002/765](#))

Banking Consolidation Directive (Consequential Amendments) Regulations 2000 ([S.I. 2000/2952](#))

Financial Services and Markets Act 2000 (Disclosure of Confidential Information) (Amendment) Regulations 2003 ([S.I. 2003/693](#))

Insurers (Reorganisation and Winding Up) (Amendment) Regulations 2004 ([S.I. 2004/546](#))

Financial Services and Markets Act 2000 (Regulated Activities) (Amendment No. 3) Order 2006 ([S.I. 2006/3384](#))

Financial Services and Markets Act 2000 (Recognition Requirements for Investment Exchanges and Clearing Houses) (Amendment) Regulations 2006 ([S.I. 2006/3386](#))

Financial Services and Markets Act 2000 (Appointed Representatives) (Amendment) Regulations 2006 ([S.I. 2006/3414](#))

Financial Services and Markets Act 2000 (Exemption) (Amendment) Order 2007 ([S.I. 2007/125](#))

Financial Markets and Insolvency (Settlement Finality) (Amendment) Regulations 2007 ([S.I. 2007/832](#))

Credit Institutions (Reorganisation and Winding Up) (Amendment) Regulations 2007 ([S.I. 2007/830](#))

Insurers (Reorganisation and Winding Up) (Amendment) Regulations 2007 ([S.I. 2007/851](#))

Financial Markets and Insolvency (Settlement Finality) (Amendment) Regulations 2009 ([S.I. 2009/1972](#))

Regulated Covered Bonds (Amendment) Regulations 2011 ([S.I. 2011/2859](#))

Regulated Covered Bonds (Amendment) Regulations 2012 ([S.I. 2012/2977](#))

Commission Delegated [Regulation \(EU\) No310/2012](#) of 21 December 2011 amending Regulation (EC) No 1569/2007 establishing a mechanism for the determination of equivalence of accounting

standards applied by third country issuers of securities pursuant to Directives [2003/71/EC](#) and [2004/109/EC](#) of the European Parliament and of the Council, so far as made under [Directive 2003/71/EC](#)(3)

Commission Delegated [Regulation \(EU\) No621/2013](#) of 21 March 2013 correcting the Polish version of [Regulation \(EC\) No 809/2004](#) implementing [Directive 2003/71/EC](#) of the European Parliament and of the Council as regards information contained in prospectuses as well as the format, incorporation by reference and publication of such prospectuses and dissemination of advertisements

Alternative Investment Fund Managers (Amendment) Order 2014 ([S.I. 2014/1313](#))

Capital Requirements (Capital Buffers and Macro-prudential Measures) (Amendment) Regulations 2015 ([S.I. 2015/19](#))

Deposit Guarantee Scheme (Amendment) Regulations 2015 ([S.I. 2015/1456](#))

Mortgage Credit Directive (Amendment) Order 2015 ([S.I. 2015/1557](#))

Commission Delegated [Regulation \(EU\) 2015/1605](#) of 12 June 2015 amending [Regulation \(EC\) No 1569/2007](#) establishing a mechanism for the determination of equivalence of accounting standards applied by third country issuers of securities pursuant to Directives [2003/71/EC](#) and [2004/109/EC](#) of the European Parliament and of the Council, so far as made under [Directive 2003/71/EC](#)(4)

Companies Act 1989 (Financial Markets and Insolvency) (Amendment) Regulations 2017 ([S.I. 2017/1247](#))

Building Societies (Restricted Transactions) (Amendment to the Prohibition on Entering into Derivatives Transactions) Order 2018 ([S.I. 2018/314](#))

(3) For the commencement of the revocation of this instrument so far as made under [Directive 2004/109/EC](#), see Part 3 of the Schedule.

(4) For the commencement of the revocation of this instrument so far as made under [Directive 2004/109/EC](#), see Part 3 of the Schedule.