

## WELSH STATUTORY INSTRUMENTS

# 2017 No. 1097

## The Regulated Services (Annual Returns) (Wales) Regulations 2017

### Title, commencement and application

1.—(1) The title of these Regulations is the Regulated Services (Annual Returns) (Wales) Regulations 2017.

(2) These Regulations come into force on 2 April 2018 and apply in relation to Wales.

### Interpretation

2. In these Regulations—

“the Act” (“*y Ddeddf*”) means the Regulation and Inspection of Social Care (Wales) Act 2016;

“annual return” (“*datganiad blynyddol*”) means the annual return which a service provider must submit to the Welsh Ministers in accordance with section 10(1) of the Act.

### [<sup>F1</sup>Application of these Regulations to annual returns for specified financial years

2A. The following provisions of these Regulations do not apply to annual returns submitted for any of the financial years specified in regulation 10(1)—

- (a) regulations 3 to 6;
- (b) the Schedule.]

### Textual Amendments

**F1** Reg. 2A inserted (16.4.2021) by [The Regulated Services \(Annual Returns\) \(Wales\) \(Amendment\) \(Coronavirus\) Regulations 2021 \(S.I. 2021/395\)](#), regs. 1(2), 3

### Information about training

3. An annual return must contain a description of the arrangements in place for identifying, planning and meeting the training needs of staff employed by the service provider in relation to each of the regulated services which the service provider is registered to provide.

### Information about workforce planning

4. An annual return must contain a description of the arrangements in place for the recruitment and retention of staff employed by the service provider in relation to the regulated services which the service provider is registered to provide.

**Other information**

5. An annual return which is submitted by a service provider who is registered to provide a care home service, a secure accommodation service [<sup>F2</sup>, special school residential service] or a residential family centre service must contain the additional information specified in the Schedule in relation to each of the places at which the service is provided.

**Textual Amendments**

**F2** Words in reg. 5 inserted (31.3.2024) by [The Special School Residential Services \(Service Providers and Responsible Individuals\) \(Wales\) Regulations 2024 \(S.I. 2024/388\)](#), regs. 1(2), **81**

6. An annual return which is submitted by a service provider who is registered to provide a domiciliary support service [<sup>F3</sup>, an adoption service, a fostering service, an adult placement service or an advocacy service] must contain the additional information specified in the Schedule in relation to each of the places in relation to which the service is provided.

**Textual Amendments**

**F3** Words in reg. 6 inserted (29.4.2019) by [The Regulated Services \(Annual Returns and Registration\) \(Wales\) \(Amendment\) Regulations 2019 \(S.I. 2019/233\)](#), regs. 1(2), **3**

**Declaration of truth**

7. An annual return must contain a signed declaration by the service provider confirming that they have read and agree with the information contained in the annual return which relates to the regulated services that the provider is registered to provide.

8. An annual return must contain a signed declaration by each responsible individual confirming that they have read and agree with the information contained in the annual return which relates to the place at, from or in relation to which they have been designated by the service provider as the responsible individual.

**Form of annual return**

9. An annual return must be in the form of an on-line return which is accessed from the pages of the website maintained by the Welsh Government which have been established for the purpose of informing service providers of the requirements for the preparation and submission of annual returns.

**Time for submission of first annual returns**

<sup>F4</sup>**9A.** . . . . .

**Textual Amendments**

**F4** Reg. 9A omitted (26.5.2020) by virtue of [The Regulated Services \(Annual Returns\) \(Wales\) \(Amendment\) \(Coronavirus\) Regulations 2020 \(S.I. 2020/486\)](#), regs. 1(2), **3**

**[<sup>F5</sup>Time limit for submission of annual returns**

**10.**—(1) An annual return relating to any of the financial years 2018-19, [<sup>F6</sup>2019-2020, 2020-2021 or 2021-2022] must be submitted to the Welsh Ministers no later than [<sup>F7</sup>31 October 2022].

(2) An annual return relating to a subsequent financial year must be submitted to the Welsh Ministers within 56 days of the end of the financial year to which it relates.]

**Textual Amendments**

- F5** Reg. 10 substituted (26.5.2020) by [The Regulated Services \(Annual Returns\) \(Wales\) \(Amendment\) \(Coronavirus\) Regulations 2020 \(S.I. 2020/486\)](#), regs. 1(2), **4**
- F6** Words in reg. 10(1) substituted (20.5.2022) by [The Regulated Services \(Annual Returns\) \(Wales\) \(Amendment\) \(Coronavirus\) Regulations 2022 \(S.I. 2022/476\)](#), regs. 1(2), **3(a)**
- F7** Words in reg. 10(1) substituted (20.5.2022) by [The Regulated Services \(Annual Returns\) \(Wales\) \(Amendment\) \(Coronavirus\) Regulations 2022 \(S.I. 2022/476\)](#), regs. 1(2), **3(b)**

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authority of the Cabinet Secretary for Health and  
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**Changes to legislation:**

There are currently no known outstanding effects for the The Regulated Services (Annual Returns) (Wales) Regulations 2017.