

SCHEDULE

Regulation 3

Specified Persons

1. The Bank of England.
2. The Charity Commission.
3. The Charity Commission for Northern Ireland.
4. The Commissioners for Her Majesty's Revenue and Customs.
5. The Competition and Markets Authority.
6. The Crown Office and Procurator Fiscal Services.
7. The Director of Public Prosecutions.
8. The Director of Public Prosecutions for Northern Ireland.
9. The Financial Conduct Authority.
10. The Food Standards Agency.
11. The Gas and Electricity Markets Authority.
12. The Gambling Commission.
13. The Gangmasters Licensing Authority.
14. The Government Communications Headquarters.
15. The Health and Safety Executive.
16. The Health and Safety Executive for Northern Ireland.
17. The Marine Management Organisation.
18. The Minister for the Cabinet Office.
19. The National Crime Agency.
20. The Northern Ireland Authority for Utility Regulation.
21. Any Northern Ireland Department.
22. The Office of Communications.
23. The Office of the Information Commissioner.
24. The Office for Nuclear Regulation.
25. The Office of the Scottish Charity Regulator.
26. The Official Receiver for Northern Ireland.
27. The Panel on Takeovers and Mergers.
28. The Pensions Regulator.
29. The Prudential Regulation Authority.
30. The Registry of Credit Unions and Industrial and Provident Societies for Northern Ireland.
31. The Regulator of Community Interest Companies.
32. The Scottish Housing Regulator.
33. The Scottish Ministers.

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34. The Security Industry Authority.
35. The Secret Intelligence Service.
36. The Secretary of State.
37. The Security Service.
38. The Serious Fraud Office.
39. The Treasury.
40. The Treasury Solicitor.
41. The Welsh Ministers.
42. A local authority within the meaning of section 54(2) of the Companies Act 2006.
43. An official receiver appointed under section 399 of the Insolvency Act 1986(1) (appointment, etc, of official receivers).
44. A person acting as an insolvency practitioner within the meaning of section 388 of the Insolvency Act 1986(2) (meaning of “act as an insolvency practitioner”) or article 3 of the Insolvency (Northern Ireland) Order 1989(3) (“act as an insolvency practitioner”).
45. An inspector appointed under Part 14 of the Companies Act 1985(4) (investigation of companies and their affairs: requisition of documents) or a person appointed under regulation 30 of the Open-Ended Investment Companies Regulations 2001(5) (power to investigate) or regulation 30 of the Open-Ended Investment Companies Regulations (Northern Ireland) 2004(6).
46. Any person authorised to exercise powers under section 447 of the Companies Act 1985 (power to require documents and information), or section 84 of the Companies Act 1989(7) (exercise of powers by officers, etc).
47. Any person exercising functions conferred by Part 6 of the Financial Services and Markets Act 2000(8) (official listing).
48. A person appointed to make a report under section 166 or 166A (reports by skilled persons) of the Financial Services and Markets Act 2000(9).
49. A person appointed to conduct an investigation under section 167 (appointment of persons to carry out general investigations) or 168(3) or (5) (appointment of persons to carry out investigations in particular cases) of the Financial Services and Markets Act 2000.
50. A person appointed under section 284 (power to investigate) of the Financial Services and Markets Act 2000.

(1) 1986 c. 45. Section 399 was amended by the Enterprise Act 2002 (c. 40), the Tribunals, Courts and Enforcement Act 2007 (c. 15) and the Crime and Courts Act 2013 (c. 22).

(2) Section 388. Relevant amendments have been made by the Insolvency Act 2000 (c. 39), the Deregulation Act 2015 (c. 20), Corporate Insolvency and Governance Act 2020 (c. 12) and S.I. 1994/2421, 2002/2708, 2009/1941, 2016/1034 and 2017/702.

(3) S.I. 1989/2405. Article 3 has been amended by paragraph 4(2) of Schedule 7 to the Corporate Insolvency and Governance Act 2020, the Insolvency (Northern Ireland) Order 2002/3152, paragraph 1 of Schedule 4 to the Insolvency (Amendment) Act (Northern Ireland) 2016, the Insolvent Partnerships Order (Northern Ireland) 1995/225, the Insolvent Partnerships (Amendment No. 3) Order (Northern Ireland) 2003/550, paragraph 106(4) of Schedule 1 to the Companies Act 2006 (Consequential Amendments, Transitional Provisions and Savings) Order 2009/1941 and paragraph 165 of Schedule 1(9) to the Insolvency (Amendment) (EU Exit) Regulations 2019/146.

(4) 1985 c. 6.

(5) S.I. 2001/1228.

(6) S.R. 2004/335.

(7) 1989 c. 40.

(8) 2000 c. 8.

(9) Section 166A was inserted by paragraph 6 of Schedule 12 to the Financial Services Act 2012 (c. 21).

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51. A police force within the meaning of section 101(1) of the Police Act 1996⁽¹⁰⁾.
52. The Police Service of Northern Ireland.
53. The Police Service of Scotland.
54. The lead enforcement authority (as defined in section 24A of the Estate Agents Act 1979⁽¹¹⁾) exercising functions under the Estate Agents Act 1979.

⁽¹⁰⁾ 1996 c. 16. Section 101(1) was amended by section 96(2) of the Police Reform and Social Responsibility Act 2011 (c. 13).

⁽¹¹⁾ 1979 c. 38. Section 24A was inserted by section 132(1) of the Housing and Planning Act 2016 (c. 22).